

# Happy Birthday SN1987A!!!



Supernova 1987A, Large Magellanic Cloud,  $D \approx 160000$  lightyears  
Progenitor Star: Blue Supergiant Sanduleak -69° 220a,  $18 M_{\text{SUN}}$

# Simulations of **Stellar Collapse**, **Core-Collapse Supernovae**, and the Formation of Stellar-Mass Black Holes

Christian David Ott

cott@tapir.caltech.edu

*JIGSAW 2010, TIFR, Mumbai*



# Plan of this Talk

**Introduction and an Aside on the SN EOS**

**Core-Collapse Supernova Mechanisms**

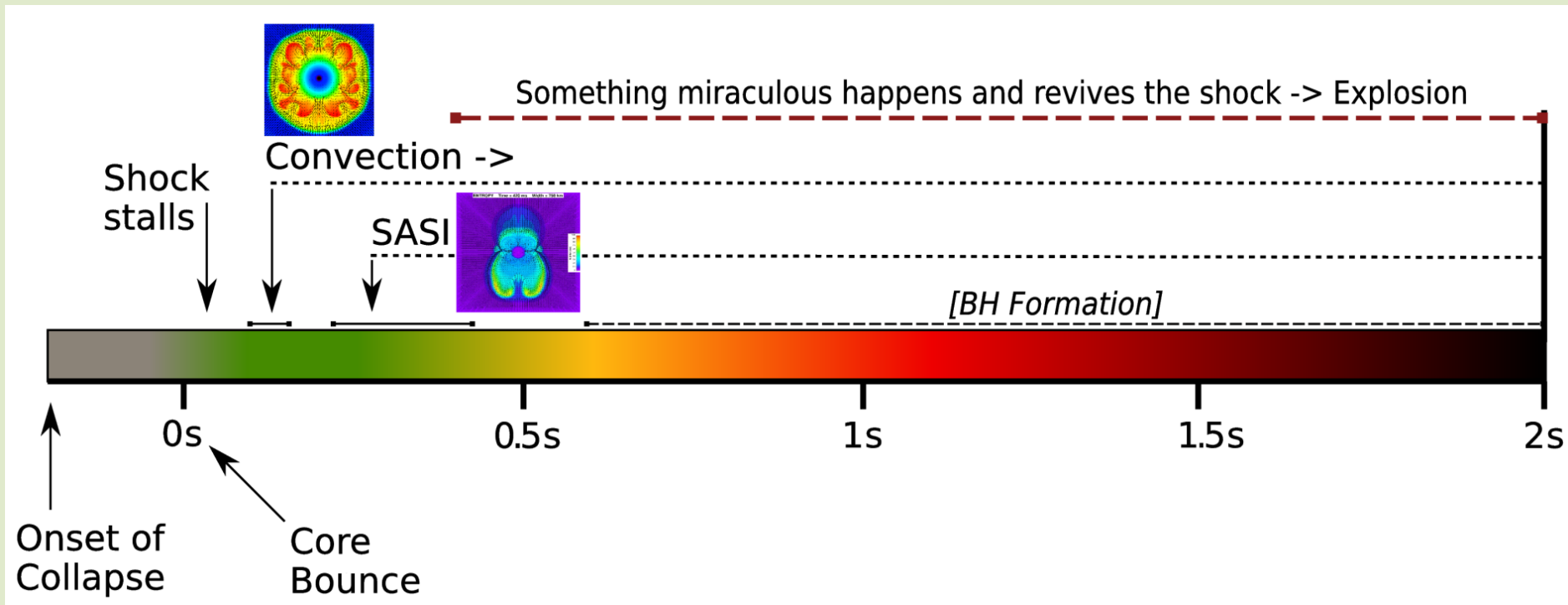
**2D Angle-Dependent Neutrino Radiation-Hydrodynamics CCSN Simulations**

**Observables of the CCSN Mechanism:  
Gravitational Waves and Neutrinos**

**Failing Core-Collapse Supernovae and  
The Formation of Stellar-Mass Black Holes**

***Bonus:* Spectral swap in a 1D CCSN model**

# Core Collapse Timeline



- Energy reservoir: few  $\times 10^{53}$  erg (100 B)
- Explosion energy:  $\sim 1$  B
- Time frame for explosion:  $\sim 0.3 - 2.0$  s after bounce.
- BH formation at baryonic PNS mass  $\geq 1.8 - 2.5 M_{\text{SUN}}$ .

# The Supernova (Simulation) EOS

- At high temperatures ( $T > 0.5$  MeV) and densities:  
Nuclear Statistical Equilibrium (NSE)  $\rightarrow$  EOS function of  $(\rho, T, Y_e)$  alone.
- Pressure contributions by: electrons, photons, baryons.
- Core-collapse supernova simulations require robust EOS that covers:  
 $\rho: \sim 10^4 - 10^{15}$  g/cm<sup>3</sup>,  $T: 0.01 - 100$  MeV,  $Y_e: 0 - 0.6$ .  
Tabulated EOS with high density of points required for performance and thermodynamic consistency.
- **Two general approaches:**
  - 1) “First Principles”: Many-body theory/(rel.)Hartree-Fock (mean-field) calculations:  
**Hillebrandt & Wolf 1985, H. Shen et al. 1998.**
  - 2) Phenomenological: Compressible liquid droplet model  
**Lattimer & Swesty 1991** (LSEOS).
- Key parameters governing stiffness: Nuclear symmetry energy  $S_v$  and incompressibility modulus  $K_0$ .
- In case you are interested: <http://www.stellarcollapse.org/microphysics> provides tabulated versions of HShen and LSEOS.

# Observed Neutron Star Masses

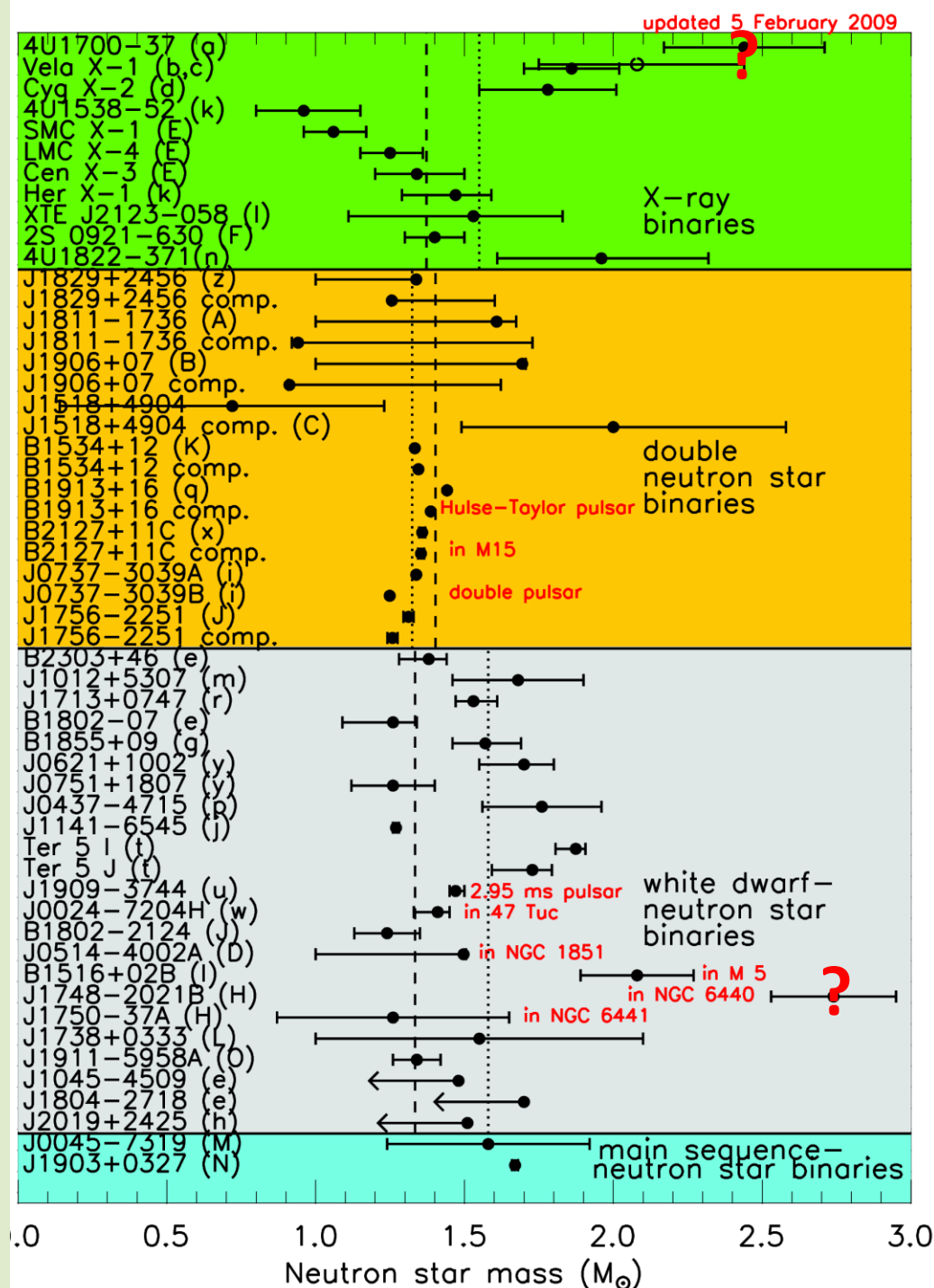
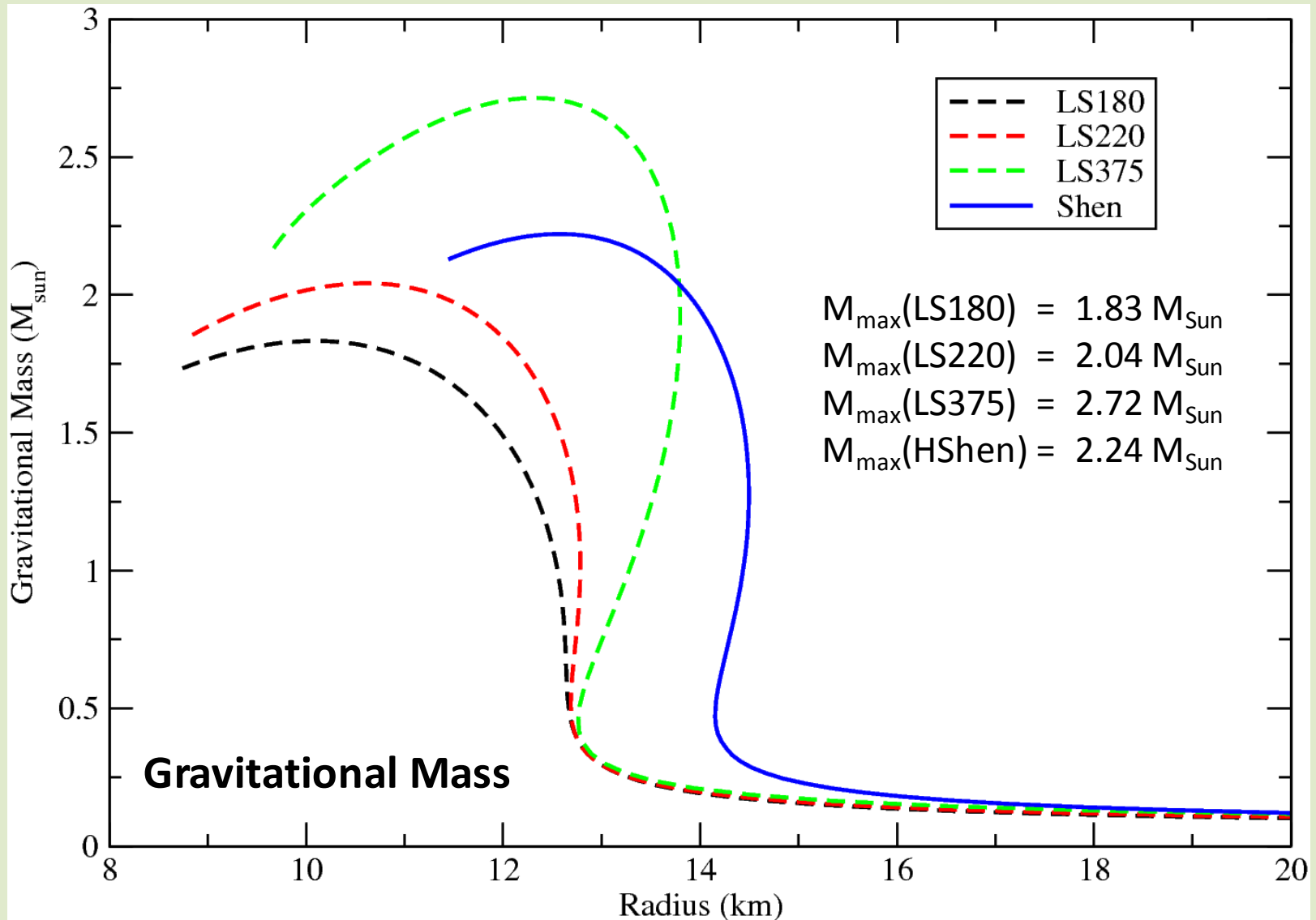
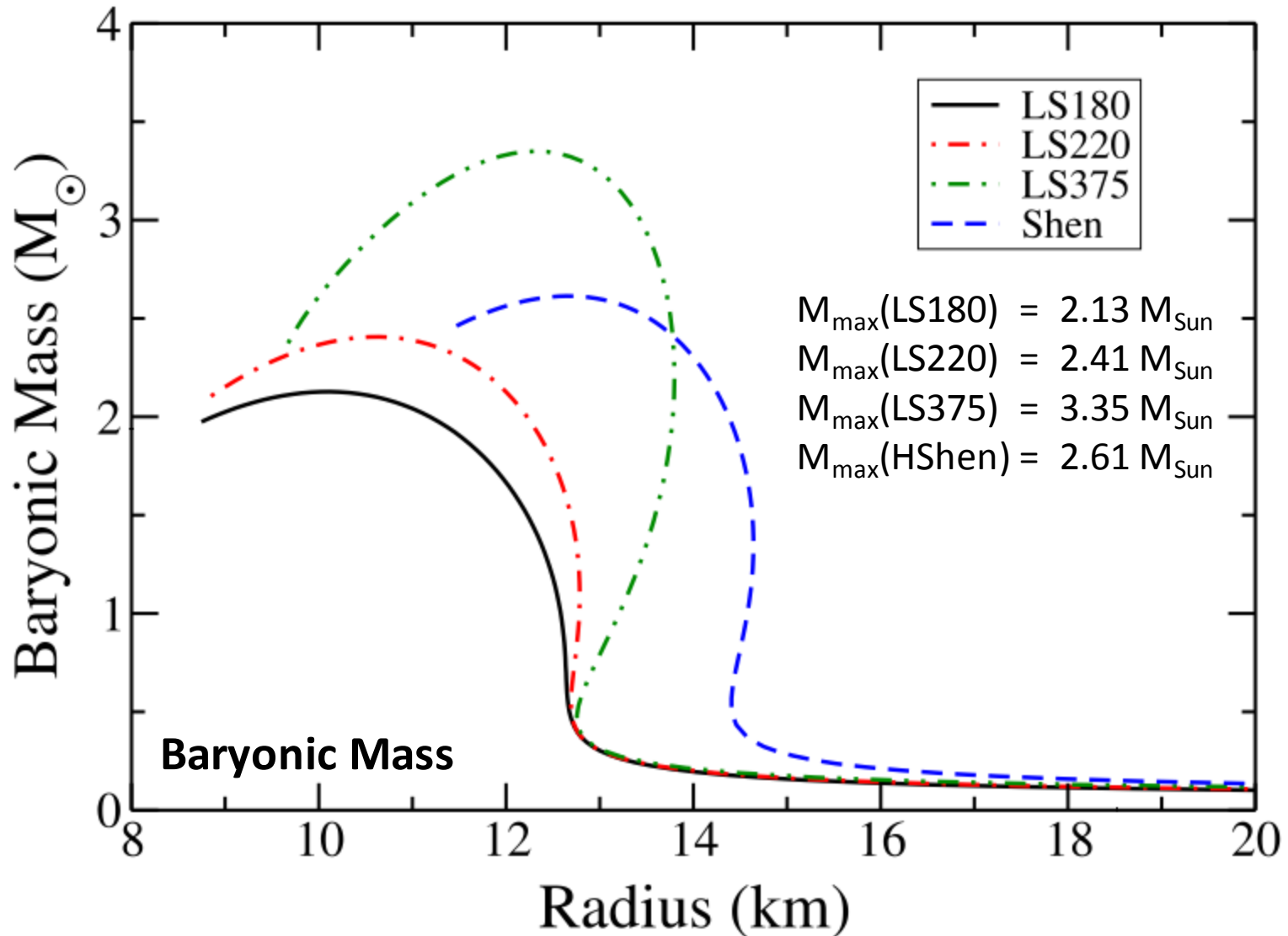


Table provided by  
**Jim Lattimer**

# Neutron Star Masses (TOV, $T=0.1$ MeV, $\beta$ equil.)



# Neutron Star Masses (TOV, $T=0.1$ MeV, $\beta$ equil.)





# The Essence of Core-Collapse Supernova Explosion Mechanisms

**Any explosion mechanism must tap the gravitational energy reservoir and convert the necessary fraction into energy of the explosion.**

# Core-Collapse Supernova Mechanisms

**Introduced by:**

**Neutrino  
Mechanism**

[Colgate & White '66, Arnett '66,  
Wilson '85, Bethe & Wilson '85]

**Magnetorotational  
Mechanism**

[LeBlanc & Wilson '70, Bisnovatyi-  
Kogan et al. '76, Meier et al. '76,  
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**Acoustic  
Mechanism**

[proposed by Burrows et al. '06, '07;  
not yet confirmed by other groups/codes]

**Magneto-Viscous  
Mechanism**

[Akiyama et al. '03,  
Thompson et al. '05]

**Phase-Transition-  
Induced Mechanism**

[Migdal et al. '71,  
Sagert et al. '09]

# Core-Collapse Supernova Simulations of the Princeton

(Jerusalem/Caltech/Marseille/Seattle)

**Group with**

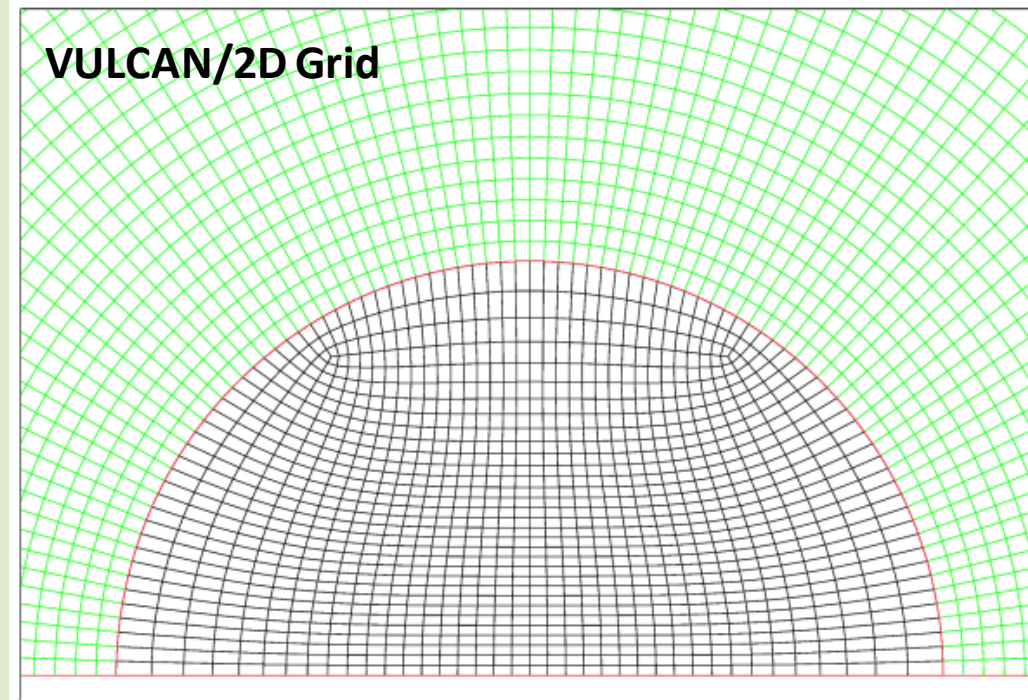
**VULCAN/2D**

Adam Burrows (Princeton), Luc Dessart (Marseille), Eli Livne (Hebrew U),  
Jeremiah Murphy (U Washington), Christian D. Ott (Caltech)

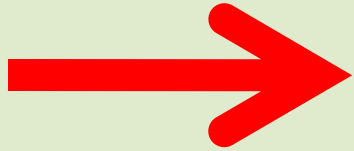
# The **VULCAN/2D** Code

[Livne 1993, Livne et al. 2004, Livne et al. 2007, Burrows et al. 2007, Ott et al. 2008]

- **Axisymmetric Newtonian Magnetohydrodynamics** with rotation (2.5D).
  - Unsplit 2<sup>nd</sup> order arbitrary Eulerian/Lagrangian (**ALE**) scheme.
  - Newtonian gravity, logically cylindrical coordinates, **arbitrary mesh**.
  - Radiation Transport:
    - **multi-group flux-lim. diff. & angle-dependent transport.**
    - Multiple energy groups,  $v_e$ ,  $\bar{v}_e$ , “ $v_\mu$ ” species.
    - Slow-motion approximation.
  - Multiple finite-temperature nuclear EOS options.
  - Efficient parallelization in neutrino species/energy groups.
- Typical run size: 48–96 cores.
- Typical problem sizes:  
50k zones x O(50) vars (MGFLD)  
x O(50) for angle-dep. transport.



# Core-Collapse Supernova Mechanisms



## Neutrino Mechanism

Introduced by:

[Colgate & White '66, Arnett '66, Wilson '85, Bethe & Wilson '85]

## Magnetorotational Mechanism

[LeBlanc & Wilson '70, Bisnovatyi-Kogan et al. '76, Meier et al. '76, Symbalisty '84]

## Acoustic Mechanism

[proposed by Burrows et al. '06, '07; not yet confirmed by other groups/codes]

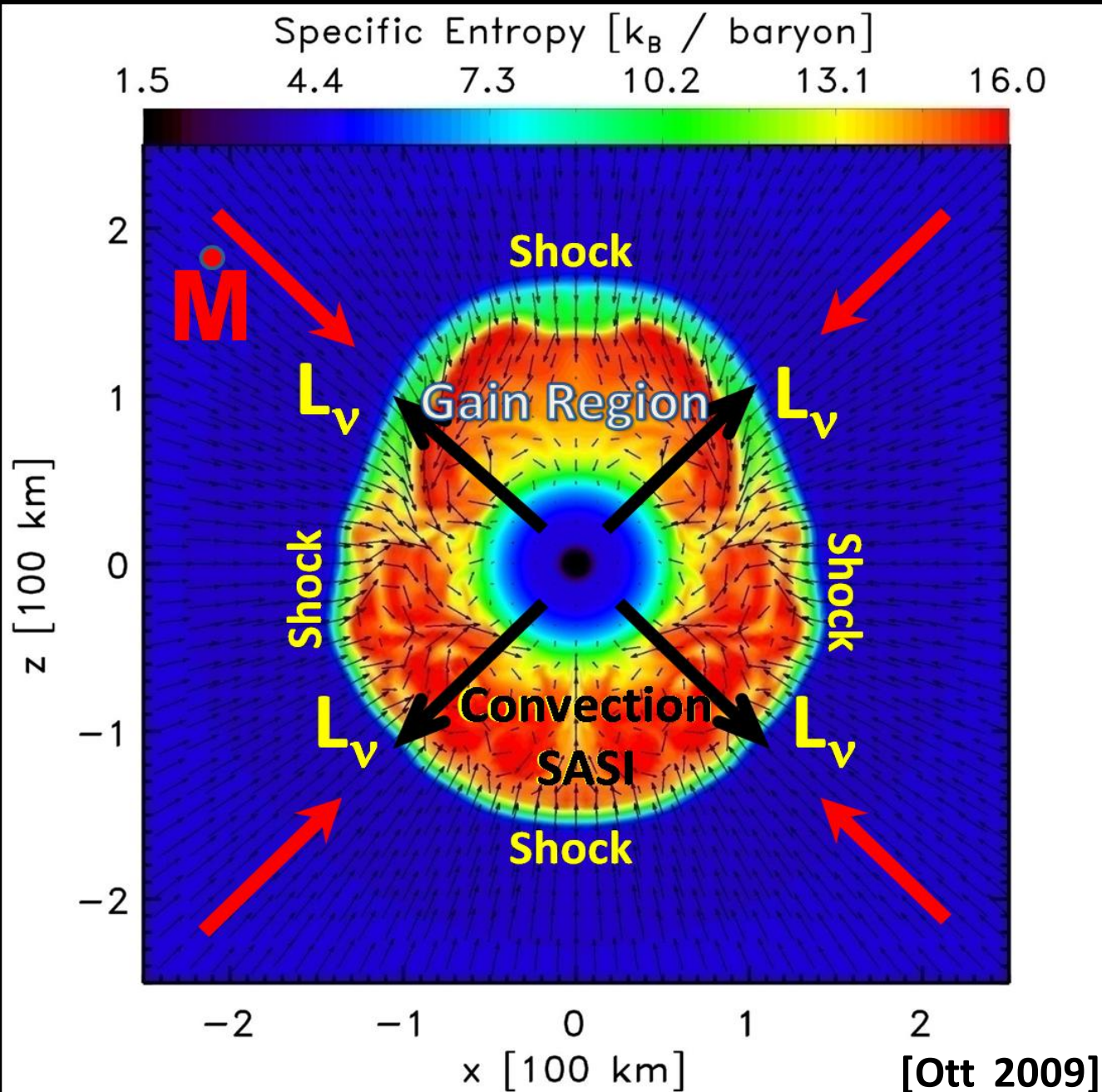
## Magneto-Viscous Mechanism

[Akiyama et al. '03, Thompson et al. '05]

## Phase-Transition-Induced Mechanism

[Migdal et al. '71, Sagert et al. '09]

# Neutrino Mechanism



# The Neutrino Mechanism

[Wilson 1985, Bethe & Wilson 1985; recent reviews: Kotake et al. 2006, Janka et al. 2007, Murphy & Burrows 2008]

- **Neutrino cooling:**  $Q_{\nu}^{-} \propto T^6$
- **Neutrino heating:**  $Q_{\nu}^{+} \propto L_{\nu} r^{-2} \langle \epsilon_{\nu}^2 \rangle$

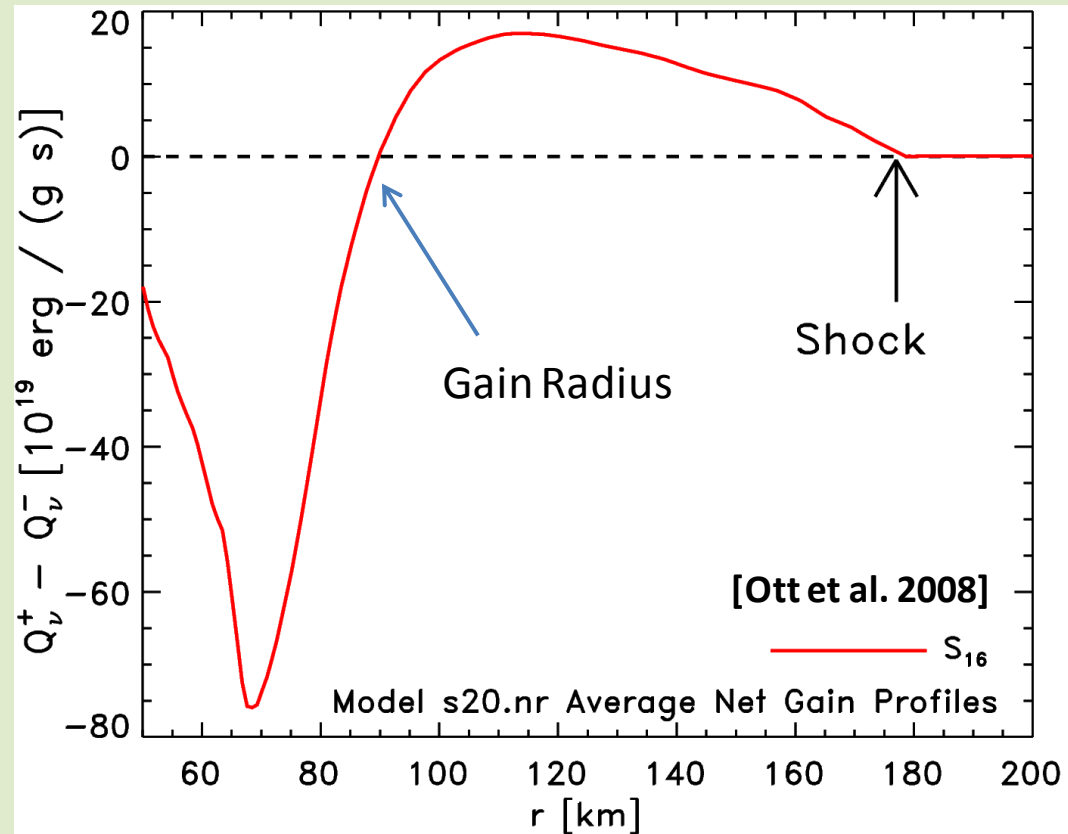
Net heating where:

$$Q_{\nu}^{+} > Q_{\nu}^{-}$$

- **Neutrino mechanism:**  
Based on subtle imbalance between neutrino **heating** and **cooling** in the postshock region.

**Problem:**

**Fails to explode massive stars in spherical symmetry.**



[Thompson et al. 2003, Rampp & Janka 2002, Liebendörfer et al. 2002, 2005]



# Status of the Neutrino Mechanism

- Works for low-mass massive stars in spherical symmetry (1D):  
O-Ne cores with ZAMS  $M \leq 9 M_{\text{SUN}}$ . [Kitaura et al. 2006, Burrows et al. 2007c, Fischer et al. '09]
- **Dessart et al. '06,'07**: **2D** works in the case of accretion-induced collapse (AIC) of White Dwarfs to Neutron Stars.
- **Marek & Janka 2009**: 2D + soft equation of state (EOS) + pseudo-general-relativistic (GR) potential + ray-by-ray neutrino transport.  
-> **late, weak explosion in 11.2 and 15  $M_{\text{SUN}}$  stars.**
- **Bruenn, Mezzacappa, Messer et al. 2009** (conf. proceedings):  
2D + soft EOS + pseudo-GR potential + ray-by-ray MGFLD neutrino transport. -> **early, strong explosions** (disagreement with Marek & Janka?)
- **Ott et al. 2008**: No neutrino-driven explosions in angle-dependent VULCAN/2D simulations (but: Shen EOS, Newtonian gravity).

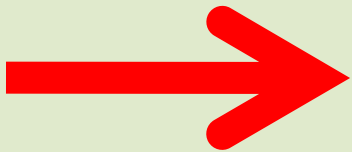


# Core-Collapse Supernova Mechanisms

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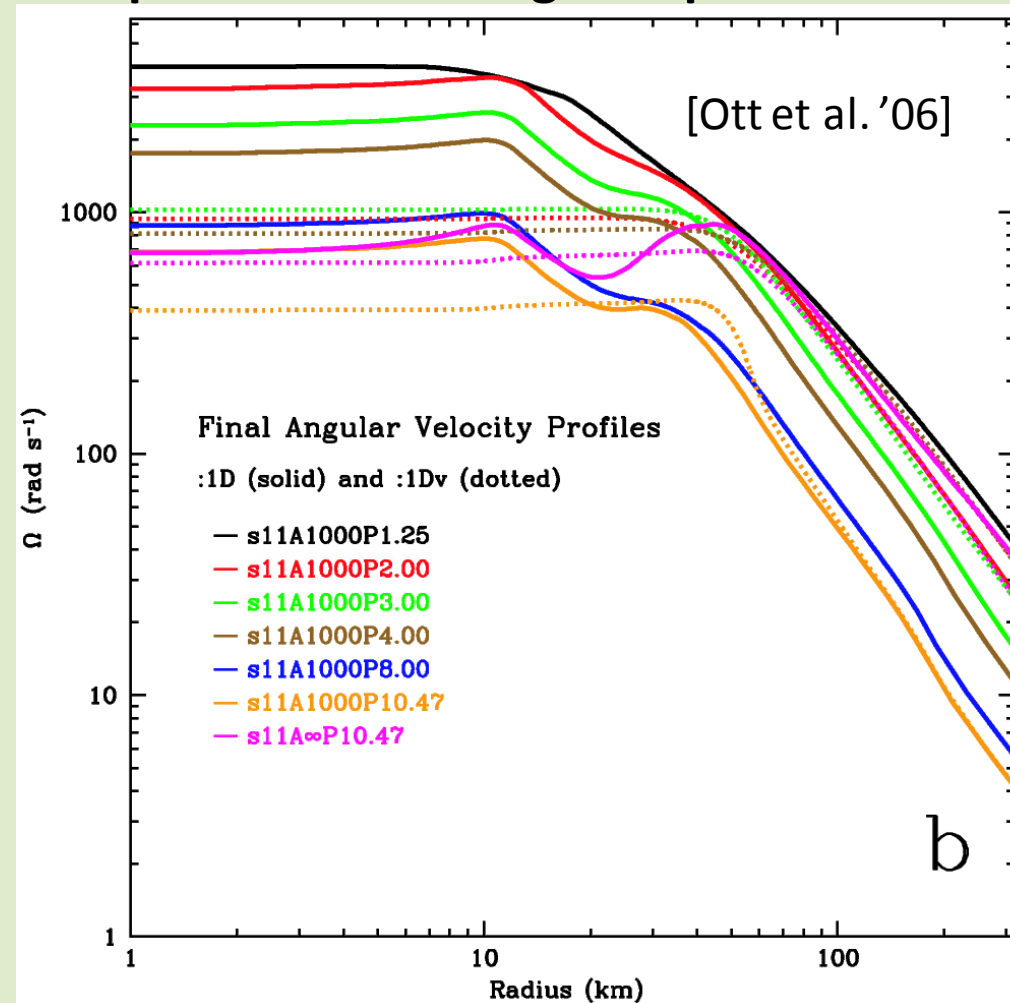
[Akiyama et al. '03,  
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**Phase-Transition-  
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[Migdal et al. '71,  
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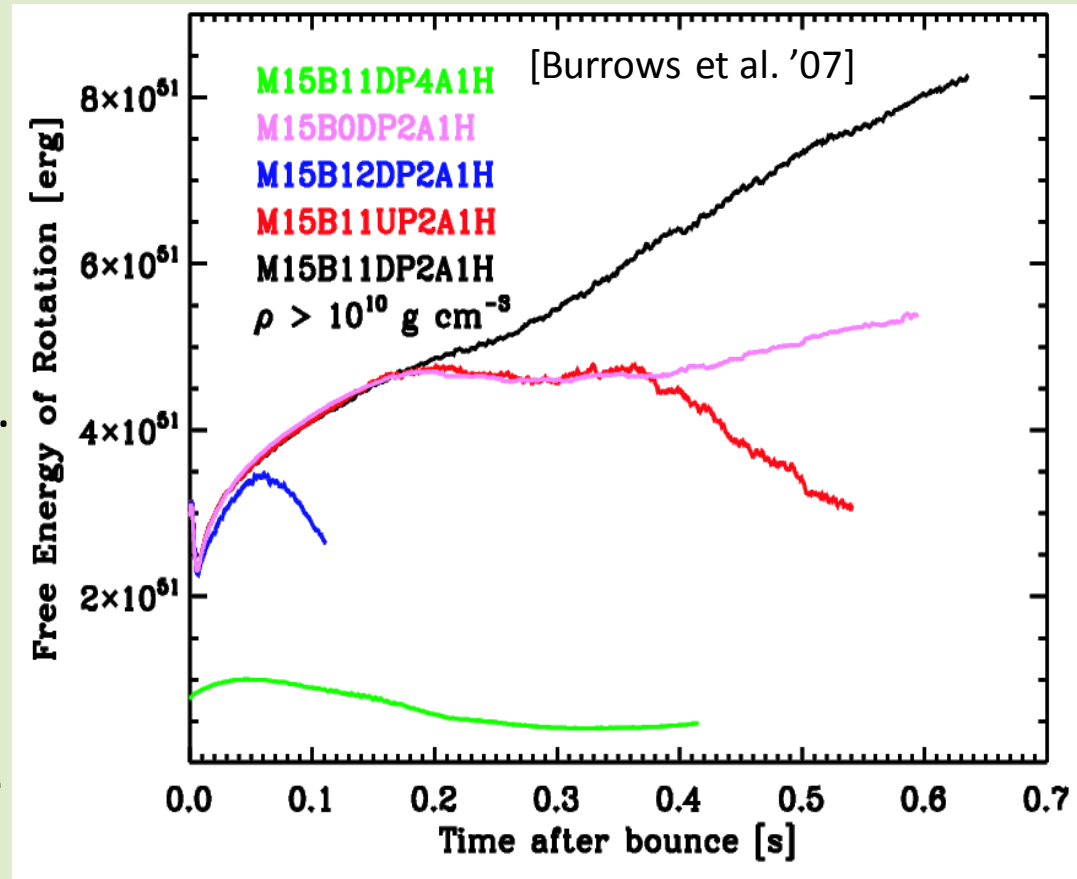
# Free Energy of Differential Rotation

- Lowest energy state of rotating body at fixed angular momentum is solid body rotation.
- Differential rotation is a natural consequence of rotating collapse.



# Free Energy of Differential Rotation

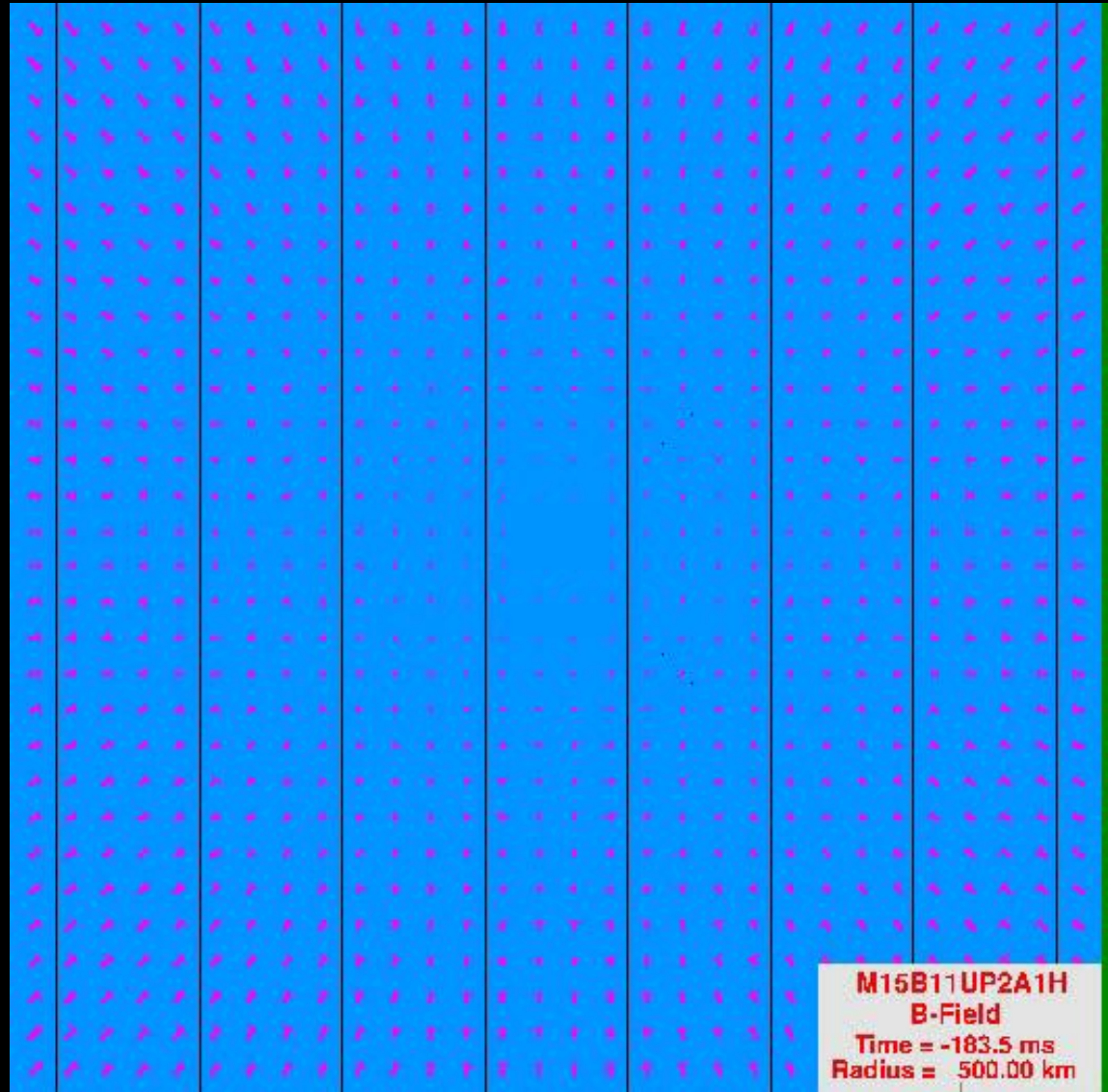
- Lowest energy state of rotating body at fixed angular momentum is solid body rotation.
- Differential rotation is a natural consequence of rotating collapse.
- -> “free energy” of differential rotation can be tapped by process(es) operating on rotational shear  $d\Omega/dr$ .
  - $\Omega$ -dynamo (winding)
  - Magnetorotational Instability (MRI)
- Precollapse central iron-core periods  $< 4$  s needed to supply  $> 1$  B explosion energy.
- **Caveat:** Vast majority of massive stars probably slow rotators with  $P_{c,0} > 10$  s [Ott et al. '06, Heger et al. '05].



# MHD-driven Explosions

[e.g., Burrows et al. 2007, Dessart et al. 2008, Shibata et al. 2006, Kotake et al. 2004, Yamada & Sawai 2004]

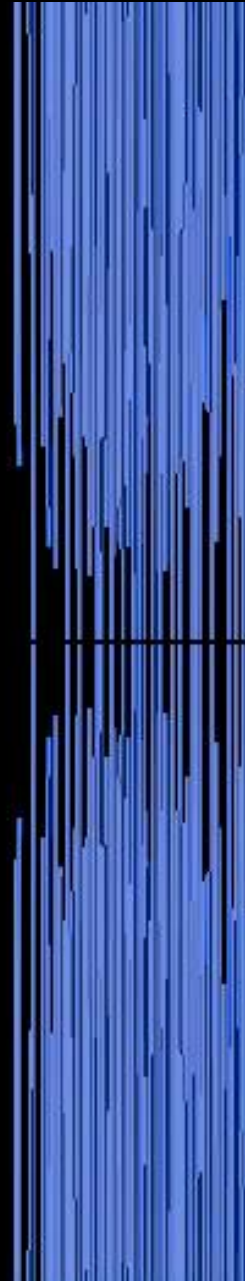
- **Rapid rotation:**  
 $P_0 < 4-6 \text{ s} \rightarrow$  millisecond PNS
- PNS rotational energy:  
 $\sim 10 B = 10^{52} \text{ erg}$
- Amplification of B fields up to equipartition:
  - compression
  - dynamos
  - magneto-rotational instability (MRI)
- **BUT:** MRI not resolved.  
Ansatz: Start with large progenitor field to get final field as if MRI worked.
- Jet-driven outflows.
- MHD-driven explosion may be GRB precursor.



VULCAN 2D R-MHD code, Livne et al. 2007, Burrows et al. 2007.

**Newtonian  
Radiation-MHD  
Simulations with  
VULCAN/2D**

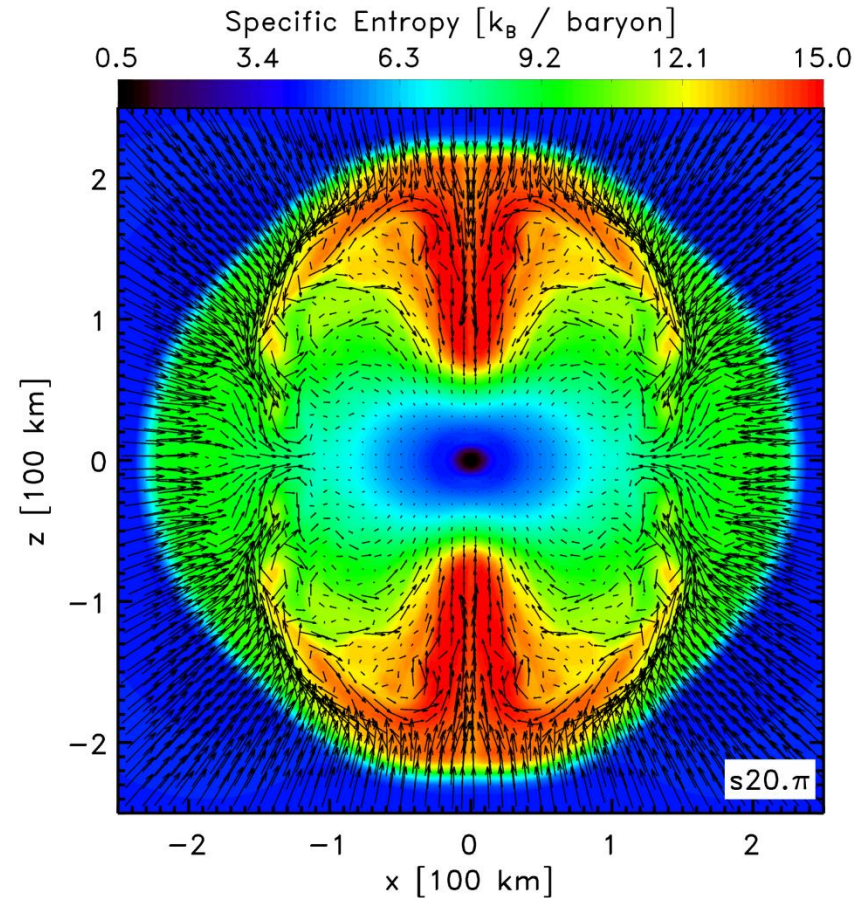
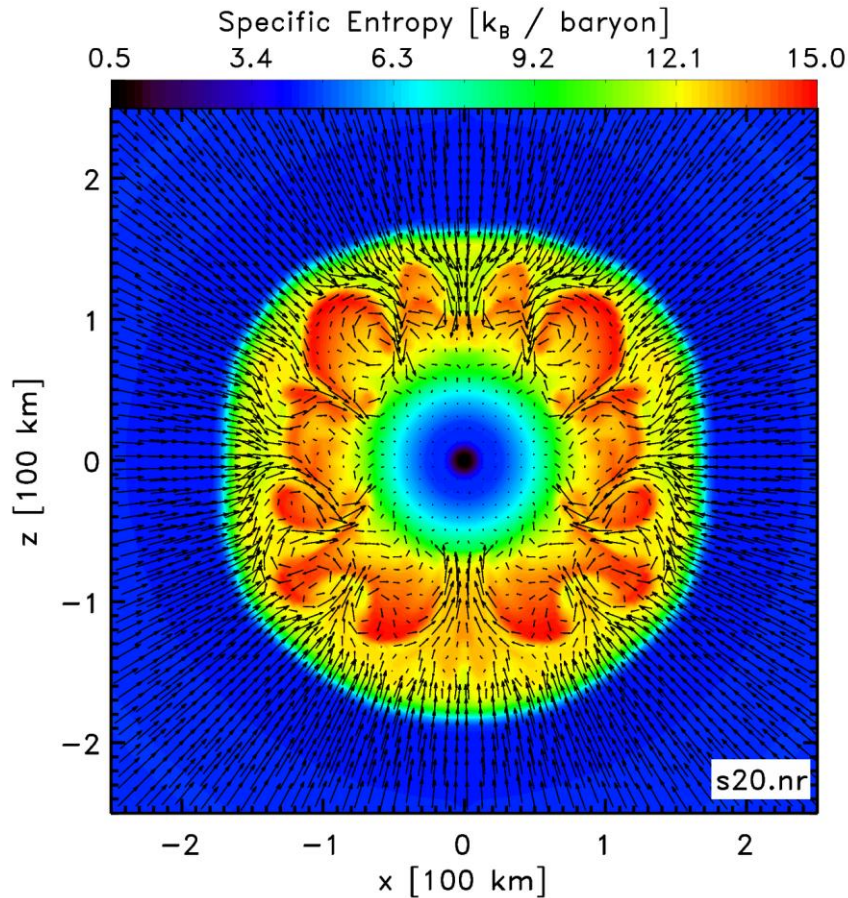
**Magnetic field lines in  
M15B11UP2A1H of  
Burrows, Dessart,  
Livne, Ott, Murphy '07.**



ismod2p\_r04k  
B-Field  
Time = -178.5 ms  
Radius = 100.00 km



# Another Twist: Rotation & Convection



$$N^2 + \frac{1}{r^3} \frac{d}{dr} j^2 < 0$$

$$j = \Omega r^2$$

$$N^2 = \frac{g}{p\gamma} \left( \left. \frac{\partial p}{\partial s} \right|_{\rho, Y_l} \frac{ds}{dr} + \left. \frac{\partial p}{\partial Y_l} \right|_{\rho, s} \frac{dY_l}{dr} \right)$$

# Core-Collapse Supernova Mechanisms

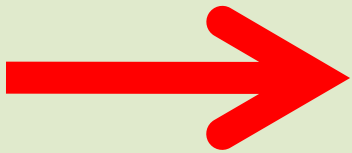
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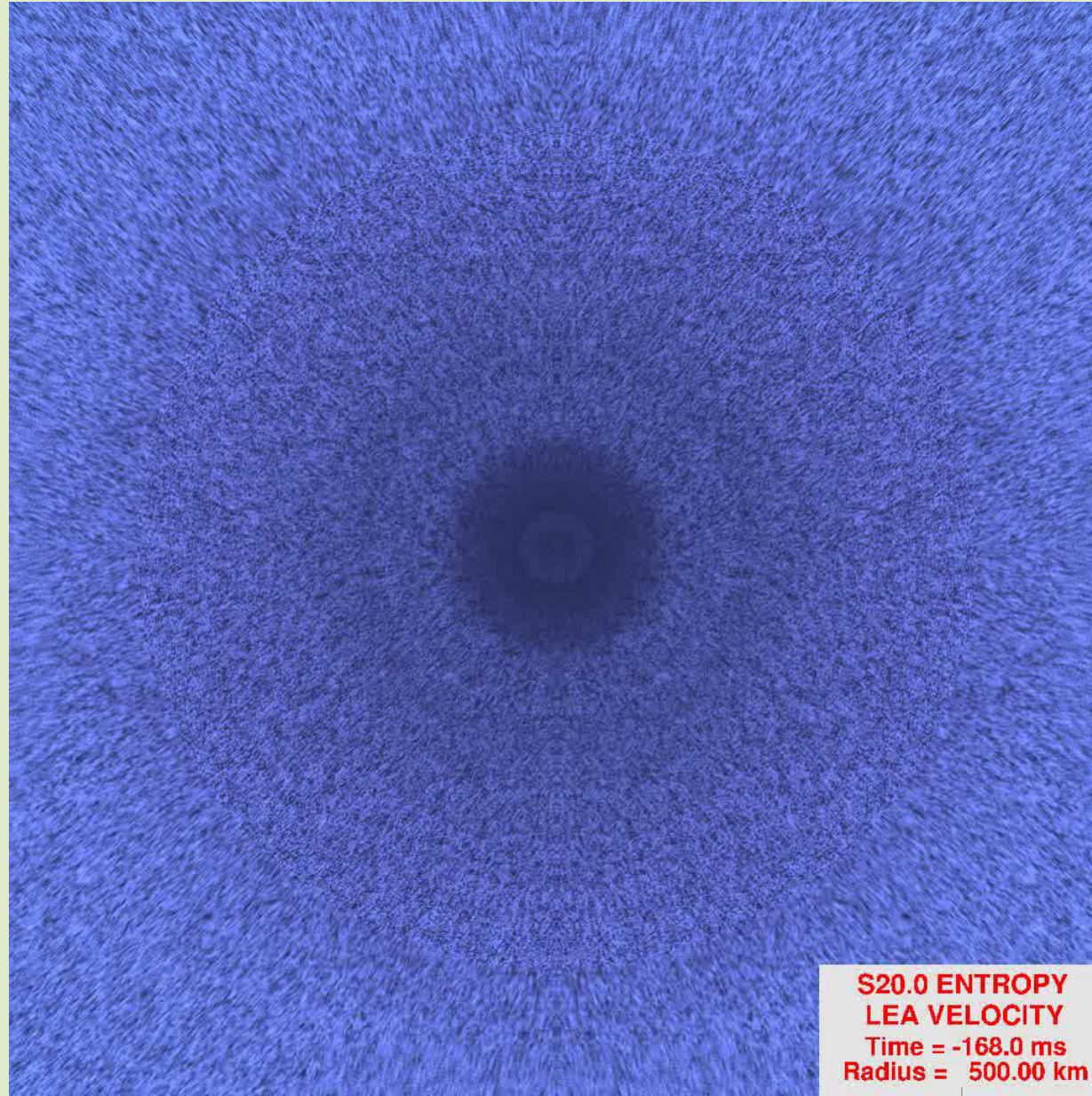
**Phase-Transition-  
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[Migdal et al. '71,  
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# Standing Accretion Shock Instability

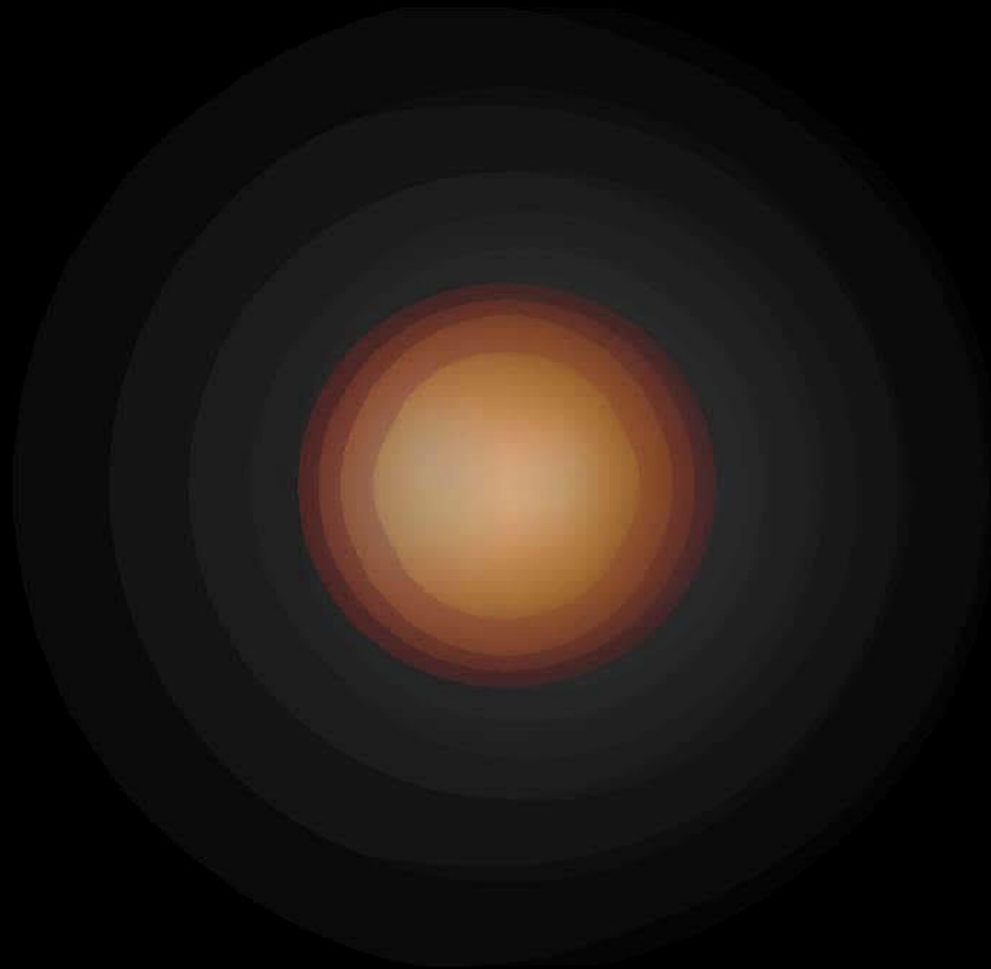
[e.g., Blondin et al. 2003,2006; Foglizzo et al. 2006, Scheck et al. 2006, 2007, Burrows et al. 2006, 2007 ]



Advective-acoustic cycle  
drives shock instability.

**Seen in simulations by  
all groups!**





Time = -0.50 ms

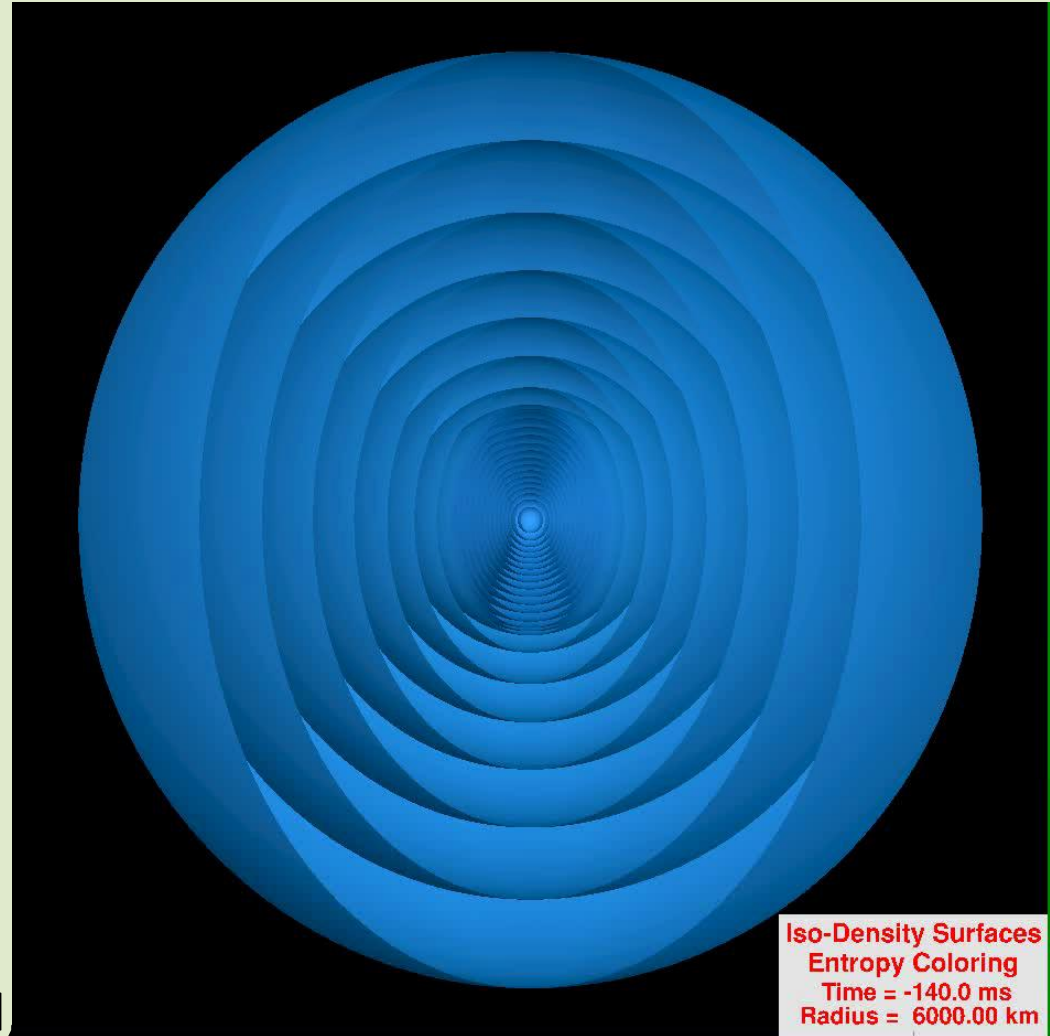
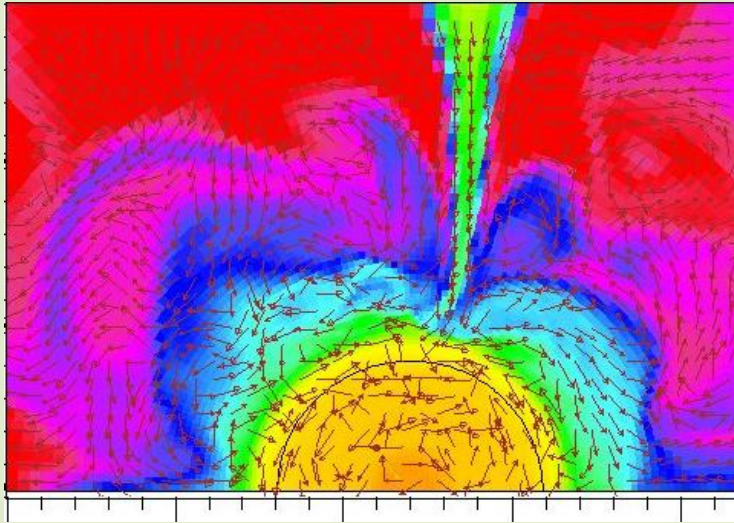
Width = 50.00 km

# Acoustic Mechanism

C. D. Ott @ JIGSAW 2010, TIFR,  
Mumbai, 2010/02/23

[Burrows, Livne, Dessart, Ott, Murphy 2006, 2007b/c, Ott et al. 2006]

- SASI-modulated supersonic **accretion streams** and SASI generated **turbulence** excite lowest-order ( $l=1$ ) g-mode in the PNS.  $f \approx 300$  Hz.

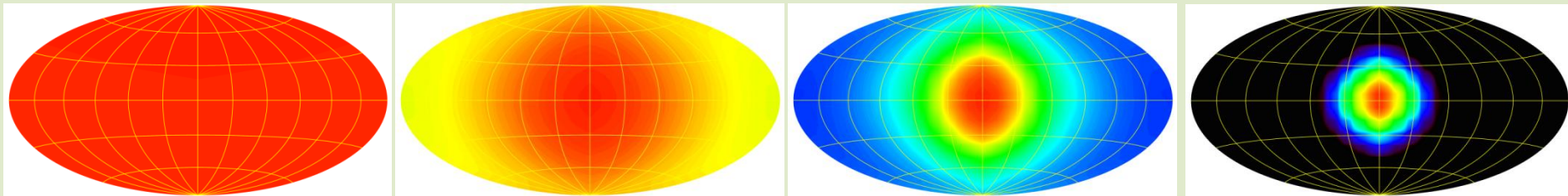


- g-modes reach large amplitudes  $\sim 500$  ms — 1 s after bounce.
- Damping by strong **sound waves** that **steepen into shocks**; **deposit energy in the stalled shock**.
- $\sim 1$  B explosions at late times.
- (1) hard to simulate; unconfirmed
- (2) possible parametric instability, limiting mode amplitudes. [Weinberg & Quatert'08]

# **2D Angle-Dependent Neutrino Radiation-Hydrodynamics Core-Collapse Supernova Simulations**

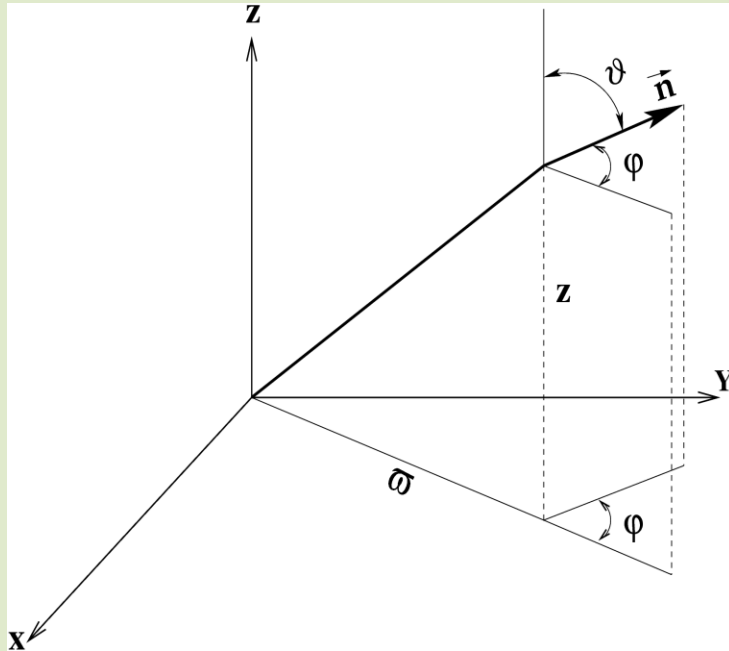
Ott et al. 2008, ApJ

with Adam Burrows (Princeton), Luc Dessart (Marseille),  
and Eli Livne (Hebrew University, Jerusalem)



# The First 2D Angle-Dependent Neutrino-RHD Simulations

[Livne et al. 2004, Ott et al. 2008, Ott et al. 2009]



- Method of short-characteristics  $S_n$   
[e.g. Castor 2004]

- Evolution of the specific intensity.

$$\frac{1}{c} \frac{\partial I(\vec{r}, \vec{n}, \epsilon_\nu)}{\partial t} + \vec{n} \cdot \vec{\nabla} I(\vec{r}, \vec{n}, \epsilon_\nu) = \Xi[I(\vec{r}, \vec{n}, \epsilon_\nu), \rho, T, Y_e]$$

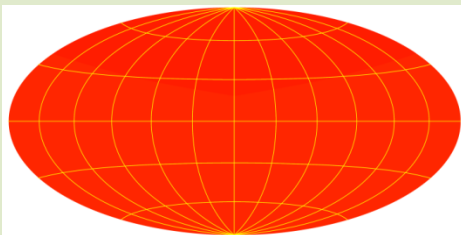
$$J = \frac{1}{4\pi} \oint I d\Omega$$

$$\vec{H} = \frac{1}{4\pi} \oint \vec{n} I d\Omega$$

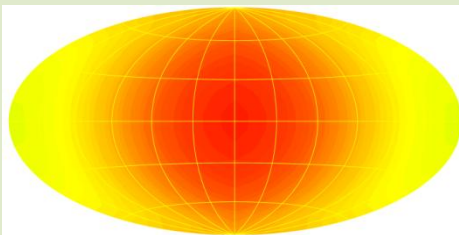
$$\mathbf{K} = \frac{1}{4\pi} \oint \vec{n} \cdot \vec{n} I d\Omega$$

- 5D: 2D spatial,  
3D ( $\epsilon, \theta, \phi$ ) momentum space.

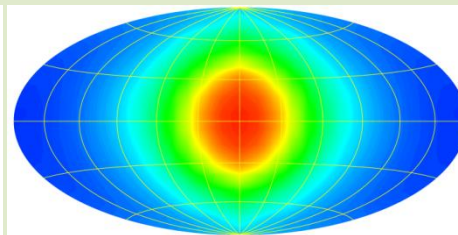
- At high optical depths: matching to diffusion approximation.
- Comparison with multi-group flux-limited diffusion approximation.



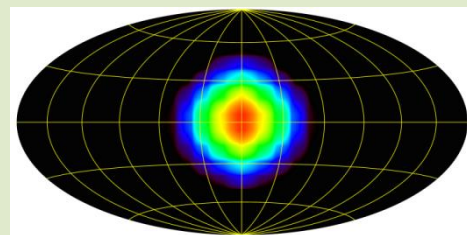
30 km



60 km



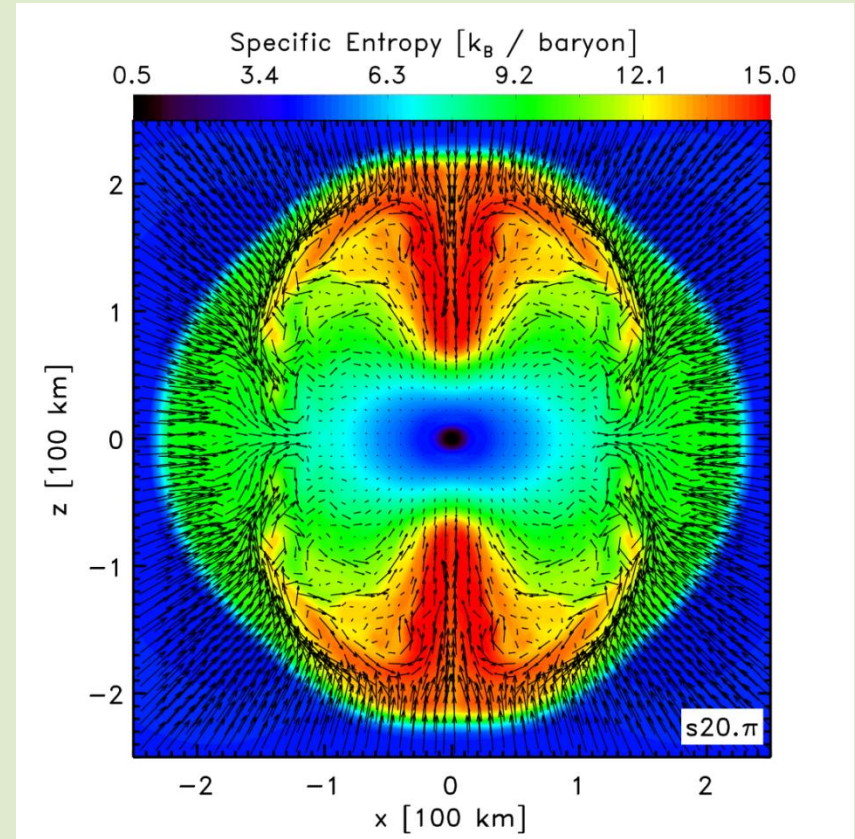
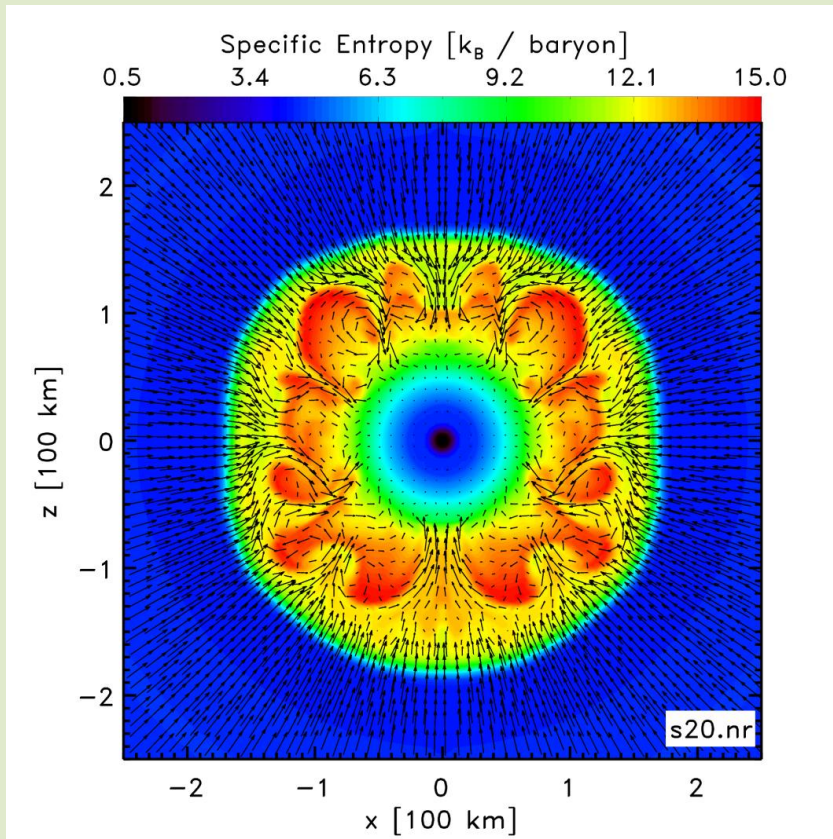
120 km



240 km

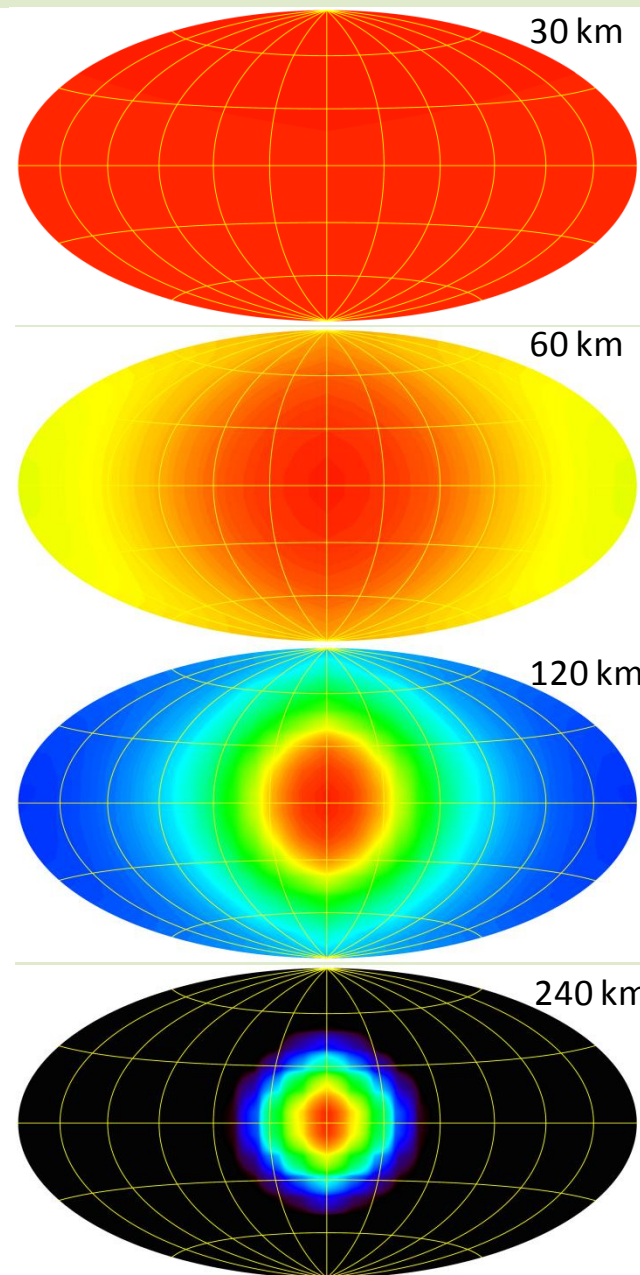
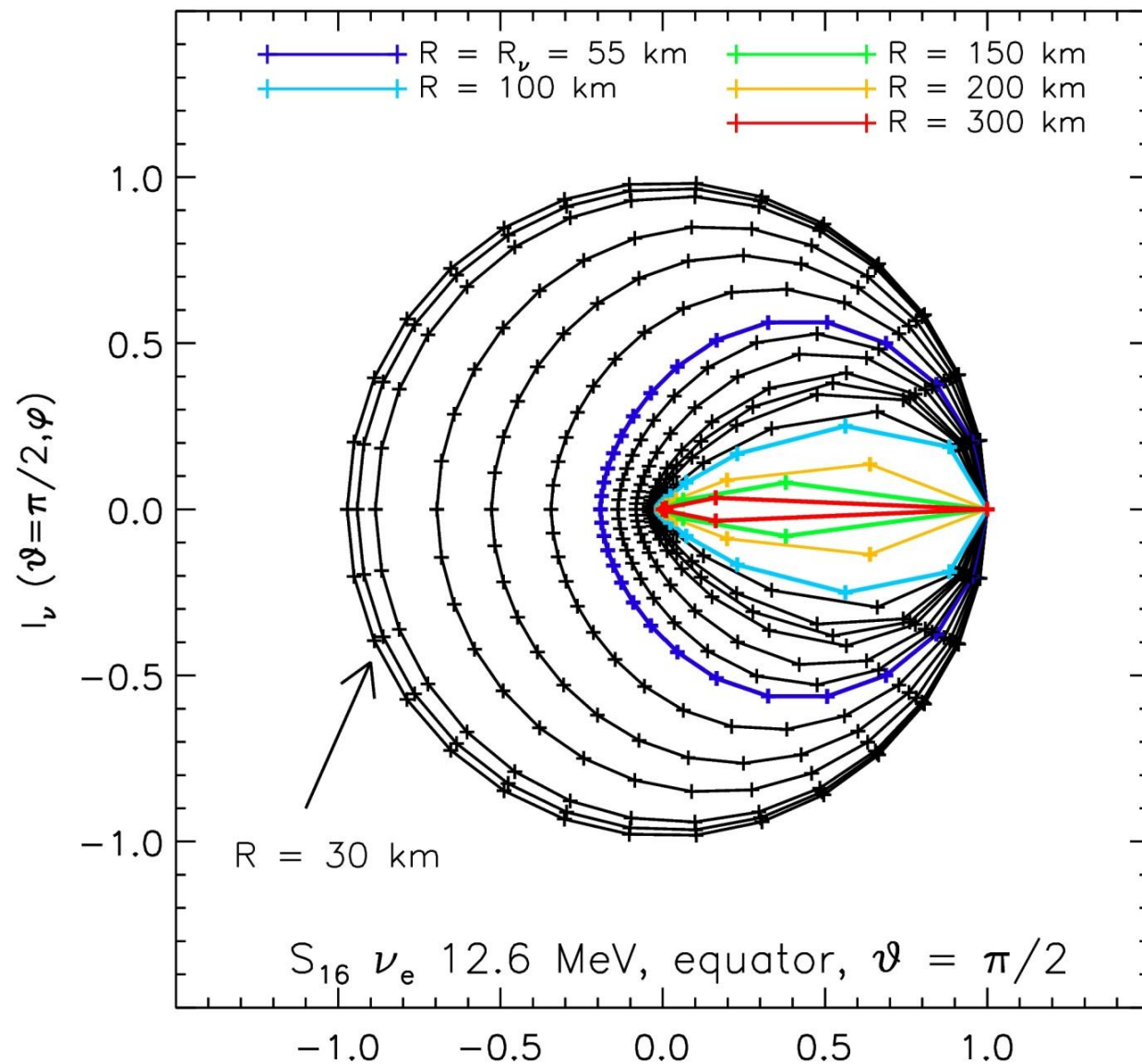


# Postbounce SN Models:



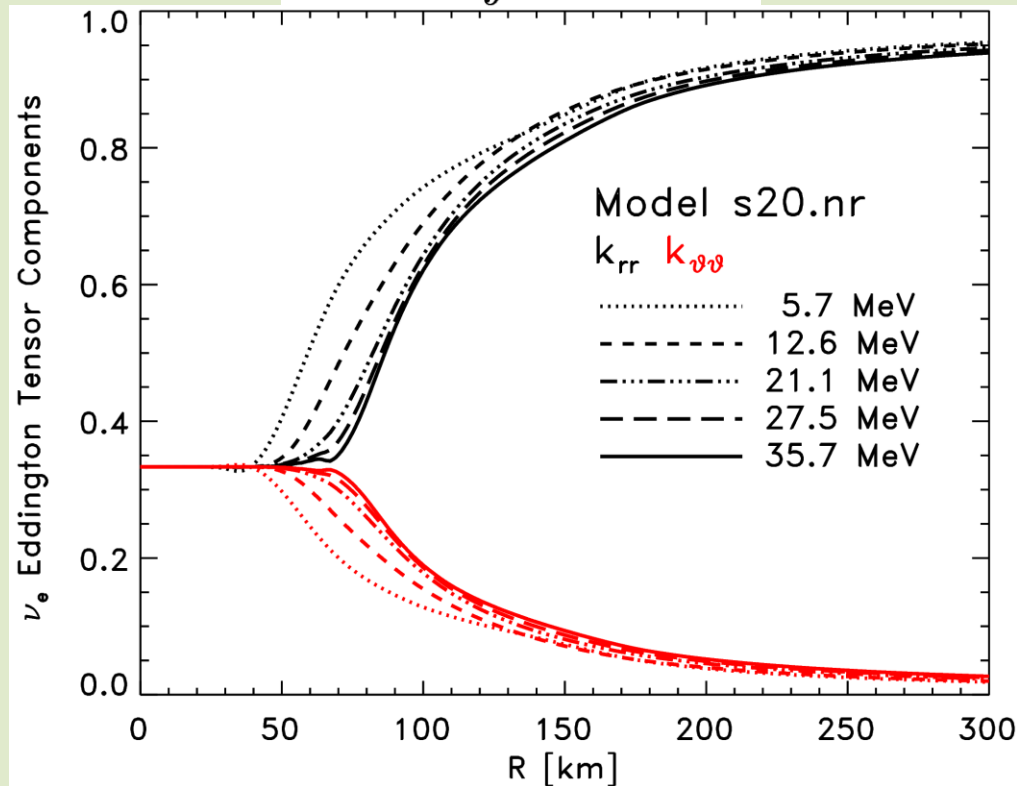
- 20-solar mass star of Woosley, Heger & Weaver 2002. Shen EOS.
- Nonrotating (s20.nr) and rotating model (s20.π, precollapse central  $P_0 = 2$  s,  $\Omega_0 = \pi$  rad/s).
- Evolved to 160 ms postbounce with MGFLD, then stationary-state  $S_n$  solution.
- Steady-State solutions with  $S_8, S_{12}, S_{16} \rightarrow 40, 92, 162$  total angular zones.
- Long-term ( $\sim 400$  ms) time-dependent calculations with  $S_8$ .

# The Radiation Field



# Eddington Tensor Components

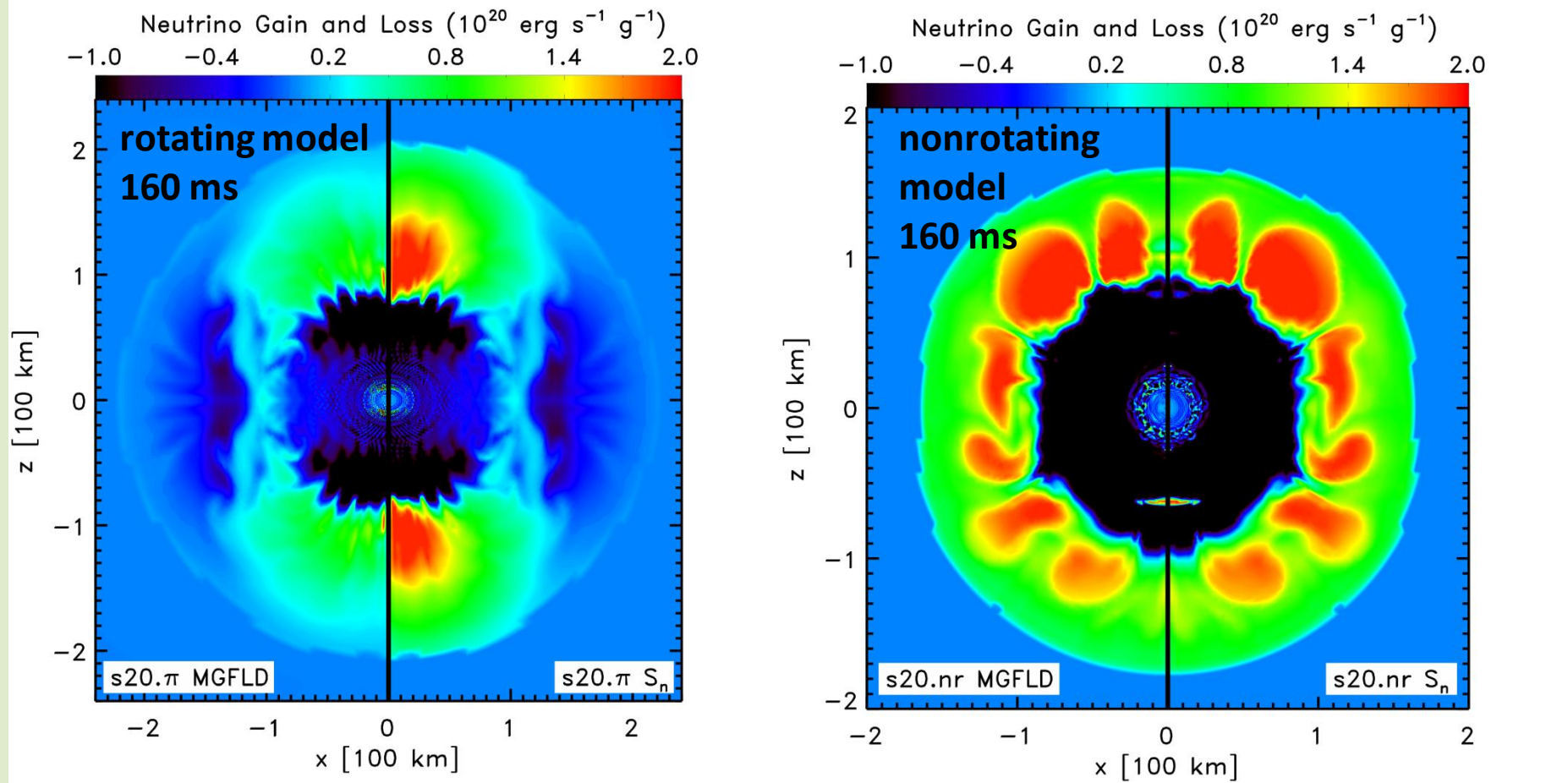
$$\mathbf{K} = \frac{1}{4\pi} \oint \vec{n} \cdot \vec{n} I d\Omega$$



- In axisymmetry and without velocity dependence:  
4 independent components (3 diagonal, 1 off-diagonal).  
(note: 1D/Ray-by-Ray -> only one “Eddington factor”)
- Here: spherical coordinates; off-diagonal term  $K_{r\theta}$  small (<1%).



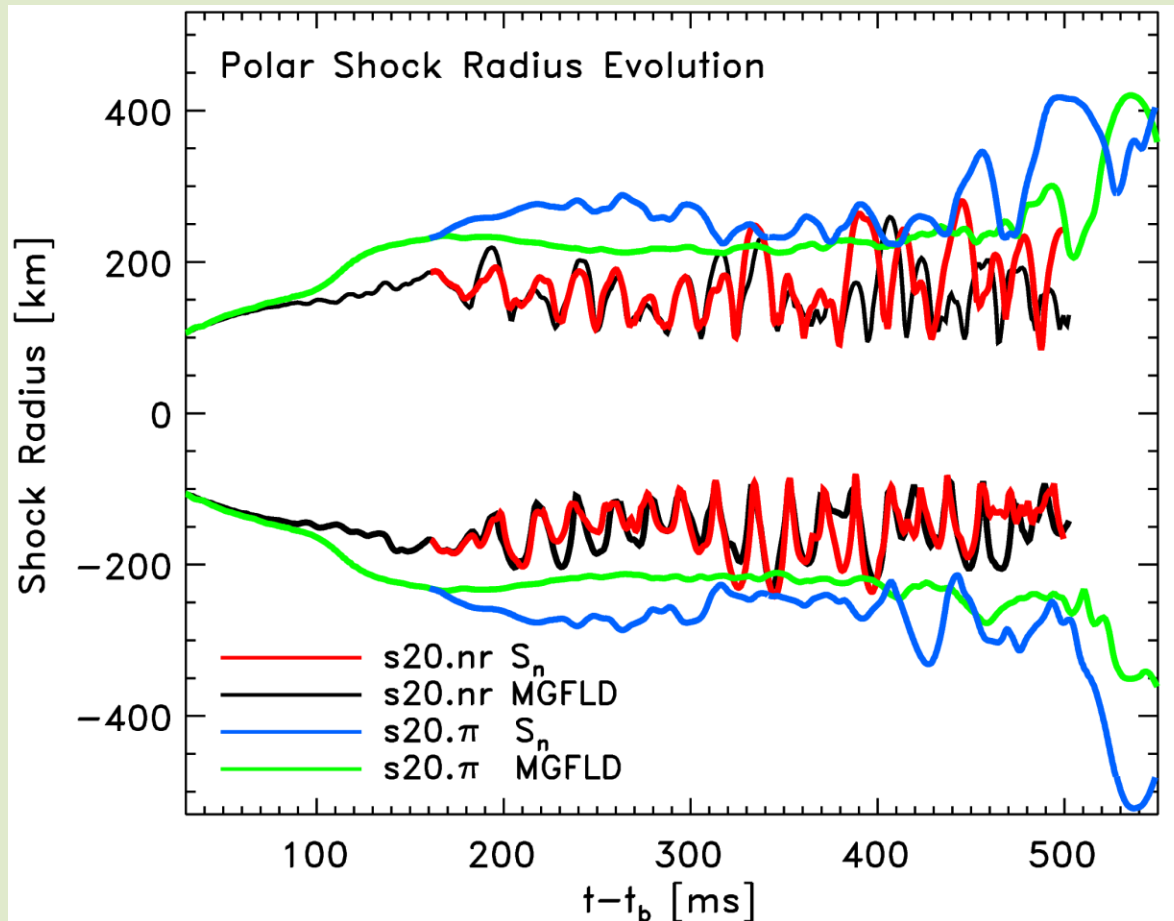
# Comparing $S_n$ with MGFLD [Ott et al. 2008]



- Improved, angle-dependent transport leads to greater heating (10-30%), larger shock radii / greater excursions.
- Model appears to “settle” at new quasi-equilibrium.
- **But: No signs of explosion.**

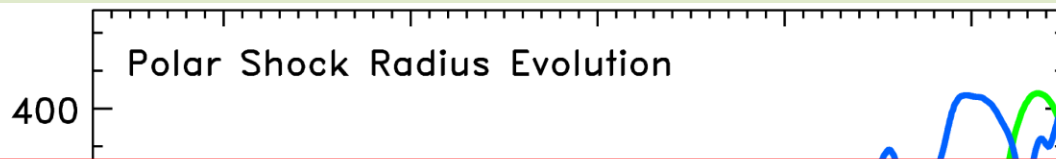


# Shock Radii



- $S_n$  leads to *somewhat* larger shock radii / greater excursions.
- Pronounced initial polar shock expansion in  $s20.\pi$ . Model appears to “settle” at new quasi-equilibrium.
- No sign of explosion.
- $s20.\pi$  develops SASI at late times, faster/stronger in  $S_n$  variant.

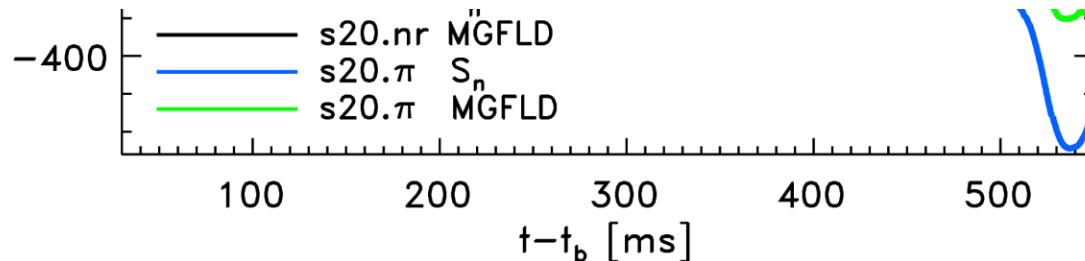
# Shock Radii



**Mazurek's Law:** [Ott et al. 2009, Jim Lattimer priv. comm.]

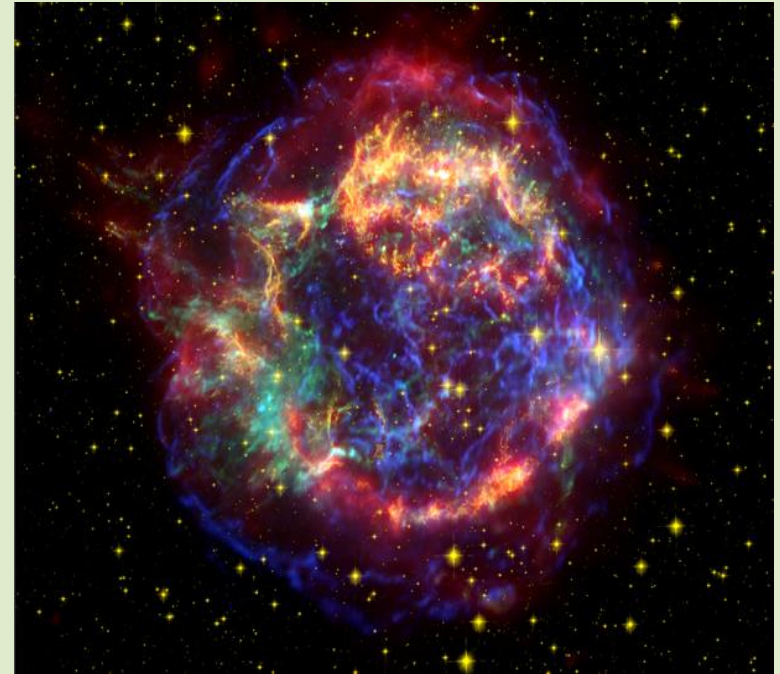
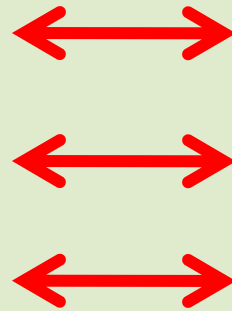
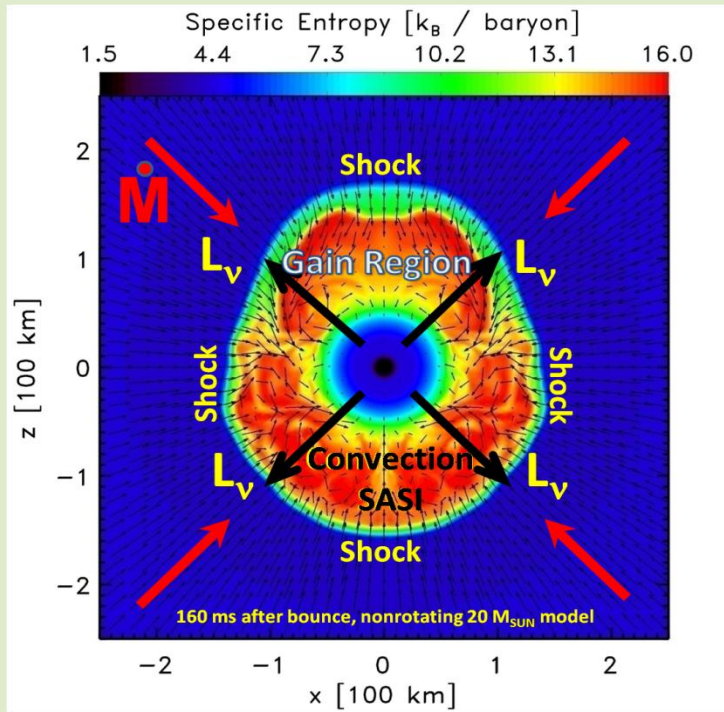
The result of this study is an example of *Mazurek's law*<sup>5</sup>. Applied to the present situation, it states that in the tightly-coupled CCSN phenomenon, even a rather significant ( $\gtrsim 10\% - 30\%$ ) change of the postbounce conditions, in this case, of the neutrino heating rate, is absorbed by the strong feedback between radiation, hydrodynamics, EOS, and gravity and no qualitative change results.

<sup>5</sup> Mazurek's law originated in the context of stellar collapse at Stony Brook University in the 1980's when Ted Mazurek was there. It is now used to generally refer to the strong feedback in a complicated astrophysical situation which dampens the effect of a change in any single parameter [100, 101]. (Jim Lattimer, priv. comm.)



- S<sub>n</sub> leads to *somewhat* larger shock radii / greater excursions.
- Pronounced initial polar shock expansion in s20.π.  
Model appears to “settle” at new quasi-equilibrium.
- No sign of explosion.
- s20.π develops SASI at late times, faster/stronger in S<sub>n</sub> variant.

# Observing the Explosion Mechanism

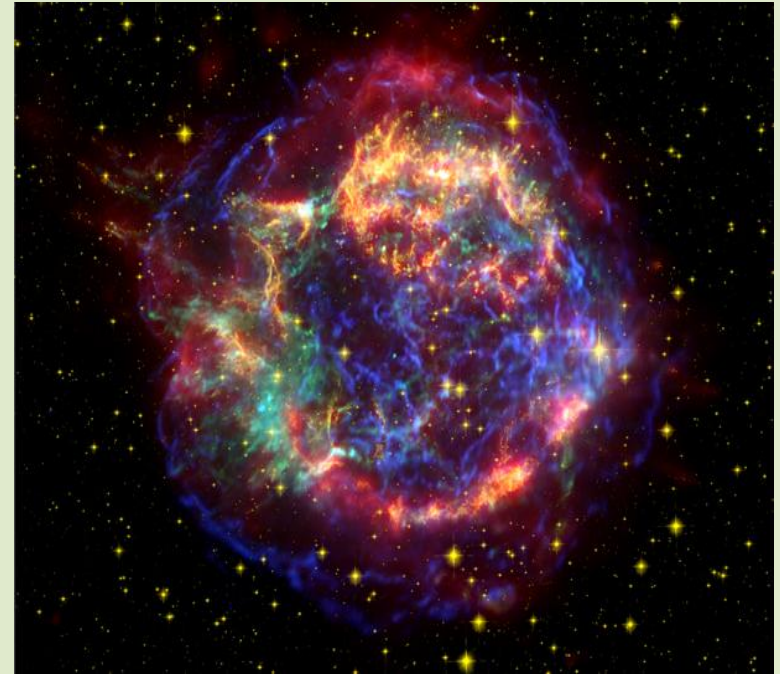
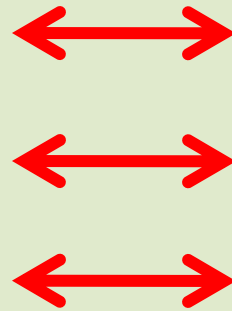
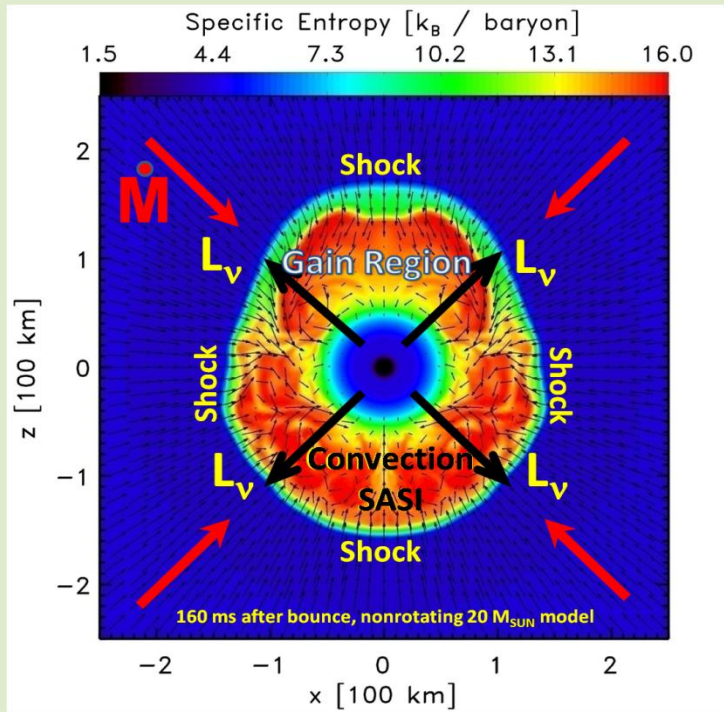


## Secondary Observables

### Classical Observational Astronomy:

- Explosion morphology, lightcurve, energy, chemical composition.
- Progenitor type / mass.
- Pulsar kicks.
- Neutron star mass.

# Observing the Explosion Mechanism



Chandra

## Neutrino and Gravitational Wave Astronomy

- Direct “live” information from the supernova engine.
- **Gravitational Waves:** Directly linked to the ubiquitous multi-D dynamics in the postshock region and in the PNS.

**Primary Observables**

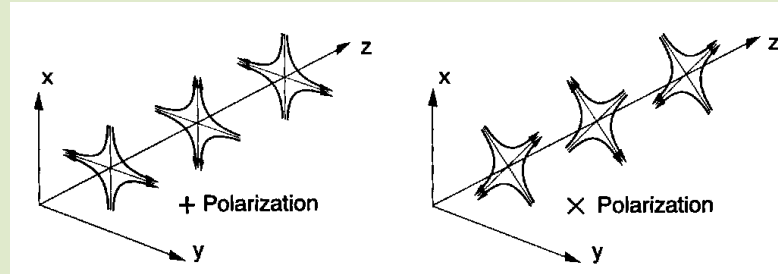


# Gravitational Waves

- Einstein equations in linear limit: Inhomogeneous wave equations  $\rightarrow$  Gravitational Waves.

- 2 polarizations:

$$\mathbf{h} = h_+ \mathbf{e}_+ + h_\times \mathbf{e}_-$$



- Emission:** GWs are of leading order quadrupole waves. Emitted by **accelerated aspherical bulk-mass motions**.

“Weak-field”, “slow-motion” limit:

- GWs are weak and couple weakly

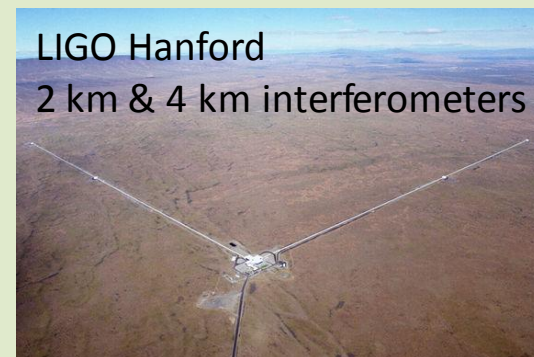
to matter. **Good:** Little absorption/scattering **Bad:** Very difficult to observe.

- Observation:**

Need to measure rel. displacements  $< 10^{-20}$ .

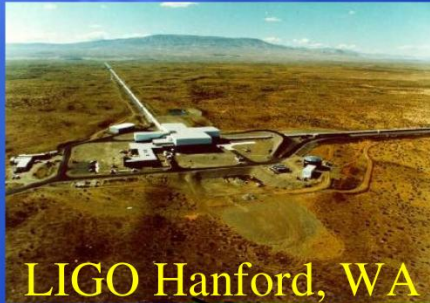
- Interferometers: LIGOs, LISA
- Resonant mass detectors.

$$h_{jk}^{TT}(t, \vec{x}) = \left[ \frac{2}{c^4} \frac{G}{|\vec{x}|} \ddot{I}_{jk}(t - \frac{|\vec{x}|}{c}) \right]^{TT}$$



# Gravitational Wave Astronomy

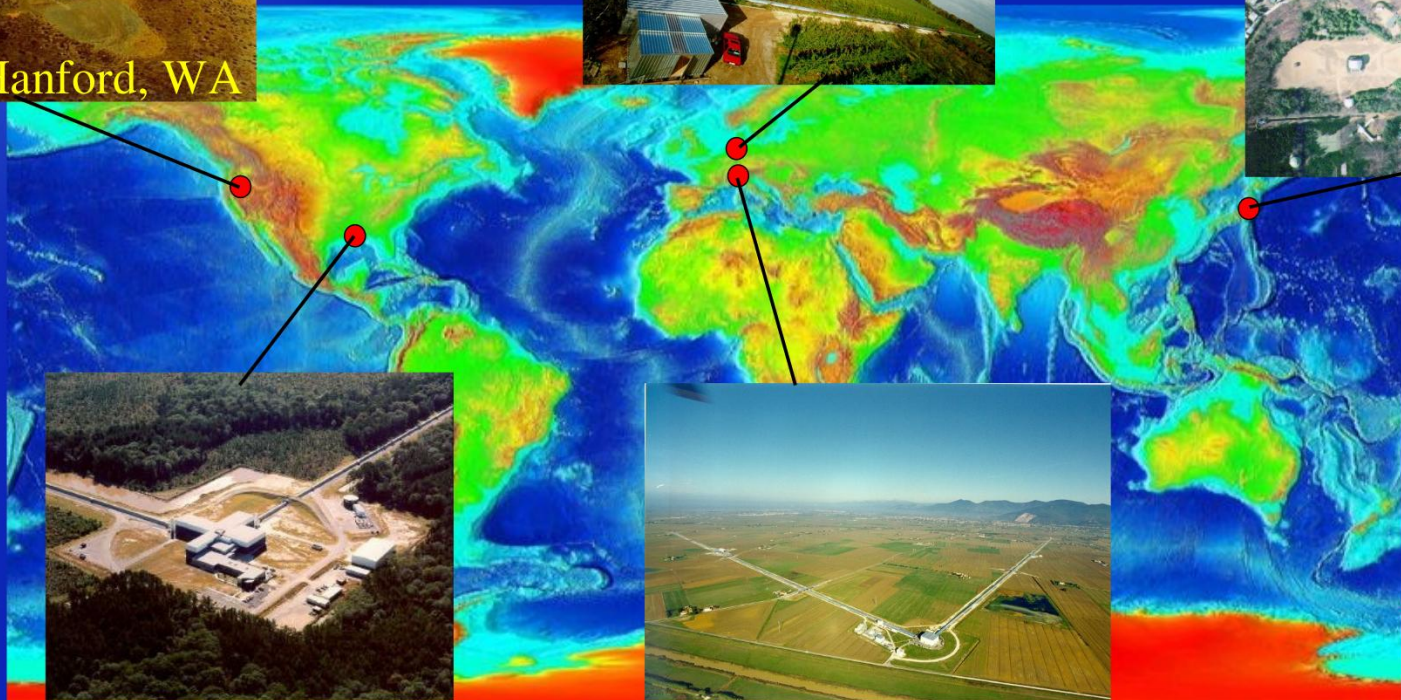
## International Network of LIGOs



GEO 600  
Germany



TAMA 300  
Japan



LIGO Livingston, LA

VIRGO, Italy

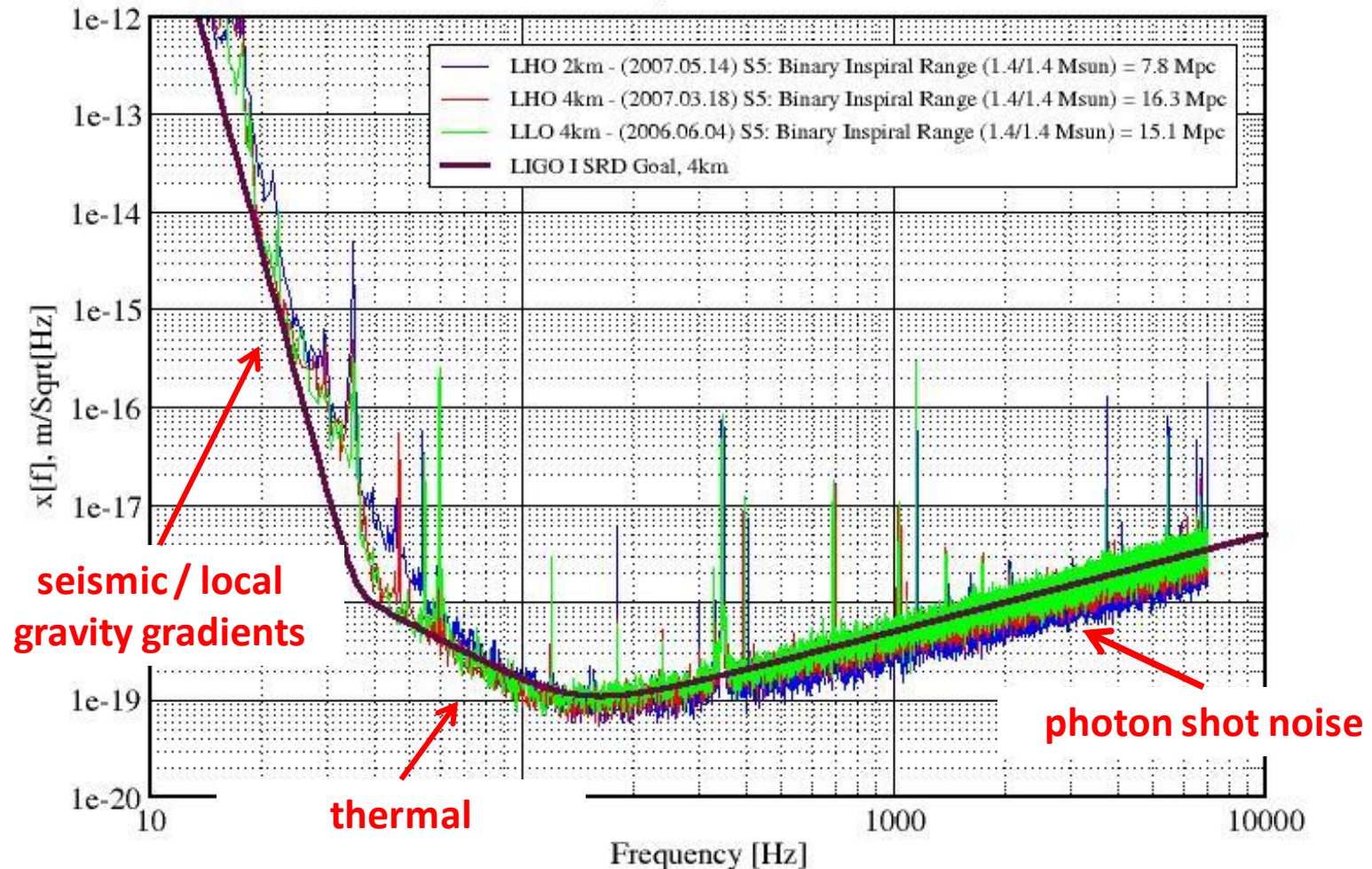
Currently taking data!



# Gravitational Wave Detection

## Displacement Sensitivity of the LIGO Interferometers

Performance for S5 - May 2007 LIGO-G070367-00-E



-> Enhanced versions of LIGO and VIRGO currently taking data!

# **Gravitational-Wave Signatures of Core-Collapse Supernova Mechanisms**

[Ott '08, '09, Classical & Quantum Gravity]

**Still to be addressed / work in progress:**  
**Neutrino Signatures of**  
**Core-Collapse Supernova Mechanisms**



# **Blowing up Massive Stars:**

## Core-Collapse SN Mechanisms

**Neutrino  
Mechanism**

**Magnetorotational  
Mechanism**

**Acoustic  
Mechanism**

# **Blowing up Massive Stars:**

## Core-Collapse SN Mechanisms

**Dominant Multi-D Dynamics and  
GW Emission Processes**

**Neutrino  
Mechanism**

**Magnetorotational  
Mechanism**

**Acoustic  
Mechanism**

# Blowing up Massive Stars: Core-Collapse SN Mechanisms

**Dominant Multi-D Dynamics and  
GW Emission Processes**

**Neutrino  
Mechanism**



Convection and SASI.

**Magnetorotational  
Mechanism**

**Acoustic  
Mechanism**

# Blowing up Massive Stars: Core-Collapse SN Mechanisms

## Dominant Multi-D Dynamics and GW Emission Processes

**Neutrino  
Mechanism**



Convection and SASI.

**Magnetorotational  
Mechanism**



Rotating core collapse & bounce,  
PNS rotational instabilities.

**Acoustic  
Mechanism**

# Blowing up Massive Stars: Core-Collapse SN Mechanisms

## Dominant Multi-D Dynamics and GW Emission Processes

**Neutrino  
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Rotating core collapse & bounce,  
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**Acoustic  
Mechanism**



PNS pulsations.

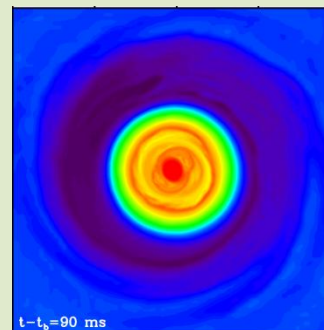
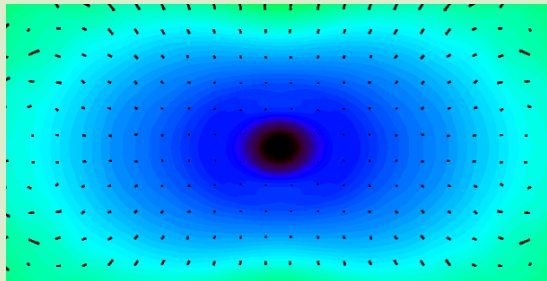
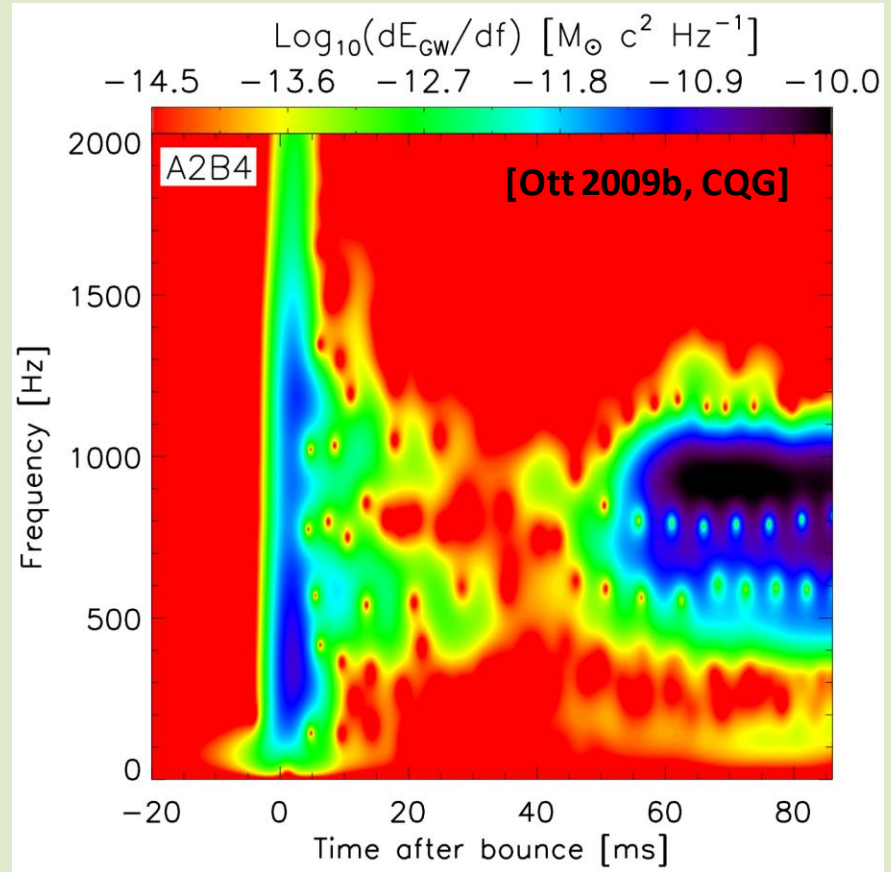
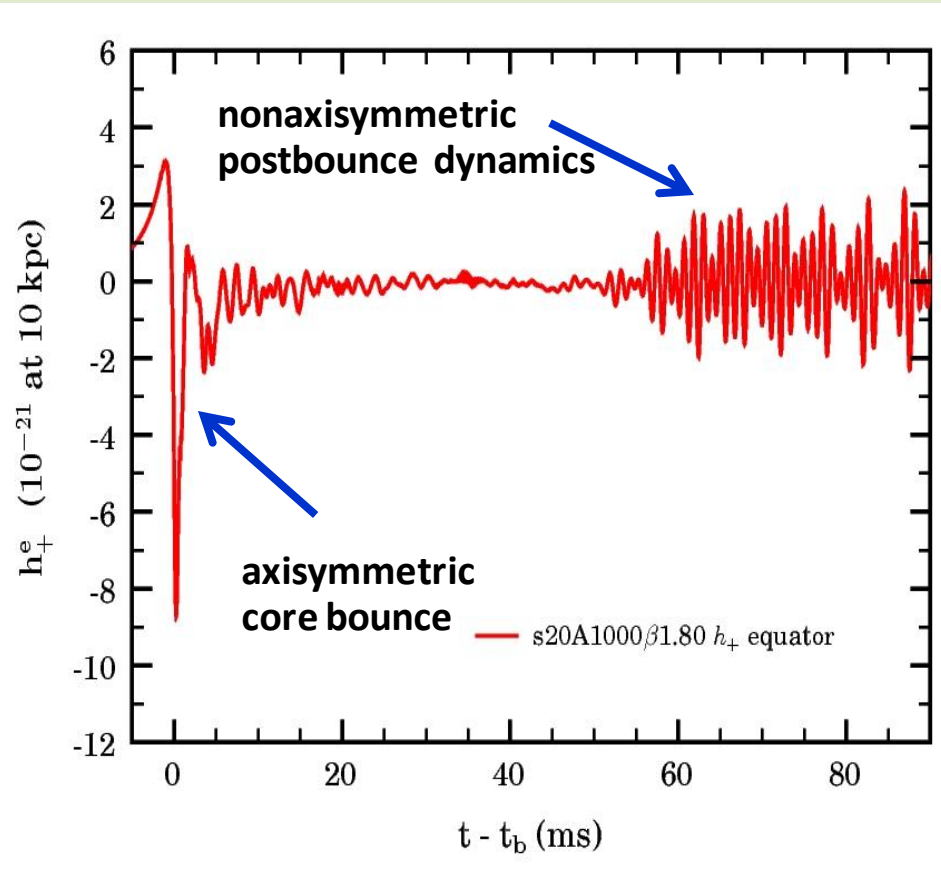


# Rapidly Rotating Stellar Collapse in 3+1 GR



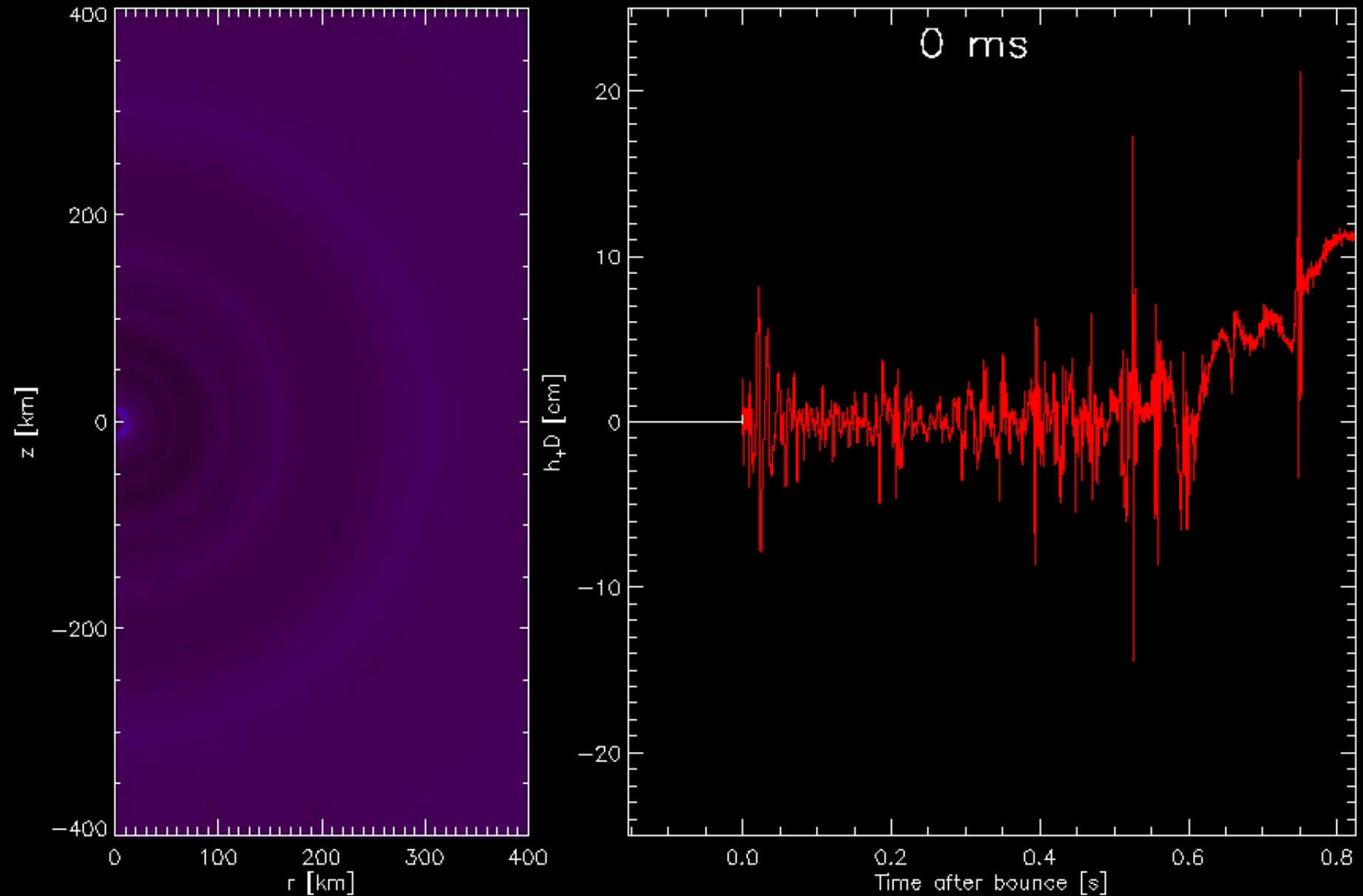
3D GR simulation Ott et al., rendition by R. Kähler, Zuse Institute, Berlin

# GWs from Rotating Collapse & Rotational Instability



See:  
 Ott et al. '07,  
 Ott '09a,b  
 Scheidegger et al. '08, '09

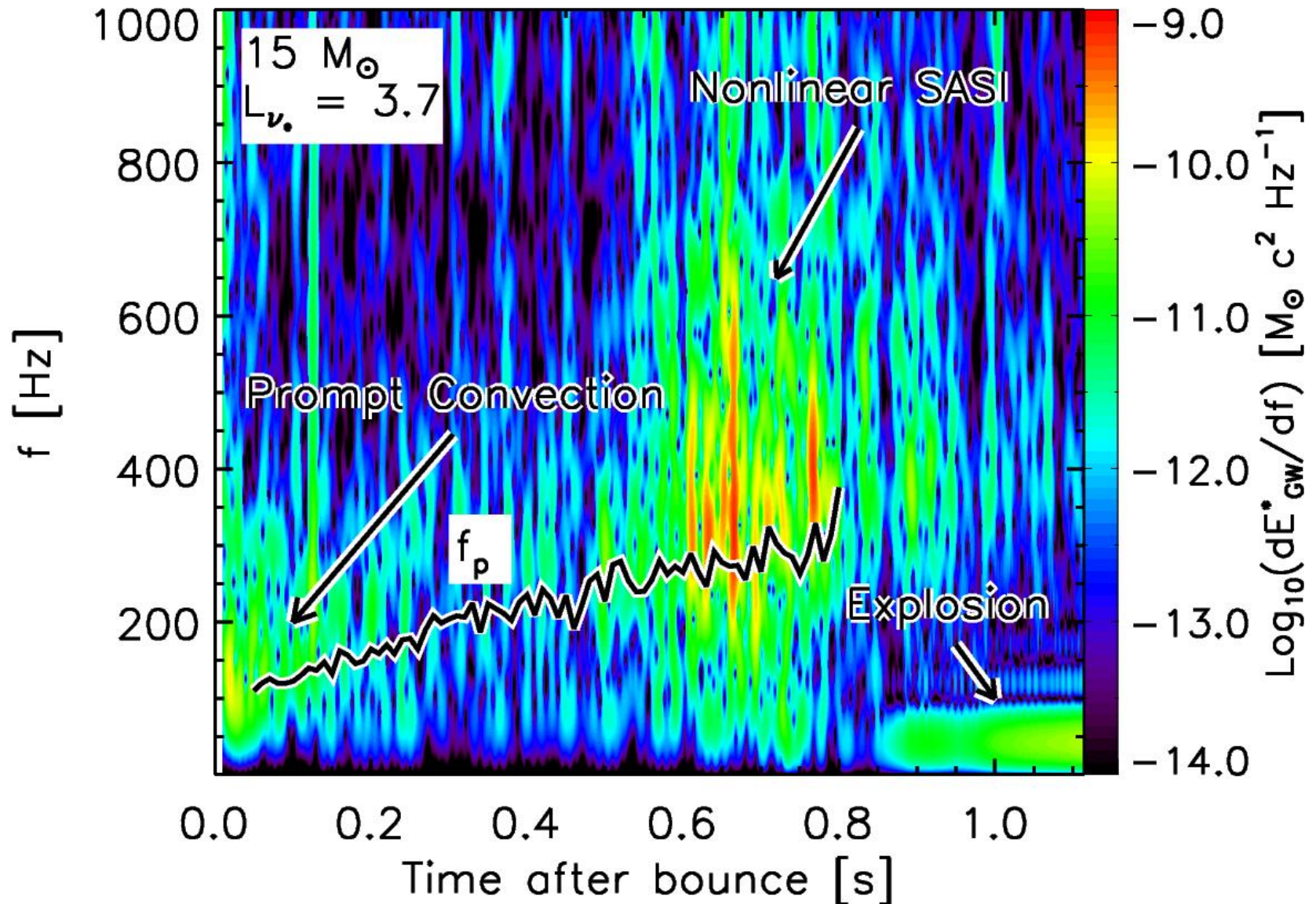
# The GW Signature of Convection and SASI



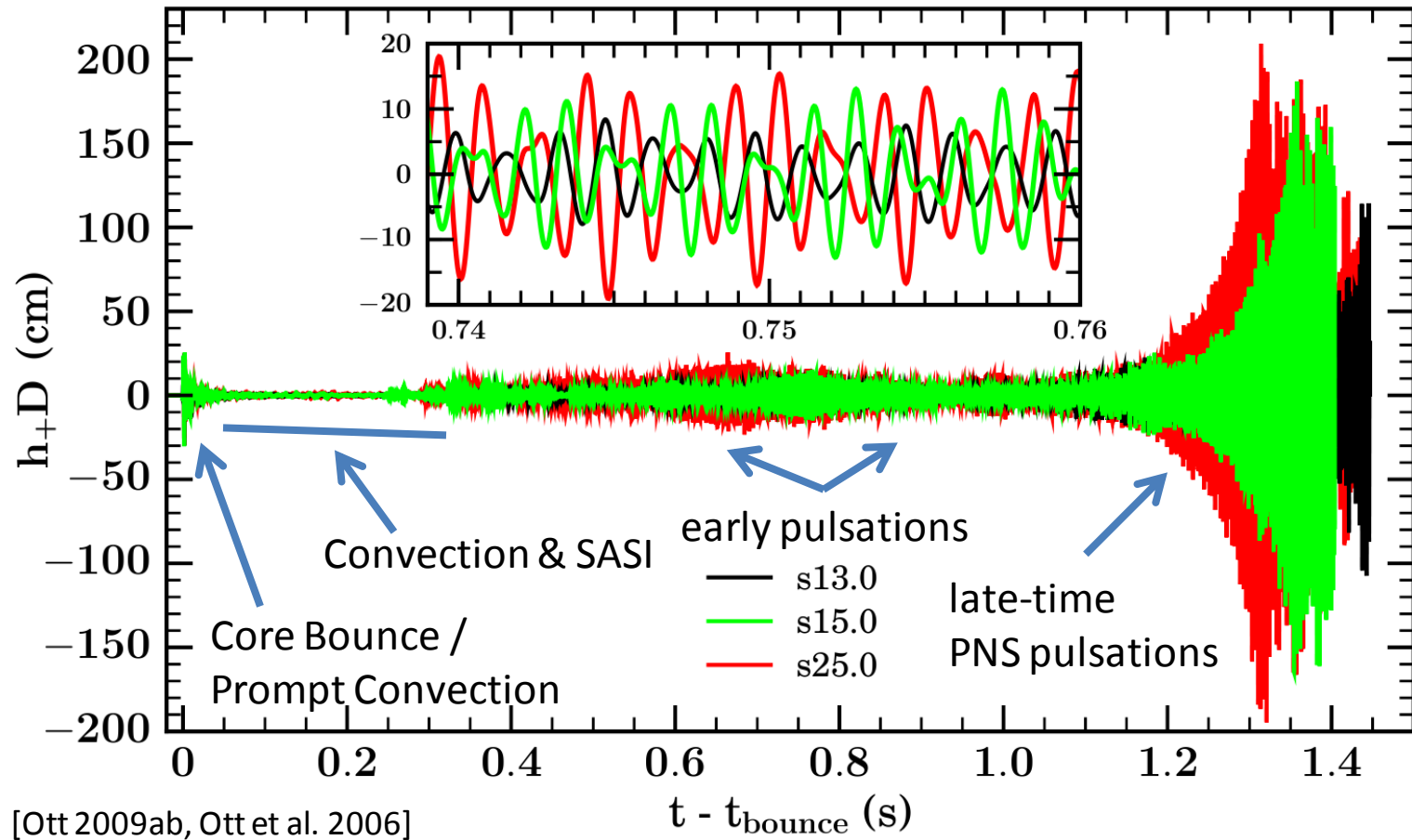
**[Murphy, Ott and Burrows 2009]**

# Convection/SASI GW Time-Frequency Evolution

[Murphy, Ott & Burrows 2009]

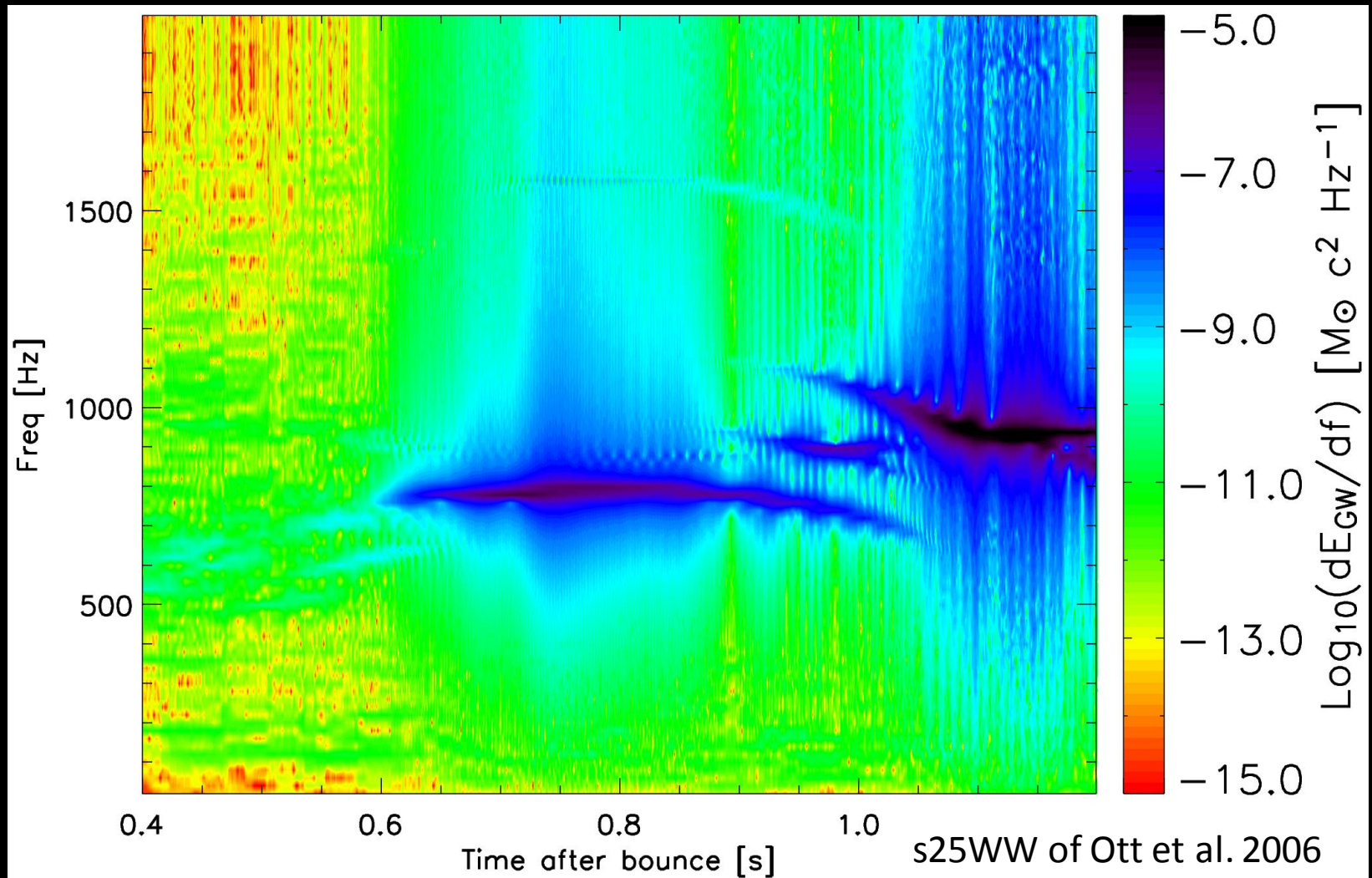


# GWs from **PNS Core Pulsations** in the Context of the Acoustic Mechanism





# PNS Pulsations: GW T-F Evolution



# Blowing up Massive Stars: Core-Collapse SN Mechanisms

## Dominant Multi-D Dynamics and GW Emission Processes

**Neutrino  
Mechanism**



Convection and SASI.

**Magnetorotational  
Mechanism**



Rotating core collapse & bounce,  
PNS rotational instabilities.

**Acoustic  
Mechanism**



PNS pulsations.

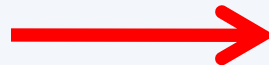
-> Ott CQG 26, 204015 (2009):

-> Clear mapping between **explosion  
mechanism** and **GW signature**.

# Adding Neutrinos to the Mix: (work in progress)

## Potential Neutrino Signature

**Neutrino  
Mechanism**



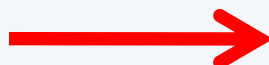
High-frequency variations of  $L_\nu$  (e, ebar)

**Magnetorotational  
Mechanism**



Very soft spectrum along equator,  
Very hard spectrum along poles.

**Acoustic  
Mechanism**



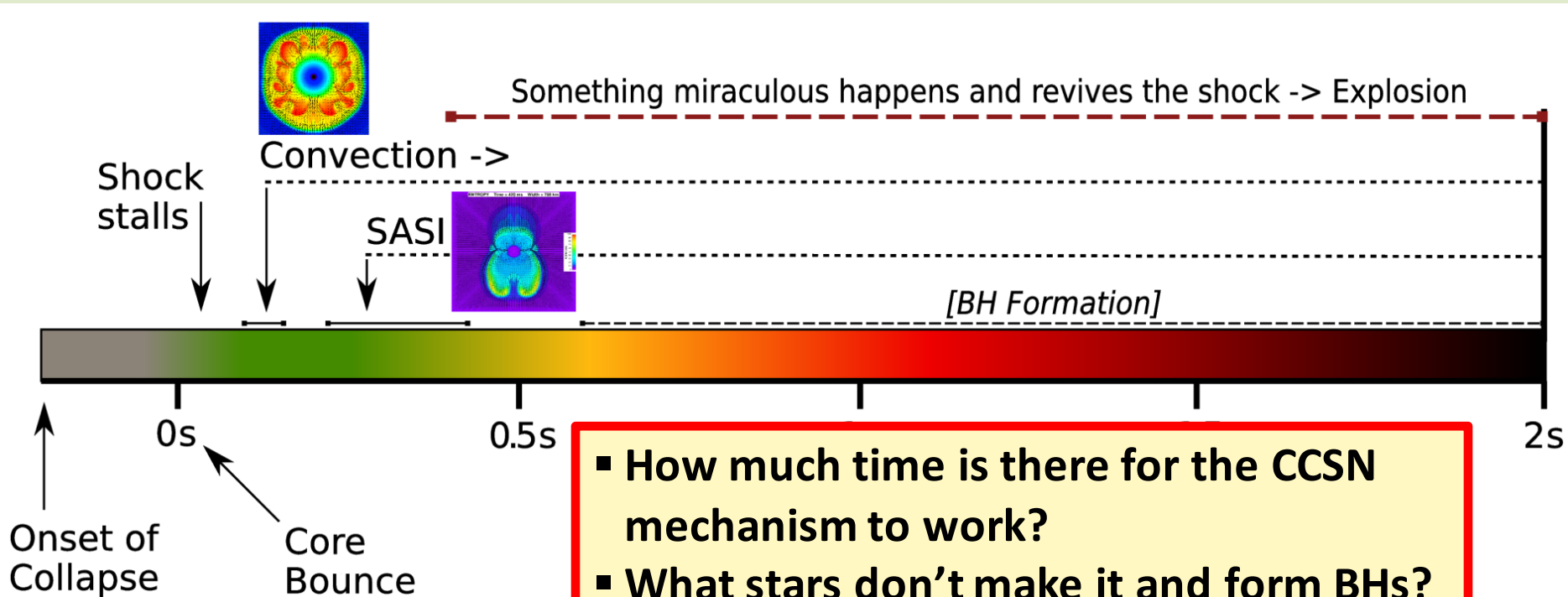
High-frequency variations of  $L_\nu$ ,  
including  $\nu_x$ . Long delay to  
explosion ( $> 0.8-1$  s).

**Key: Use combined neutrino/GW information to break  
observational degeneracies.**

# The Formation of Black Holes in Failing Core-Collapse Supernovae

with **Evan O'Connor** (Caltech), Uli Sperhake (Caltech),  
and **Erik Schnetter** (LSU), Peter Diener (LSU) ,  
Frank Löffler (LSU), Adam Burrows (Princeton)

# Core Collapse Timeline



- Energy reservoir:  
few  $\times 10^{53}$  erg (100 B)
- Explosion energy:  
 $\sim 1$  B

- How much time is there for the CCSN mechanism to work?
- What stars don't make it and form BHs?
- What stars explode, but make BHs by fallback accretion?
- What is the connection to GRBs.
- To date: No systematic studies that take full CCSN physics into account, but see work by Fryer et al., Sumiyoshi et al., Fischer et al.



# Simulations of BH Formation

- Published work:

- 1D: Lagrangian GR radiation-hydro, very few detailed models.**

- [Sumiyoshi et al. '06, '07, '08; Fischer et al. 2009]

- 2D/3D: Polytropic/Gamma-Law models; collapse of isolated NS or collapsing polytropes. No microphysics/neutrinos.**

- [Baiotti et al., Shibata & Sekiguchi]

- Our new approach:** [O'Connor & Ott 2009, Ott et al. 2010 (in prep.)]

- Goals: (1) Study systematics of BH formation in the limiting case of spherical symmetry.  
(2) Develop efficient microphysics technology/approximations for multi-D simulations.  
(3) Study long-term postbounce evolution, BH formation, and late-time evolution in 3D GR using the Cactus Framework.  
-> 5 year NSF PetaApps award PetaCactus (LSU/Caltech/Princeton)

# GR1D

- **GR1D: Open-Source 1.5D GR hydrodynamics code.** [O'Connor & Ott 2009]
- Available from <http://www.stellarcollapse.org> .
- **Radial-gauge, polar-slicing** (-> Schwarzschild-like coordinates).

$$ds^2 = -\alpha(r,t)^2 dt^2 + X(r,t)^2 dr^2 + r^2 d\Omega^2$$

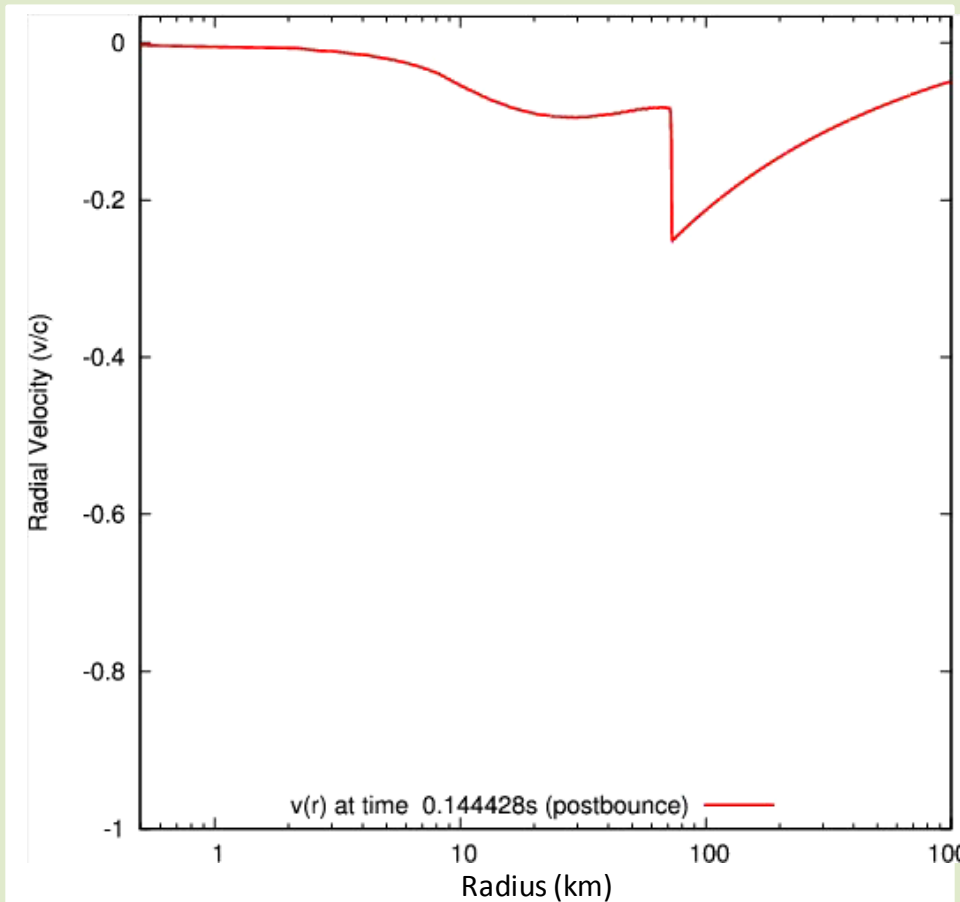
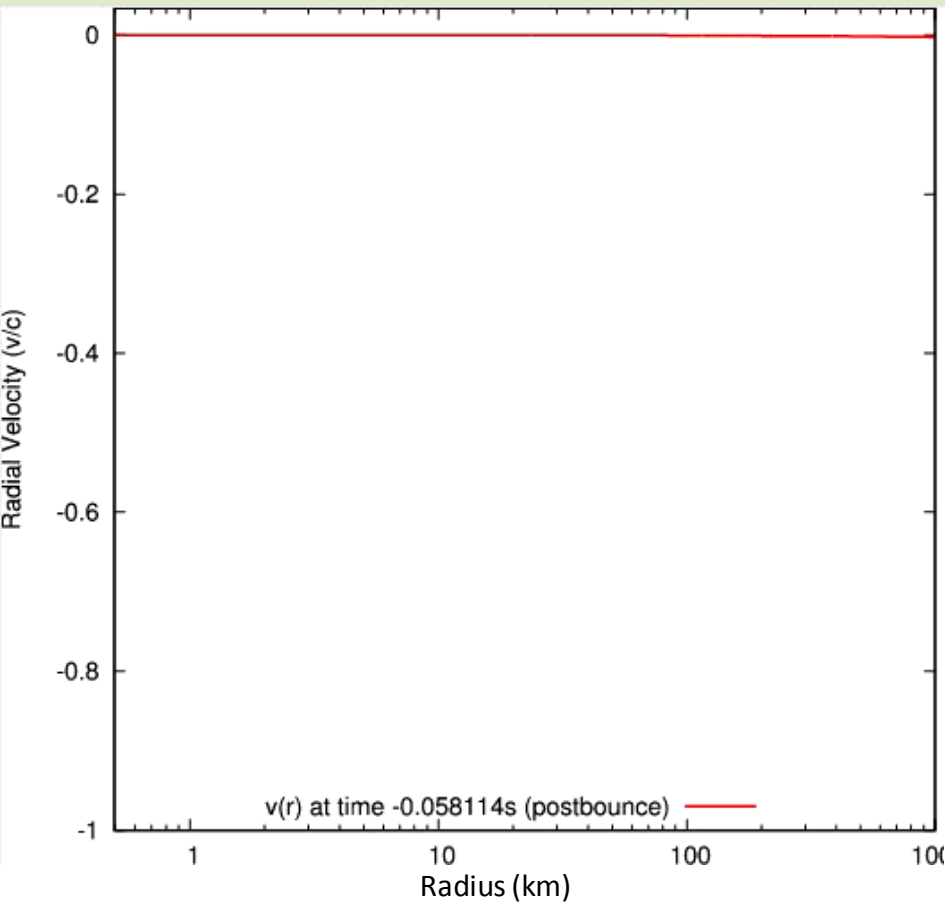
$$\alpha(r,t) = \exp[\phi(r,t)], \quad X(r,t) = \left(1 - \frac{2m(r,t)}{r}\right)^{-1/2}$$

- Shellular rotation (hence, 1.5D).
- High-resolution shock-capturing hydro, PPM reconstruction, HLLE solver.
- Multiple finite-temperature microphysical EOS:  
H. Shen et al. 1998, Lattimer & Swesty 1991 with  $K=\{180,220,375\}$  MeV.  
EOS tables available in HDF5 format on <http://www.stellarcollapse.org> .
- 3-flavor, energy-averaged (gray) neutrino leakage and approximate neutrino heating.

$$\phi(r,t) = \int_0^r X^2 \left[ \frac{m(r',t)}{r'^2} + 4\pi r' (P + \rho h X^2 u^{r'} u^{r'}) \right] dr' + \phi_0$$

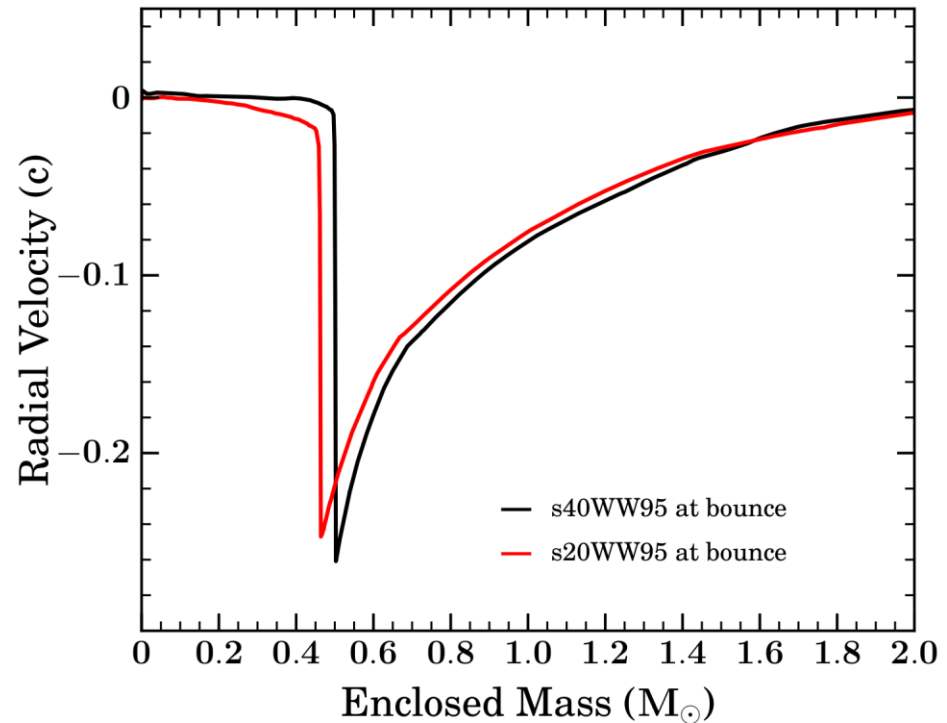
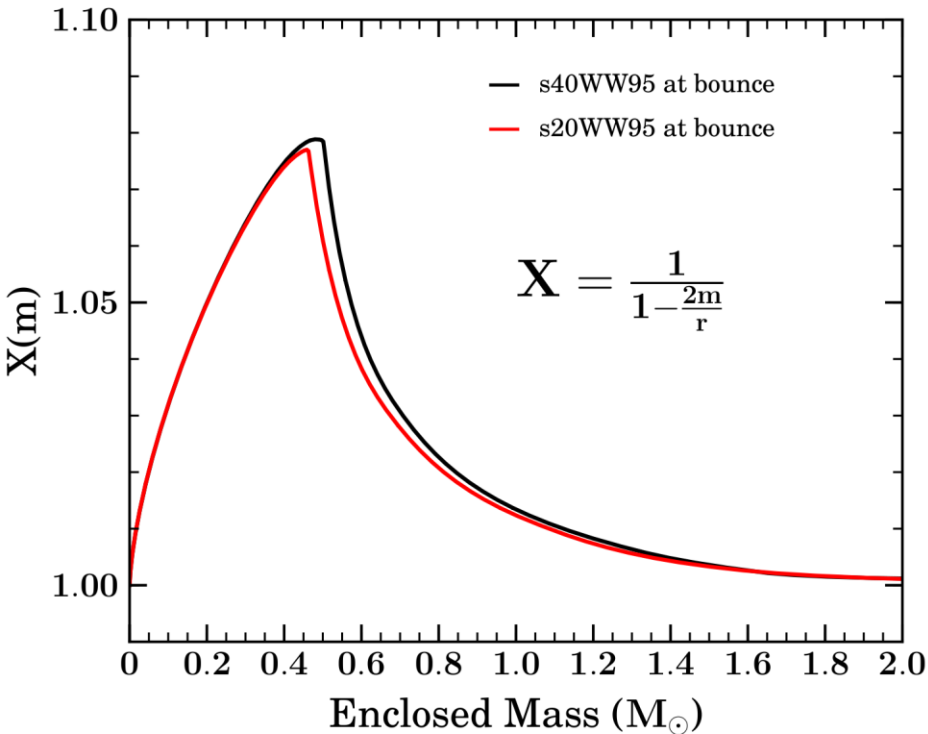
# Example: Black Hole Formation in Failing Core-Collapse Supernovae

[O'Connor & Ott 2009, see also Sumiyoshi et al. 2006, 2007, 2008, Fischer et al. 2009]



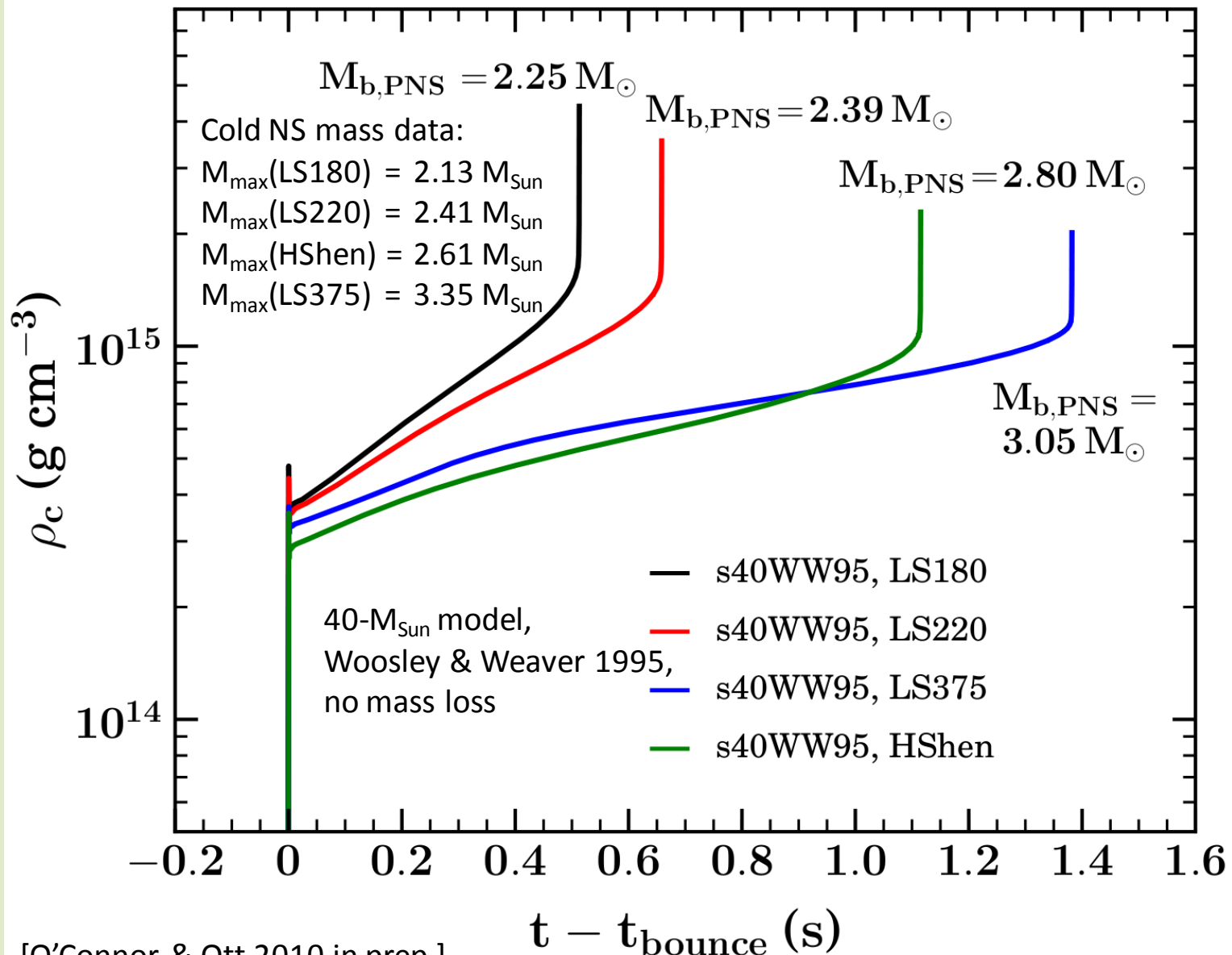
Animations by Evan O'Connor

# There Is **No Direct BH Formation!**



- Generic:  $M_{IC} = M_{PNS}$  at bounce  $\approx 0.4 - 0.7 M_{Sun}$ . **Set by nuclear physics, electron capture and general collapse hydrodynamics.**
- Inner core easily stabilized by stiff core of the nuclear force + nucleon degeneracy. Exception: Very massive stars,  $M > \sim 100 M_{Sun}$
- **All 'ordinary' massive stars undergo a PNS phase before BH formation.**

# Equation of State Dependence



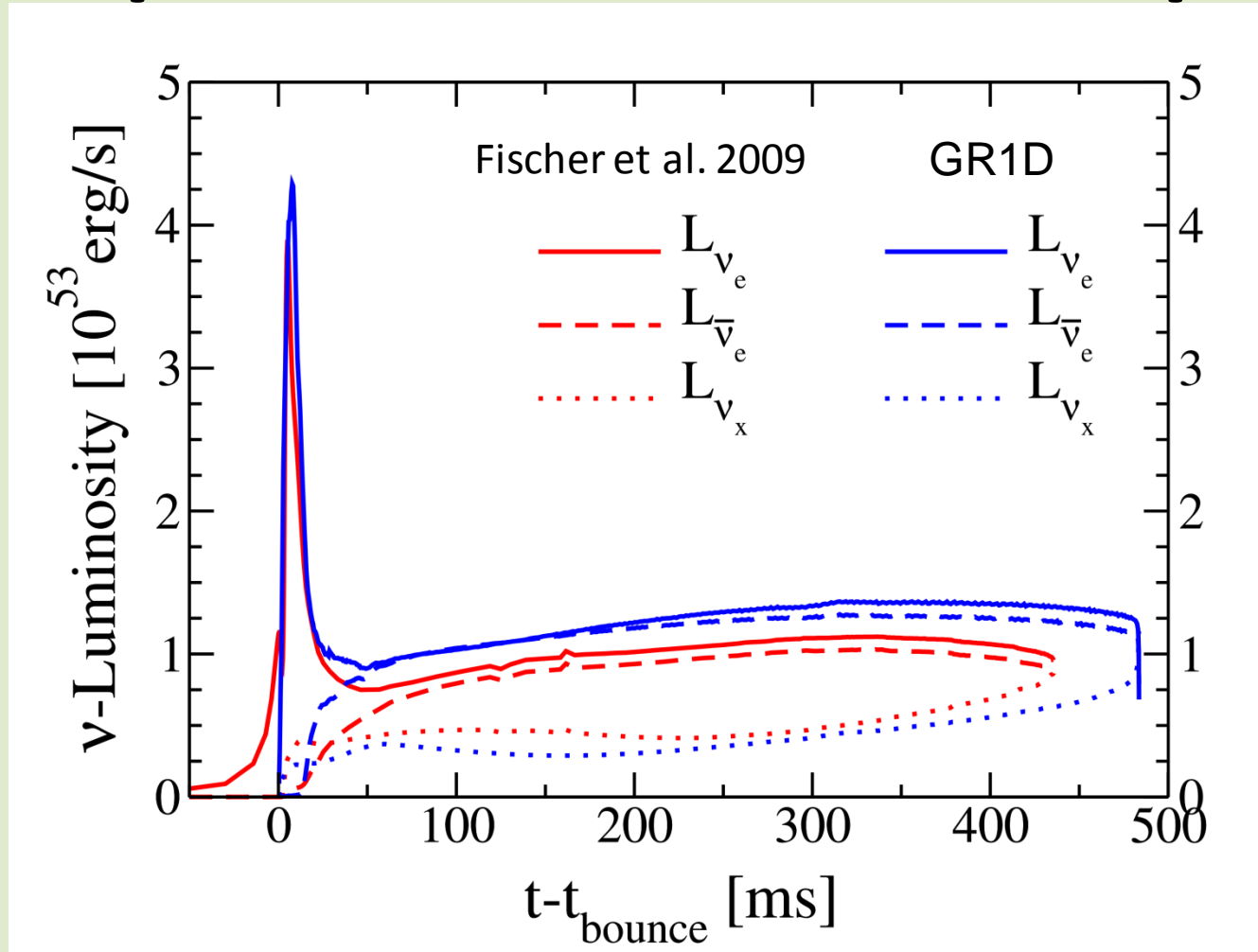
[O'Connor & Ott 2010 in prep.]

C. D. Ott @ JIGSAW 2010, TIFR, Mumbai,

2010/02/23

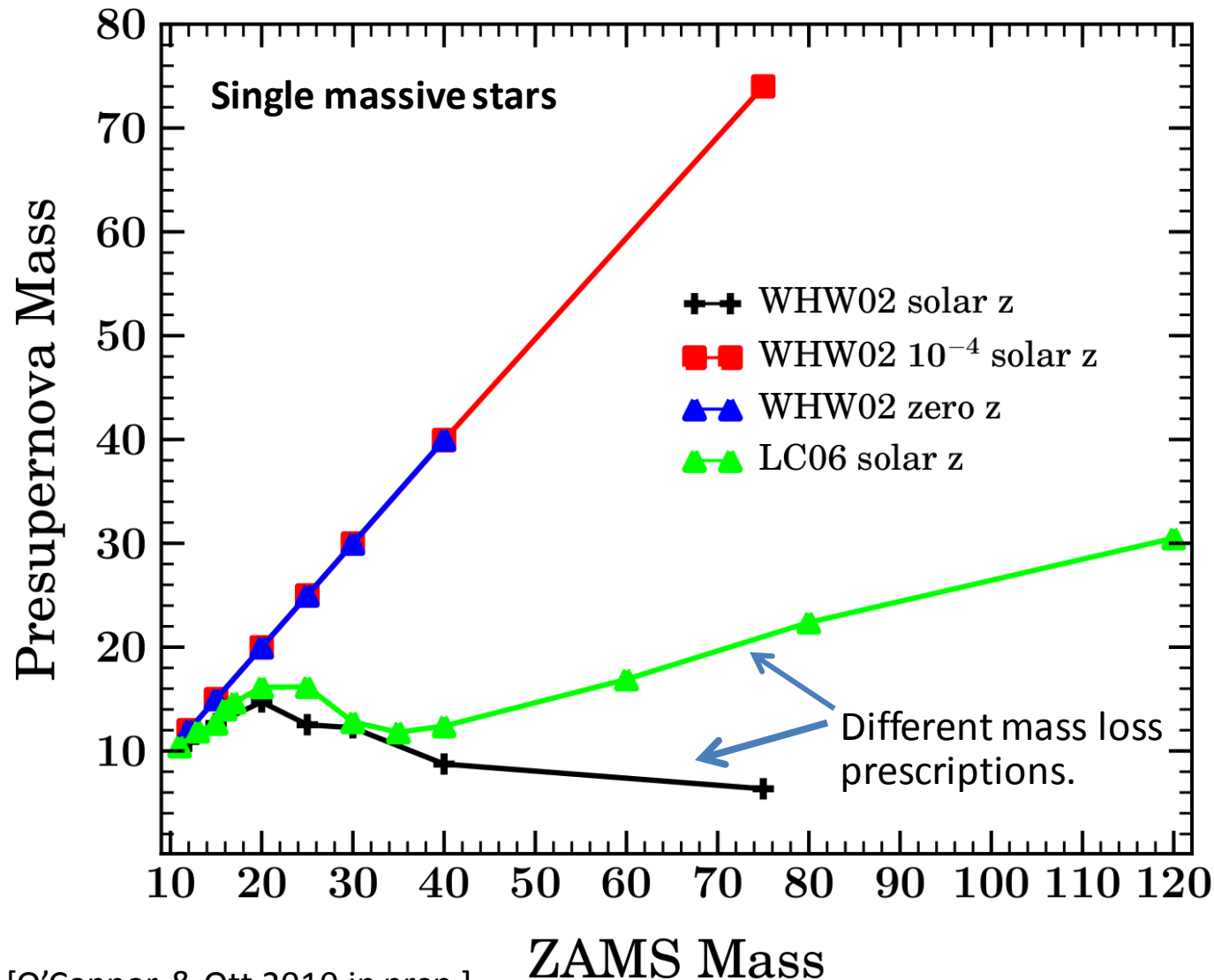


# Comparison with Full Transport



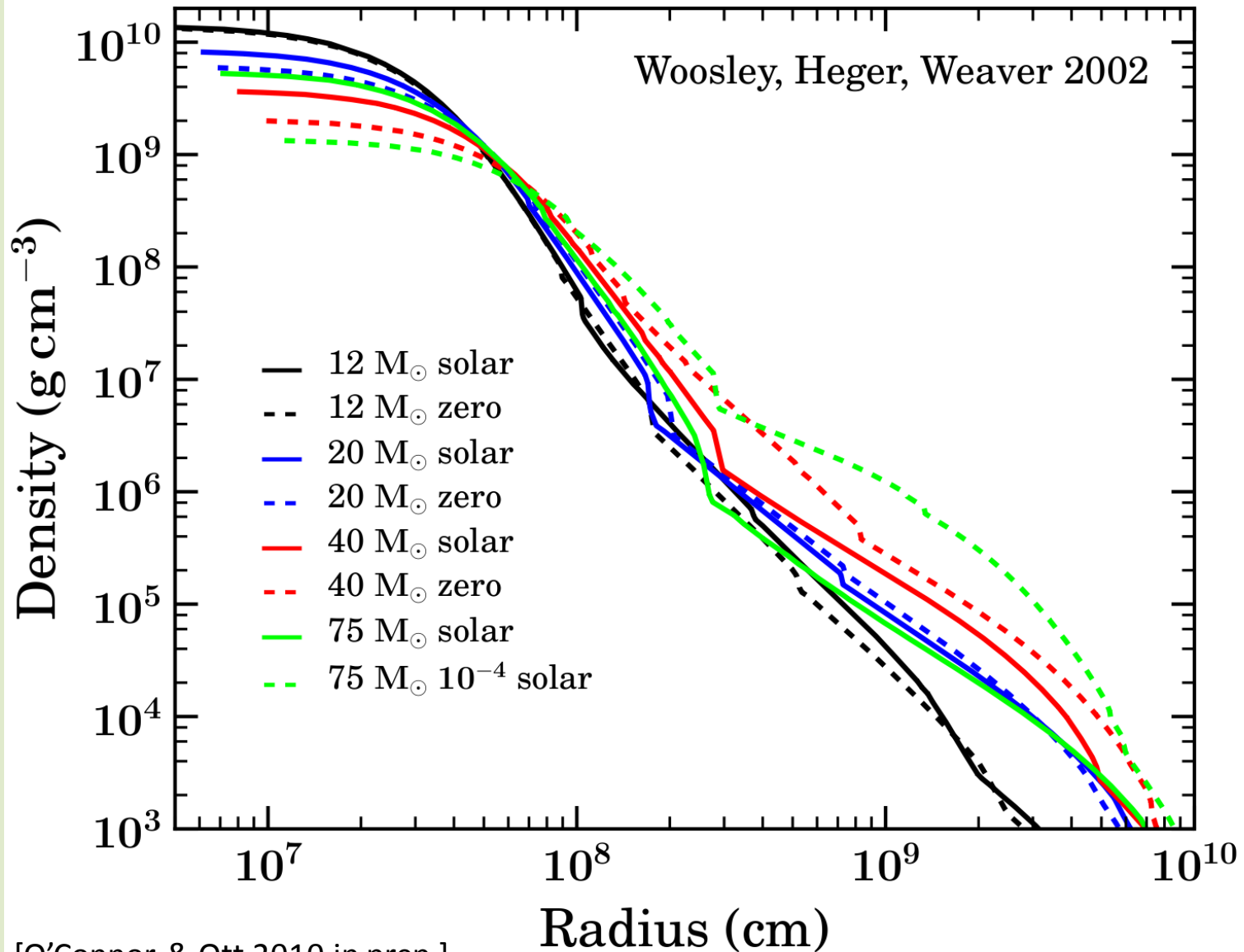
- GR1D reproduces full transport to 25% in terms of  $L_{\nu}$  and to 10% in terms of the time of BH formation, but is roughly 10 times faster.  
-> allows for parameter study.

# Precollapse Stellar Structure

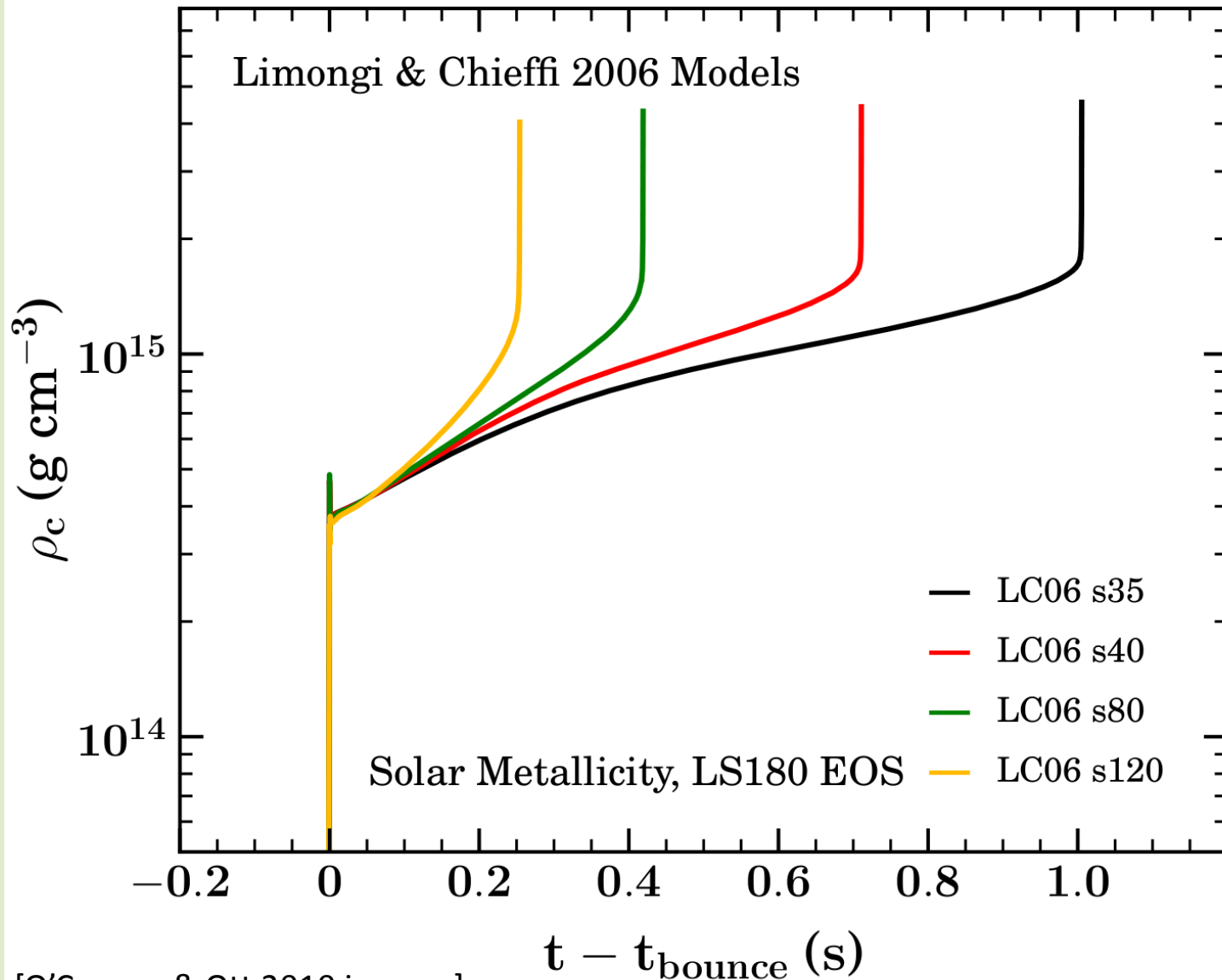


[O'Connor & Ott 2010 in prep.]

# Precollapse Stellar Structure

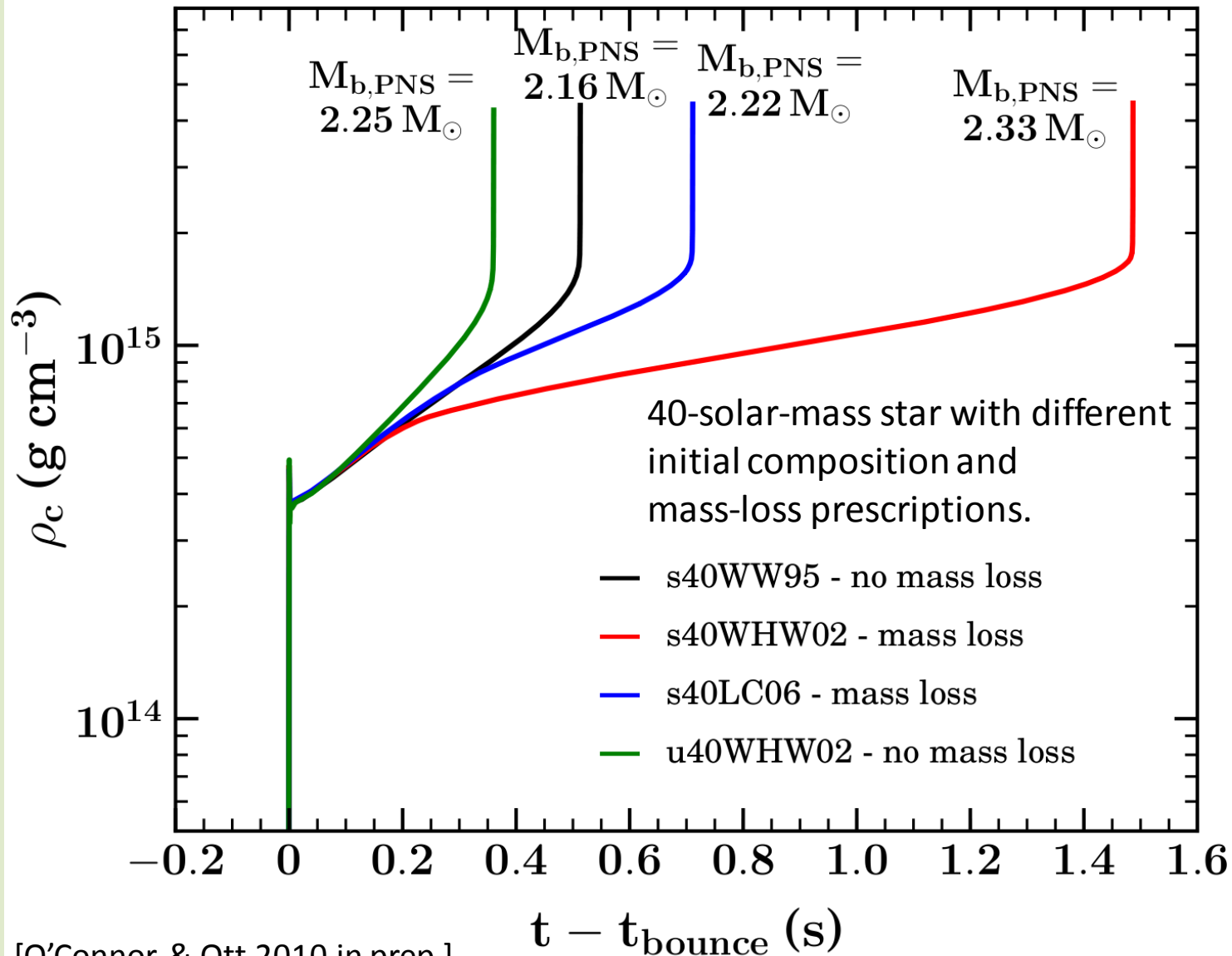


# Variations in ZAMS Mass



[O'Connor & Ott 2010 in prep.]

# Metallicity / Mass Loss

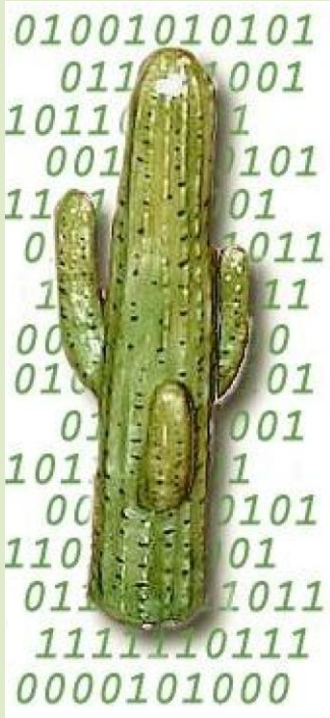


[O'Connor & Ott 2010 in prep.]



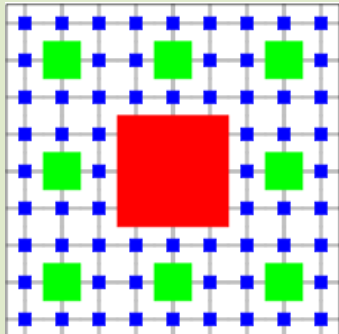
**on to 3D ...**

# Computational Framework



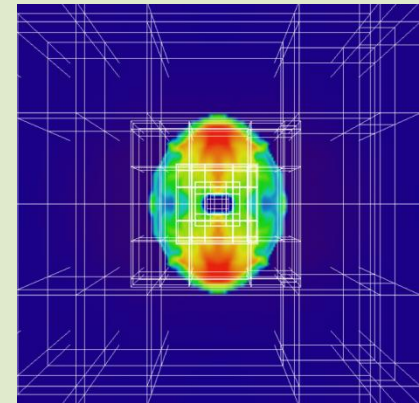
## Cactus [\[http://www.cactuscode.org\]](http://www.cactuscode.org)

- **Open-source** software framework for HPC, developed at the **Center for Computation & Technology** at LSU.
- Includes tools for code development, dynamic simulation control, data analysis, visualization, data handling (HDF5).
- Manage increased complexity with high-level abstractions, e.g. for inter-node communication, multi-core parallelism, I/O
- Active user community since 1998 in numerical relativity, fluid dynamics, and quantum gravity.



## Carpet [\[http://www.carpetcode.org\]](http://www.carpetcode.org)

- **Open-source** driver for Adaptive Mesh Refinement (AMR) and multi-block systems.
- Developed by Erik Schnetter (LSU).
- Full vertex-centered and cell-centered Berger-Oliger AMR with sub-cycling in time.



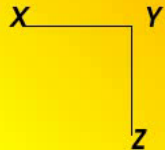
# Spacetime Evolution: McLachlan

- **State-of-the-art Einstein solver**, high-order finite differencing (up to 8<sup>th</sup>)
- Code is automatically generated with the *Kranc* package via Mathematica (equations contain ~5000 terms).
- Optimized for hybrid OpenMP/MPI.
- Developed in NSF-funded CIGR/XiRel collaboration (LSU, GA Tech, RIT, Caltech), available as **open source**. [Brown et al. 2007, 2009]

## MHD, Microphysics & Neutrinos: Zelmani GR Core-Collapse Package

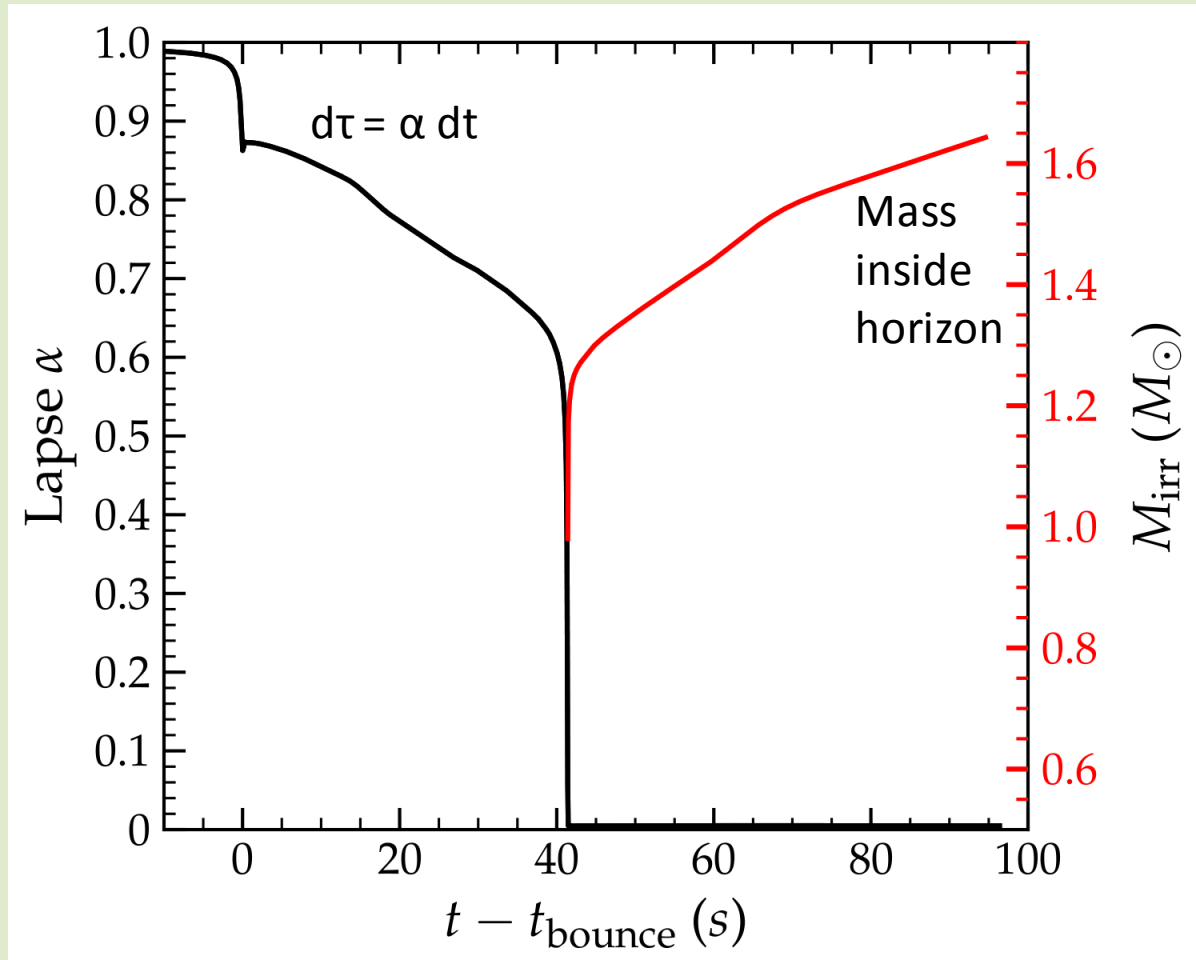
- High-resolution Shock-Capturing hydrodynamics with PPM reconstruction based on the **open-source** GRHD code **Whisky/Avanti**. Extension to GRMHD in development.
- Multiple finite-temperature nuclear EOS options.  
Neutrino leakage and heating scheme [O'Connor & Ott '09].

Time: 75.67 ms



# What this just was... [Ott et al. 2010 in prep.]

- Exploratory calculation: 3D, but restricted to octant.
  - 40-solar-mass Woosley & Weaver 1995 progenitor.
  - Simplified hybrid EOS: Piecewise polytrope with thermal component,  
 $\Gamma_1 = 1.30$ ,  $\Gamma_2 = 2.00$ ,  
 $\Gamma_{\text{th}} = 1.30$ .  
Mimics stiffening of EOS & effects of dissociation and deleptonization.
  - Moderate rotation,  
 $\Omega_0 = 1$  rad/s.
  - 11 levels of MR, using hydro excision.
  - More realistic 3D models running right now.





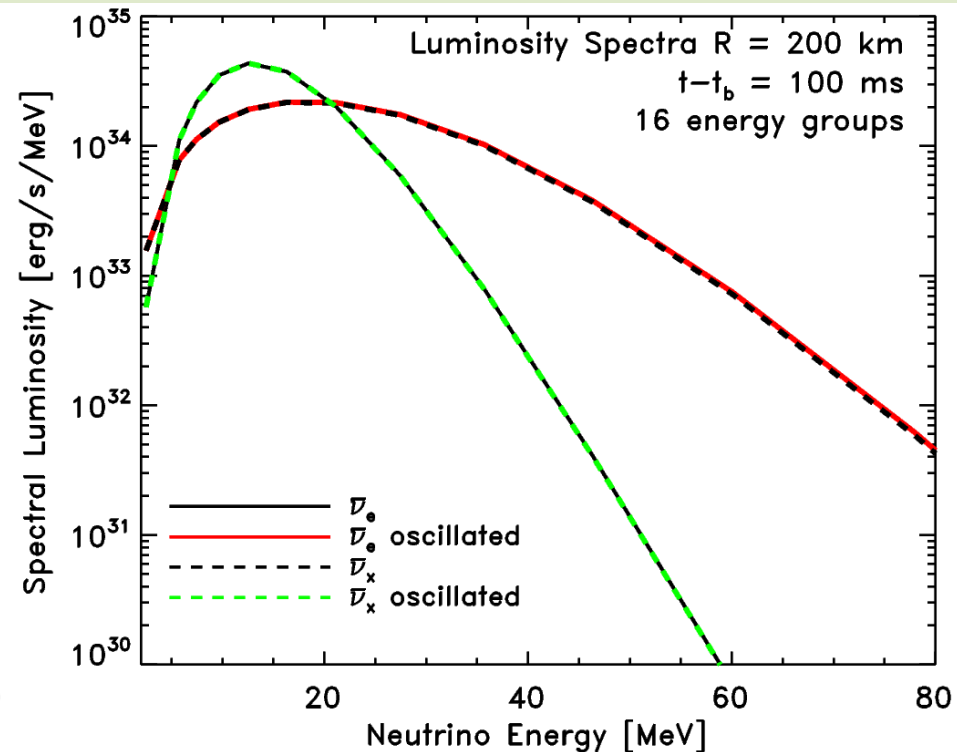
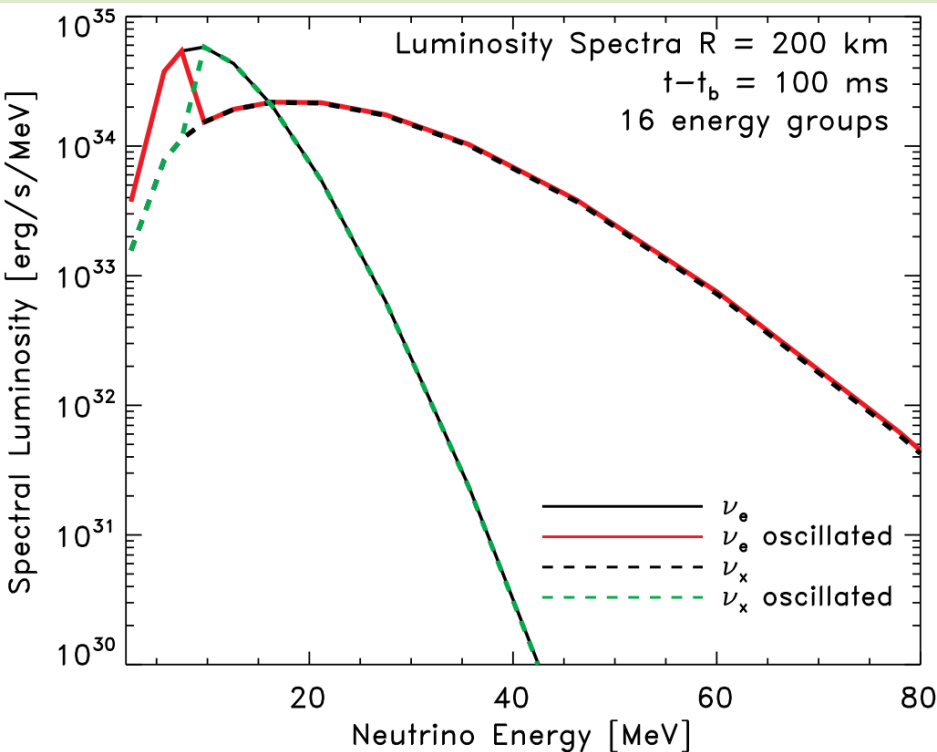
# Bonus Slides

## Faking it:

An Ad-Hoc Test of the Effect of  
Self-Induced Flavor Oscillations  
on Core-Collapse Supernova Dynamics

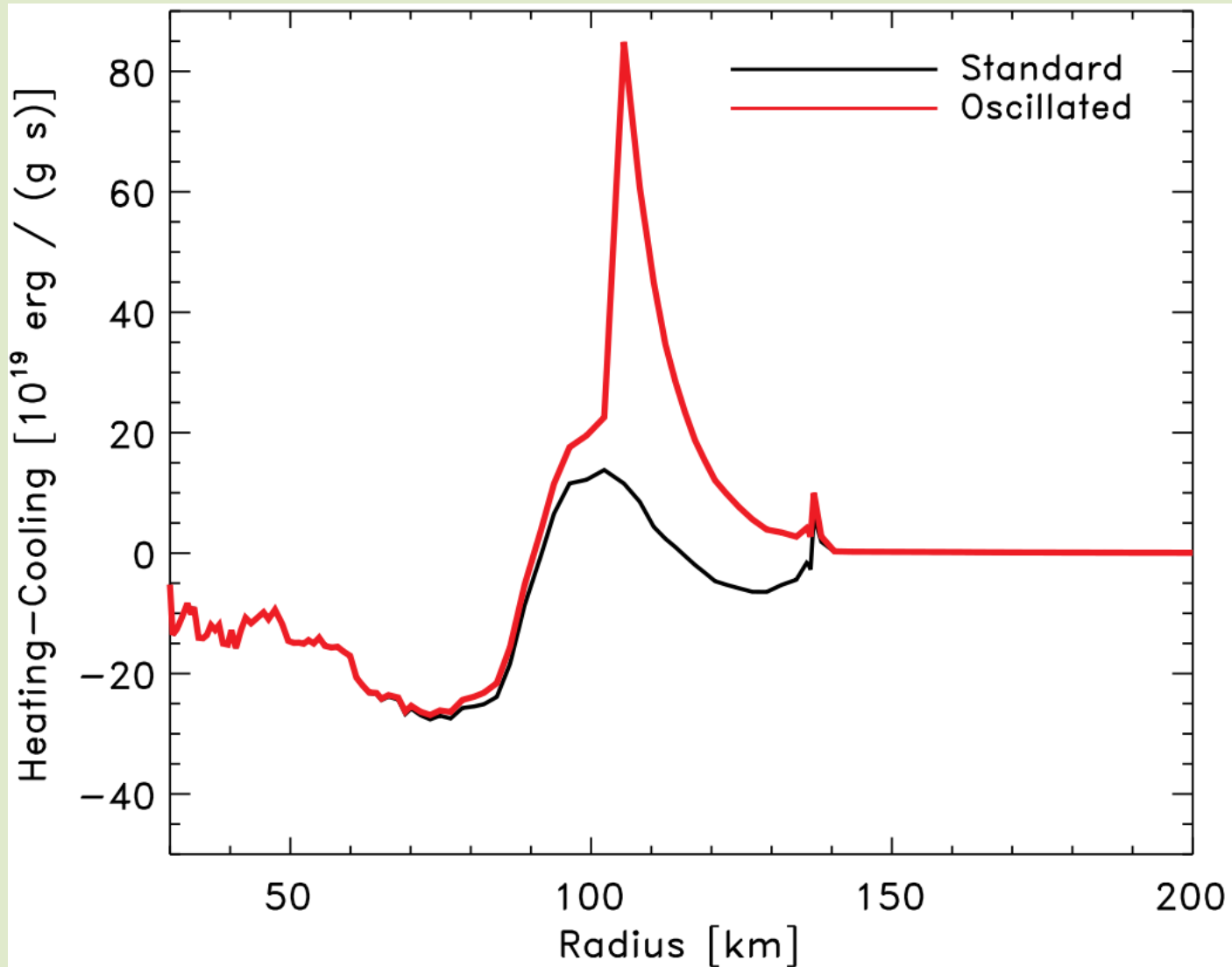
Ott et al. 2010 in preparation

# Setup



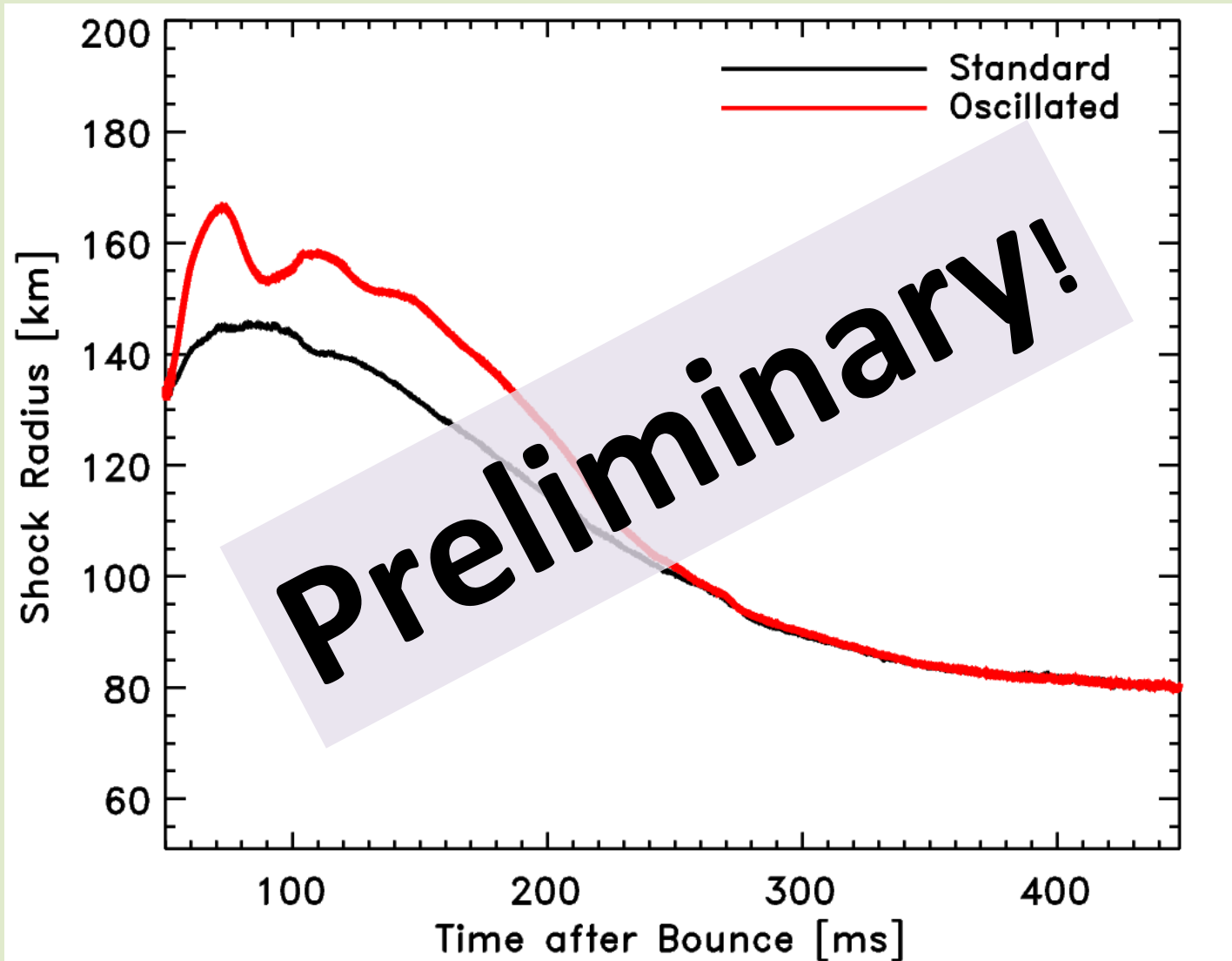
- Following Fogli et al. '07, '08.
- IH, split at 7 MeV (electron neutrinos), 2 MeV (antis)
- 12 solar mass progenitor, 100 ms after core bounce.  
Code: 1D Version of VULCAN/2D.
- Assume: Ad-hoc spectral swap manifest at  $R = 90$  km.

# Result



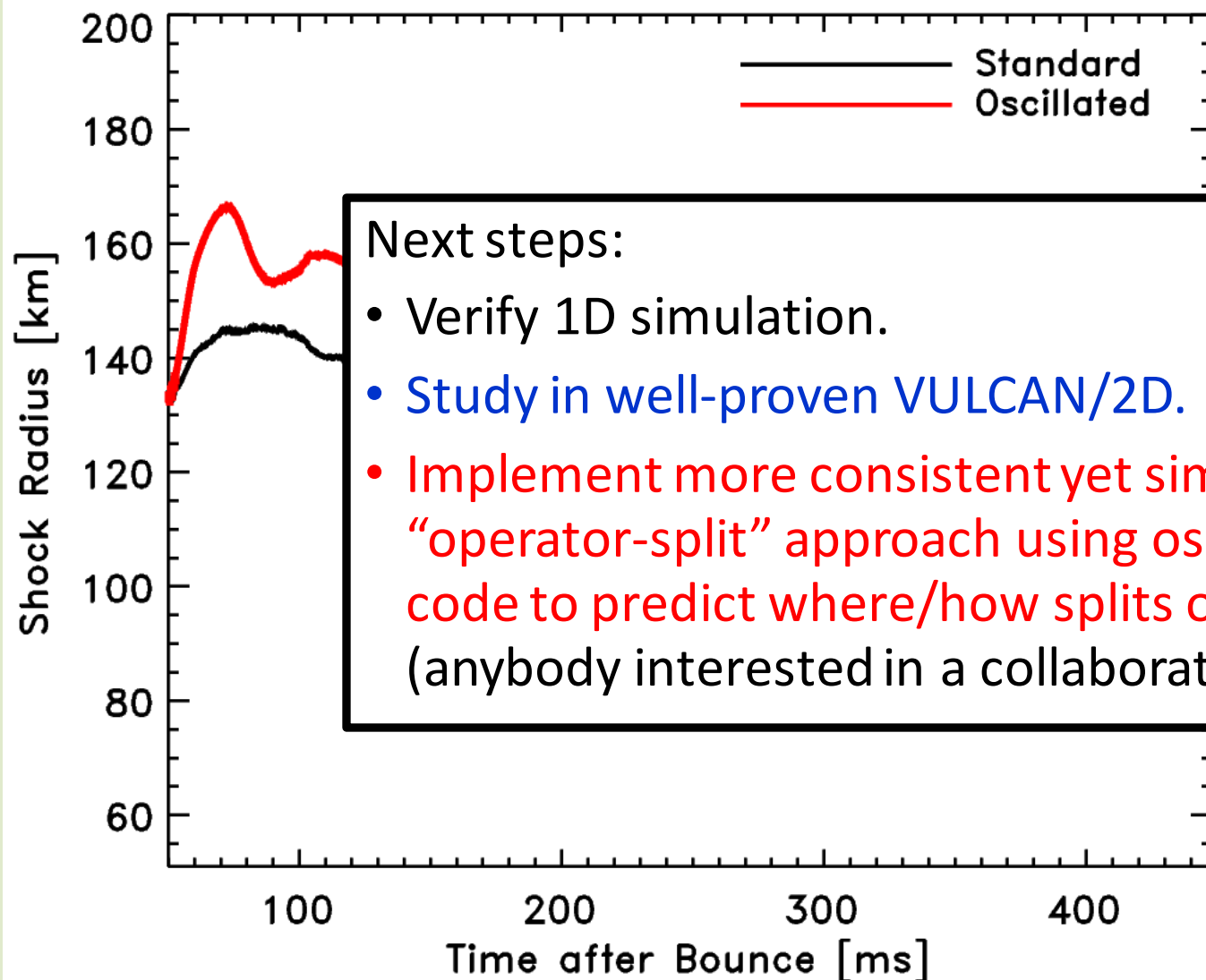
- Huge increase in instantaneous heating rate.

# Dynamical Impact



- Another case of Mazurek's law? Still checking simulation...

# Dynamical Impact



Next steps:

- Verify 1D simulation.
- Study in well-proven VULCAN/2D.
- Implement more consistent yet simple “operator-split” approach using oscillation code to predict where/how splits occur (anybody interested in a collaboration?).

- Another case of Mazurek’s law? Still checking simulation...



# Summary

- Multi-D core-collapse supernova simulations are maturing  
-> 3 potential explosion mechanisms:  
**neutrino, magnetorotational, acoustic**
- The **gravitational-wave** signature of the 3 considered mechanisms is likely to be mutually exclusive. Neutrinos will help as well.
- **Galactic core-collapse SN would allow to constrain SN mechanism.**

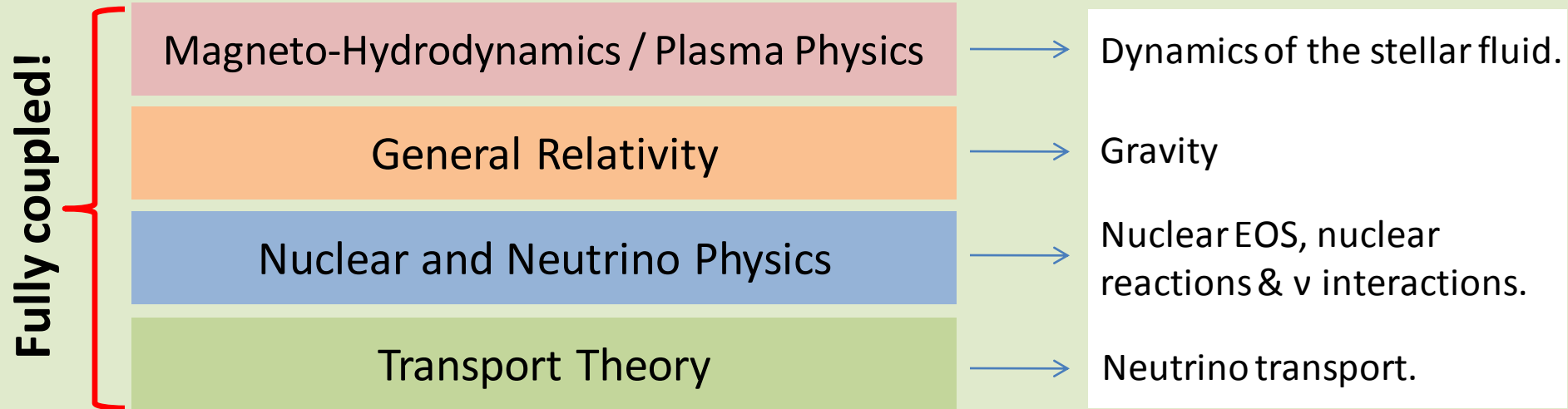
- Ordinary massive stars don't collapse directly to BHs.  
There is always a protoneutron star phase of 0.2 to multiple seconds.
- **First 3D models of BH formation in failing CCSNe -- more realistic models to come soon.**

- First (very preliminary) results on the potential dynamical impact of self-induced neutrino oscillations on the CCSN mechanism.

# Supplemental Slides

# Understanding the Core-Collapse SN Mechanism

- Core-Collapse Supernova Physics:



- Additional Complication: **The Multi-D Nature of the Beast**

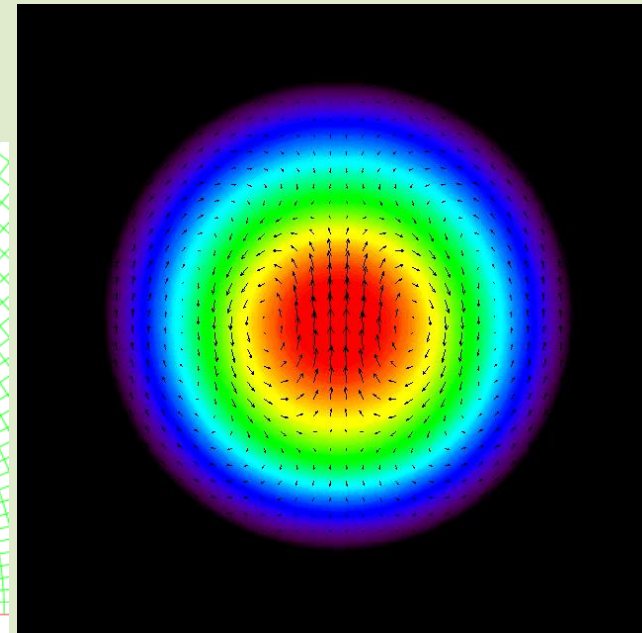
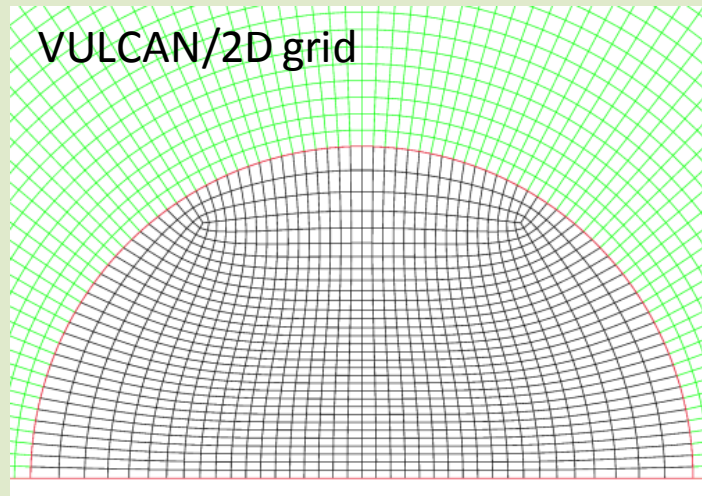
- Rotation, **fluid instabilities** (convection, turbulence, advective-acoustic, rotational), **MHD dynamos**, precollapse multi-D perturbations.  
-> **Need multi-D (ideally 3D) treatment.**

- Route of Attack: **Computational Modeling**

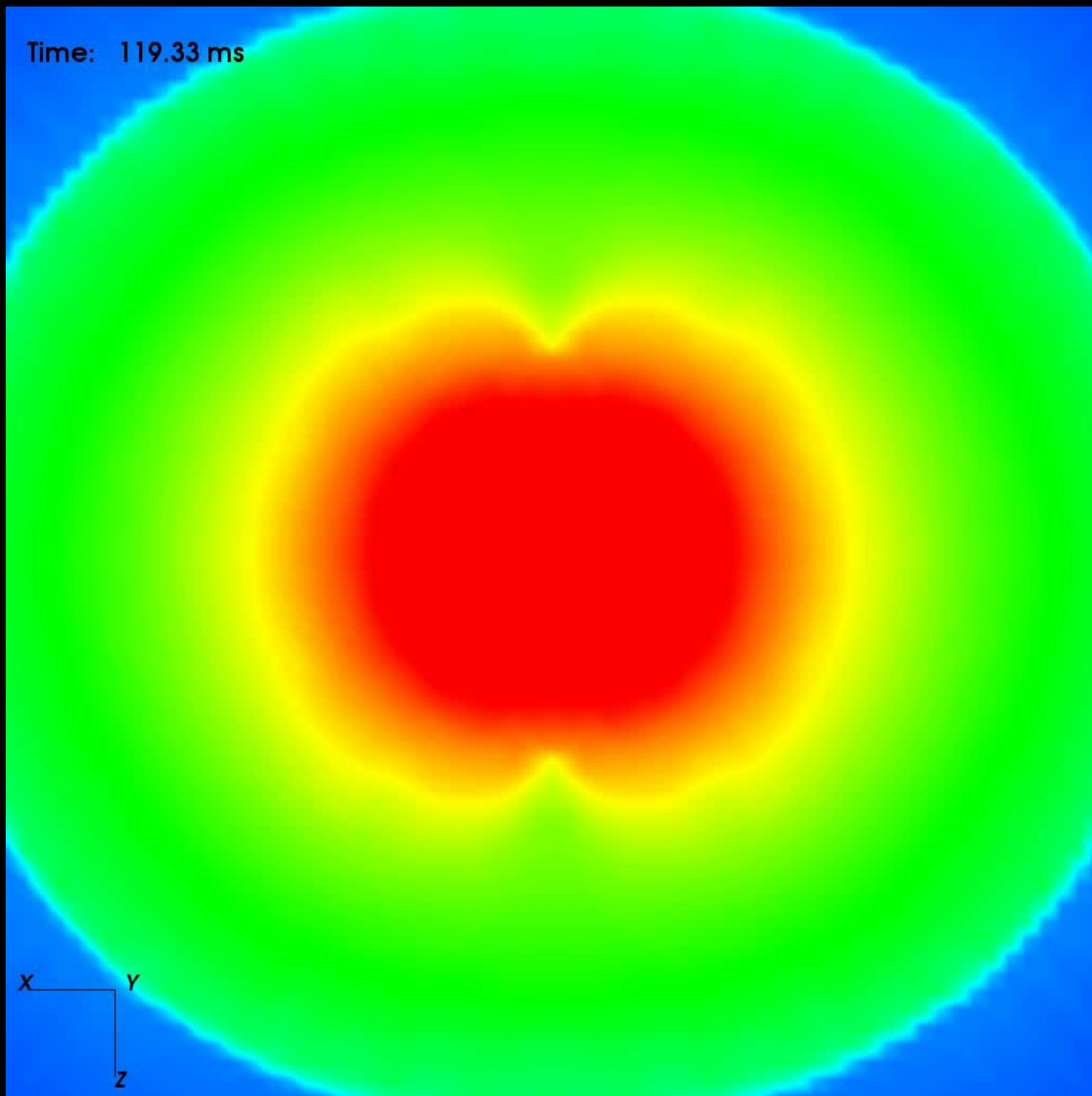
- First 1D computations in the late 1960's: **Colgate & White, Arnett, Wilson**
- **Best current simulations still 1D.**
- **Good 2D Models (with various approximations [Gravity/Transport]).**
- **First 3D Models.**

# Testing the Acoustic Mechanism

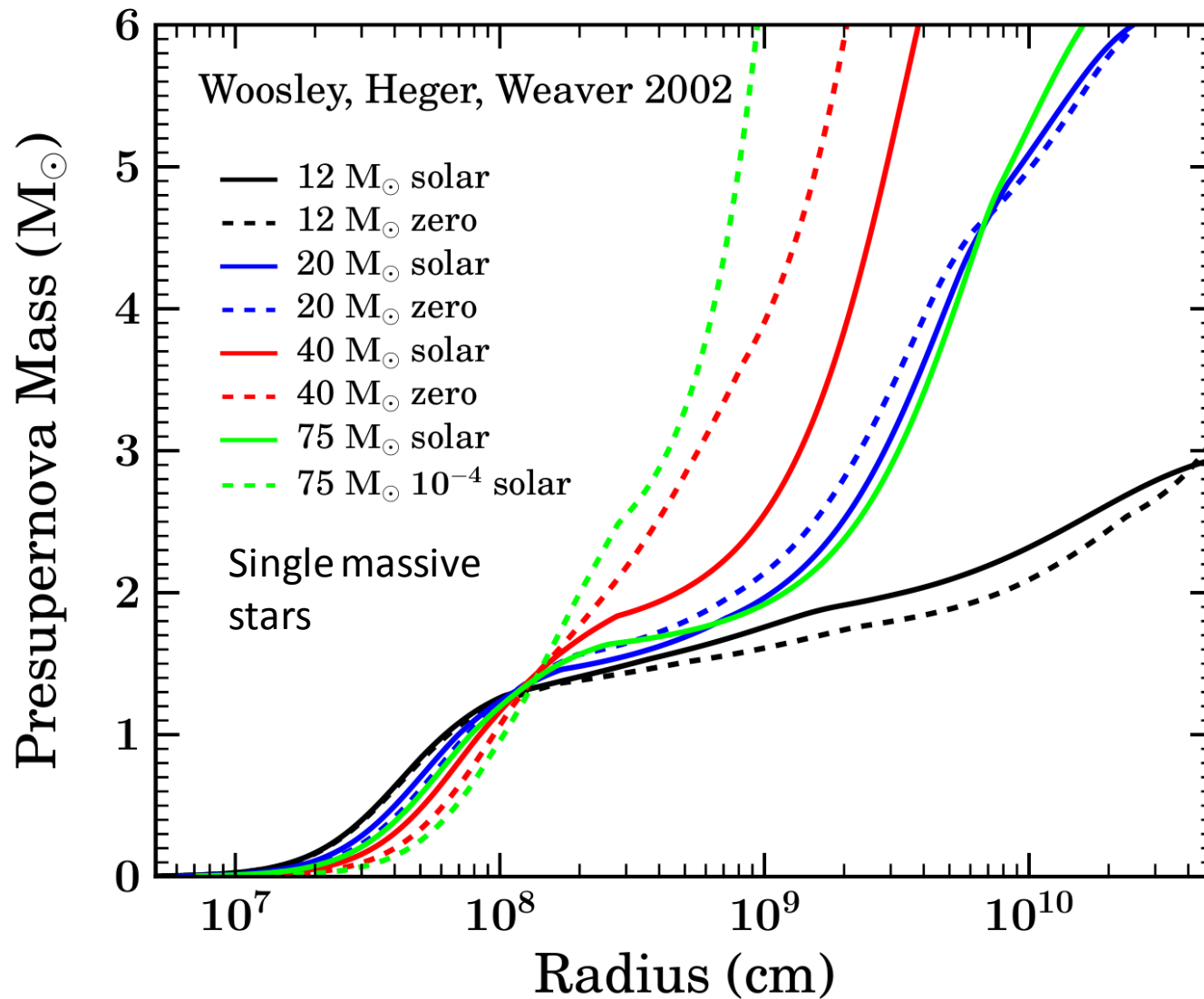
- So far no independent confirmation of the acoustic mechanism.
- Overstable physical g-modes PNS shown to exist. [Ferrari et al. 2003, 2007; Yoshida et al. 2007]
- Questions:
  - Do modes reach amplitudes as high as seen in our calculations?
  - Effects of GR and 3D?
- **Fundamental prerequisite** for non-linear numerical tests of mode excitation:  
Grid must be singularity free & allow change of the core's geometric center.
- Marek & Janka '09:  
Modes shown to exist, but don't reach high amplitudes. But: (1) Amplitudes become high only at  $t > 0.6 - 0.8$  s (not simulated),  
(2) MJ09 grid not singularity free.  
-> Acoustic Mech. not yet numerically ruled out.



Time: 119.33 ms



# Precollapse Stellar Structure

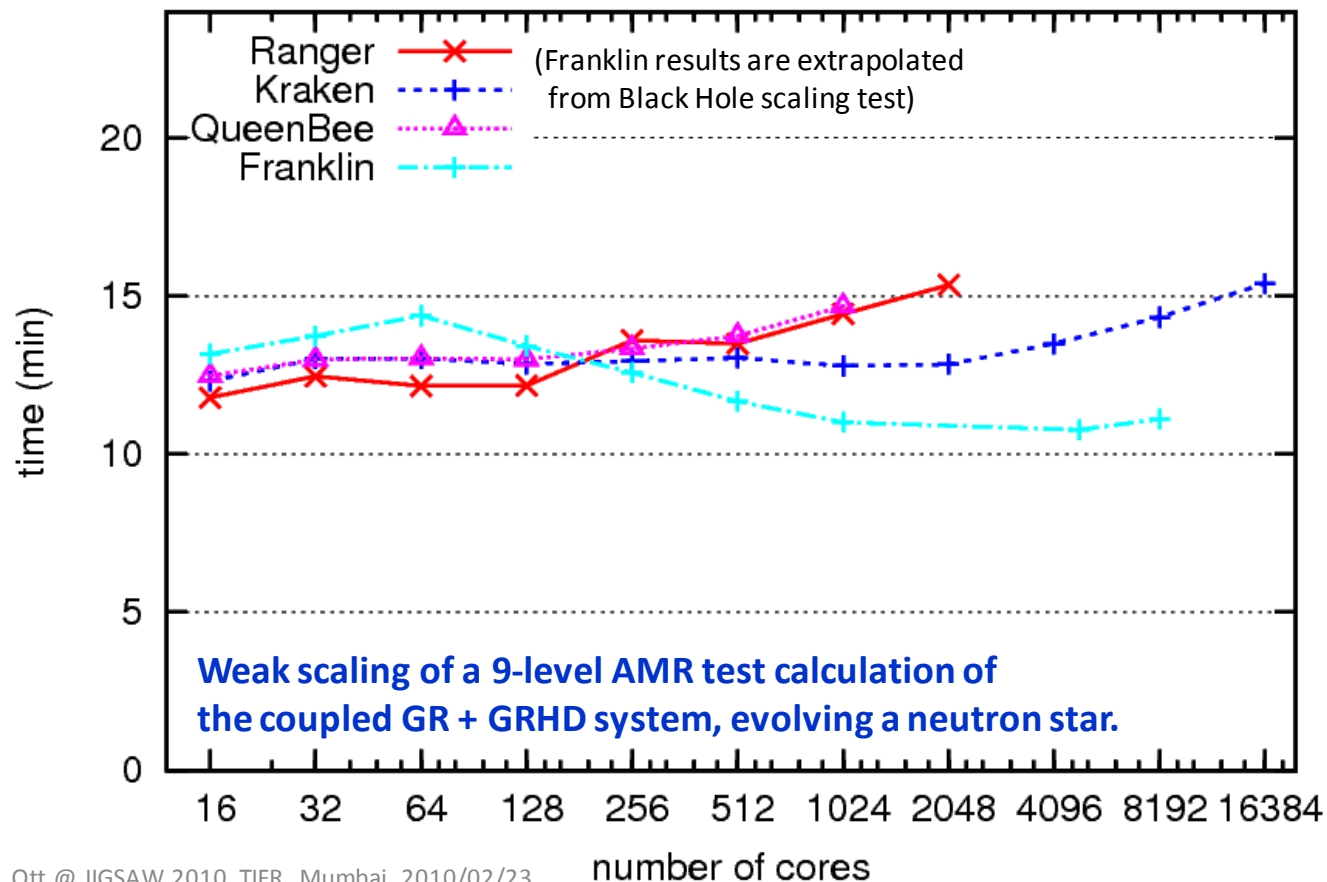




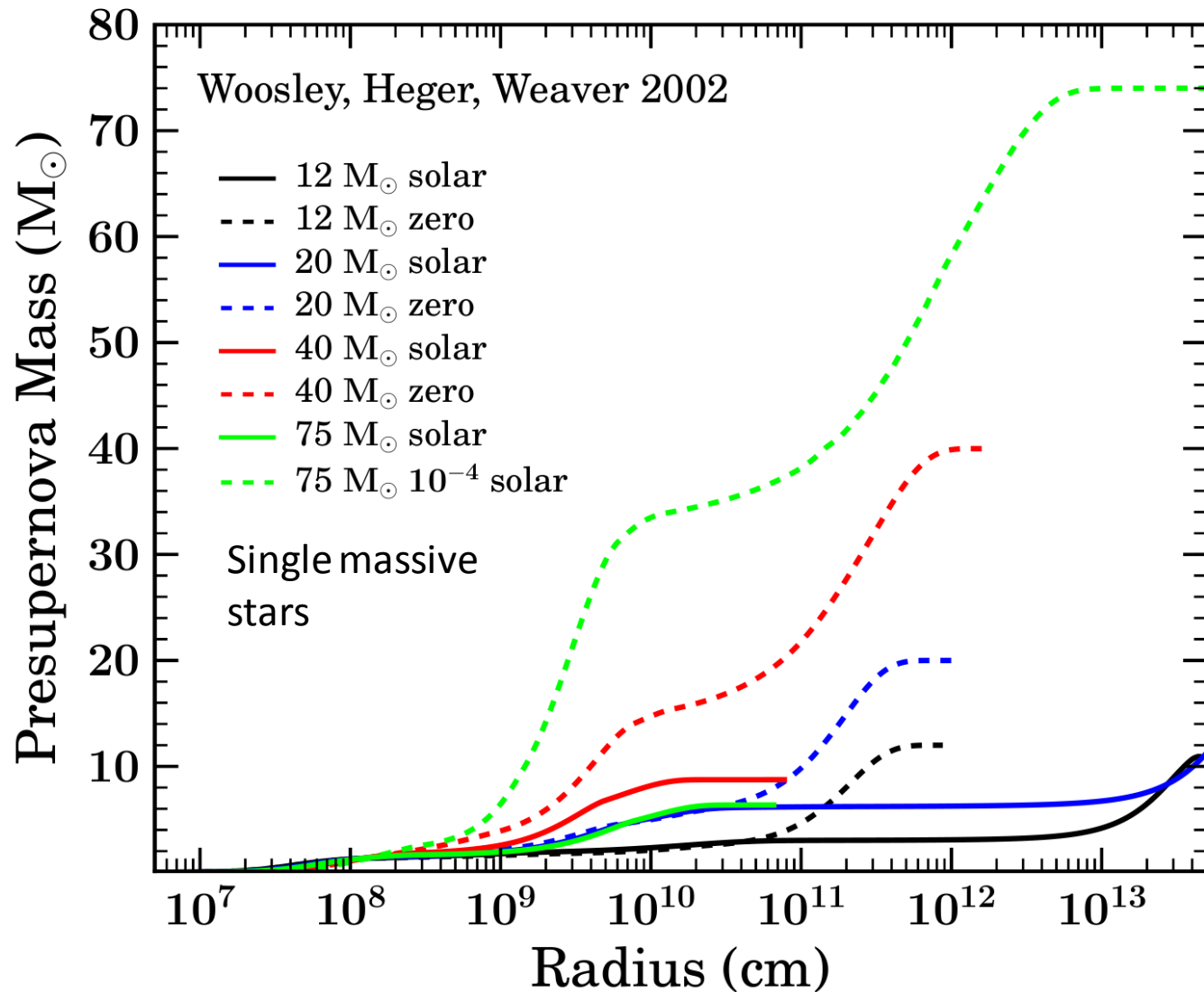
# Computational Cost & Scaling

[Based on GR+GRHD]

- 9 levels of refinement, each  $400^3$  zones, 400 3D grid functions  
-> Memory footprint > **~2 TB** (including inter-process buffers)
- 1 single-zone update: 50 kflop; total timesteps: ~1 M (fine grid).  
-> **~1500 Petaflops**. Factor 5-10 larger with radiation transport.



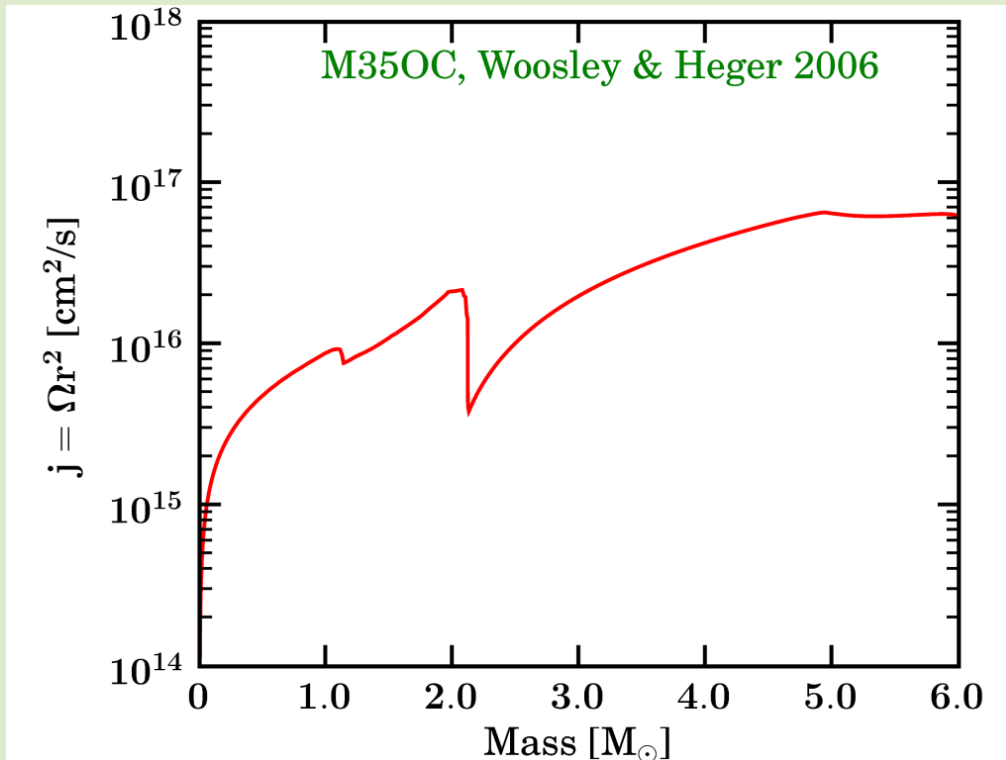
# Precollapse Stellar Structure



# Testing GRB Progenitors

[Dessart, Burrows, Livne, Ott, Murphy, ApJL 2008]

- Necessary specific angular momentum to make a disk around a maximally spinning Kerr BH:  
 $j > \sim 1.5 \times 10^{16} (M_{\text{BH}}/3M_{\text{SUN}}) \text{ cm}^2/\text{s}.$   
-> need rapidly rotating progenitor star.

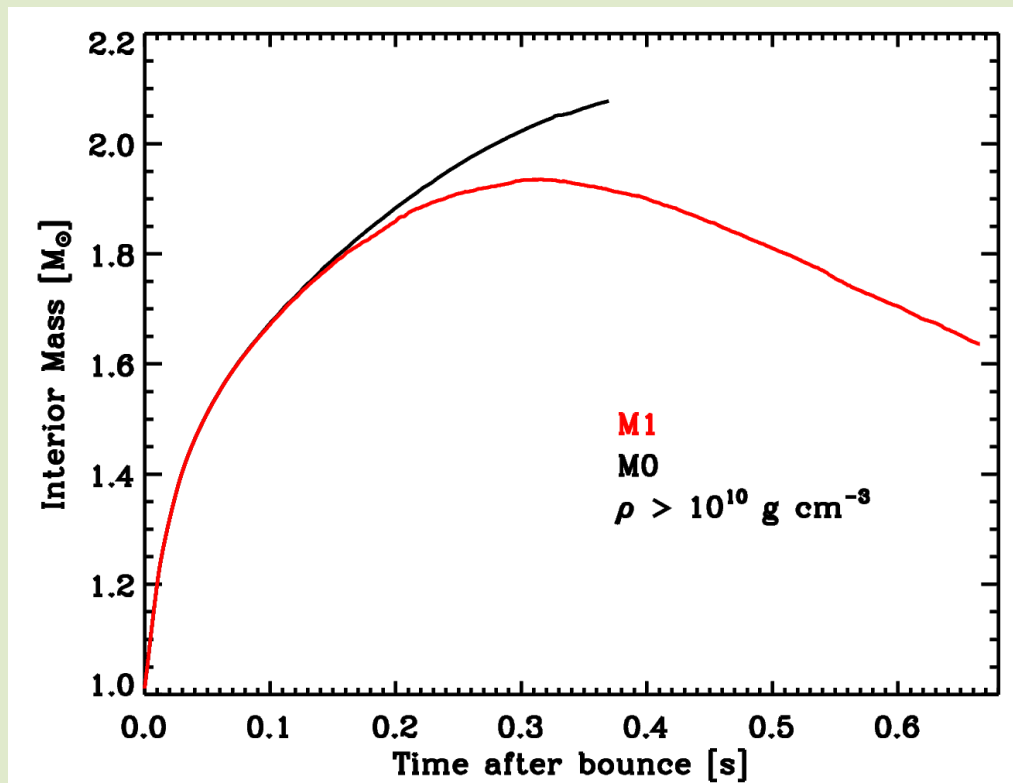


- Basic question:  
**Can rapid rotation + MHD inhibit BH formation and a GRB?**  
-> Newtonian MHD simulations with VULCAN/2D.

# Testing GRB Progenitors

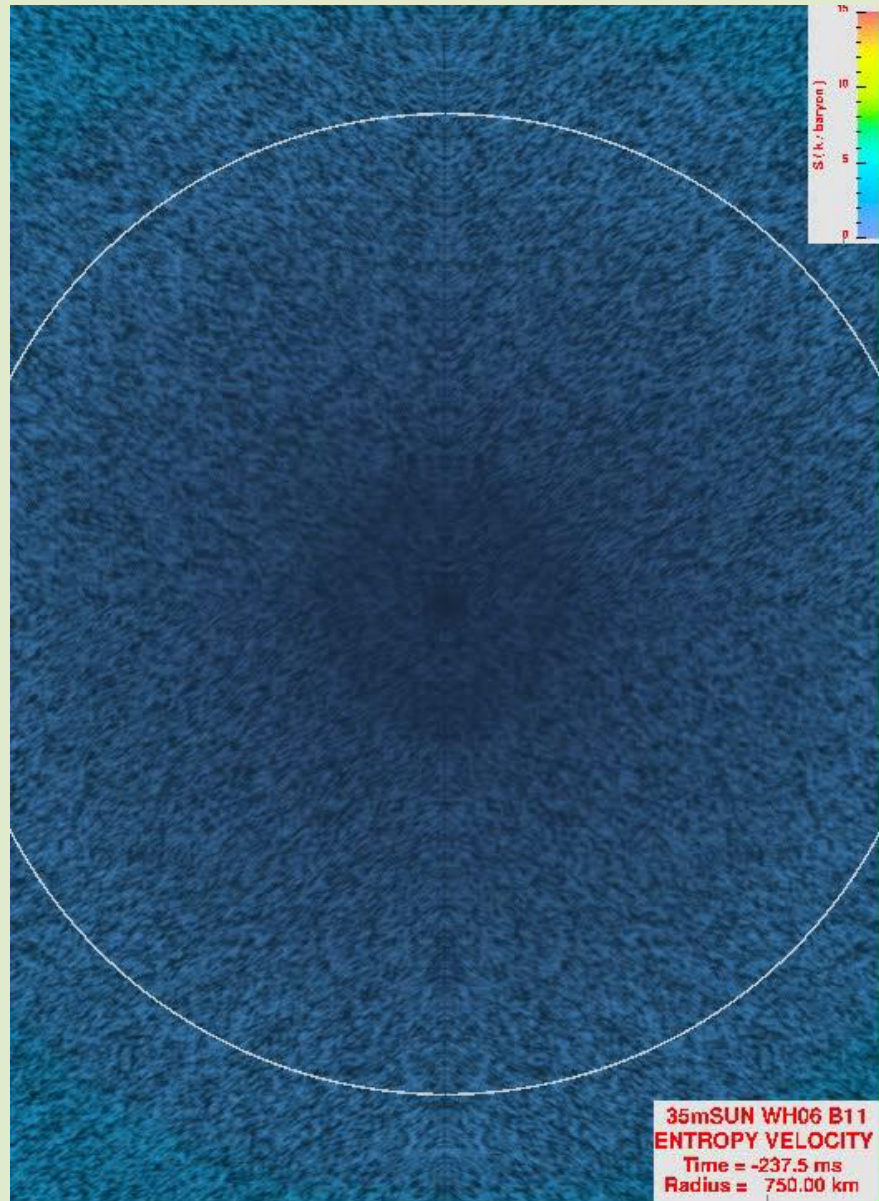
[Dessart, Burrows, Livne, Ott, Murphy, ApJL 2008]

- Model 35OC from Woosley & Heger 2006; original precollapse rotational configuration.
- Initial B-field: Two models  
M0:  $2 \times 10^{10}$  G toroidal,  $8 \times 10^{11}$  G poloidal (progenitor model)  
M1:  $2 \times 10^{10}$  G toroidal,  $4 \times 10^{12}$  G poloidal.



# Testing GRB Progenitors

[Dessart, Burrows, Livne, Ott, Murphy, ApJL 2008]



- Rapid rotation + MHD can inhibit (or delay) BH formation in GRB progenitors.
- Standard Collapsar scenario may not work as straightforwardly as thought.