

# Excited-State Effects on Nucleon Form Factors

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work done in collaboration with

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- Proton radius puzzle:
  - why do  $ep$  scattering and muonic hydrogen results disagree so badly?

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- Possible explanations:
  - Pions too heavy
  - Lattice volumes too small
  - Excited-state effects
- Here: explore how to suppress excited-state effects

# Form Factors

- $eN$  scattering cross section parameterized in terms of Sachs form factors  $G_E$ ,  $G_M$  via Rosenbluth formula

$$\left(\frac{d\sigma}{d\Omega}\right) \propto \left[ \frac{G_E^2 + \tau G_M^2}{1 + \tau} + 2\tau G_M^2 \tan^2\left(\frac{\theta}{2}\right) \right], \quad \tau = \frac{Q^2}{4m_N^2}$$



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- Matrix element of vector current between nucleon states decomposed in terms of Dirac and Pauli form factors  $F_1$ ,  $F_2$  as

$$\langle N(p', s') | V_\mu | N(p, s) \rangle = \bar{u}(p', s') \left[ \gamma_\mu F_1 + i \frac{\sigma_{\mu\nu} q_\nu}{2m_N} F_2 \right] u(p, s)$$

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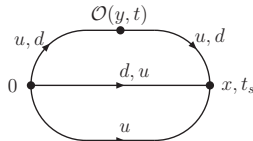
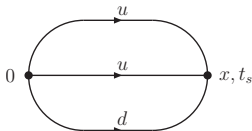
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- Relationship given by

$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4m_N^2} F_2(Q^2), \quad G_M(Q^2) = F_1(Q^2) + F_2(Q^2)$$

# Lattice Setup



- Measure two-point functions

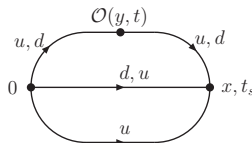
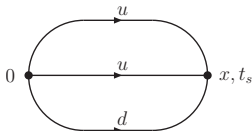
$$C_2(\mathbf{p}, t) = \sum_{\mathbf{x}} e^{i\mathbf{p} \cdot \mathbf{x}} \Gamma_{\beta\alpha} \langle \psi^\alpha(\mathbf{x}, t) \bar{\psi}^\beta(0) \rangle$$

and three-point functions

$$C_{3, V_\mu}(\mathbf{q}, t, t_s) = \sum_{\mathbf{x}, \mathbf{y}} e^{i\mathbf{q} \cdot \mathbf{y}} \Gamma_{\beta\alpha} \langle \psi^\alpha(\mathbf{x}, t_s) V_\mu(\mathbf{y}, t) \bar{\psi}^\beta(0) \rangle$$

where we use the projection matrix  $\Gamma = \frac{1}{2}(1 + \gamma_0)(1 + i\gamma_5\gamma_3)$

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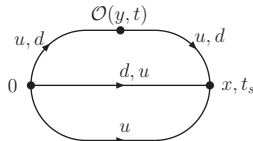
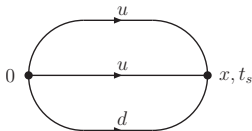
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- Use extended propagator in the “fixed-sink” method (one additional inversion per value of  $t_s$ , but free choice of operator insertion and momentum transfer)

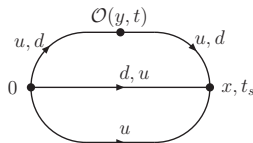
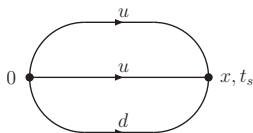
# Lattice Setup



- Form ratios

$$R_{V_\mu}(\mathbf{q}, t, t_s) = \frac{C_{3,V_\mu}(\mathbf{q}, t, t_s)}{C_2(\mathbf{0}, t_s)} \sqrt{\frac{C_2(\mathbf{q}, t_s - t) C_2(\mathbf{0}, t) C_2(\mathbf{0}, t_s)}{C_2(\mathbf{0}, t_s - t) C_2(\mathbf{q}, t) C_2(\mathbf{q}, t_s)}}$$

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- Extract Sachs form factors from

$$\text{Re}[R_{V_0}] \xrightarrow{t, (t_s - t) \gg 0} \sqrt{\frac{m_N + E_p}{2E_p}} G_E(Q^2)$$

and

$$\text{Re}[R_{V_i}]_{i=1,2} \xrightarrow{t, (t_s - t) \gg 0} \epsilon_{ij} p_j \frac{1}{\sqrt{2E_p(m_N + E_p)}} G_M(Q^2)$$

# The Problem of Excited States

- Correlation functions have spectral decomposition

$$C_2(\mathbf{p}, t) = \sum_{n=1}^{\infty} Z_n(\mathbf{p}) e^{-E_n(\mathbf{p})t}$$

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  - for  $\mathbf{p} = 0$ , the  $N\pi\pi$  state with two pions in an S-wave contributes with  $E \approx m_N + 2m_\pi \sim m_N$
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- For nucleons, the statistical noise grows exponentially like  $e^{(m_N - \frac{3}{2}m_\pi)t}$
- Hard to find a region of clean signal and good statistics!

# Data Analysis Methods for Excited States

$$G_X^{\text{eff}}(Q^2, t, t_s) = G_X(Q^2) + c_{X,1}(Q^2)e^{-m_\pi t} + c_{X,2}(Q^2)e^{-2m_\pi(t_s-t)} + \dots$$

- **Plateau method:** Identify plateaux in  $t$
- Problem: Need large  $t_s$ , where signal-to-noise ratio is poor
- Observe systematic trend in  $t_s$  even for  $t_s \sim 1.4$  fm

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- **Summation method:**

$$S_X(Q^2, t_s) = \sum_{t=0}^{t_s} G_X^{\text{eff}}(\mathbf{q}, t, t_s) \rightarrow c + t_s G_X(Q^2) + \mathcal{O}(e^{-m_\pi t_s})$$

- Advantage: Parametrically reduced excited state contamination –  $m_\pi t_s$  instead of  $m_\pi t$
- Disadvantage: Increased statistical errors

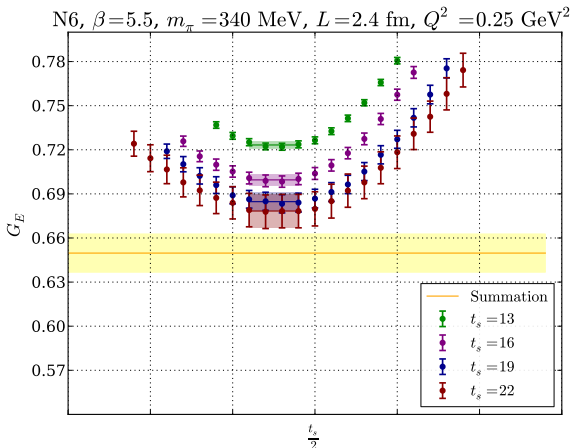
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- **Excited-state fits:** Explicitly fit  $G_X(Q^2, t, t_s)$  to leading excited-state contributions
  - as a function of  $t, t_s - t$  at each  $t_s$  separately, or
  - as a function of  $t_s, t$  at all  $t_s$  simultaneously
- Advantage: Fully removes leading excited state contamination
- Disadvantage: Somewhat model-dependent, hard to assess trustworthiness of results

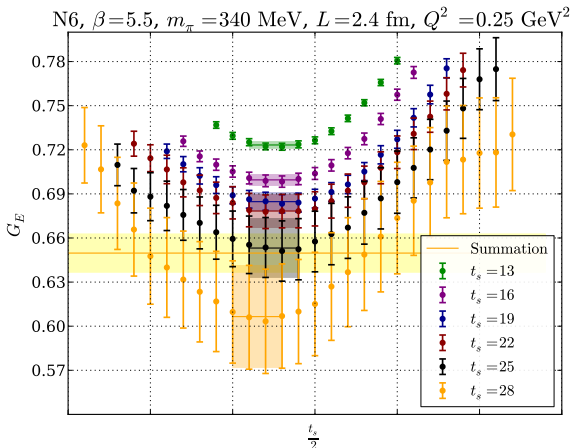
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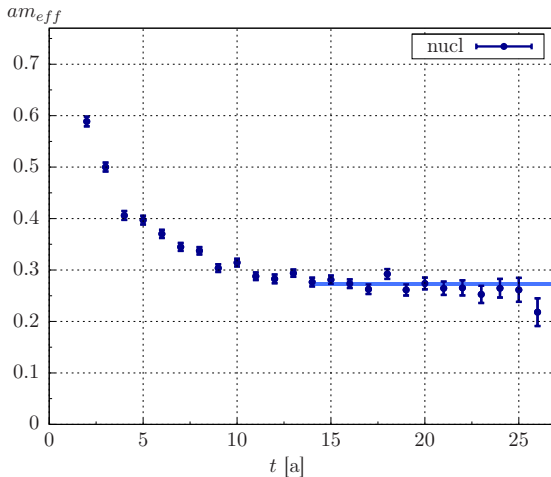
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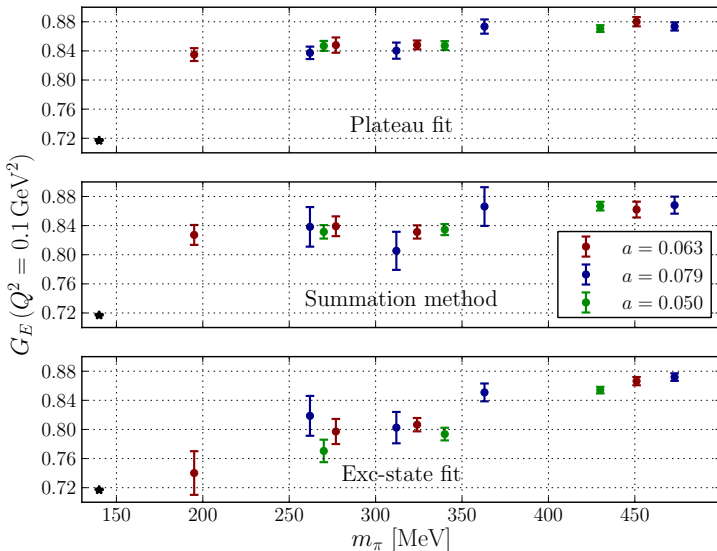


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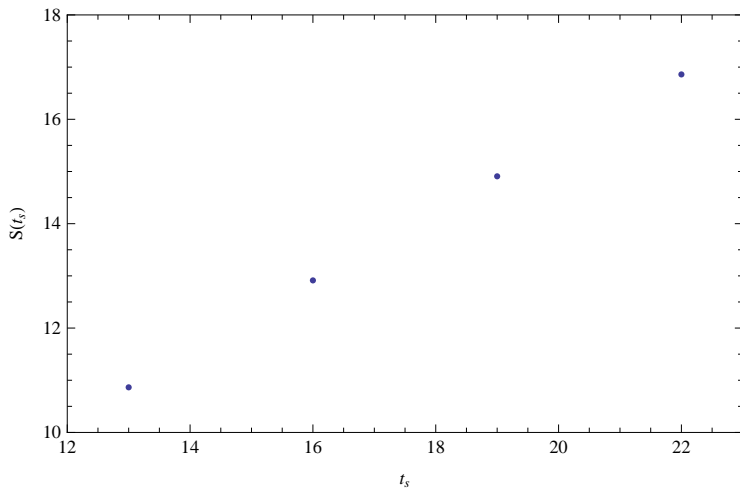
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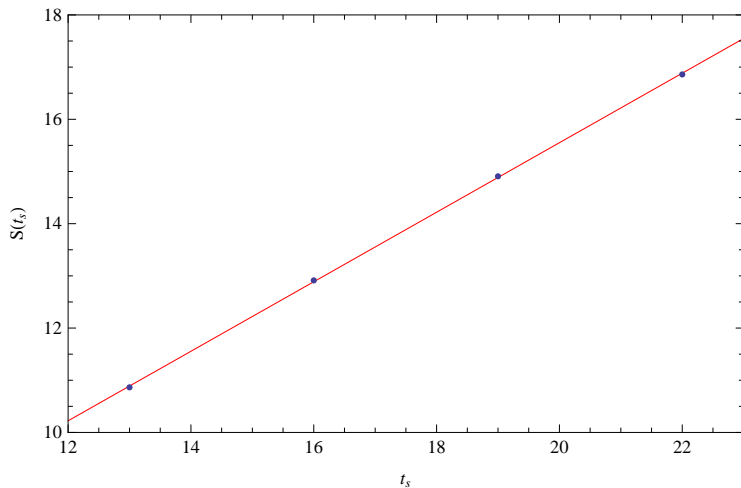
# Chiral behaviour



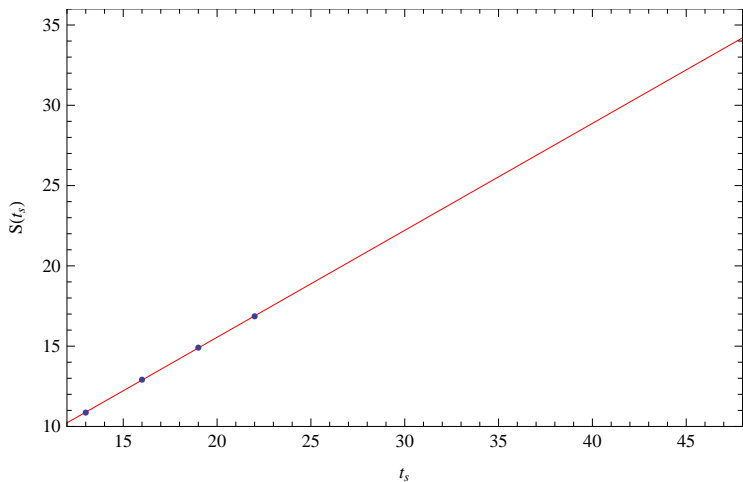
# A Possible Scenario



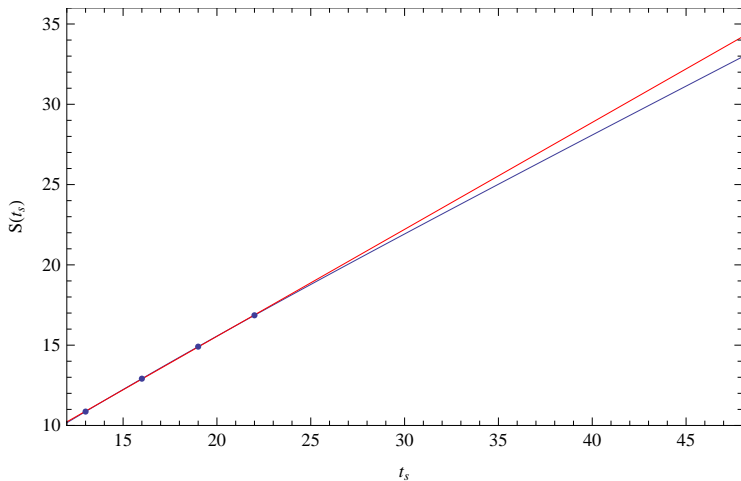
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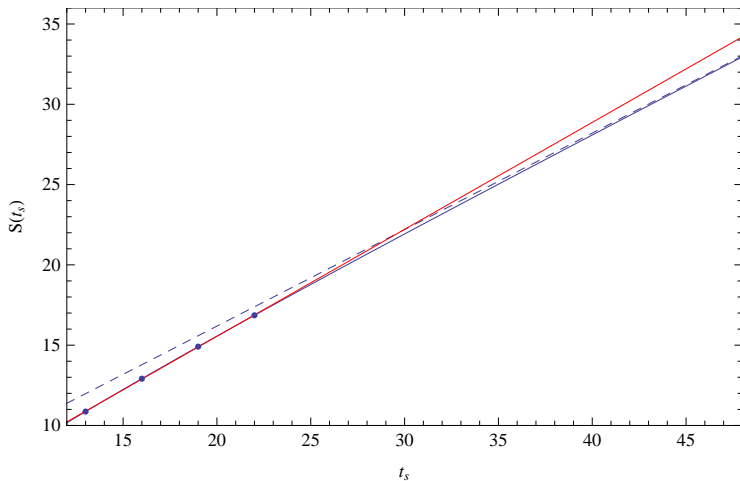
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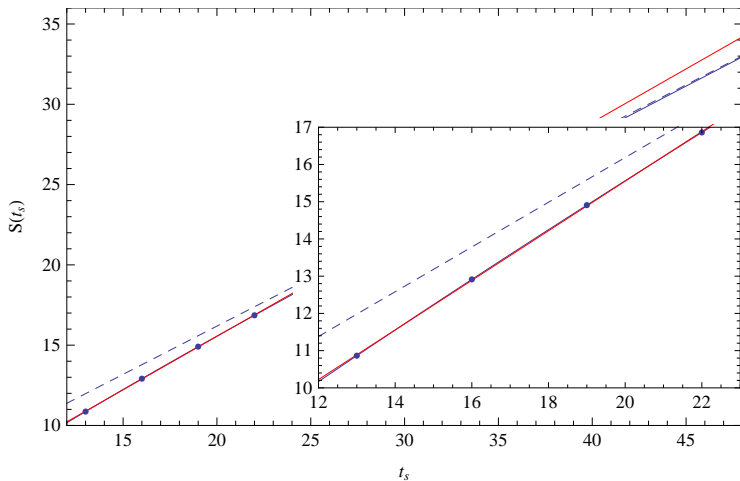
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# Momentum Dependence – Conventional Approach

- Parameterize each Sachs form factor as a dipole

$$G_X(Q^2) = \frac{G_X(0)}{\left(1 + \frac{Q^2}{M_X^2}\right)^2}$$

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where  $\kappa = \mu - 1$

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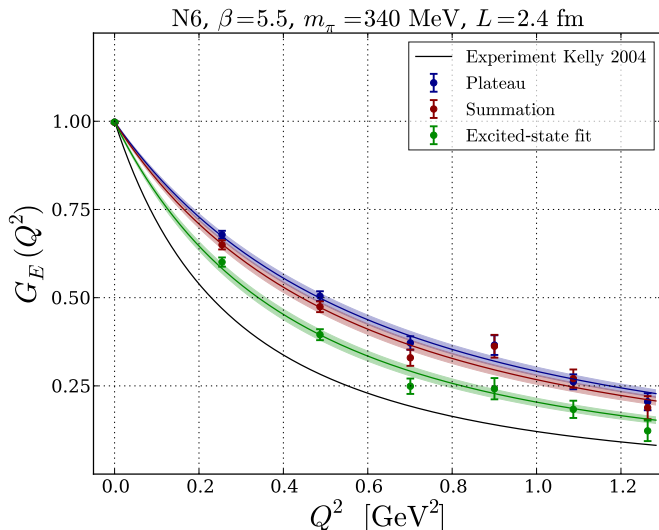
where  $\kappa = \mu - 1$

- Given  $r_E \approx r_M$ , can extract magnetic moment also using

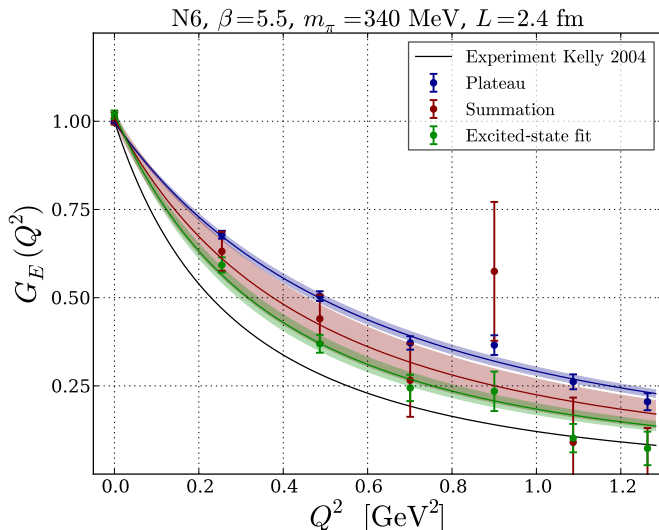
$$\mu = \lim_{Q^2 \rightarrow 0} \frac{G_M(Q^2)}{G_E(Q^2)}$$

with a flat extrapolation

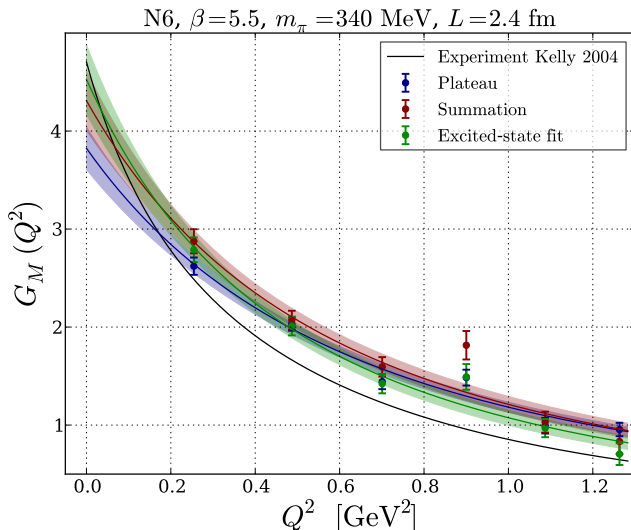
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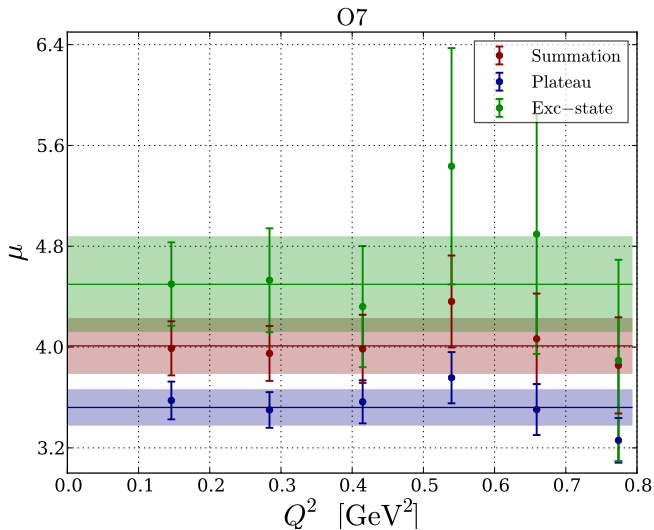
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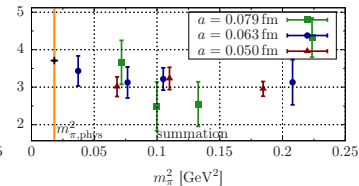
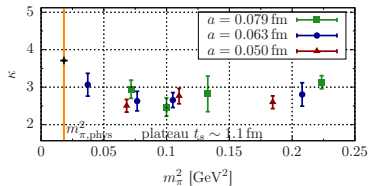
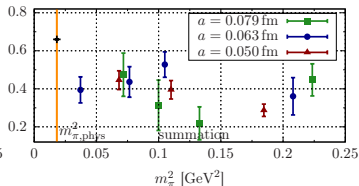
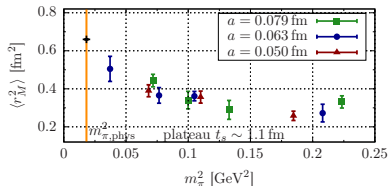
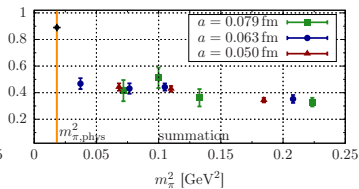
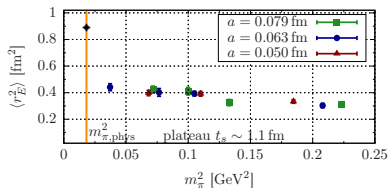
# Momentum Dependence – Conventional Approach



# Momentum Dependence – Conventional Approach



# Chiral Extrapolation – Conventional Approach





# Momentum Dependence – Direct Approach in $\chi$ PT

- Simultaneously fit  $G_E(Q^2, m_\pi)$  and  $G_M(Q^2, m_\pi)$  to the corresponding formulae from  $\chi$ PT including the  $\rho$  (and optionally the  $\Delta$ )
- Can include lattice artifacts using

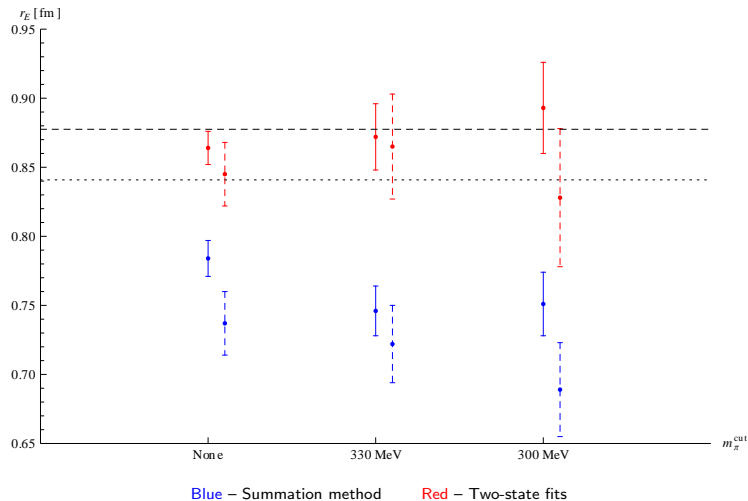
$$G_E(Q^2, m_\pi, a) = G_E^\chi(Q^2, m_\pi) + aQ^2 G_E^a$$

$$G_M(Q^2, m_\pi, a) = G_M^\chi(Q^2, m_\pi) + a G_M^a$$

taking into account that  $G_E(0)$  is  $O(a)$ -improved already

- Use experimental values of chiral limit LECs (leaving 4 fit parameters)
- Alternatively use ensemble values of  $m_N$ ,  $m_\rho$
- Impose cuts on  $m_\pi$  and/or  $Q^2$  to check convergence

# Pion-MMass Dependence – Direct Approach in $\chi$ PT



# Summary

- Systematic trend in  $G_E$  plateau values persists to  $t_s \sim 1.4$  fm
- Even with summation method,  $G_E$  systematically too high
- Considering only the largest values of  $t_s$  brings summation method closer to experiment at the expense of large statistical errors
- Excited-state fits indicate a possible reason:
  - with small gap  $m_\pi$ , approach to plateau is very slow
  - summed ratios still receive sizeable corrections
- Serious reduction of noise required for further clarification
- Chiral behaviour may be better describable using a direct  $\chi$ PT fit to the form factor data rather than dipole fits followed by chiral extrapolations

# The end

Thank you for your attention