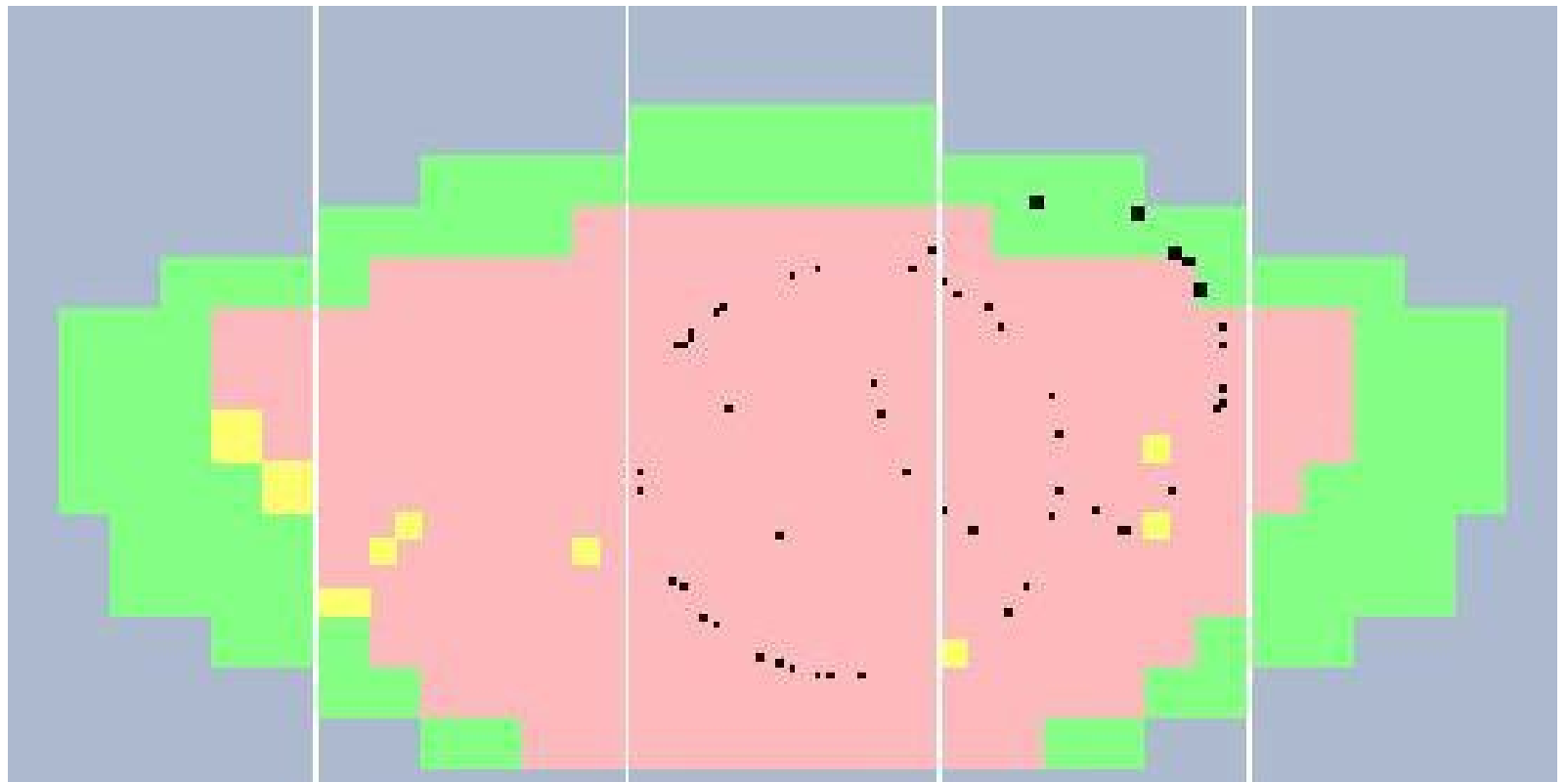
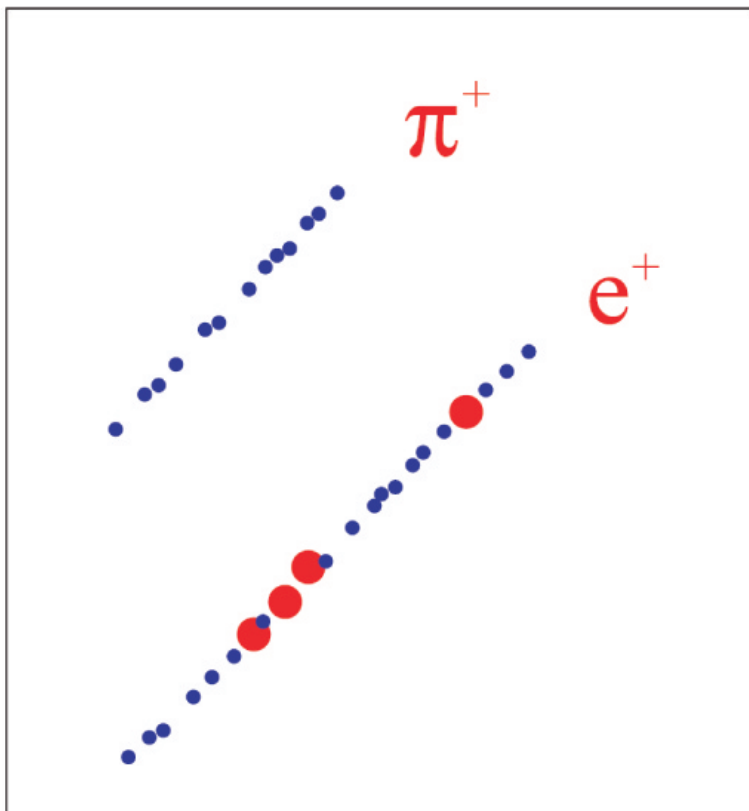


Particle identification in HEP experiments

Samo Korpar

University of Maribor and J. Stefan Institute, Ljubljana

ICFA School on Instrumentation in Elementary Particle & Nuclear Physics
TIFR, Mumbai, India, February 12th-25th, 2023



Outline:

- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- **Cherenkov based PID devices**
 - **Threshold detectors**
 - **RICH detectors**
 - **DIRC type detectors**

Outline:

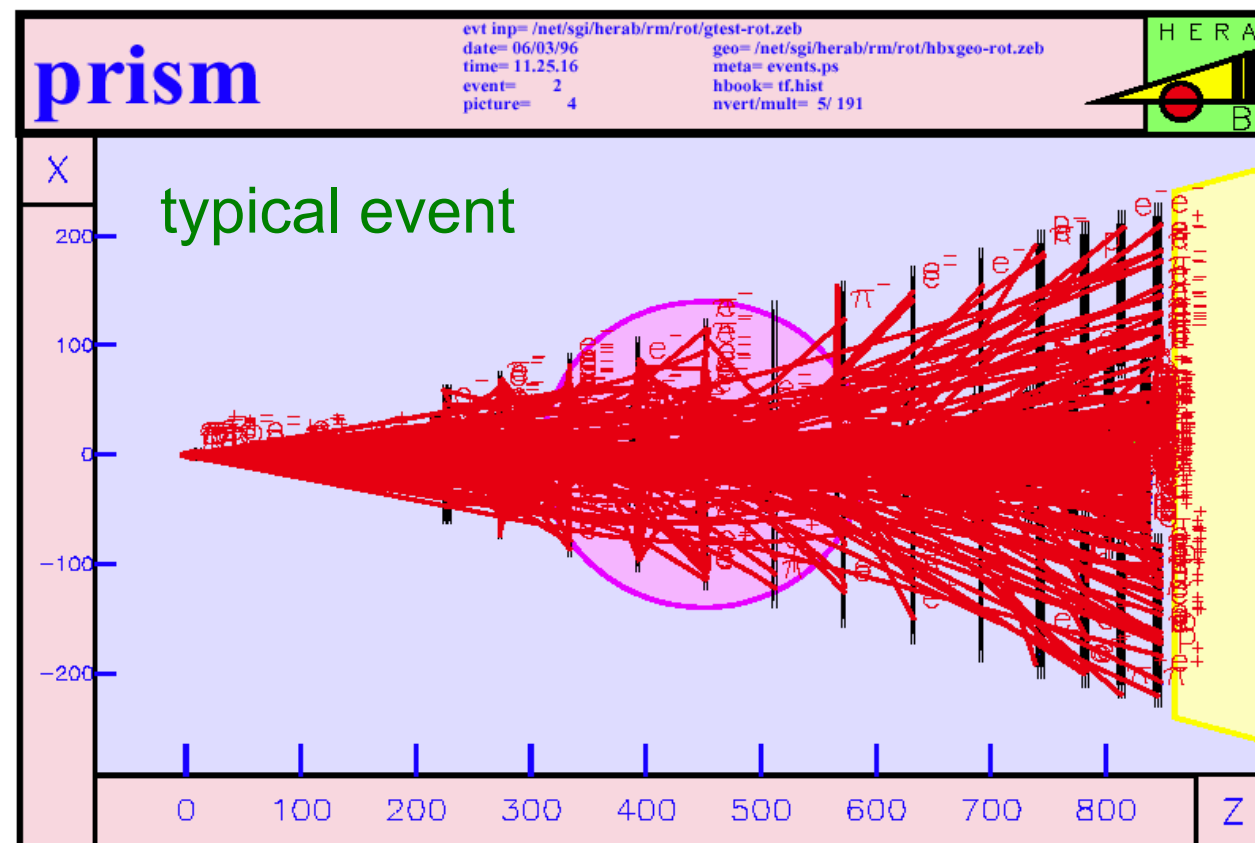
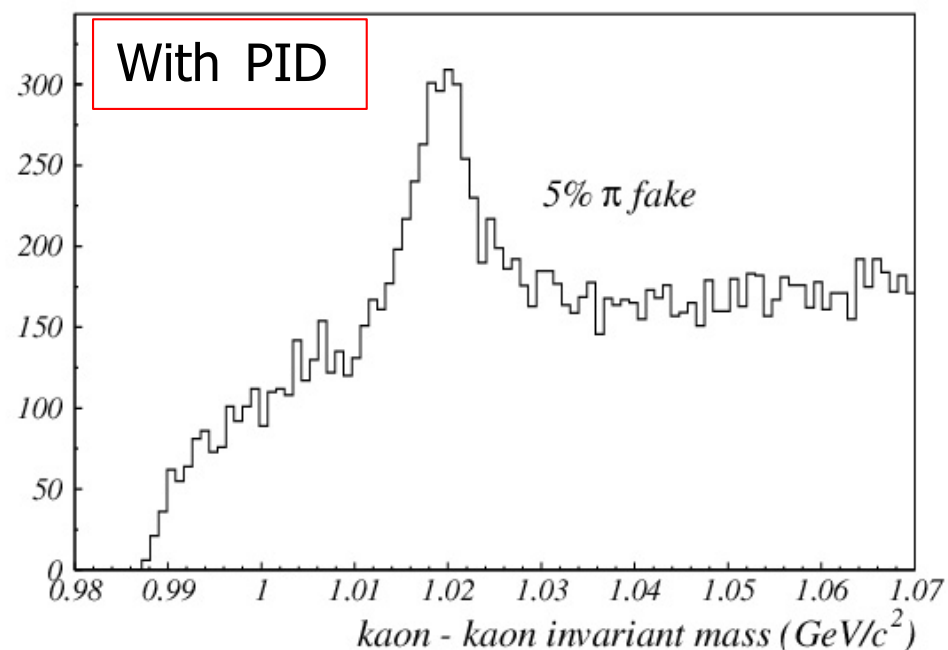
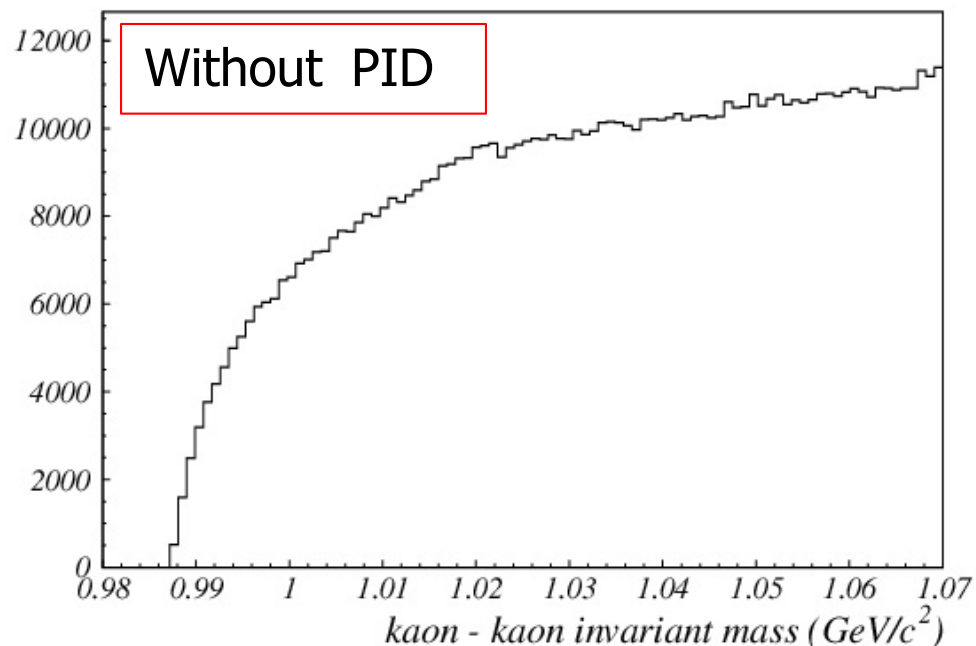
- **Why PID?**
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - Threshold detectors
 - RICH detectors
 - DIRC type detectors

Why we need PID?

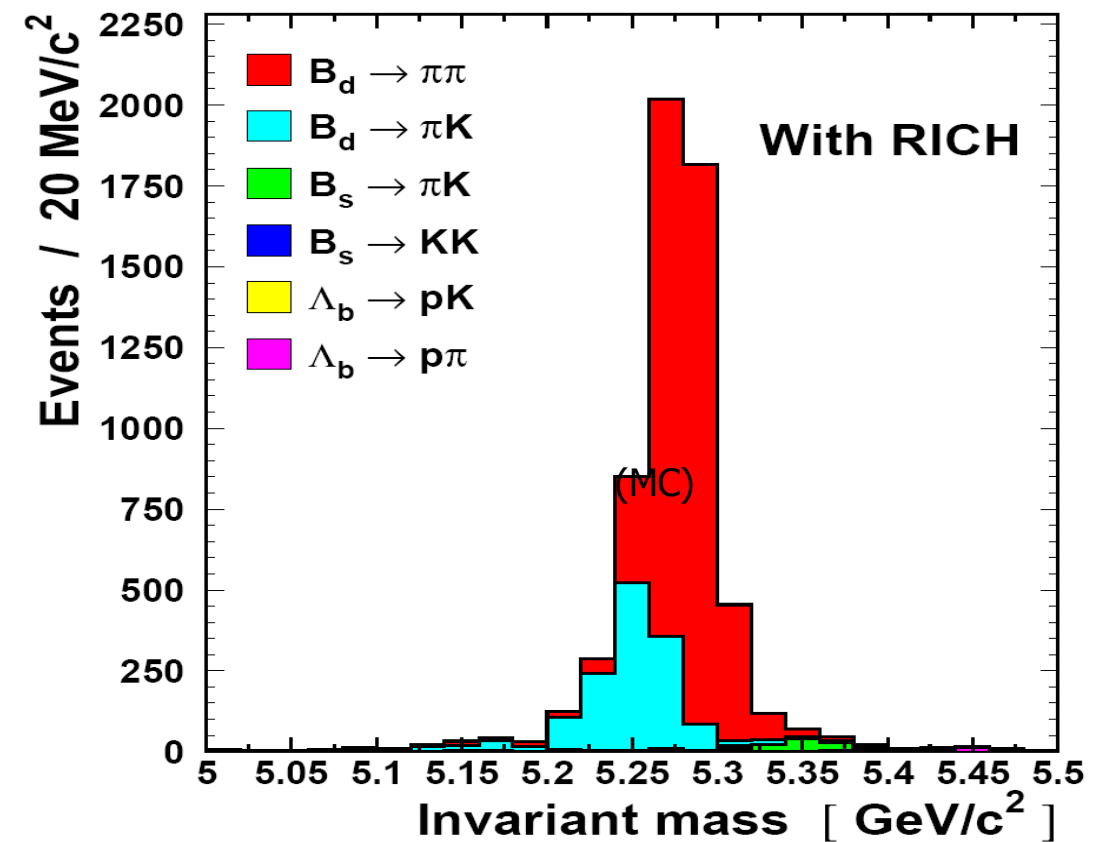
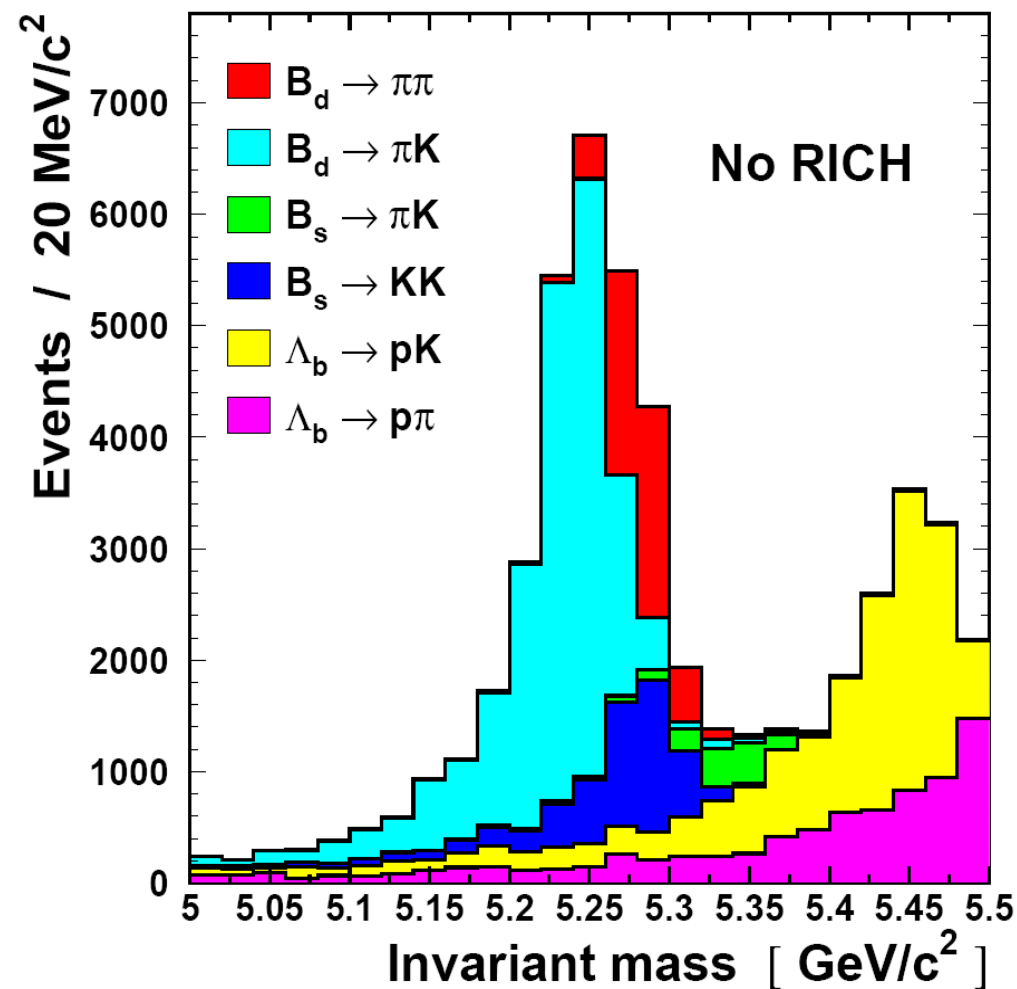
Example: HERA-B

K^+K^- invariant mass.

The $\Phi \rightarrow K^+K^-$ decay only becomes visible after the use of the particle identification, strong suppression of pions was required



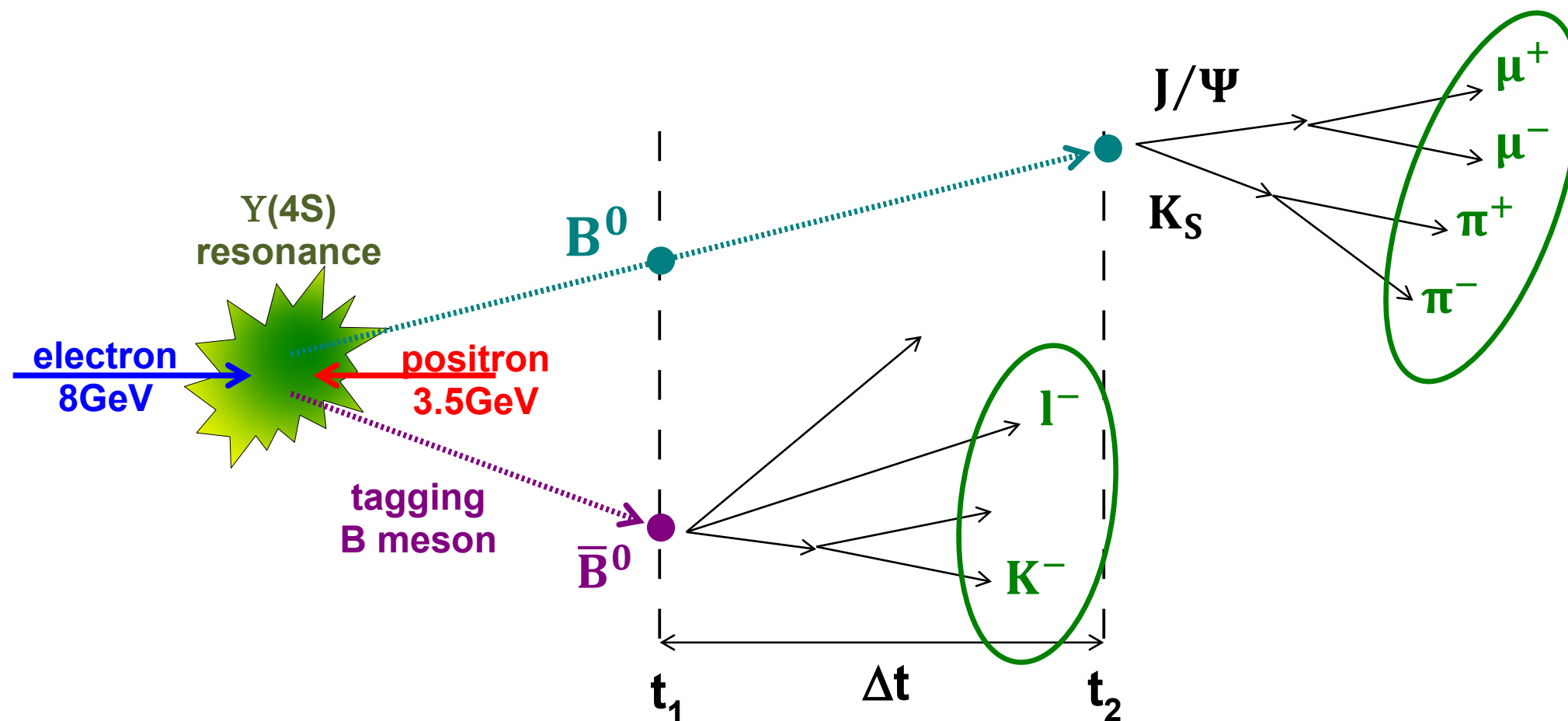
Example 2: LHCb



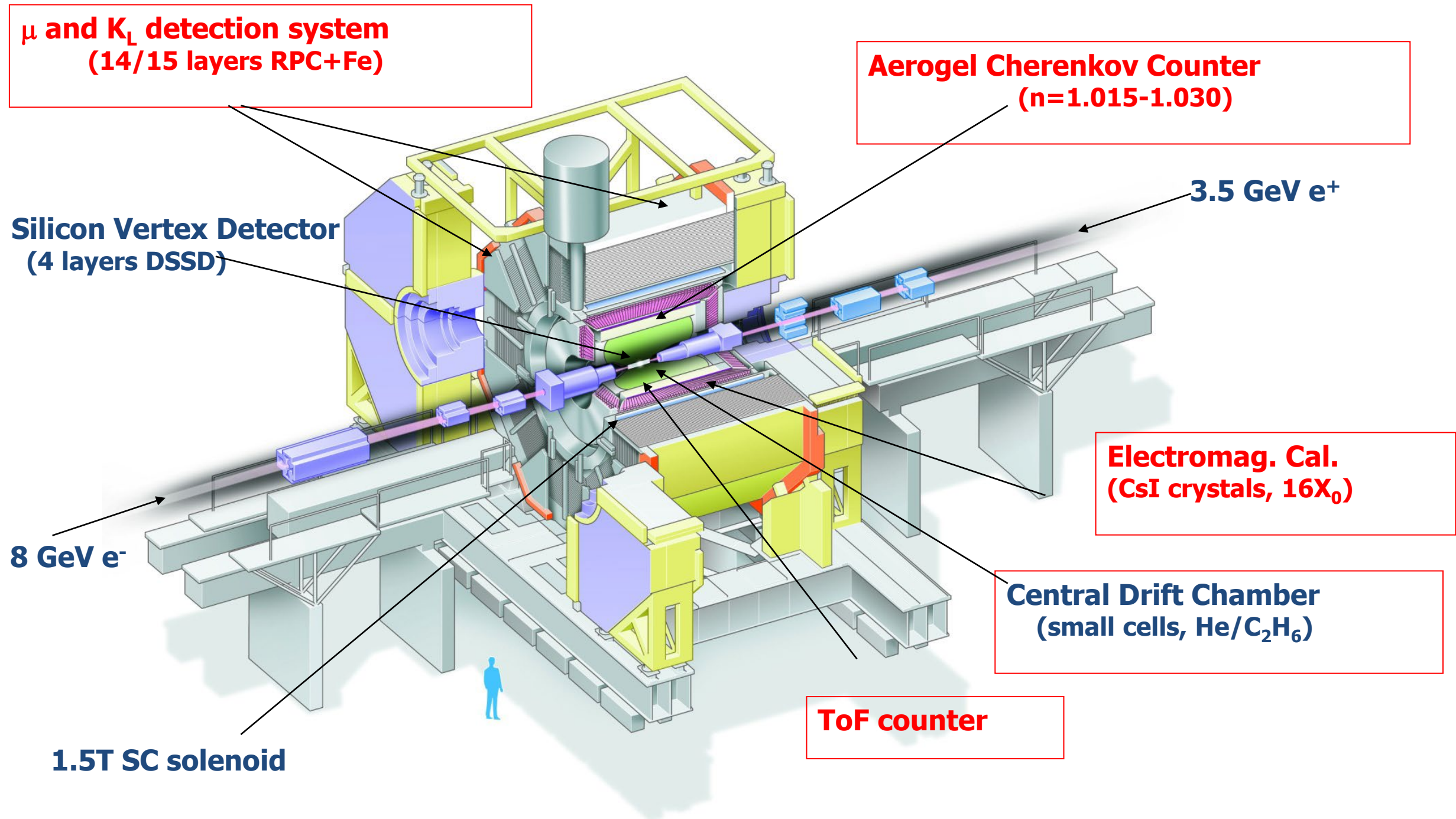
Need to distinguish $B_d \rightarrow \pi^+ \pi^-$ from other similar topology 2-body decays and to distinguish B from anti-B using K tag.

Event reconstruction

- Most of the particles are short-lived and decay before reaching the detectors
- Their detection is based on decay products, detected in the spectrometer



Spectrometer Belle



Masses and lifetimes for some particles

Particle		Mass [MeV/c ²]	Lifetime t_0 [s]	Range estimate ct_0 [m]
electron (positron)	e^-/e^+	0,511	stable	--
muon	μ^-/μ^+	105,7	$2,2 \times 10^{-6}$	660
tau lepton	τ^-/τ^+	1777	$2,9 \times 10^{-13}$	$8,7 \times 10^{-5}$
neutral pion	π^0	135	$8,4 \times 10^{-17}$	$2,5 \times 10^{-8}$
charged pion	π^+/π^-	139,6	$2,6 \times 10^{-8}$	7,8
short-lived kaon	K_S	498	$9,0 \times 10^{-11}$	$2,7 \times 10^{-2}$
long-lived kaon	K_L	498	$5,1 \times 10^{-8}$	15,3
charged kaon	K^+/K^-	494	$1,2 \times 10^{-8}$	3,6
neutral B meson	$B^0/\bar{B}^0$	5279,6	$1,5 \times 10^{-12}$	$4,5 \times 10^{-4}$
charged B meson	B^+/B^-	5279,3	$1,5 \times 10^{-12}$	$4,5 \times 10^{-4}$
J/Ψ meson	J/Ψ	3097	$7,2 \times 10^{-21}$	$2,2 \times 10^{-12}$
proton (antiproton)	$p/\bar{p}$	938,2	stable	--
neutron	$n/\bar{n}$	939,6	885,7	$2,7 \times 10^{11}$

Particle IDentification - PID

- particles are identified by their mass or interaction
- charged and neutral particles that live long enough to rich detectors:

$e, \mu, \pi, K, p, d, \gamma, K_L, n$

- momentum is measured by track curvature in magnetic field
- in addition we can measure velocity:

- Time Of Flight - TOF
- ionization loss – dE/dx , dN/dx
- Cherenkov radiation
(threshold, RICH, DIRC ...)
- transition radiation

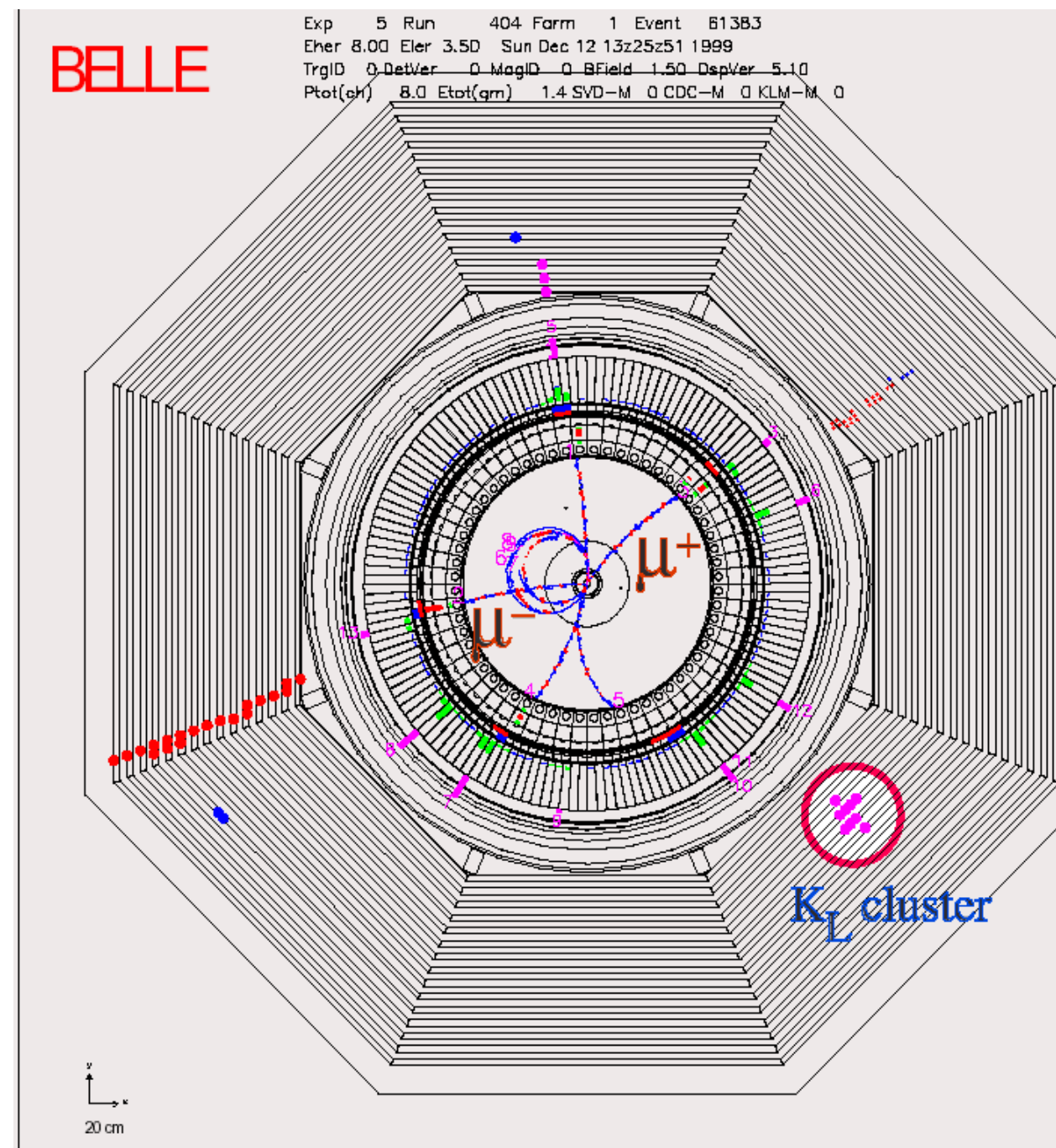
- or identify by specific interaction:

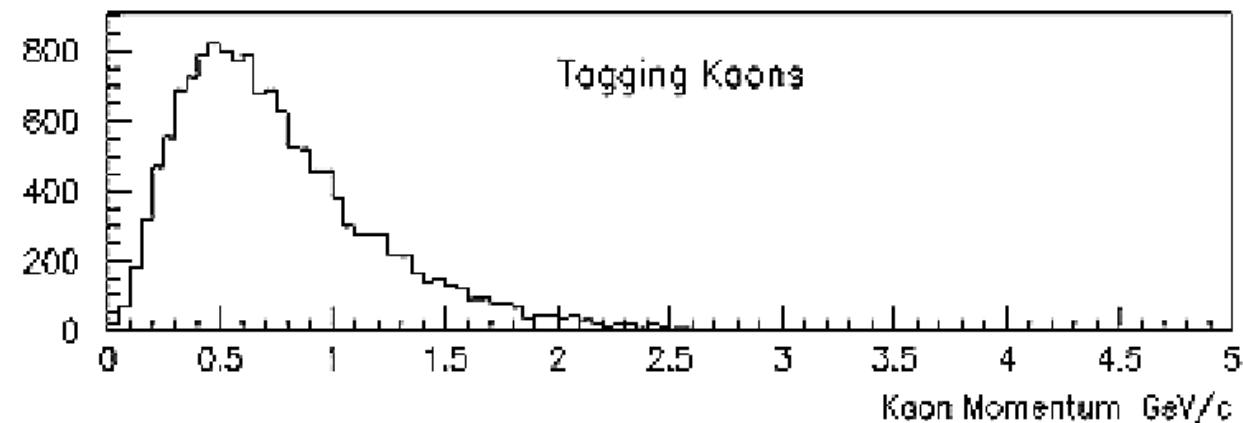
- electrons $\rightarrow$ EM calorimeters
- muons $\rightarrow$ muon detectors

$$p = \beta\gamma mc$$

$$E = \gamma mc^2$$

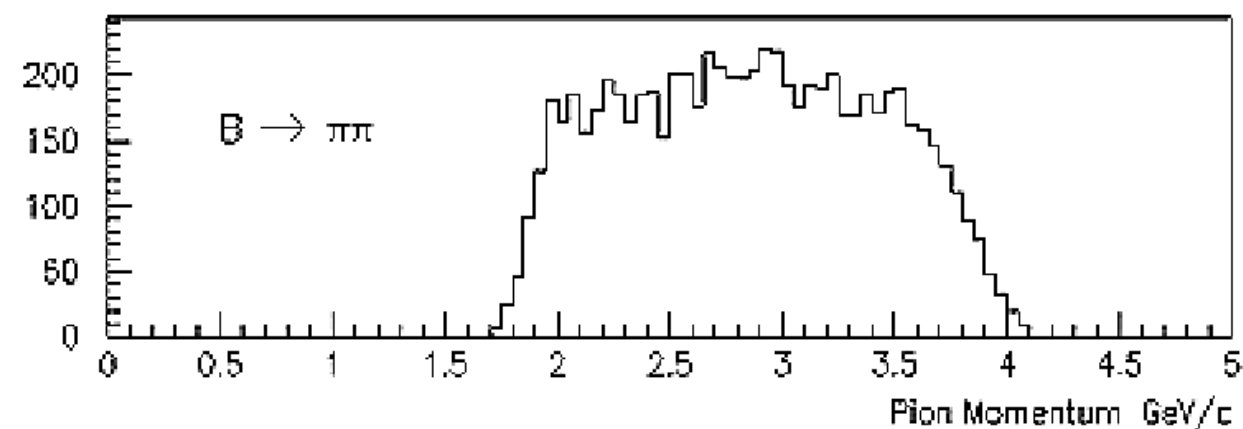
$$\frac{E}{pc} = \beta \approx 1$$





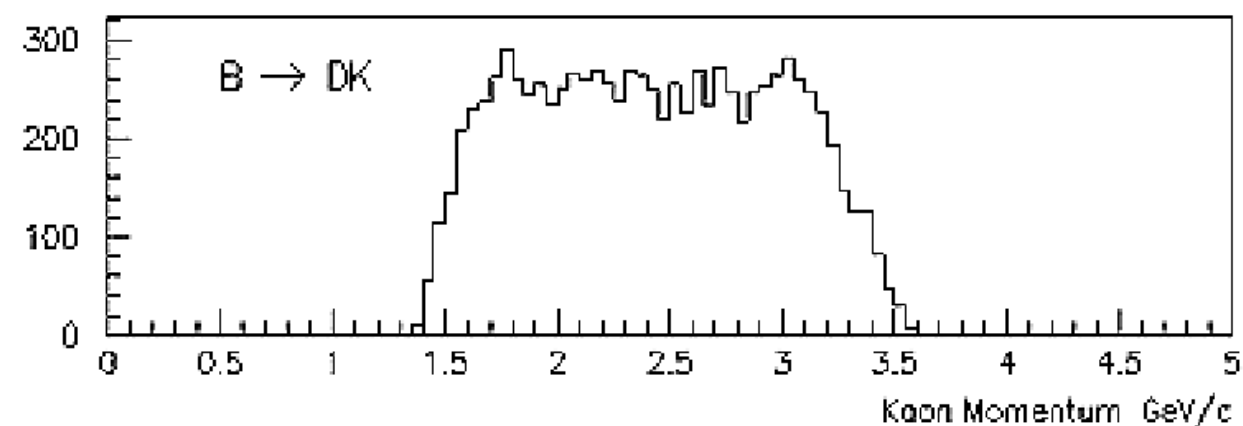
Tagging Kaons

Relatively soft



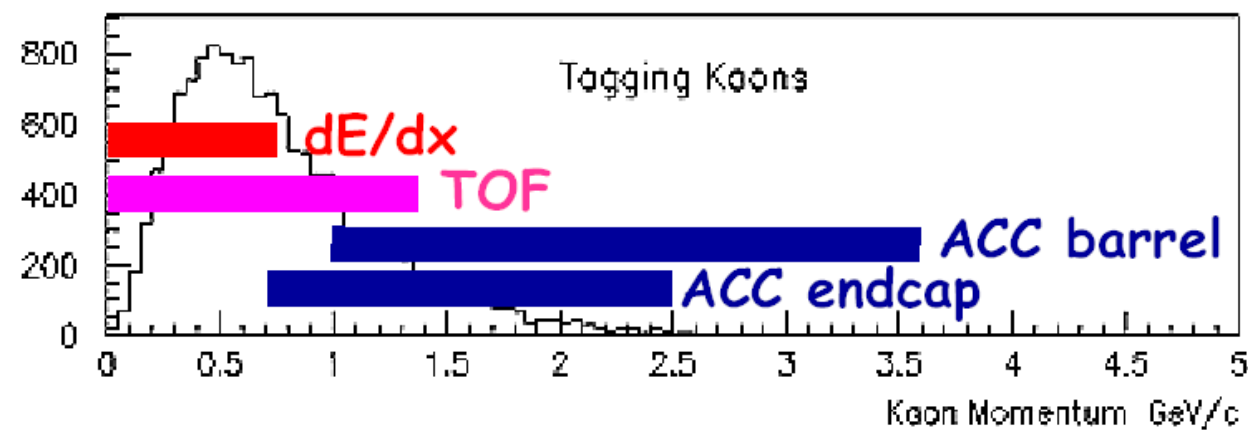
$B \rightarrow \pi\pi$

Requires
dedicated PID

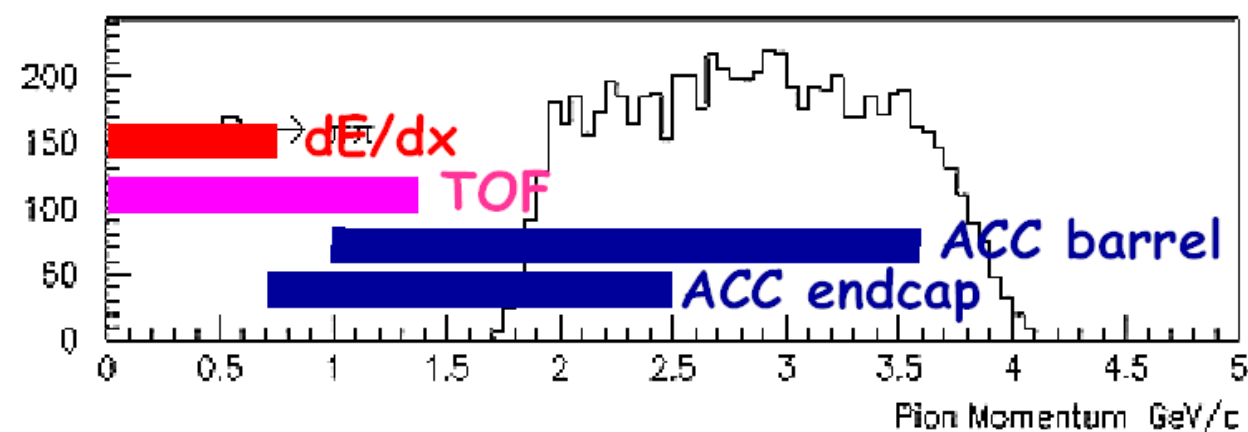


$B \rightarrow DK$

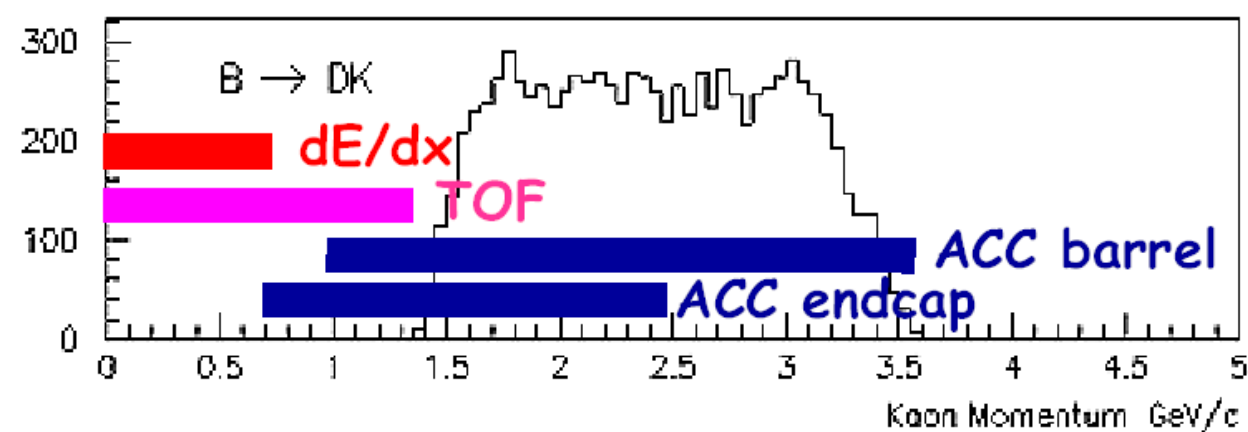
Requires
dedicated PID



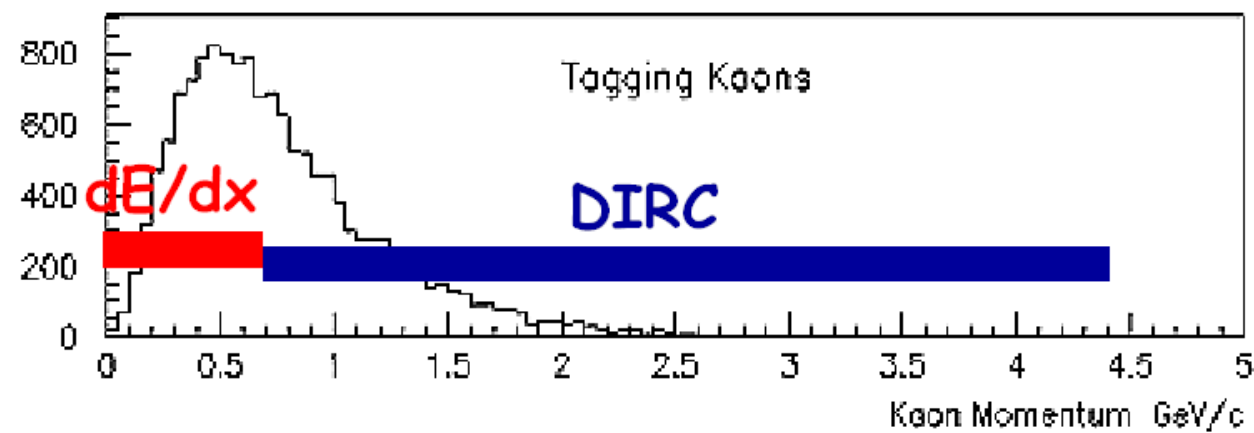
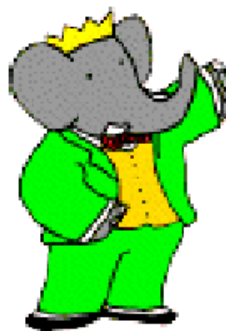
Tagging Kaons



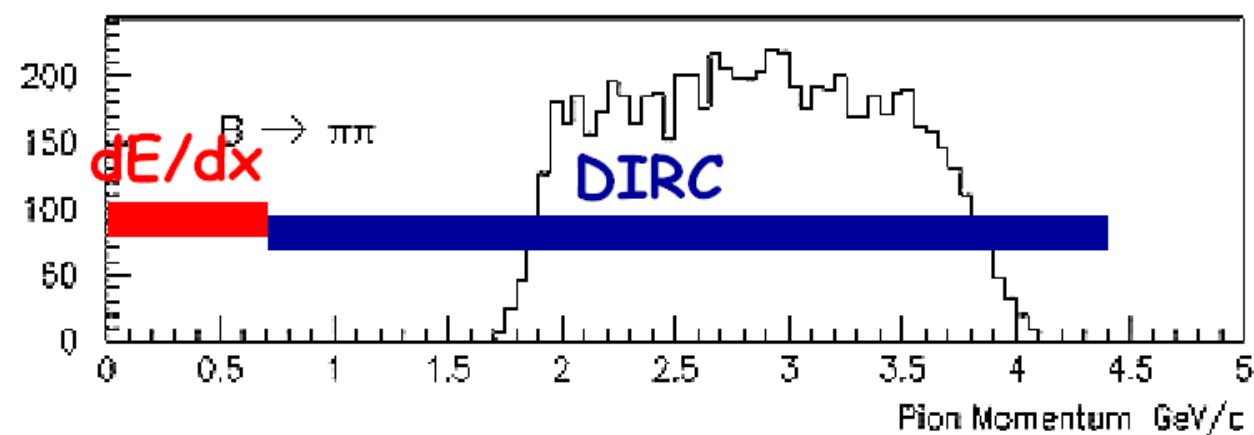
$B \rightarrow \pi\pi$



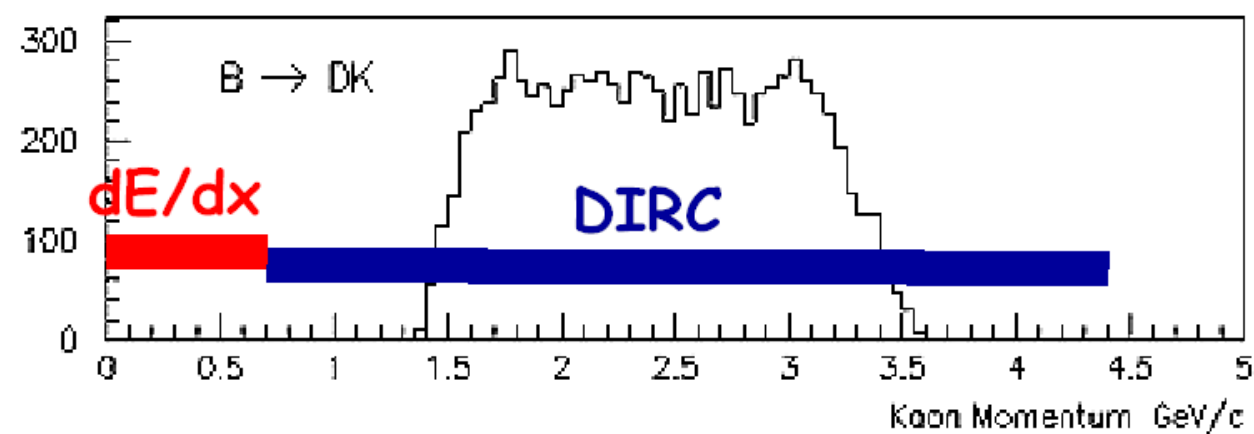
$B \rightarrow DK$



Tagging Kaons

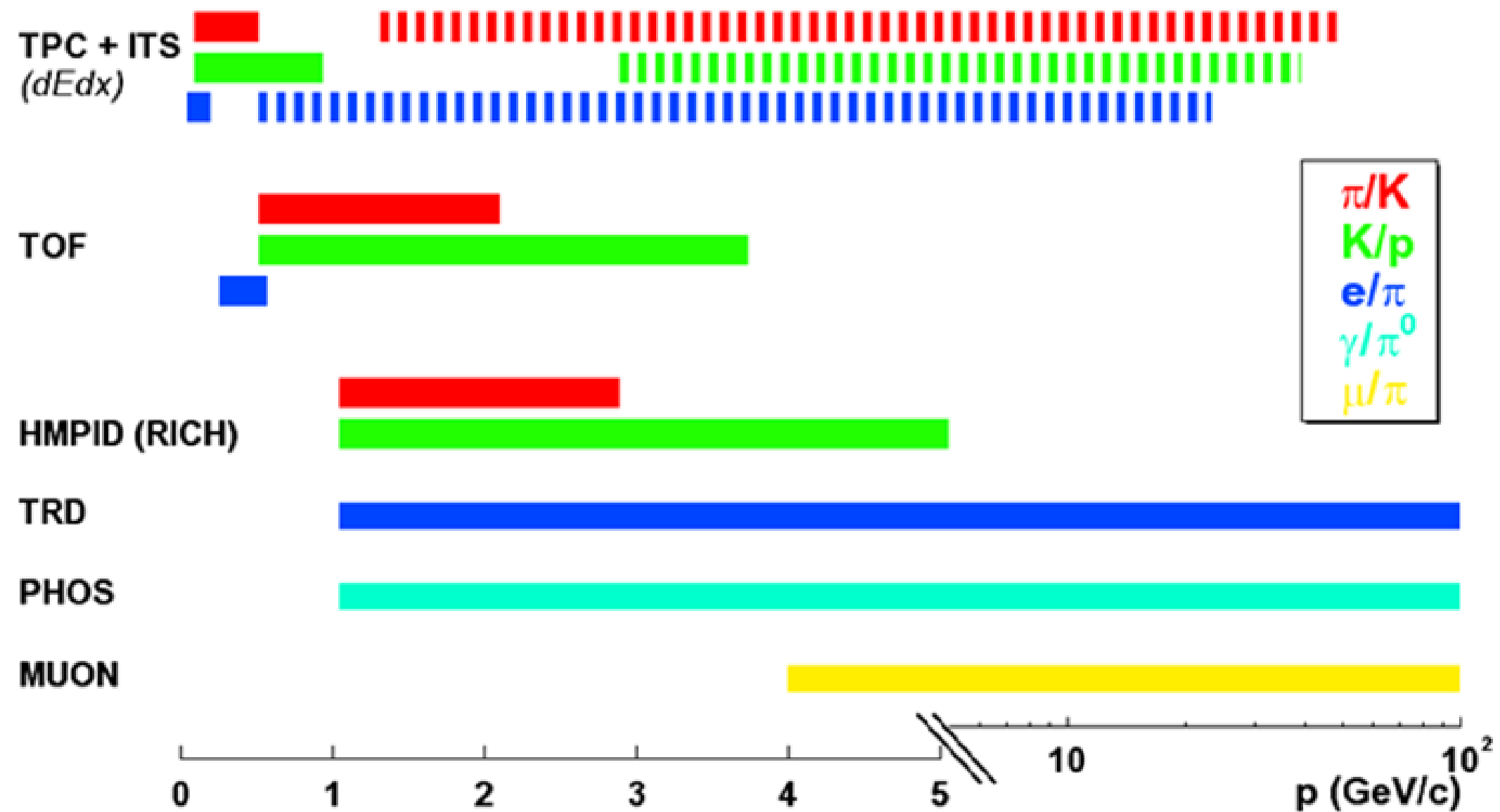


$B \rightarrow \pi\pi$



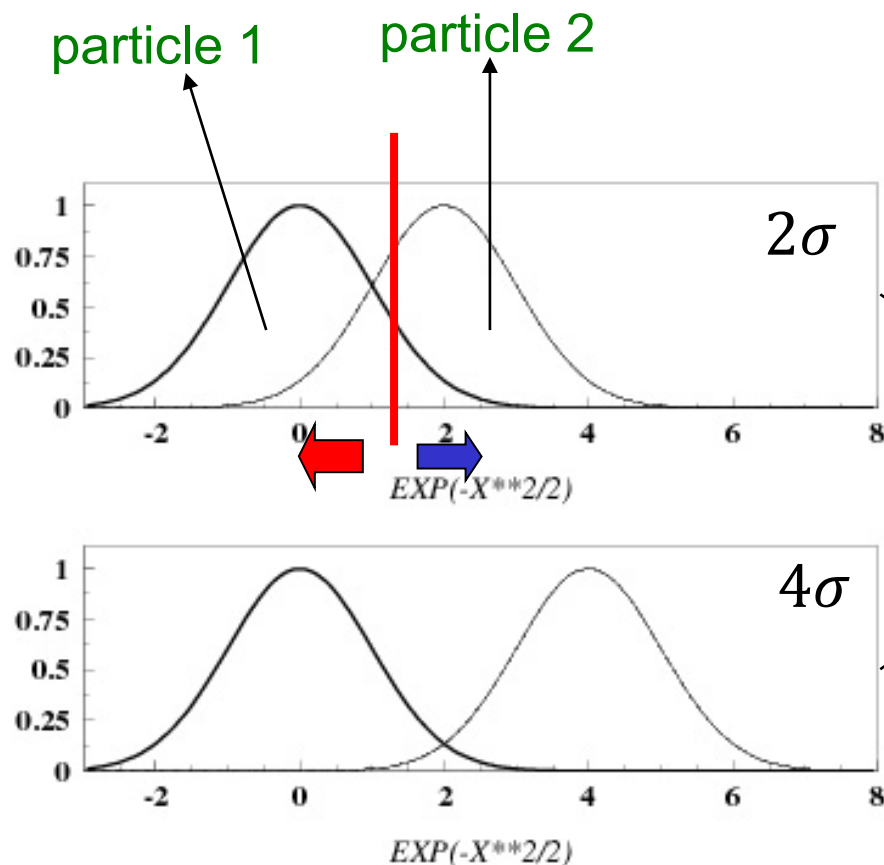
$B \rightarrow DK$

Momentum range for different PID methods at ALICE



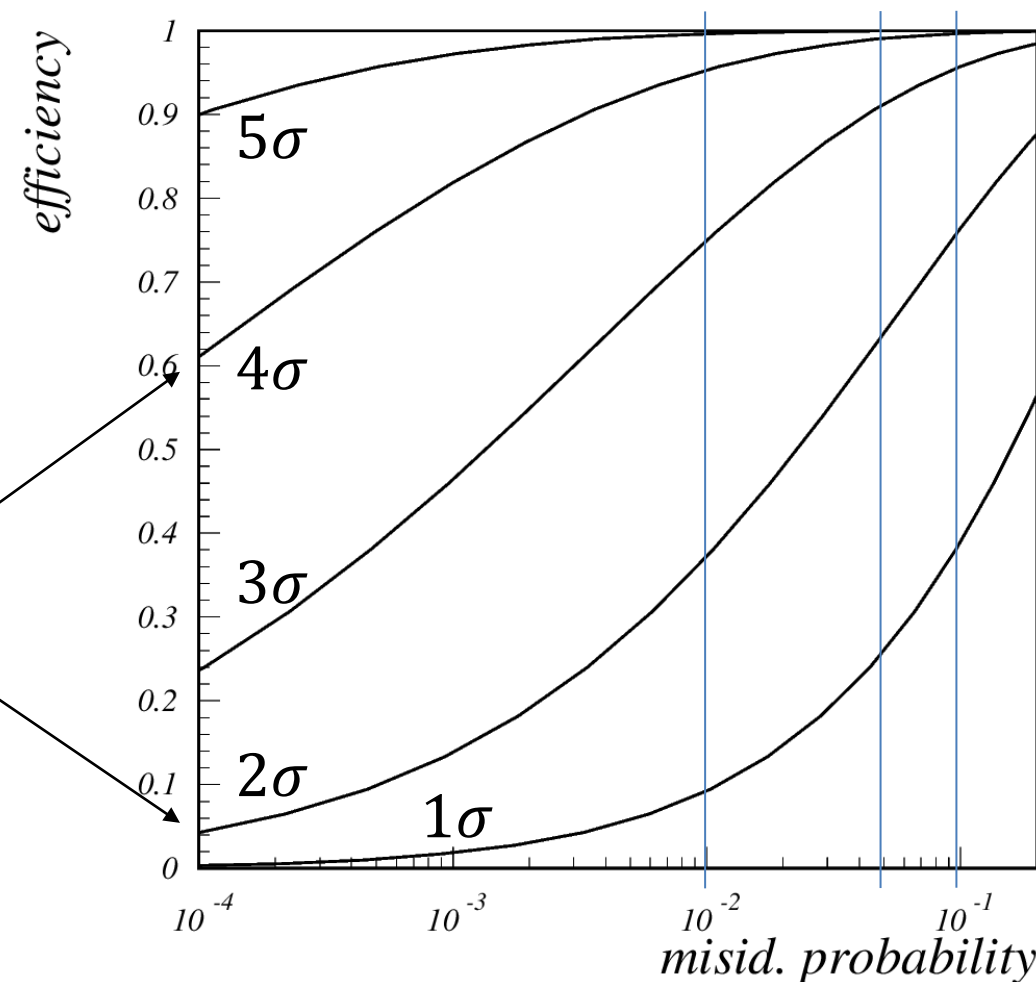
ALICE: P.P.R., vol. 1", J.Phys.G30:1517-1763,2004

Efficiency and purity are tightly coupled!



some discriminating variable
(eg., time of flight, likelihood ...)

Efficiency vs. misidentification probability



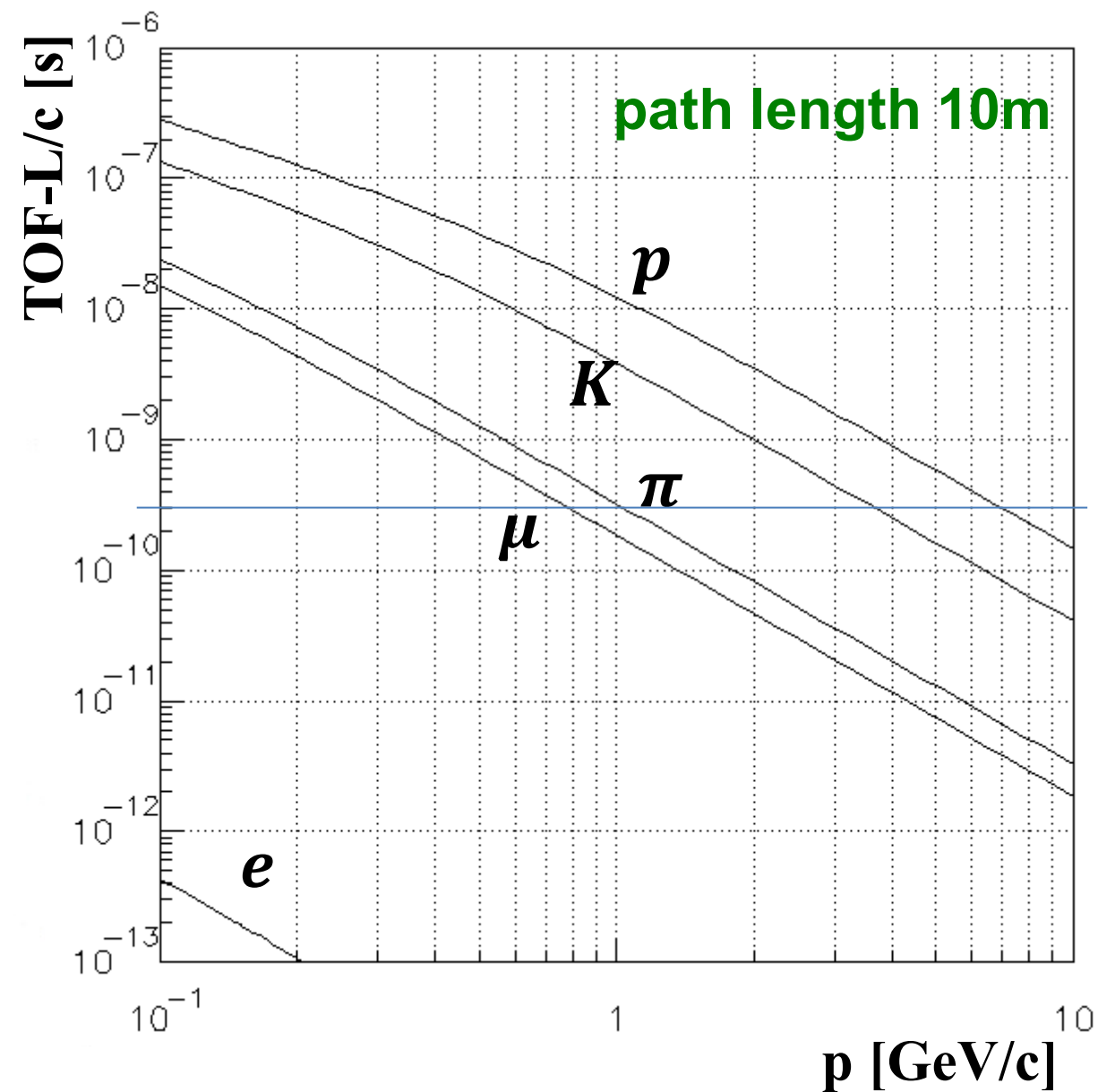
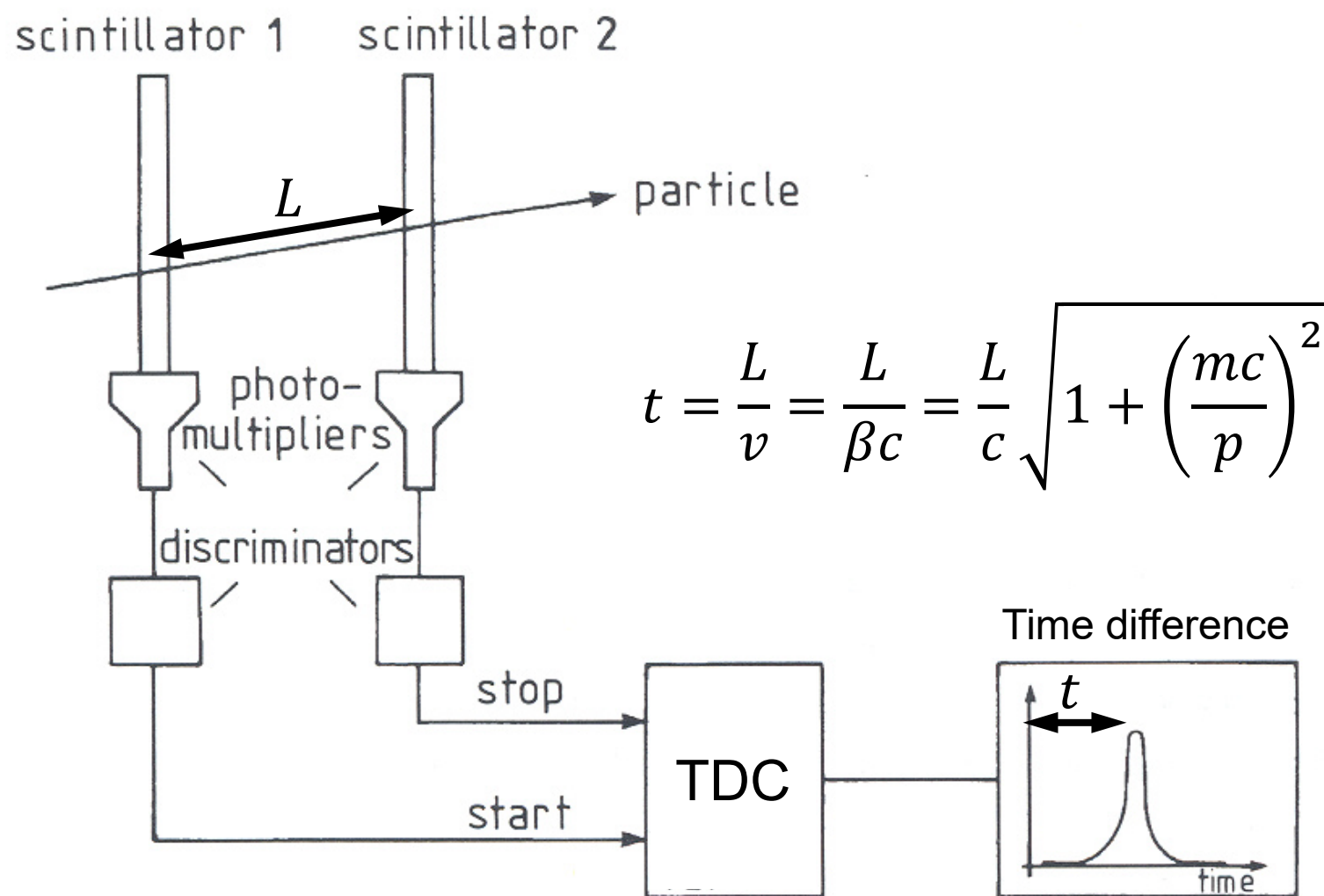
(A variation of a Receiver Operating Characteristic (ROC) curve for binary classification parameter that shows efficiency vs. misid. probability as parameter is varied.)

Outline:

- Why PID?
- **TOF detectors**
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - Threshold detectors
 - RICH detectors
 - DIRC type detectors

Time-Of-Flight (TOF)

- measure particle travel time over known distance
- typical resolution $\sigma_t \approx 100$ ps



- measured TOF difference

$$t = \frac{L}{v} = \frac{L}{\beta c} = \frac{L}{c} \sqrt{1 + \left(\frac{mc}{p}\right)^2}$$

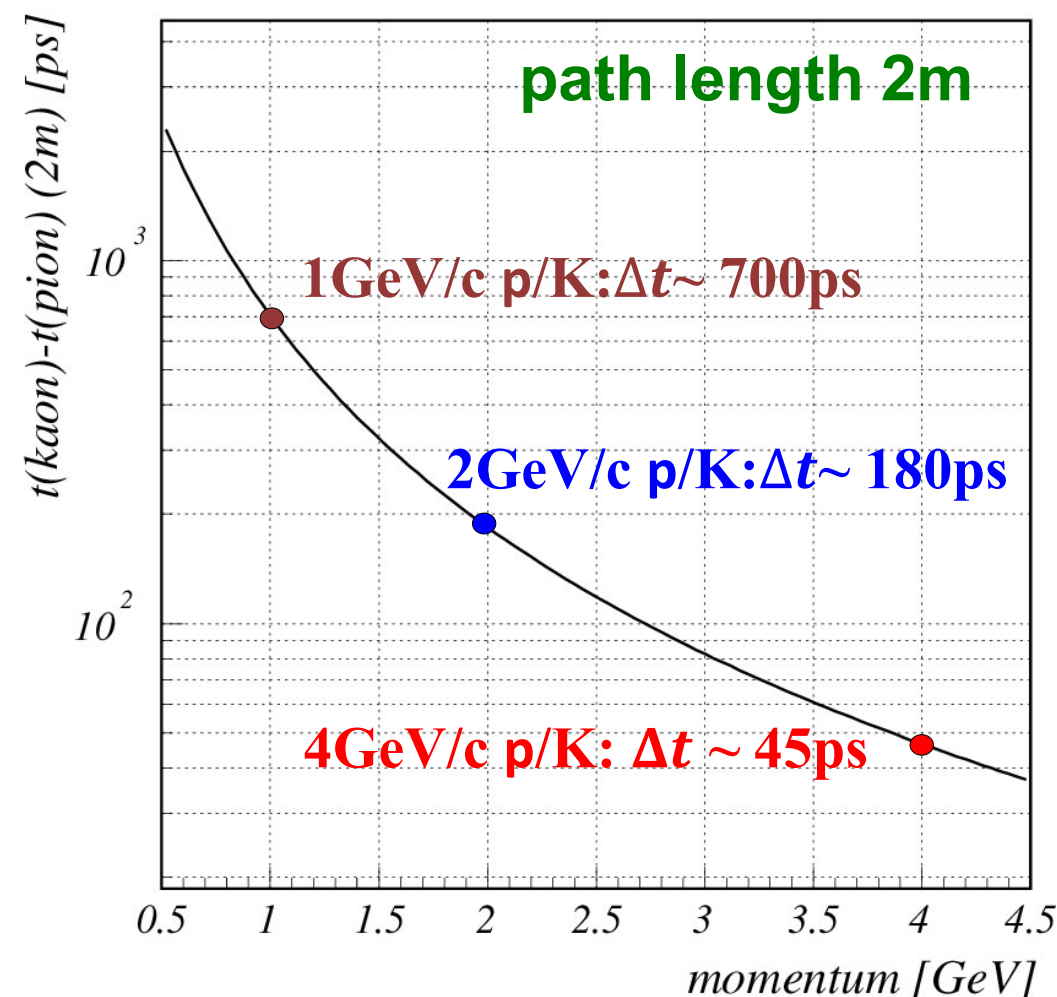
$$\Delta t = \frac{L}{c} \left(\sqrt{1 + \left(\frac{m_1 c}{p}\right)^2} - \sqrt{1 + \left(\frac{m_2 c}{p}\right)^2} \right) \approx \frac{Lc}{2p^2} (m_1^2 - m_2^2)$$

- typical time resolution $\sigma_t \approx 100$ ps
- 5σ π/K separation up to ≈ 1.2 GeV/c for $L = 2$ m

- mass resolution ($m = \frac{p}{c} \sqrt{\left(\frac{ct}{L}\right)^2 - 1}$)

$$\frac{\sigma_m}{m} = \frac{\sigma_p}{p} \oplus \gamma^2 \left(\frac{\sigma_L}{L} \oplus \frac{\sigma_t}{t} \right) \approx \gamma^2 \frac{\sigma_t}{t}$$

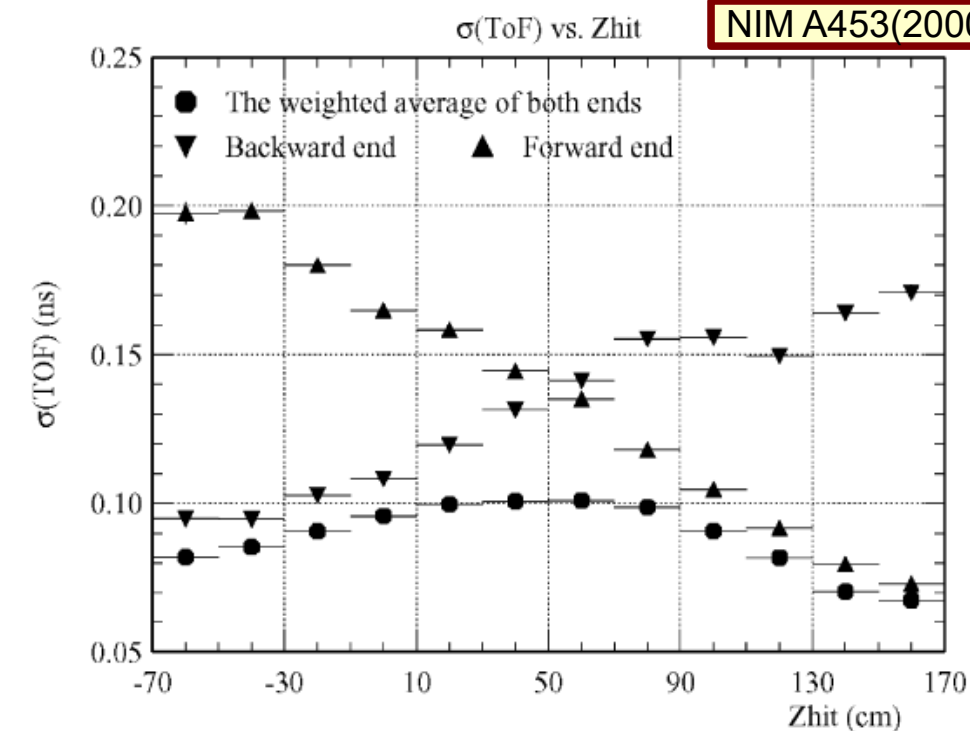
- mainly determined by time resolution



Belle TOF with scintillator counters

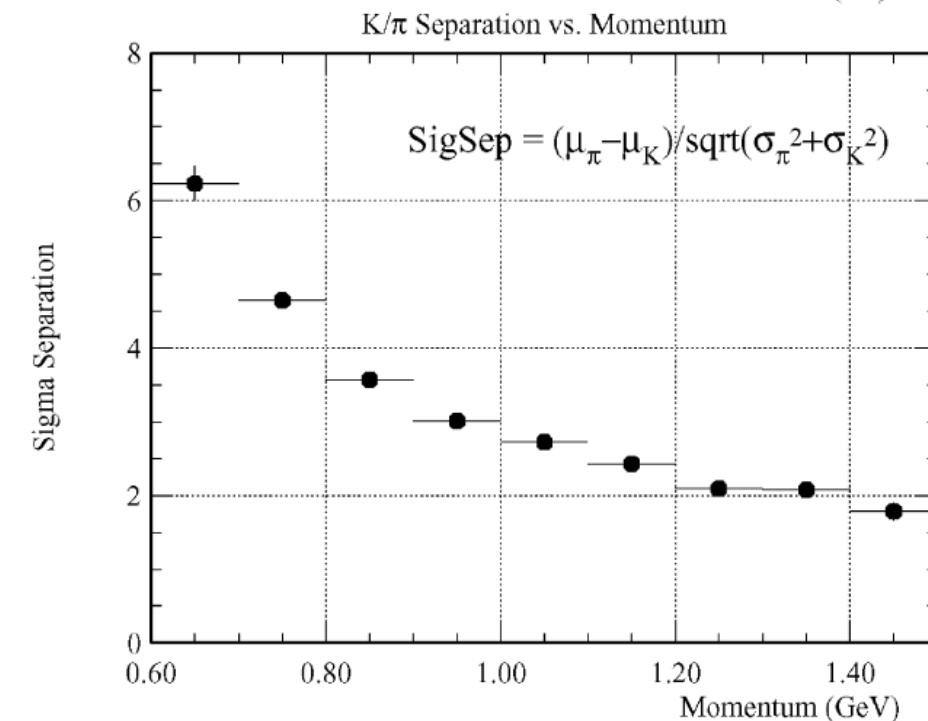
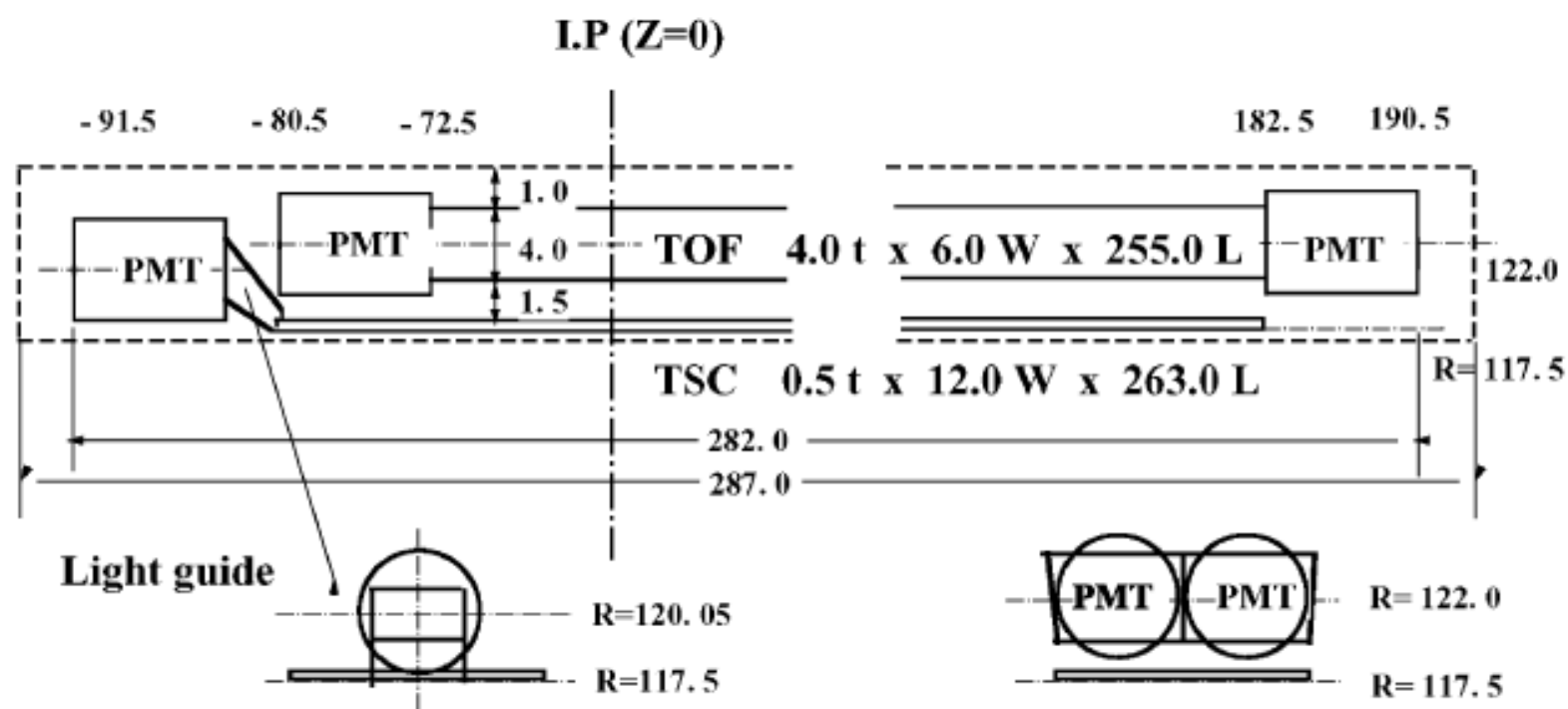
TOF based on scintillation counters:

- 128 255 cm long scintillator bars (BC408), 4x6 cm²
- read out on both sides by finemesh PMT (Hamamatsu R6680)
- start time from collision time t_0 , $\sigma_{t_0} \sim 25$ ps
- ~ 100 ps timing resolution
- 2σ K/ π separation up to ~ 1.25 GeV



Backward

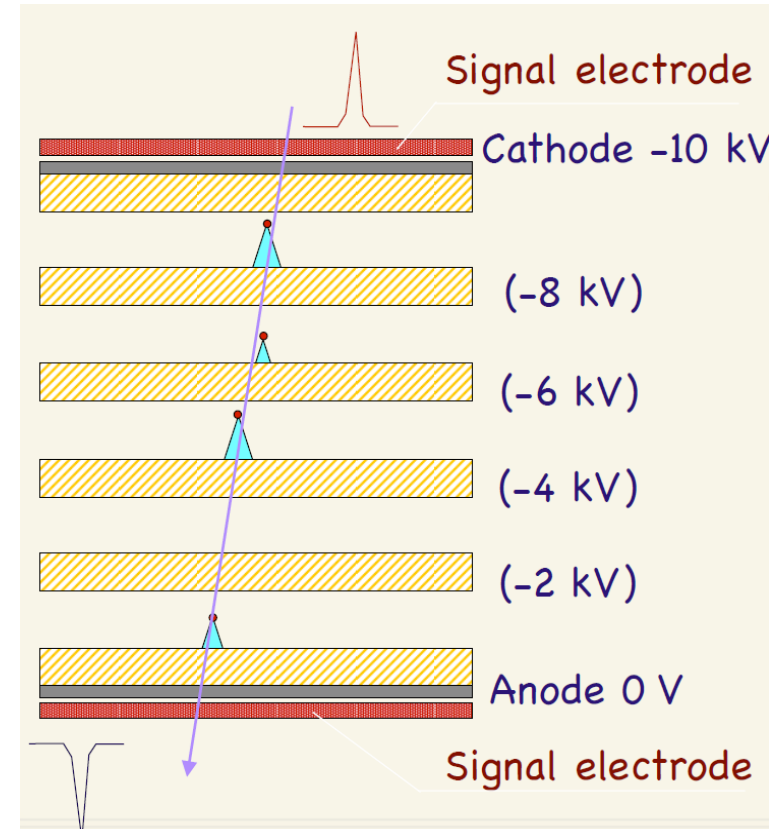
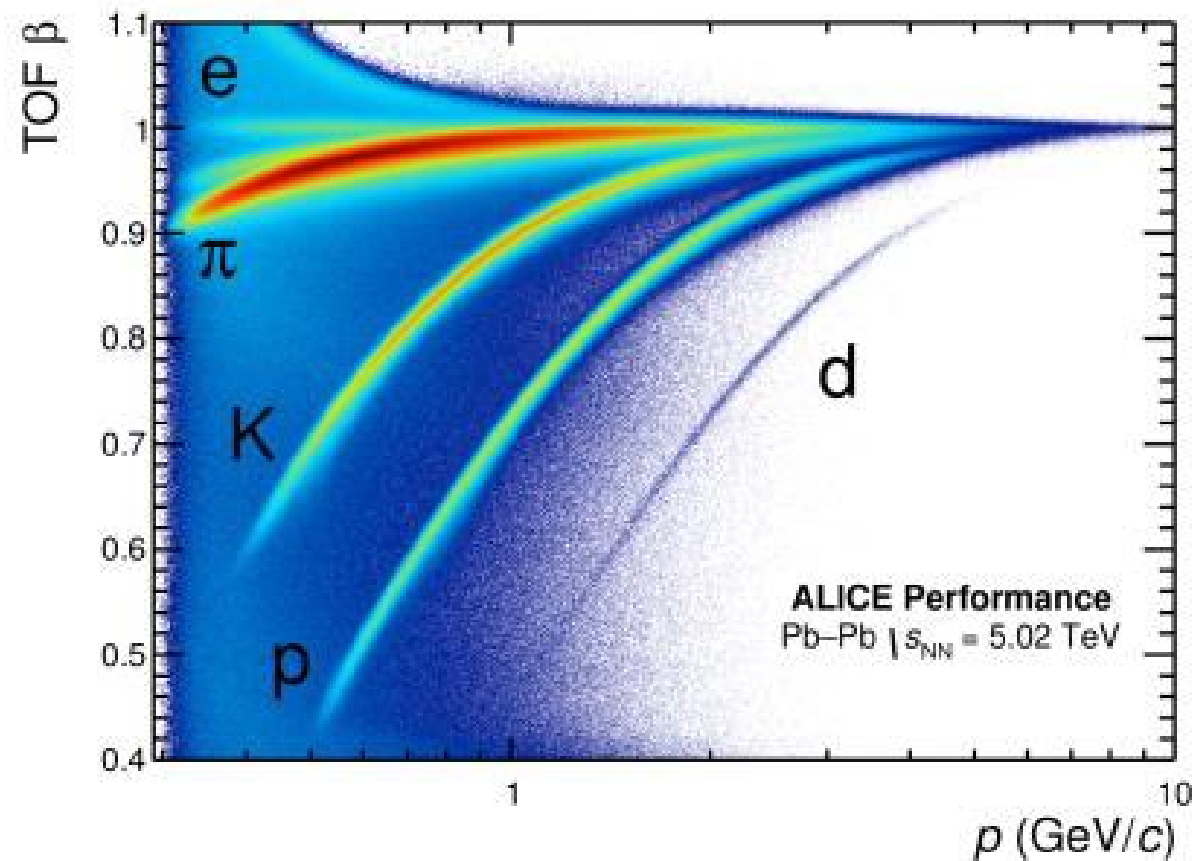
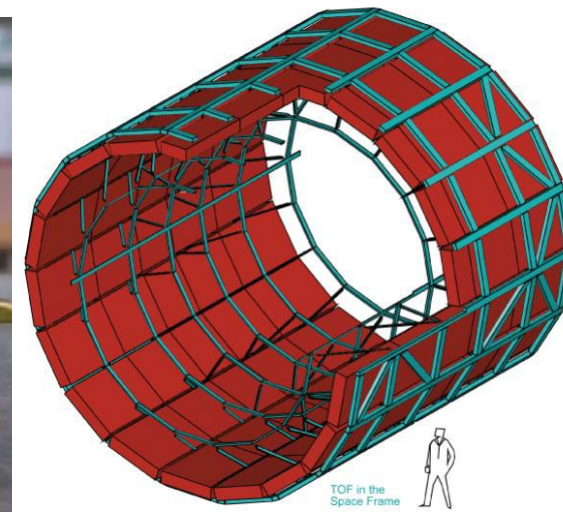
Forward



ALICE TOF with MRPCs

Multi-gap Resistive Plate Chambers:

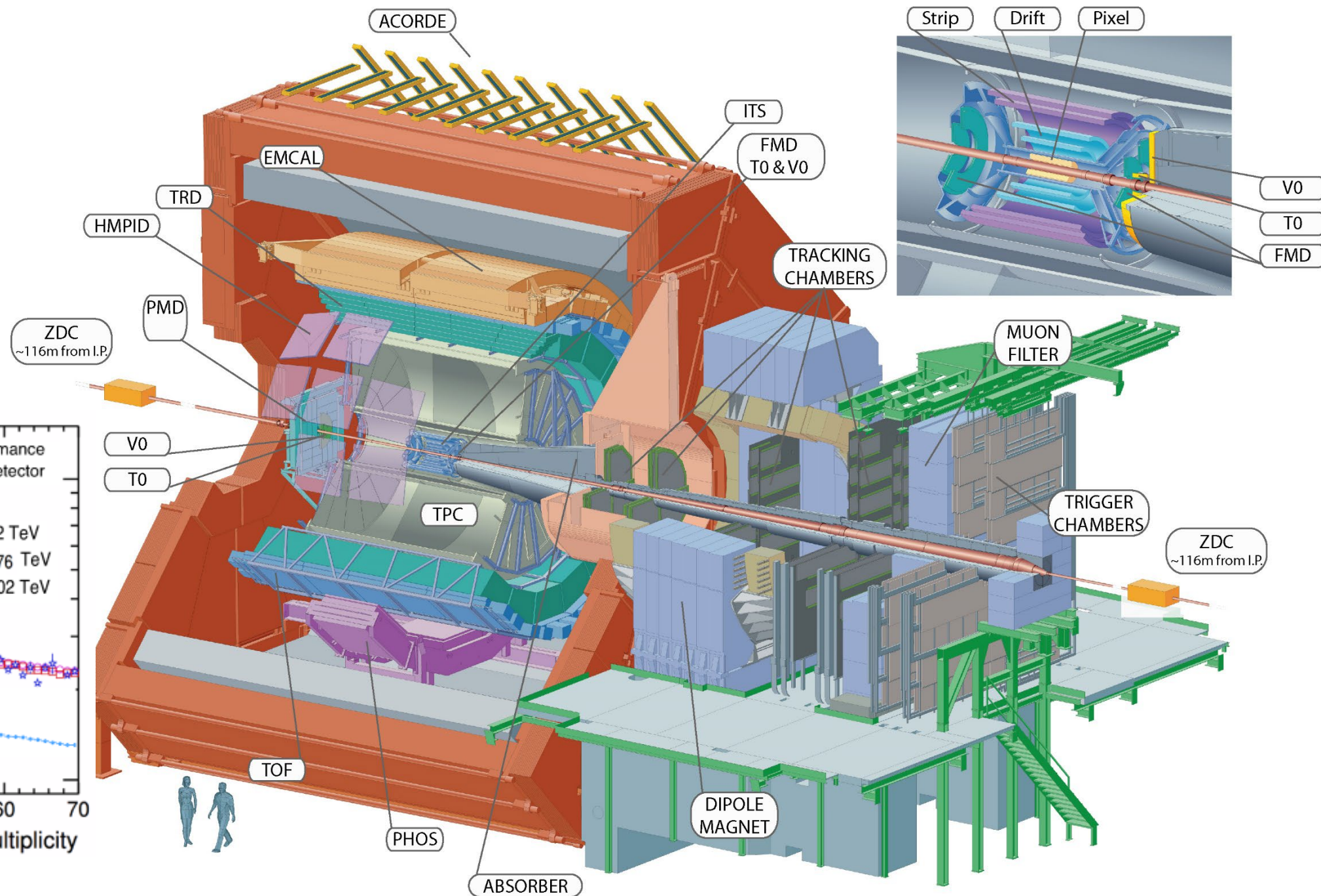
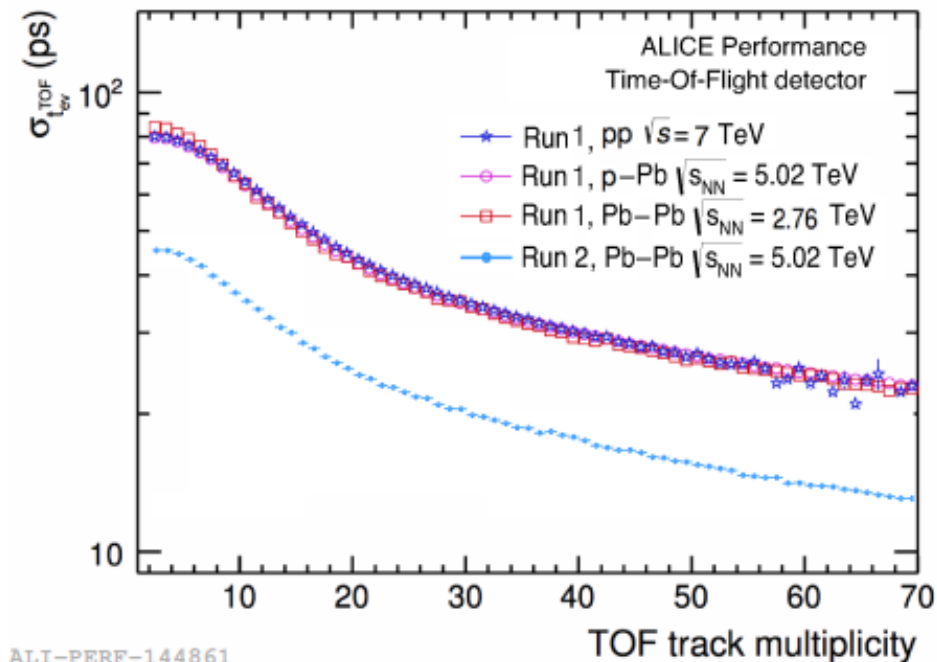
- 2 x 5 gaps – 250 μ m
- ~ 80 ps timing resolution
- K/ π separation up to ~ 2.5 GeV
- requires many tracks for accurate t_0



Eur.Phys.J.Plus 128(2013)44

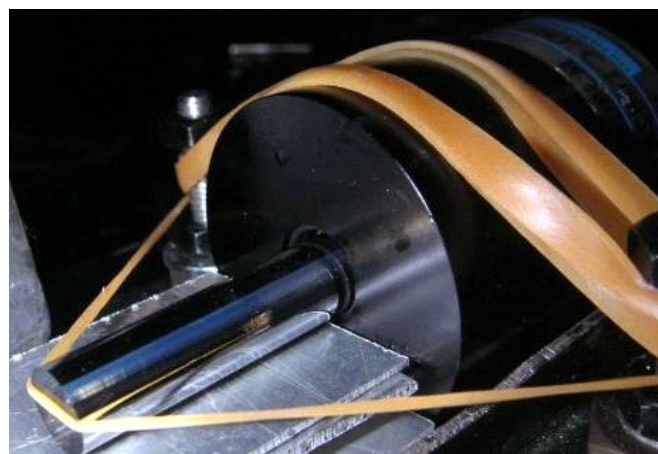
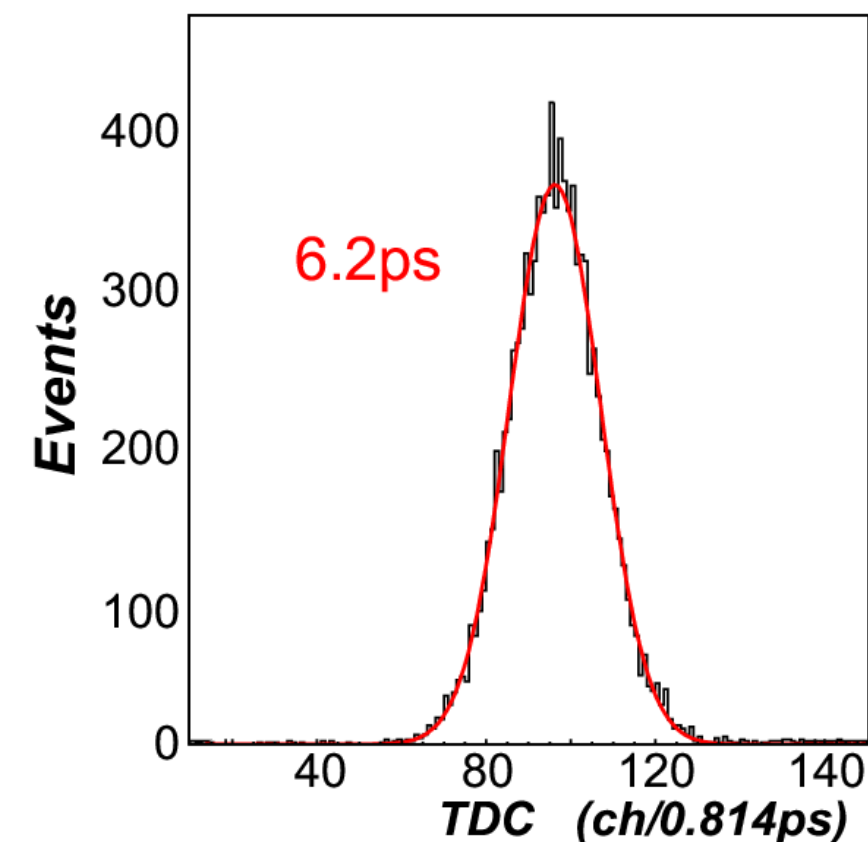
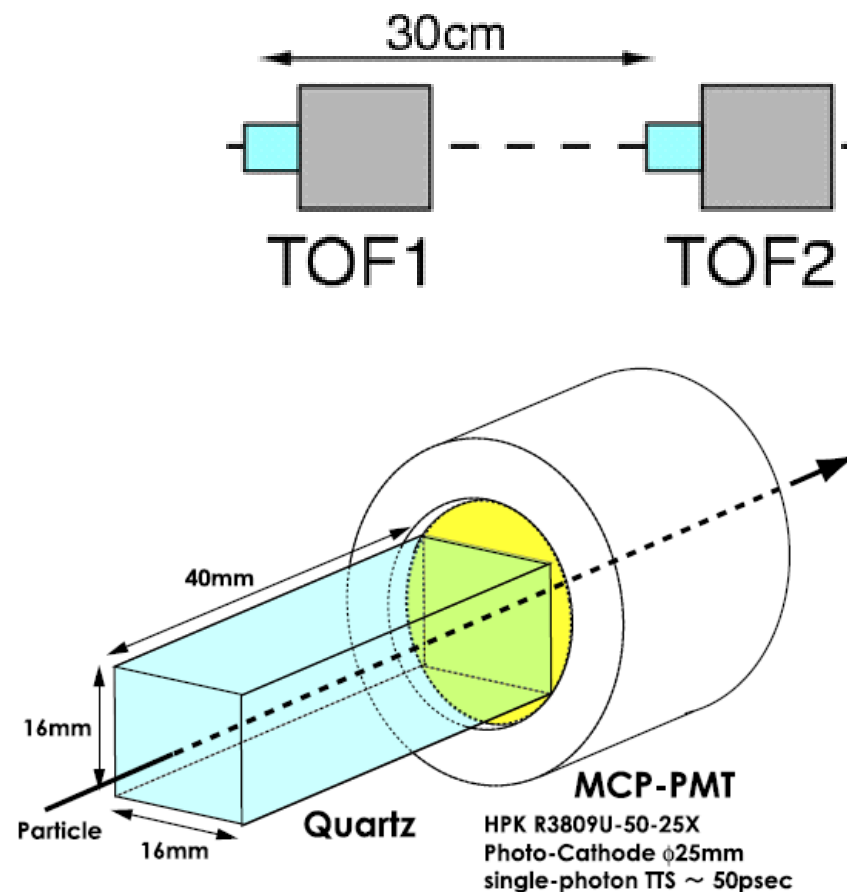
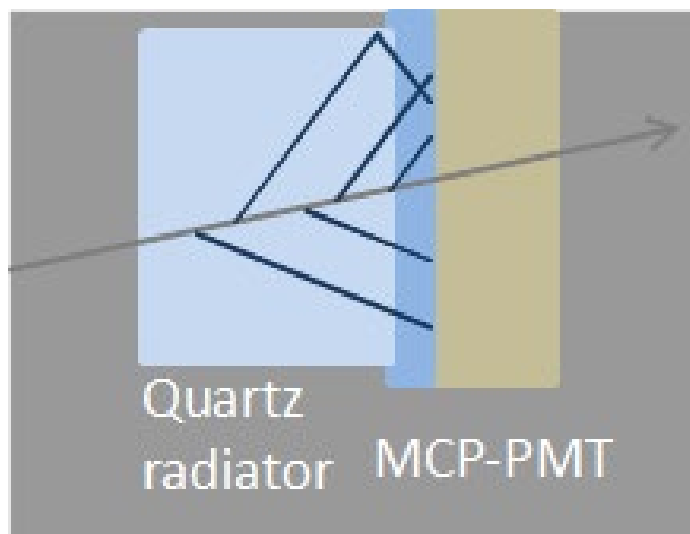
A Large Ion Collider Experiment

t_0 resolution depends on the number of tracks in the event



TOF - where are the limits?

- Excellent timing properties require fast light source → Cherenkov radiator
- Small prototypes based on MCP-PMT directly attached to the radiator show TOF in the range of ~ 10 ps may be possible



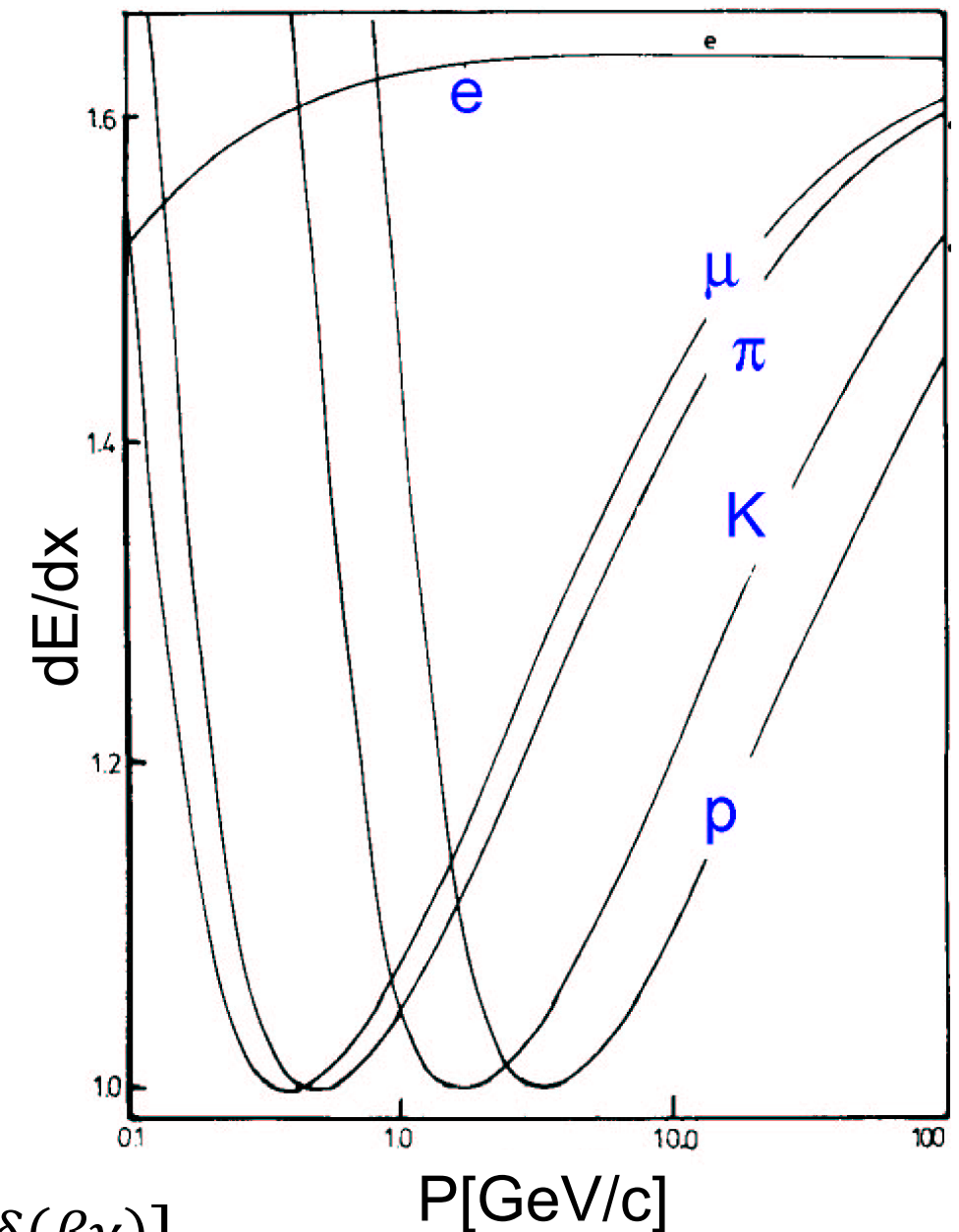
Excellent timing resolution
6.2 ps obtained in the pion
beam (includes contribution
from electronics).

K.Inami@PD07

Outline:

- Why PID?
- TOF detectors
- **Specific ionization loss - dE/dx**
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - Threshold detectors
 - RICH detectors
 - DIRC type detectors

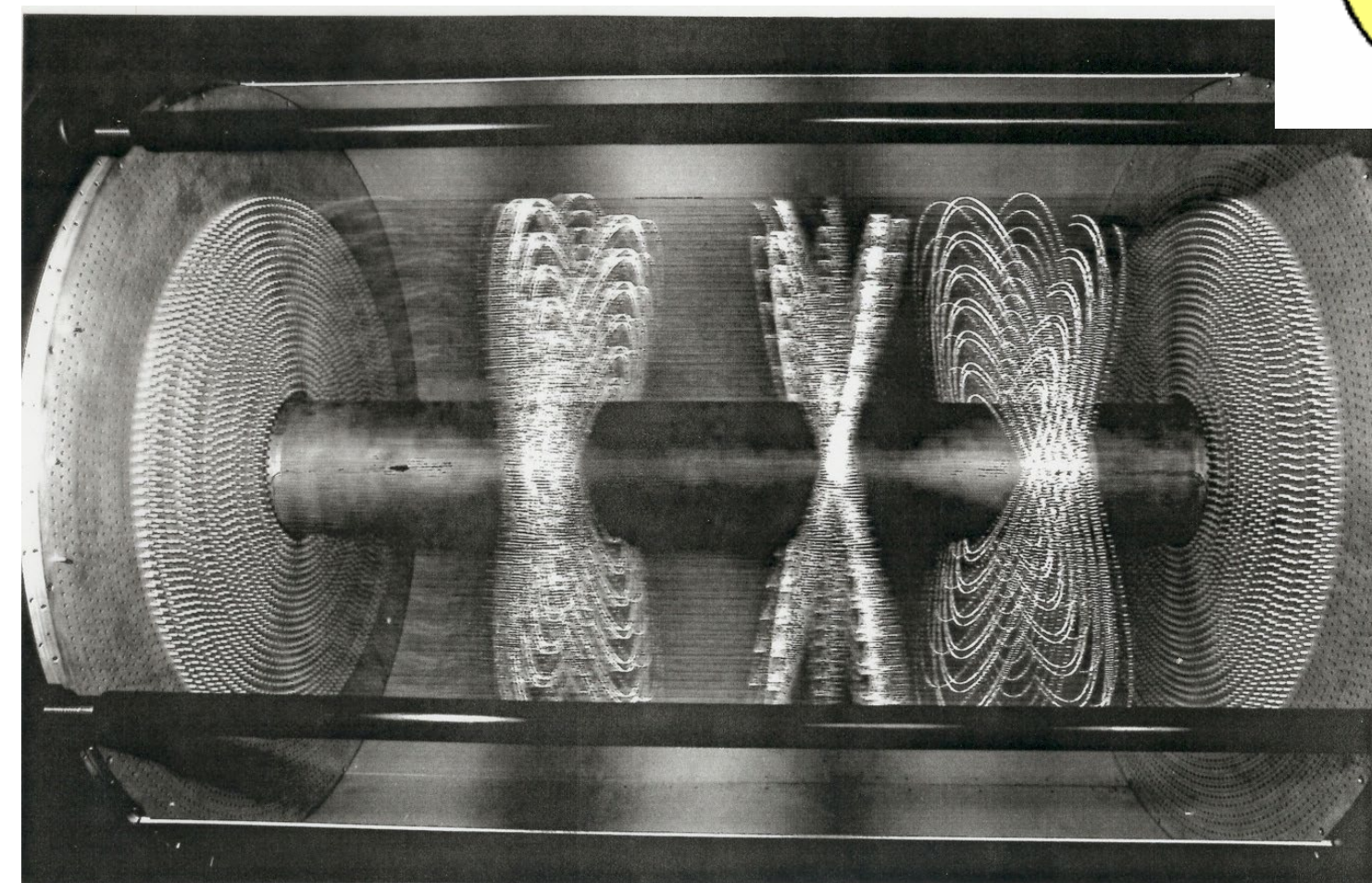
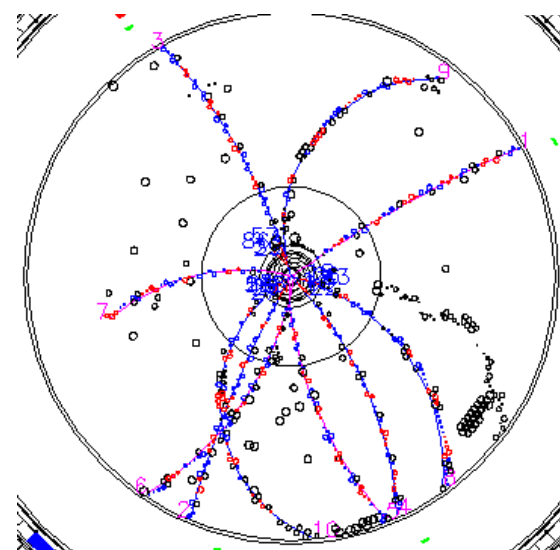
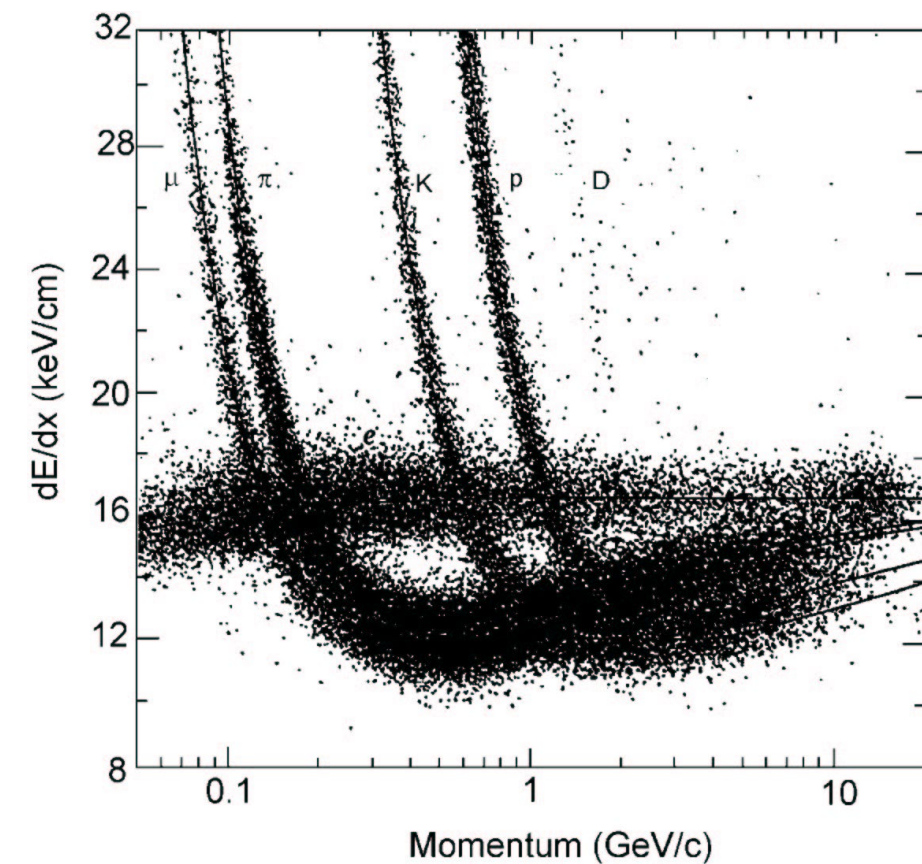
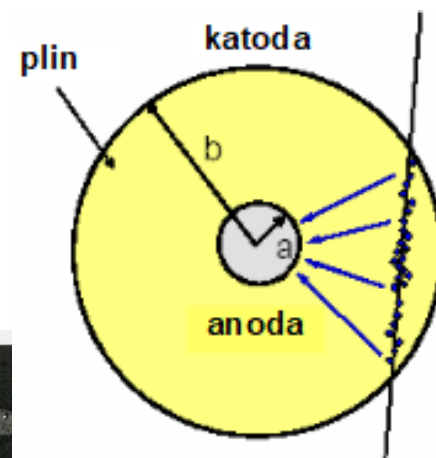
- dE/dx is a function of particle velocity
- Separation is possible in low momentum region up to about $\approx 1 \text{ GeV}$
- With a good resolution partial separation is available also at higher momenta



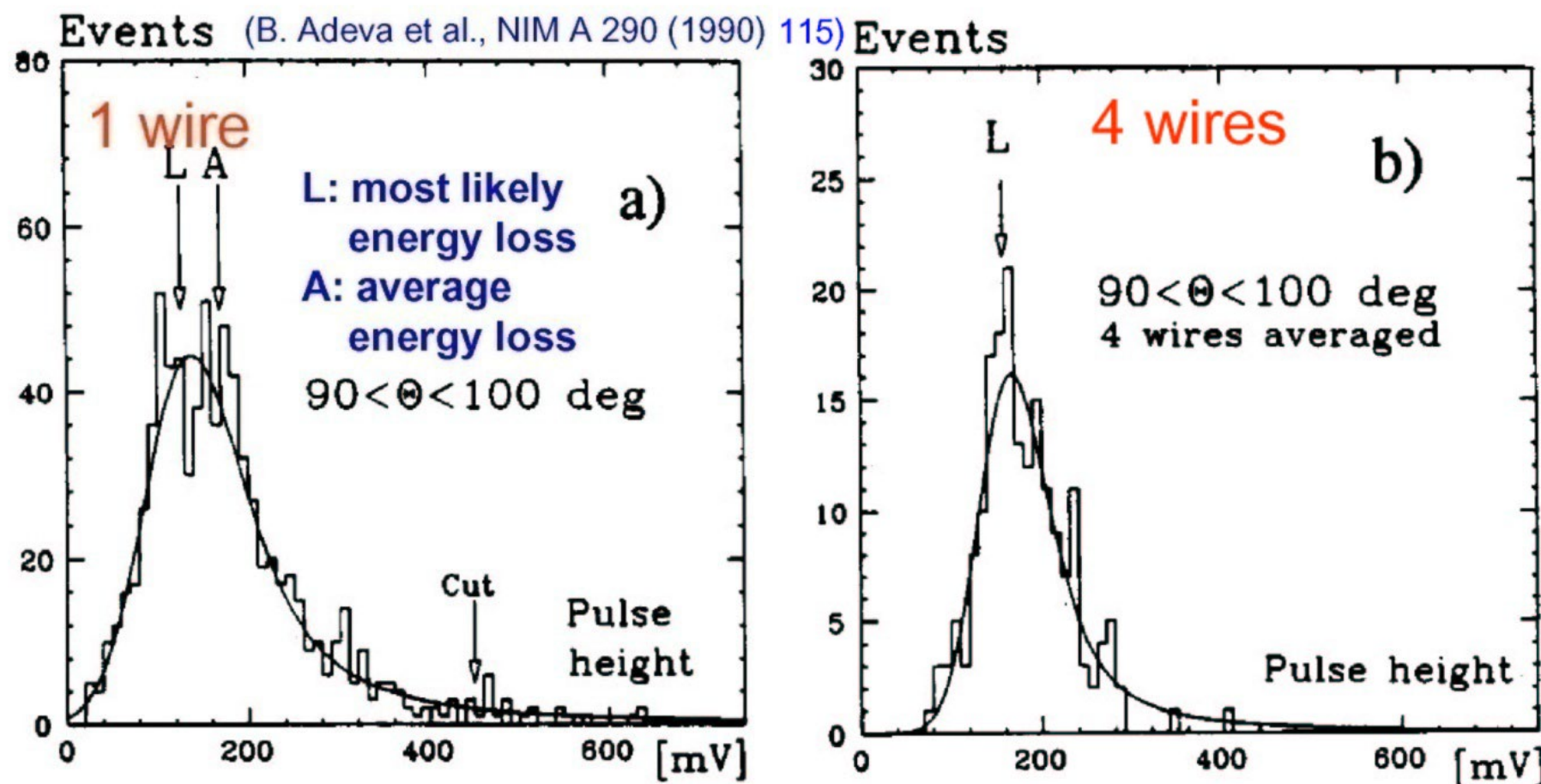
$$\left\langle -\frac{dE}{dx} \right\rangle = 4\pi N_A r_e^2 m_e c^2 \frac{Z}{A} z^2 \frac{1}{\beta^2} \left[\frac{1}{2} \ln \frac{2m_e c^2 \beta^2 \gamma^2 W_{max}}{I} - \beta^2 - \frac{\delta(\beta\gamma)}{2} \right]$$

Central drift chamber - Belle

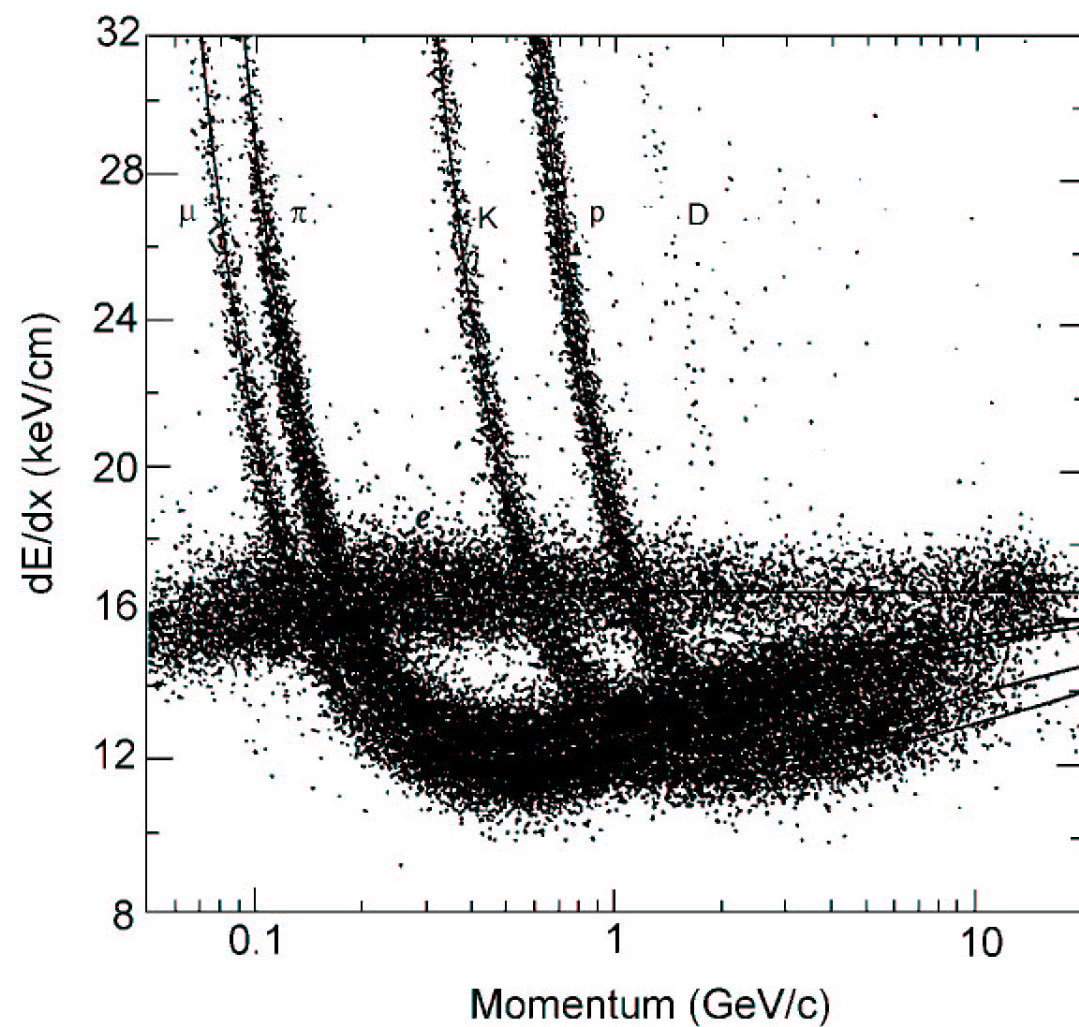
- tracking in magnetic field $\rightarrow$ momentum
- ionization loss measurement $\rightarrow$ charged particle identification



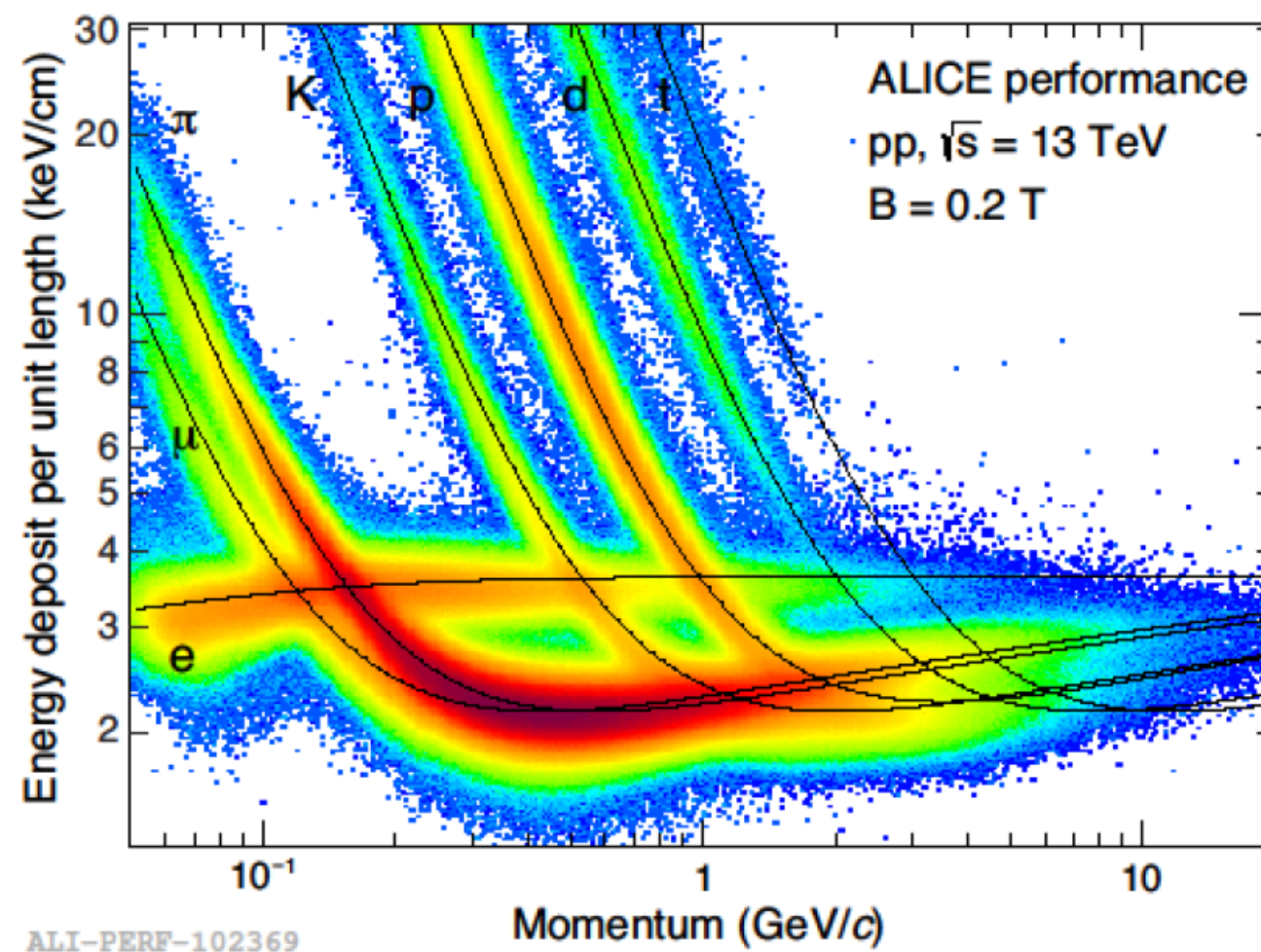
- dE/dx distribution has long tails due to delta electrons – Landau distribution, not Gaussian
- to improve the resolution average of many samples is taken and about 30% of largest ones are discarded



- large drift chamber - Belle

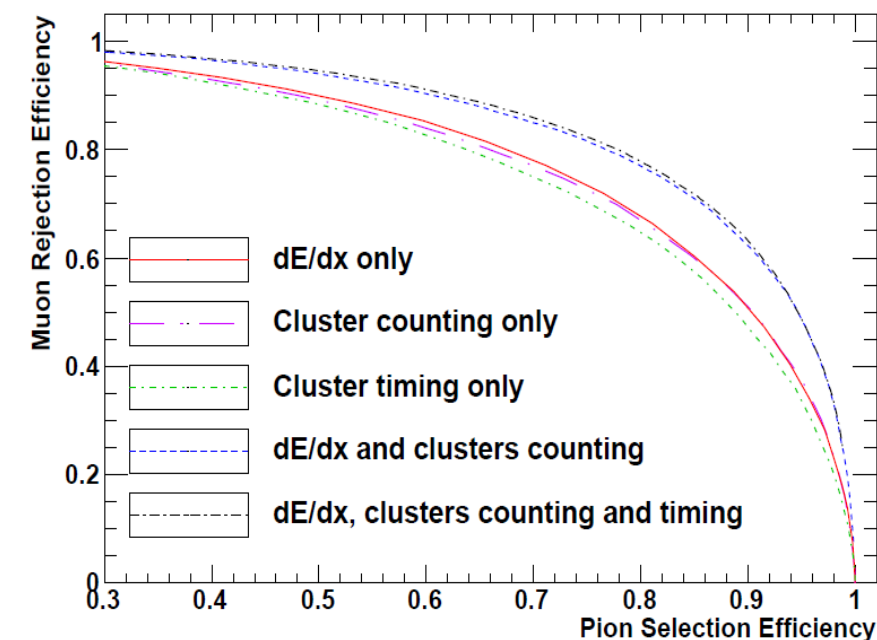
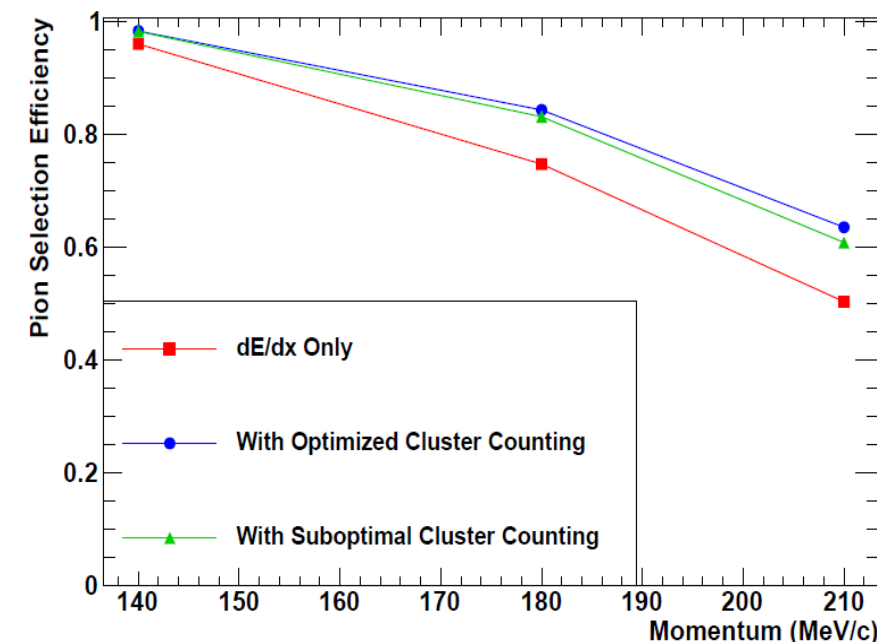
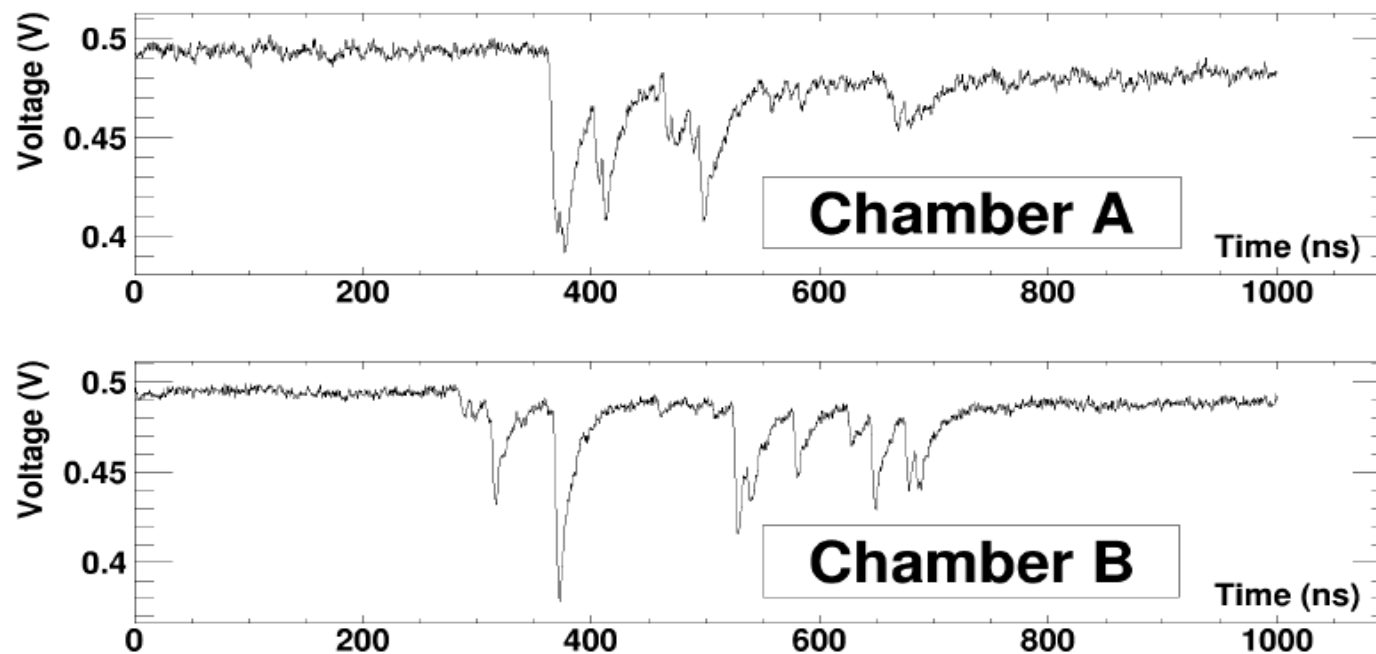


- large TPC chamber - ALICE



Cluster counting - dN/dx (SuperB)

- Number of clusters per track follows Poissonian statistics → better PID performance
- Timing of individual clusters in single cell → better tracking
- Single cell prototype with gas mixture 90%He+10%iC₄H₁₀
- Beam test with 210 MeV e, μ , π
- Combination of dN/dx and dE/dx → improved performance
- Waiting for large scale implementation



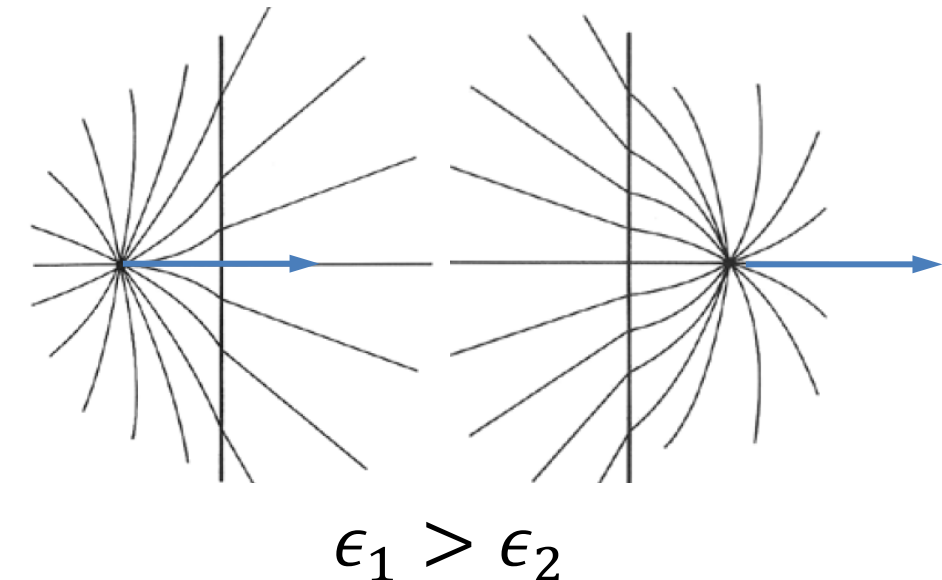
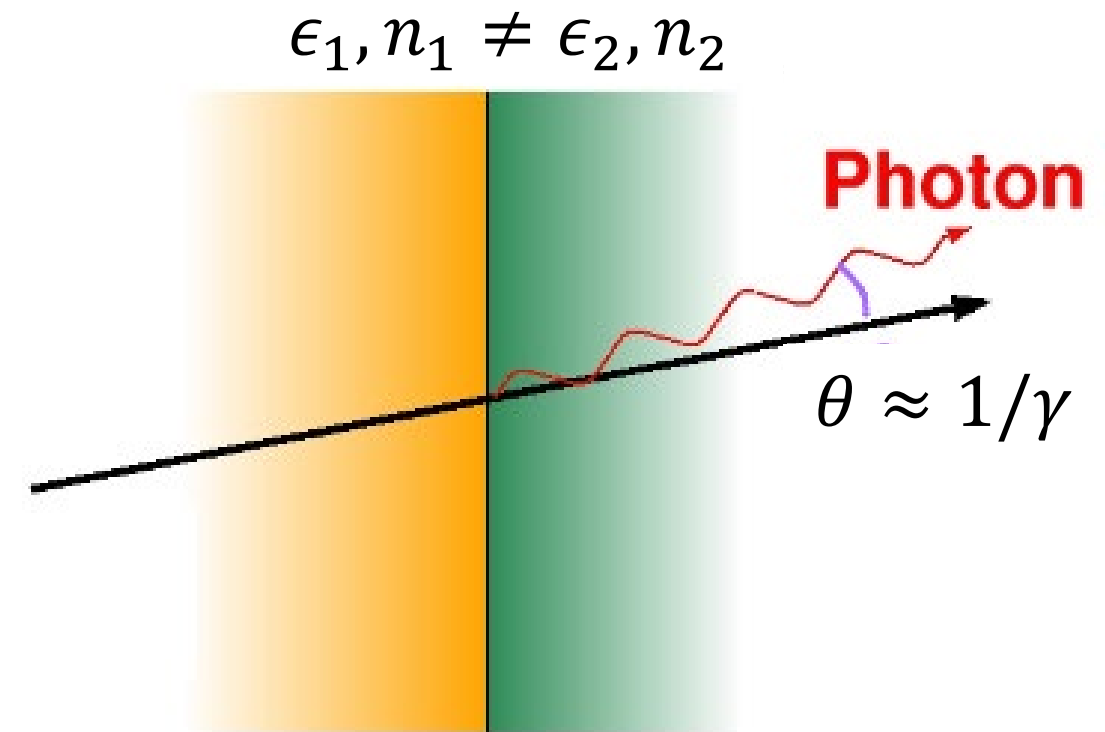
J.F.Caron et al. arXiv:1307.8101

Outline:

- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- **Transition radiation detectors (TRD)**
- Cherenkov based PID devices
 - Threshold detectors
 - RICH detectors
 - DIRC type detectors

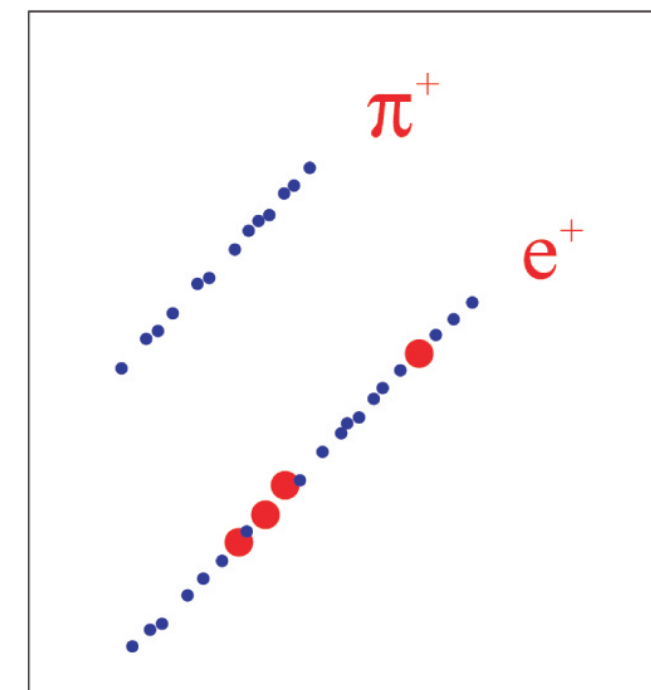
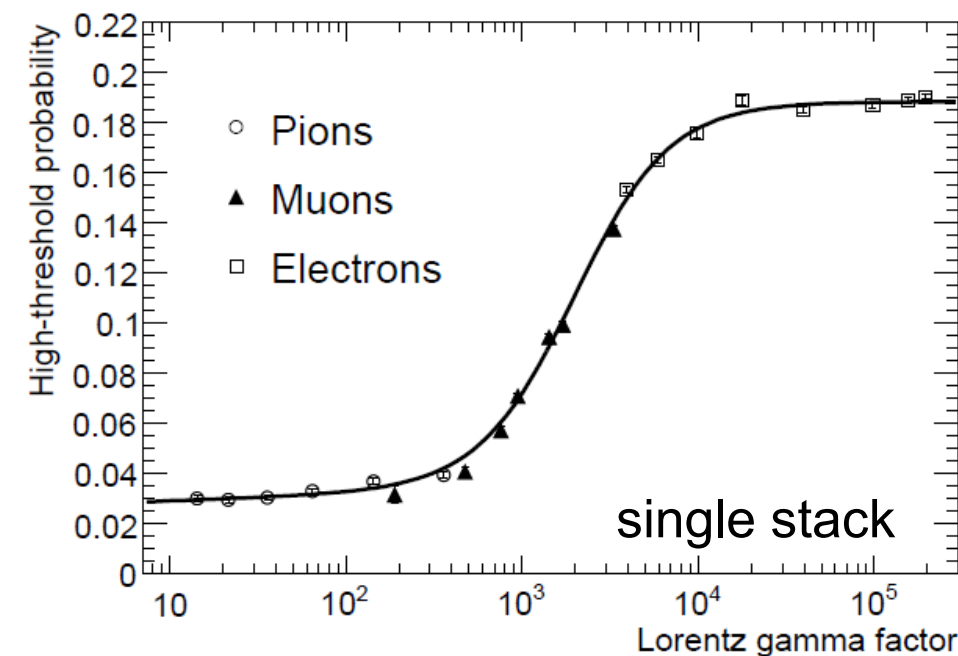
Transition Radiation

- when charged particle traverses the boundary of two materials electric field abruptly changes leading to emission of EM waves
- X rays emitted at the boundary of two media with different refractive indices (relativistic particles)
- emission angle $\theta \approx 1/\gamma$
- emission rate depends on γ (Lorentz factor): becomes important at $\gamma \approx 1000$
- electrons at 0.5 GeV
- pions, muons above 100 GeV
- In between: discrimination of electrons vs pions, mions



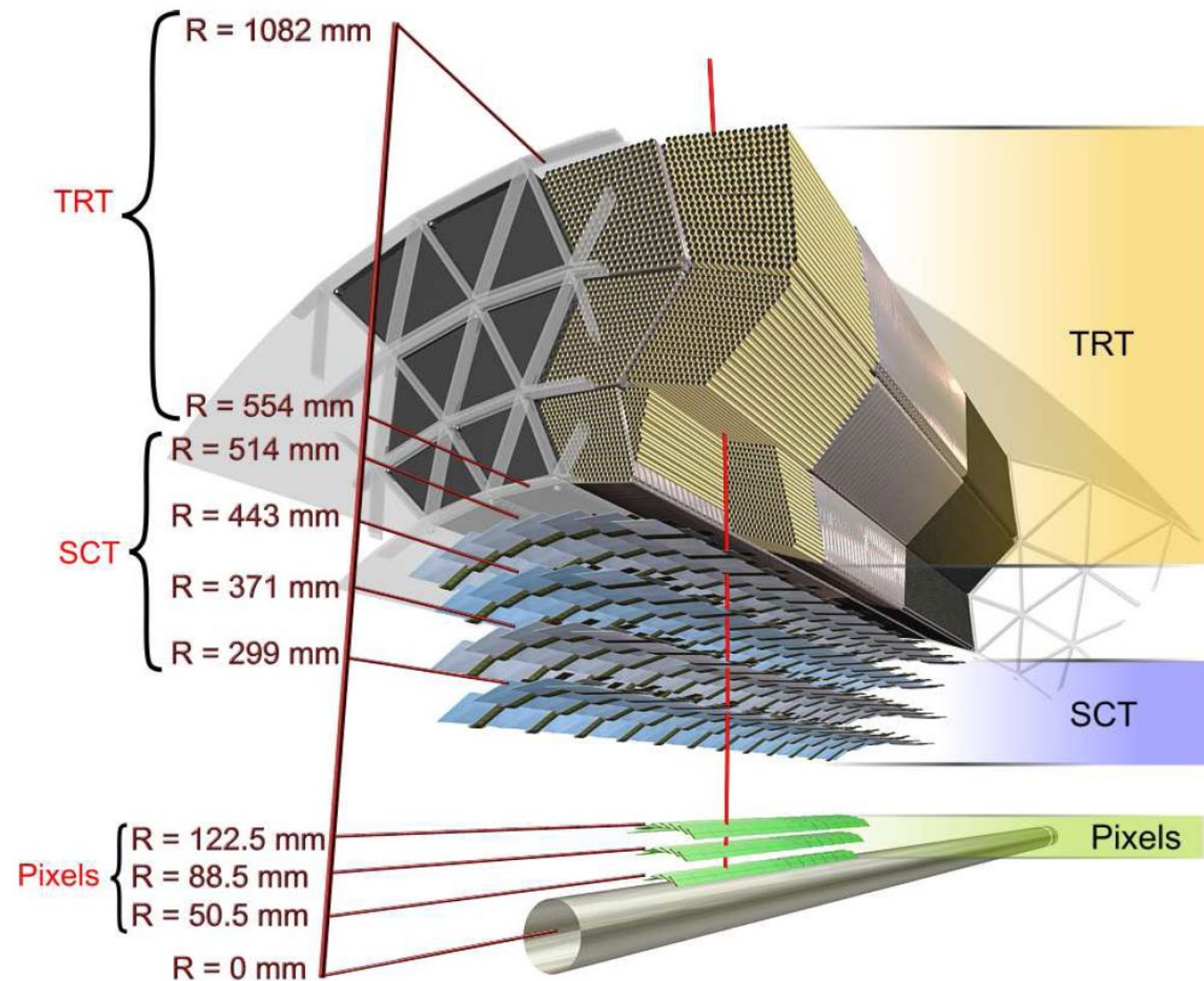
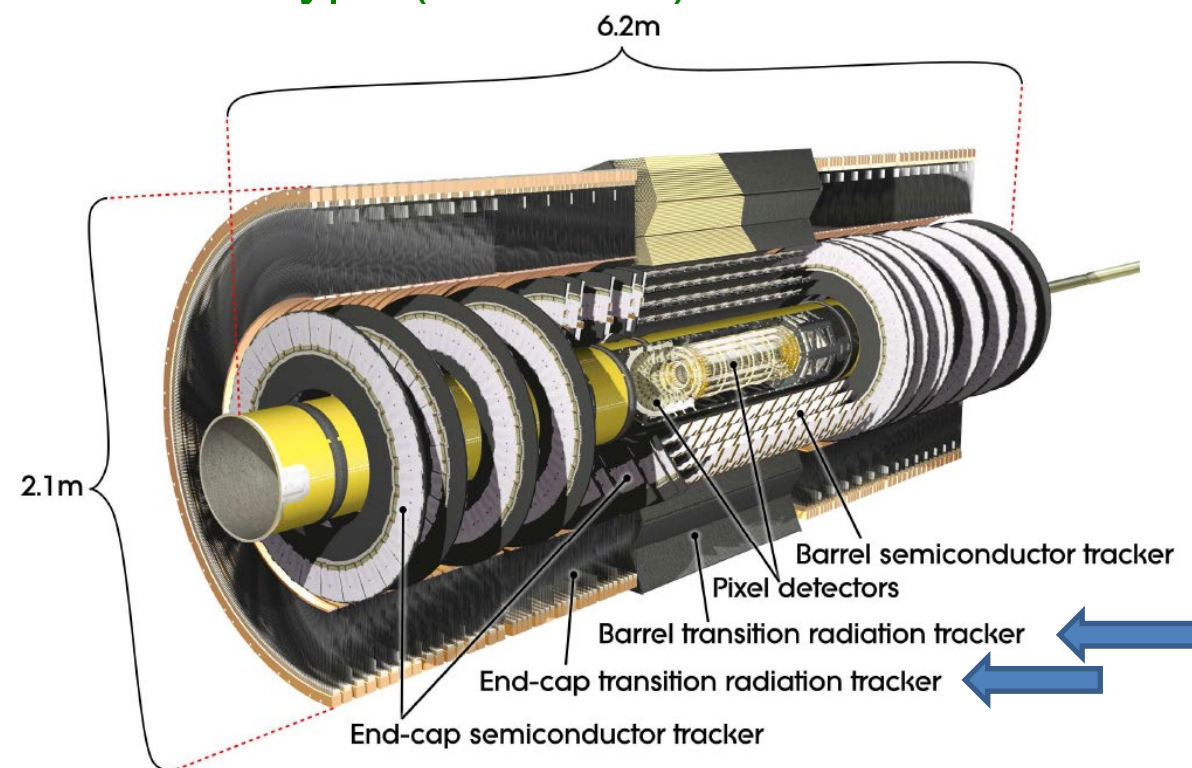
Transition Radiation Detector (TRD)

- emission at small angle ($\theta \approx 1/\gamma$), photons propagate along the track – detected in tracking detectors
- many boundaries required for measurable output
 - stacks of thin foils or
 - porous materials – foam with many boundaries of individual ‘bubbles’
- detection of X rays ($\sim 10\text{keV}$): high Z gas used in gaseous detector (mixture with Xe)
- repeated many times to detect few TR photons
- X rays detected by localized deposition of energy contrary to ionization loss, which is spread out along the track:
 - high threshold – X ray (larger red dots)
 - low threshold – ionization loss (smaller blue dots)



ATLAS TRD detector

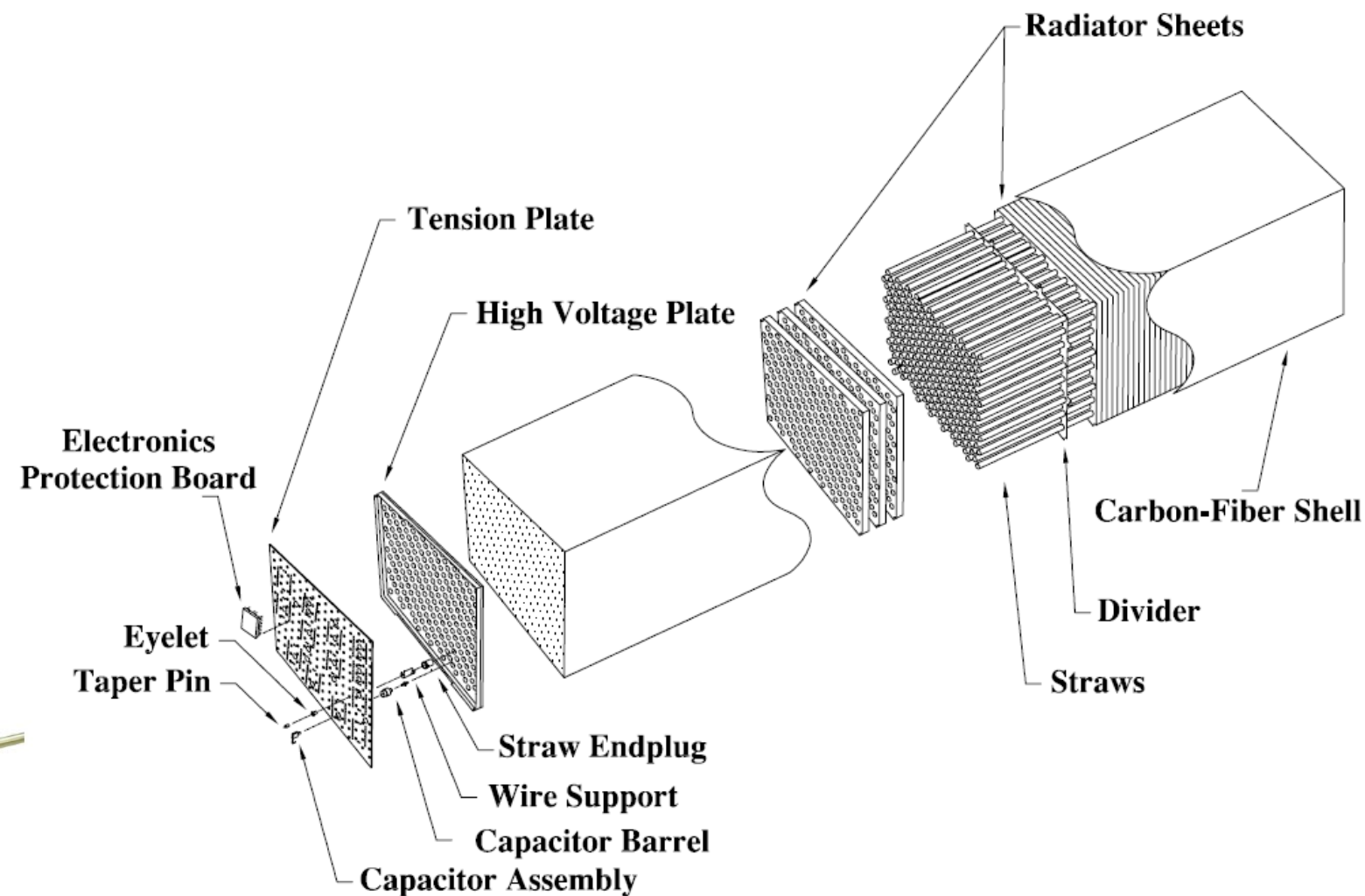
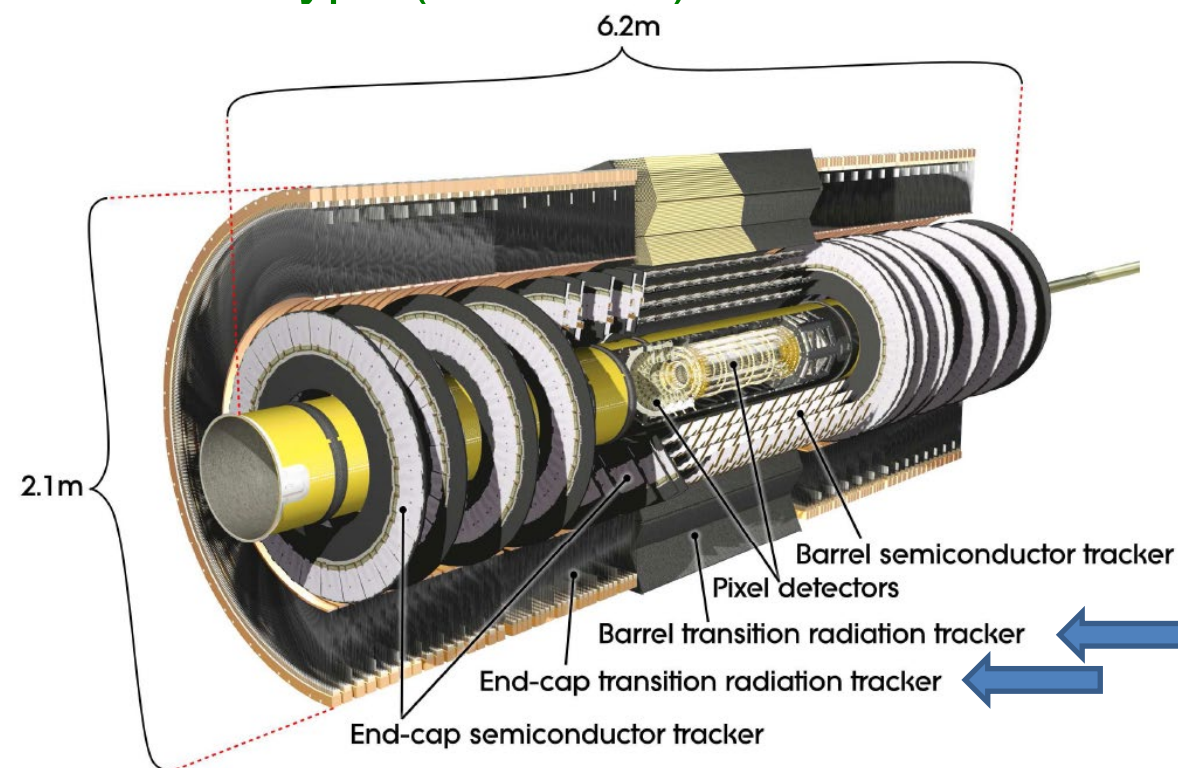
- **ATLAS TRT** (Transition Radiation Tracker) in Inner Detector
- tracking + electron ID
- ~15 polypropylene fibers/foils - fibers (barrel) and foils (end-cap)
- 70% Xe + 27% CO₂ + 3% O₂
- straw tube type (4mm dia.) - faster



NIM A 540 (2005) 140

ATLAS TRD detector

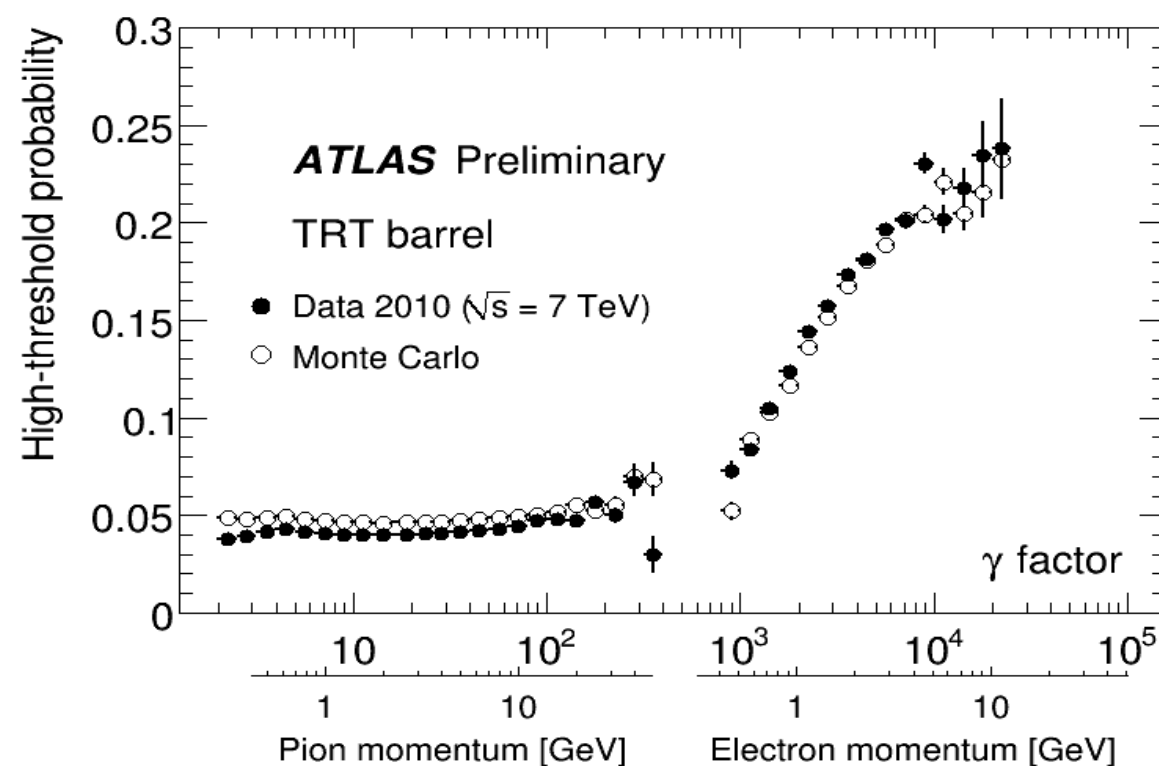
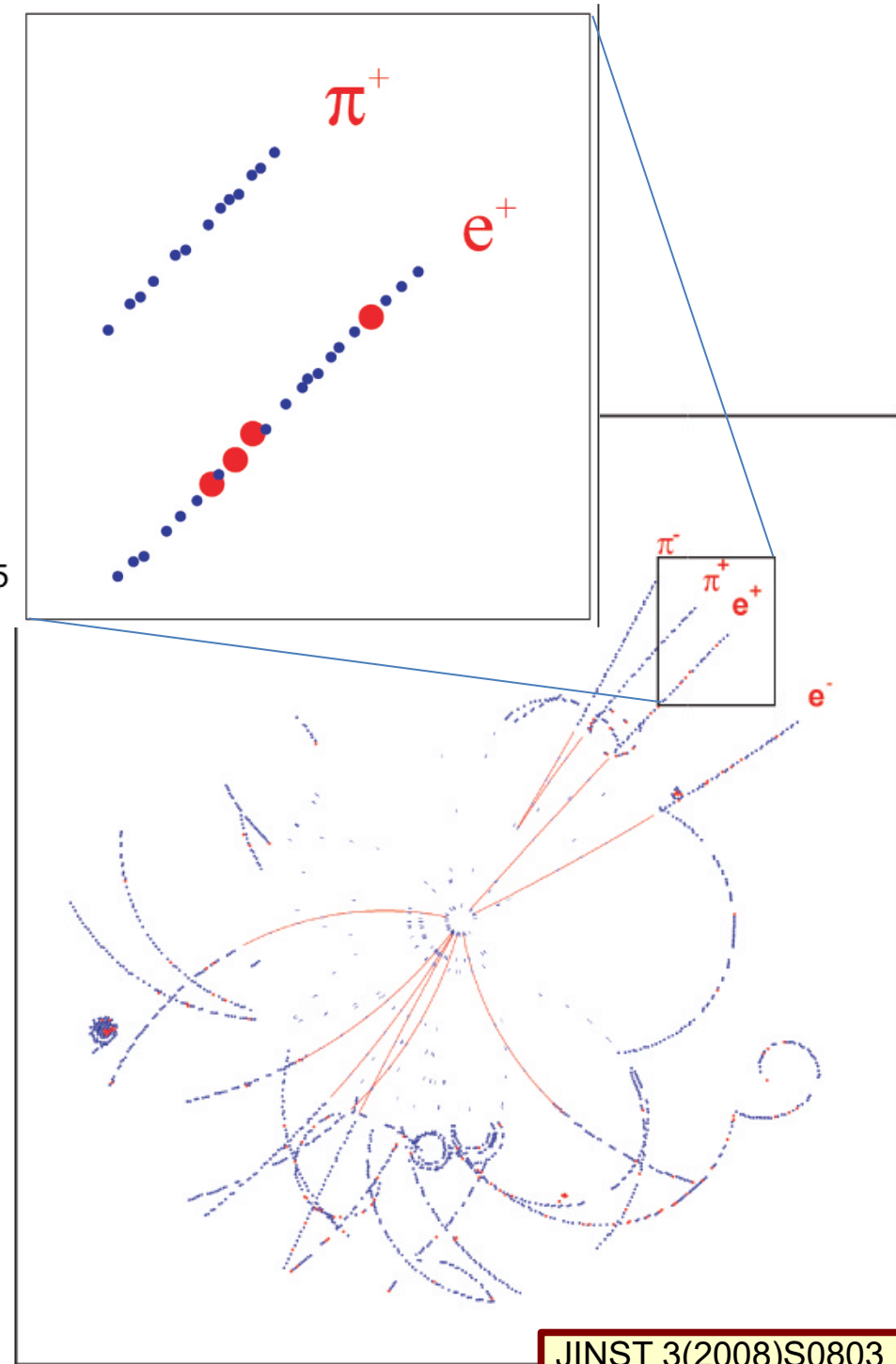
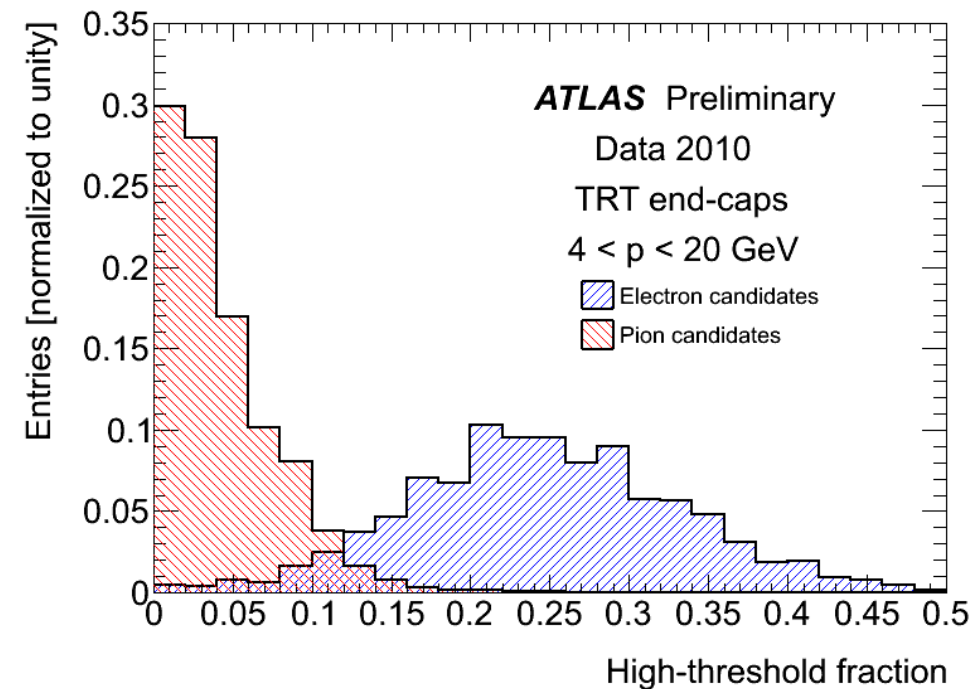
- **ATLAS TRT** (Transition Radiation Tracker) in Inner Detector
- tracking + electron ID
- ~15 polypropylene fibers/foils - fibers (barrel) and foils (end-cap)
- 70% Xe + 27% CO₂ + 3% O₂
- straw tube type (4mm dia.) - faster



NIM A 540 (2005) 140

ATLAS TRD detector

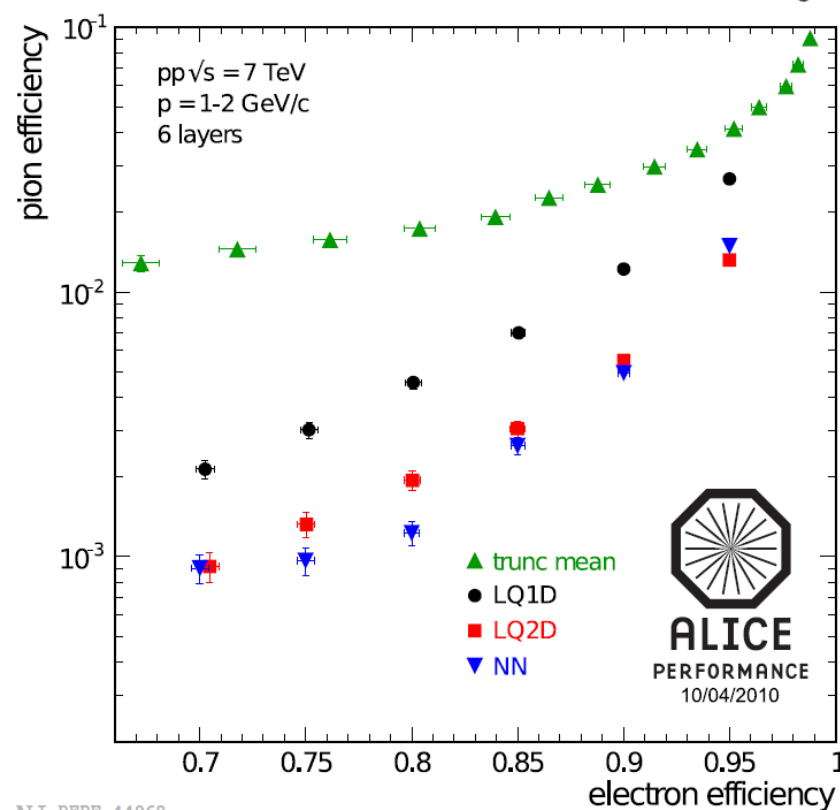
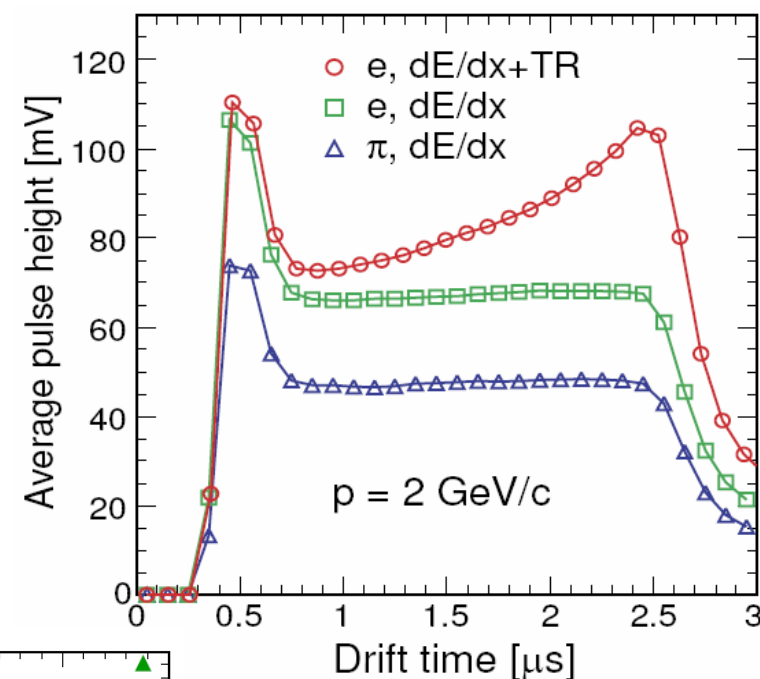
- few percent pion misid. at 90% electron efficiency
- X-ray probability for single straw



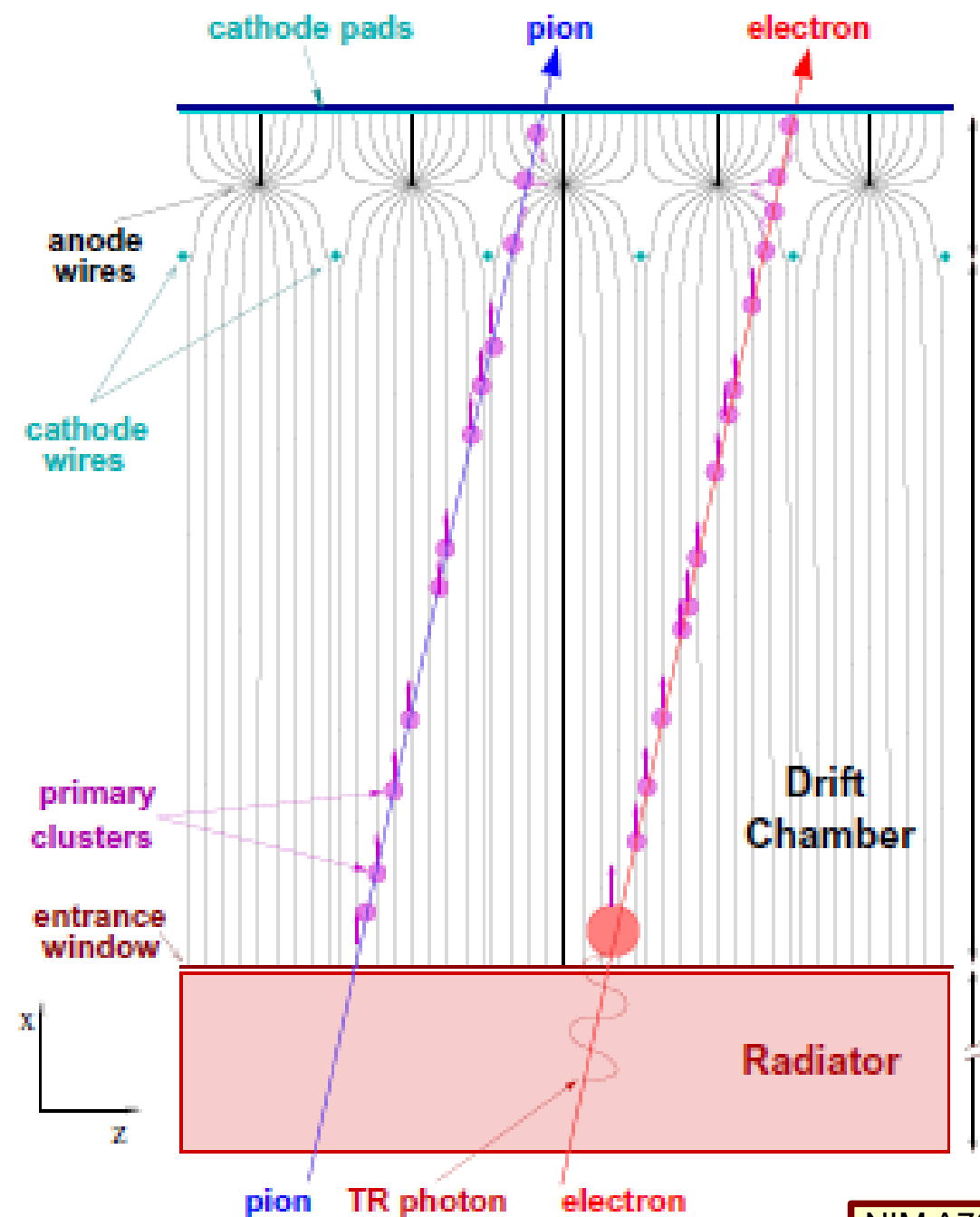
JINST 3(2008)S0803

ALICE TRD detector

- tracking + electron ID
- ~100 foils traversed
- 85% Xe + 15% CO₂
- drift chamber type – better ID



ALI-PERF-44968



NIM A706(2013) 16

Outline:

- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- **Cherenkov based PID devices**
 - Threshold detectors
 - RICH detectors
 - DIRC type detectors

Cherenkov radiation

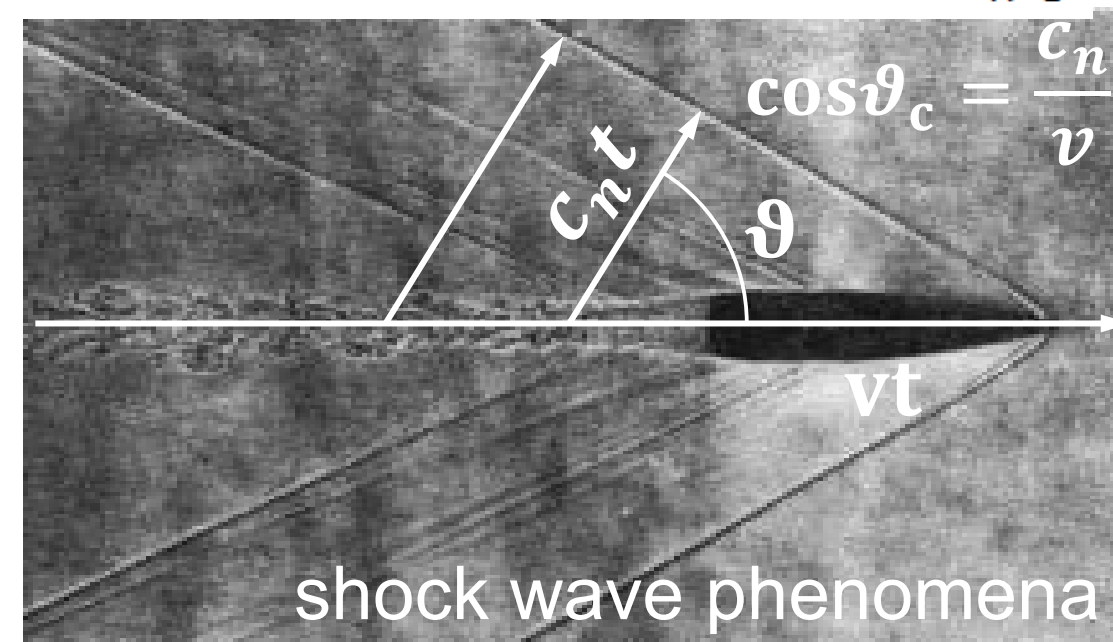
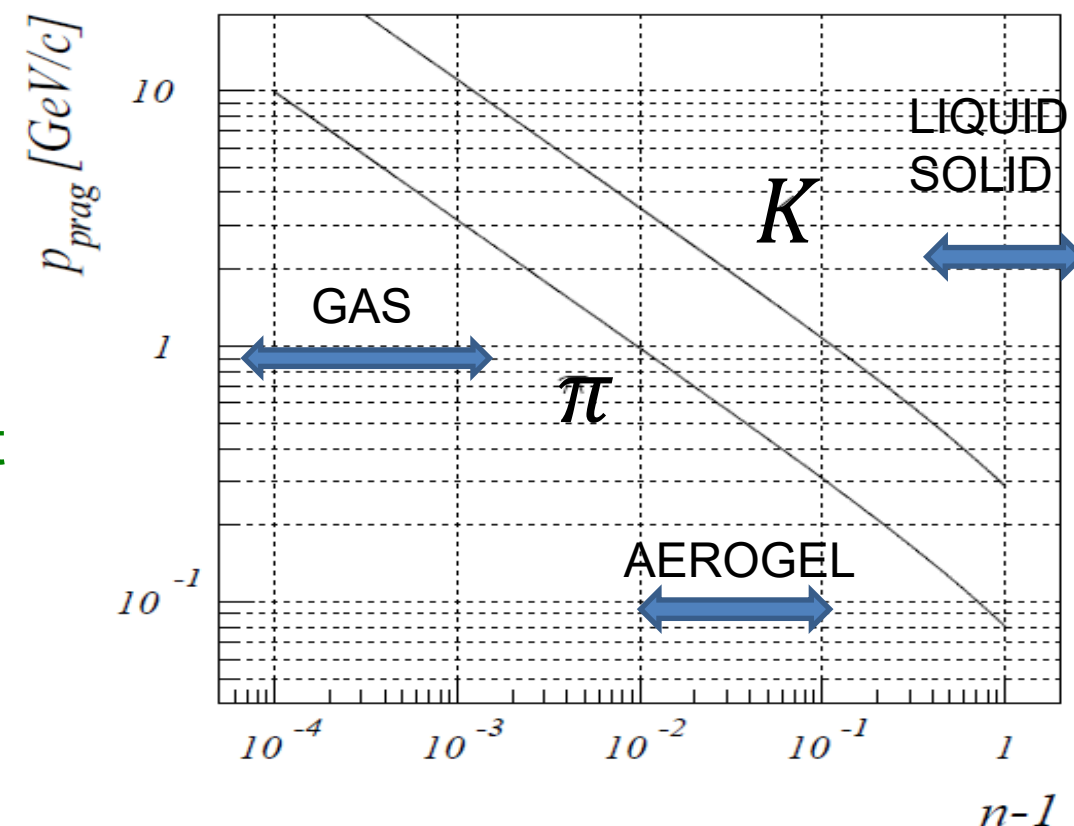
- Cherenkov radiation is electromagnetic shock wave phenomena
- threshold** - radiation is emitted when charged particle moves through the medium faster than the speed of light

$$v > \frac{c}{n} \rightarrow \beta = \frac{v}{c} > \frac{1}{n}$$

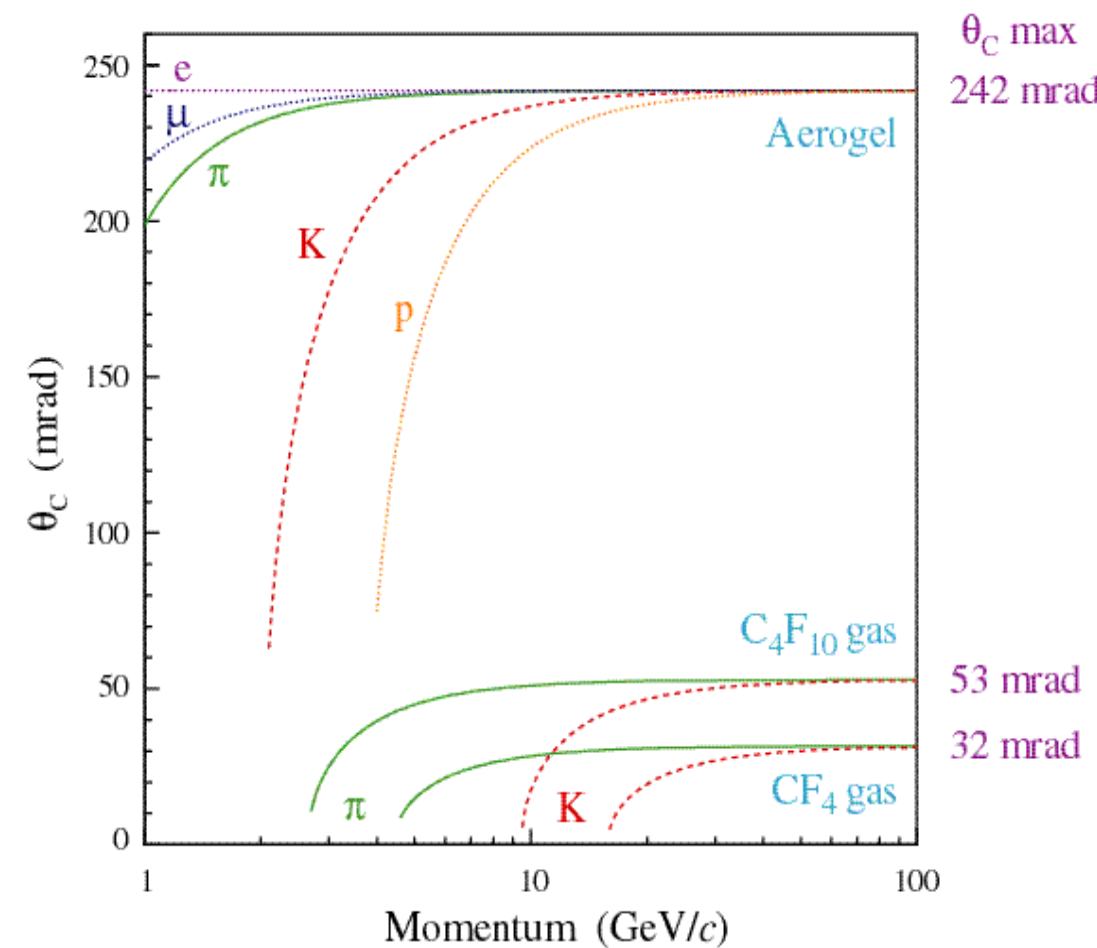
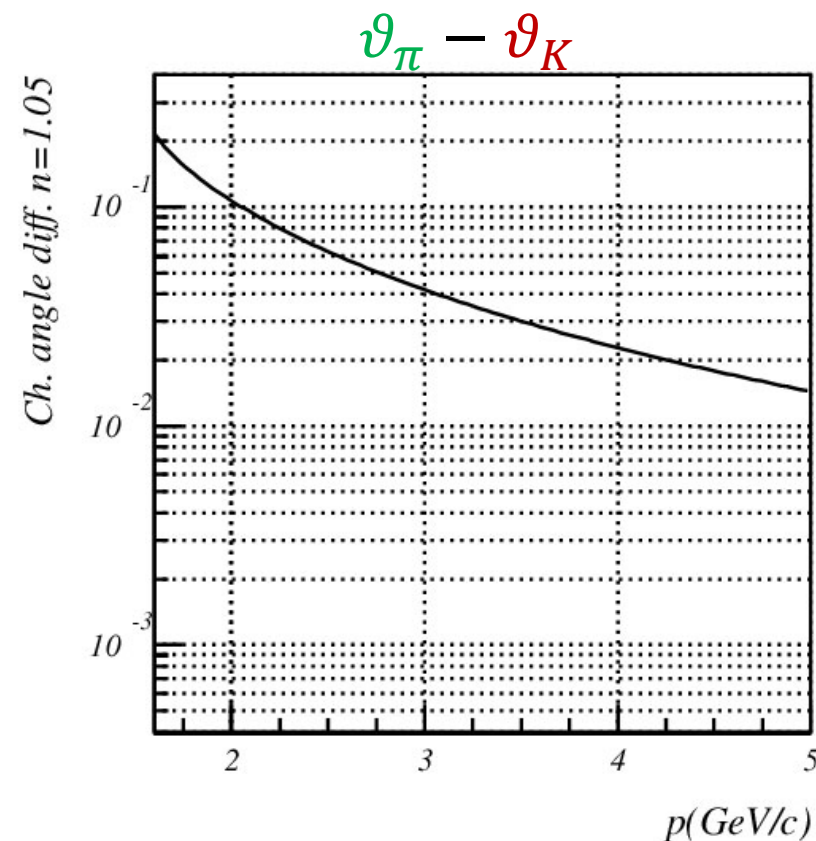
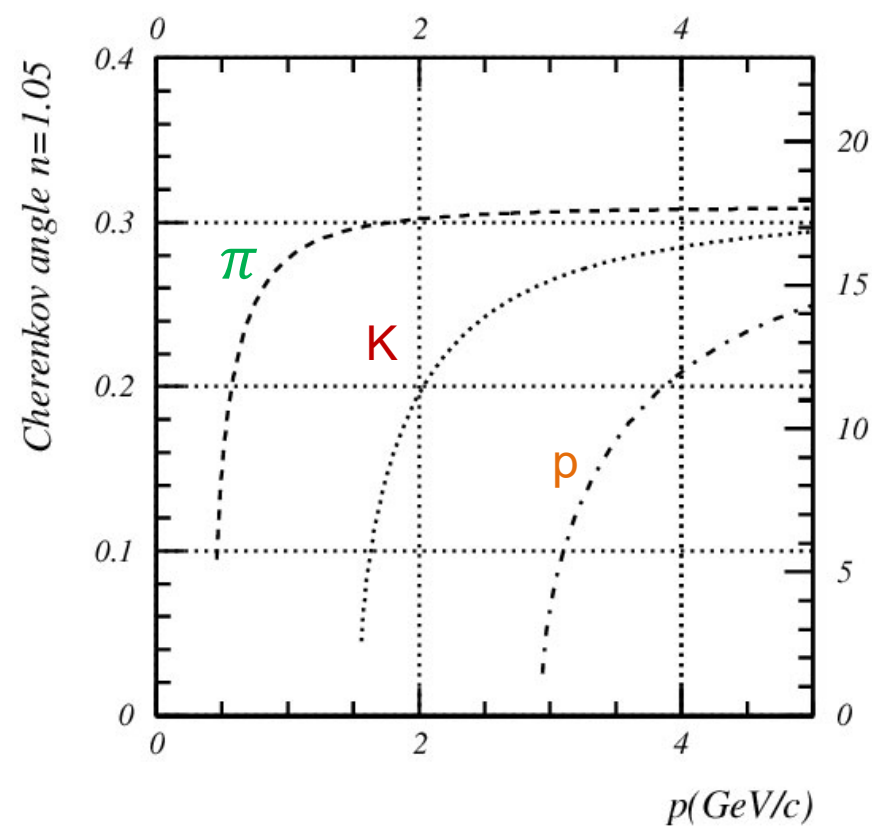
$$p_{thr} = \frac{mc}{\sqrt{n^2 - 1}} \approx \frac{mc}{\sqrt{2(n - 1)}}, \quad (n - 1) \ll 1$$

- Cherenkov angle** - angle between the particle and photon momenta directions depends on particle velocity

$$\cos\vartheta_c = \frac{1}{\beta n} < \frac{1}{n}$$



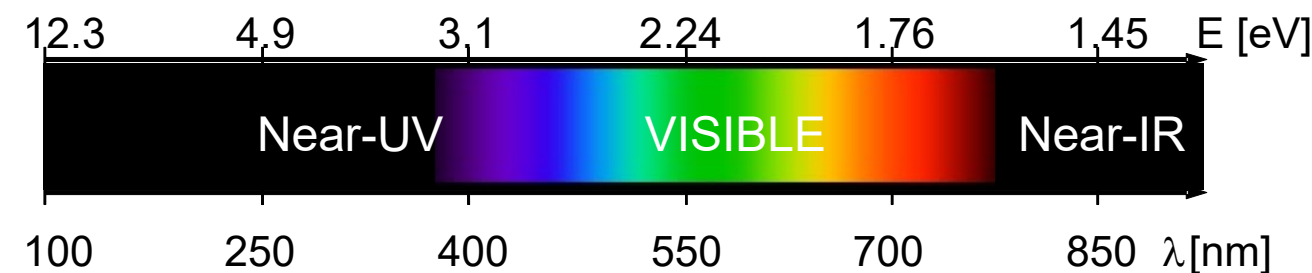
Cherenkov angle - examples



- an aerogel radiator example, candidate for ARICH

- an example from LHCb RICH I and II radiators

Cherenkov radiation



Photon energy:

$$E_\gamma = h\nu = \frac{hc}{\lambda} \approx \frac{1239 \text{ eV} \cdot \text{nm}}{\lambda}$$

- visible range
400 nm – 780 nm
→ 3.1 - 1.6 eV, $\Delta E_\gamma \approx 1.5 \text{ eV}$

- number of photons per unit photon energy per unit length - depends on:

- refractive index → Cherenkov angle
- path length
- photodetector spectral response

$$\frac{d^2N}{dE dl} = \frac{\alpha z^2}{\hbar c} \sin^2 \vartheta_c = \frac{\alpha z^2}{\hbar c} \left[1 - \frac{1}{\beta^2 n^2(E)} \right] \approx \frac{370}{\text{eV cm}} \sin^2 \vartheta_c$$

- transformed to wavelength dependence

$$\frac{dN}{d\lambda} = \hbar c \frac{dN}{dE} \frac{1}{\lambda^2}$$

→ more photons in blue and UV part of the spectrum

- prompt emission – no raise and decay time constants as with scintillators

→ enables precise time measurements

- light is polarized – E lies in the plane defined by particle and photon momenta

Number of Cherenkov photons - examples

- estimated number of detected photons ($\Delta E = 2\text{ eV}, PDE = 0.1$)

$$N \approx \frac{370}{\text{eV cm}} \sin^2 \vartheta_c \Delta l \Delta E = \frac{74}{\text{cm}} \sin^2 \vartheta_c \Delta l$$

- for saturated ring ($n = \sqrt{2}, l = 1\text{ cm}$)

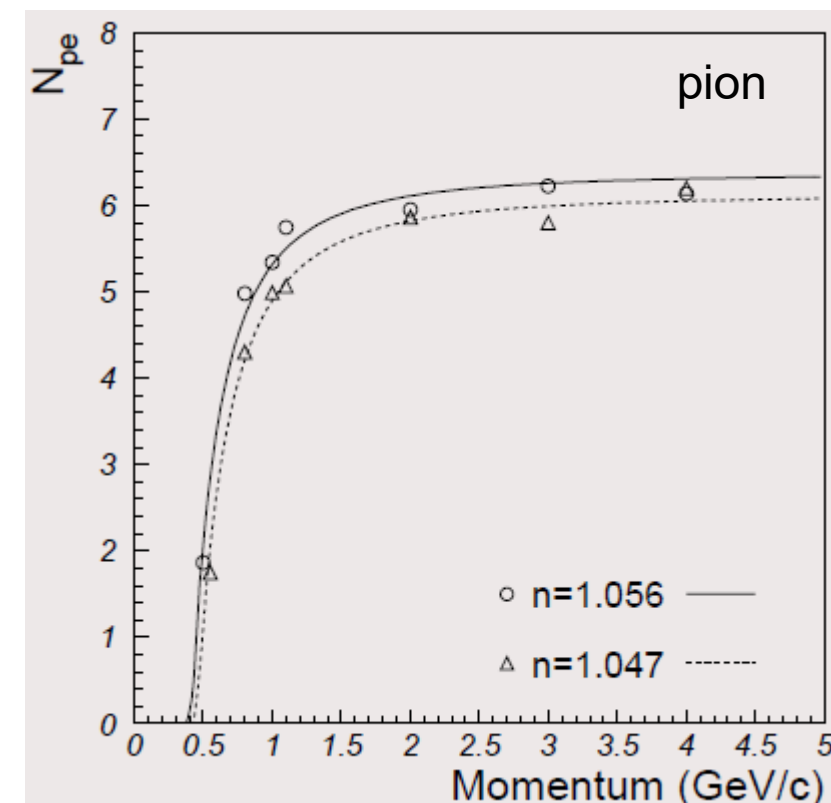
$$N \approx \frac{74}{\text{cm}} \left(1 - \frac{1}{n^2}\right) \Delta l = 37$$

- approximated for gas ($n = 1.001, l = 1\text{ m}$)

$$N \approx \frac{74}{\text{cm}} 2(n - 1) \Delta l \approx 15$$

- for aerogel ($n = 1.05, l = 1\text{ cm}$) ≈ 7

	$(n - 1) \cdot 10^6$ (7 eV)	$\Delta n \cdot 10^6$ (6.5 eV – 7.5 eV)	$p_{\text{prag}, \pi}$ (GeV/c)	$p_{\text{prag}, K}$ (GeV/c)
Ar	315	21	5.70	20.15
CH ₄	510	49	4.37	15.46
C ₂ H ₆	898	90	3.29	11.65
C ₄ H ₁₀	1500	160	2.55	9.01
CF ₄	488	10	4.47	15.80
C ₂ F ₆	793	23	3.51	12.39
C ₄ F ₁₀	1510	53	2.54	8.98



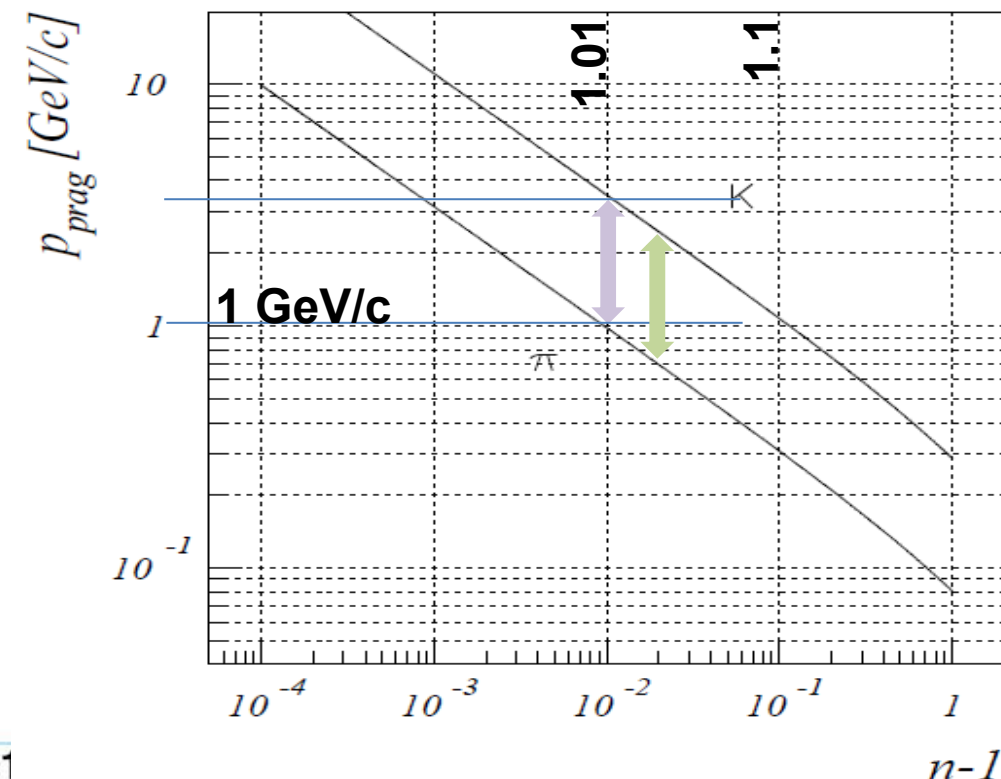
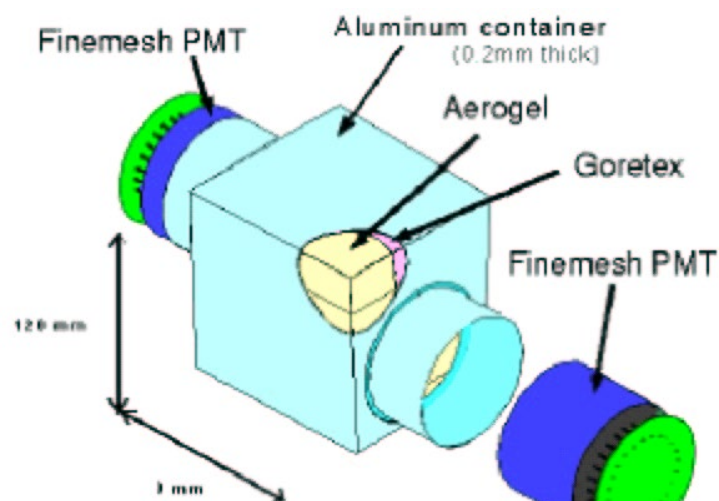
Outline:

- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - **Threshold detectors**
 - RICH detectors
 - DIRC type detectors

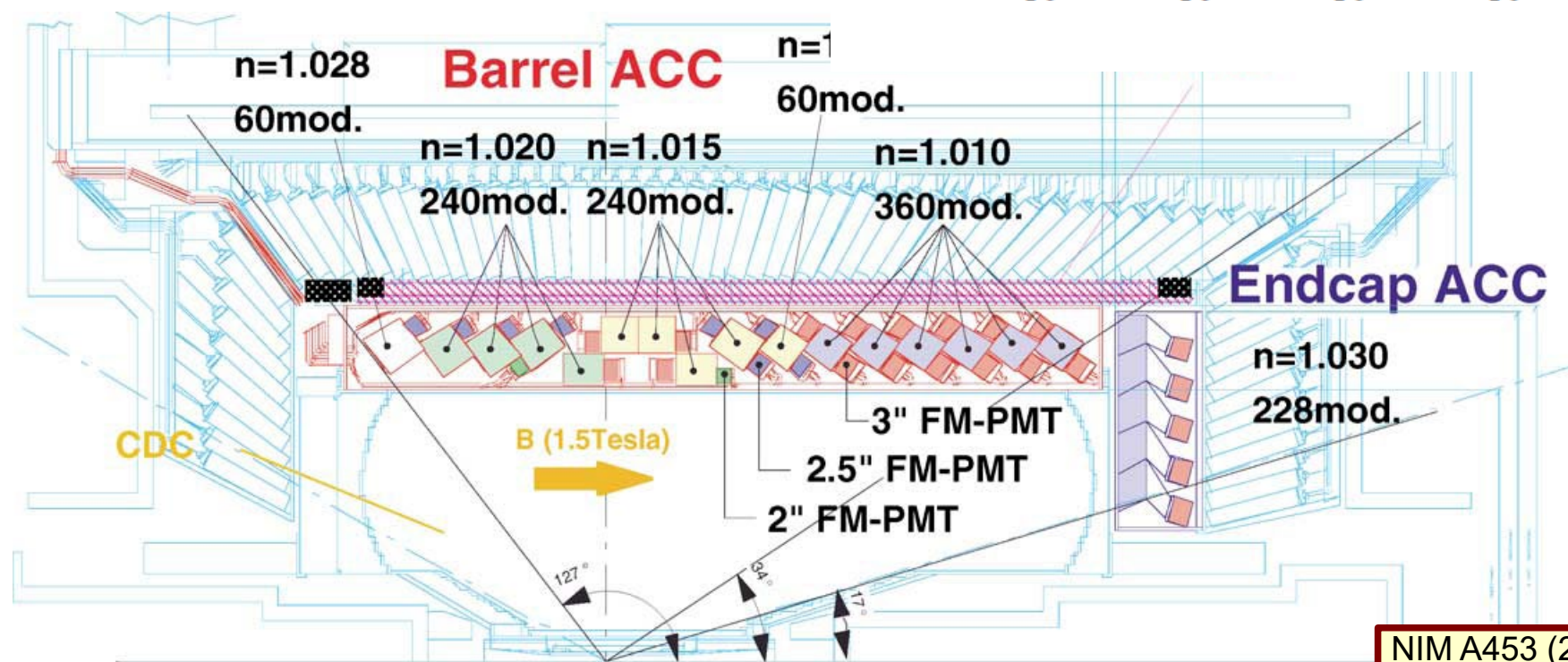
Threshold Cherenkov counters

- ACC (Aerogel Cherenkov Counter) @ Belle
(variable $n=1.03, 1.01, 1.015, 1.02$).

$$p_{thr} = \frac{mc}{\sqrt{n^2 - 1}}$$

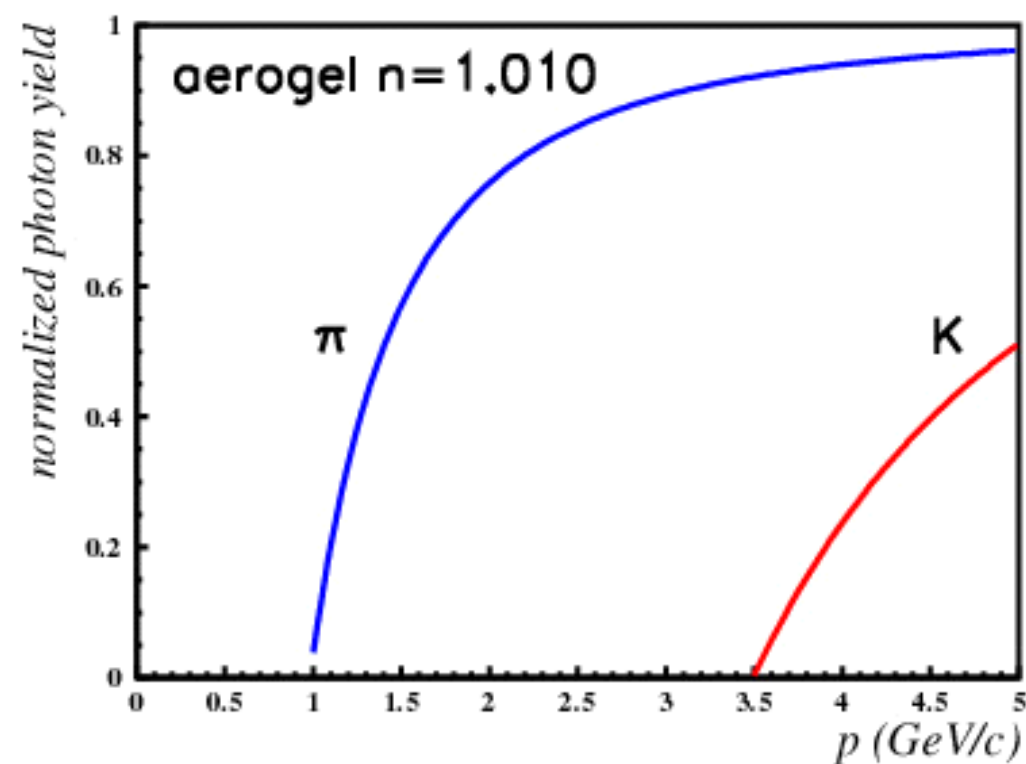


Detector unit: a block of aerogel
and two fine-mesh PMTs

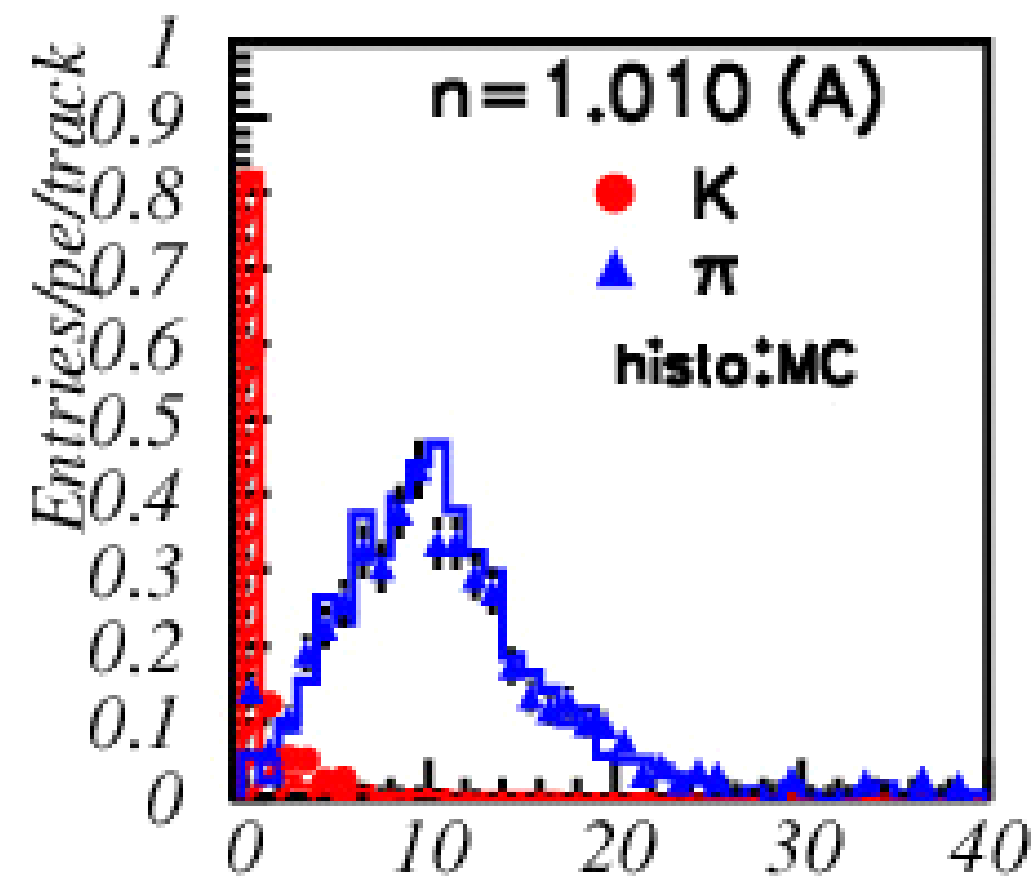


NIM A453 (2000) 321

Yield for momentum range 2-3.5 GeV/c:
expected and measured number of Ch.
photons.



Normalized yield vs. momentum.

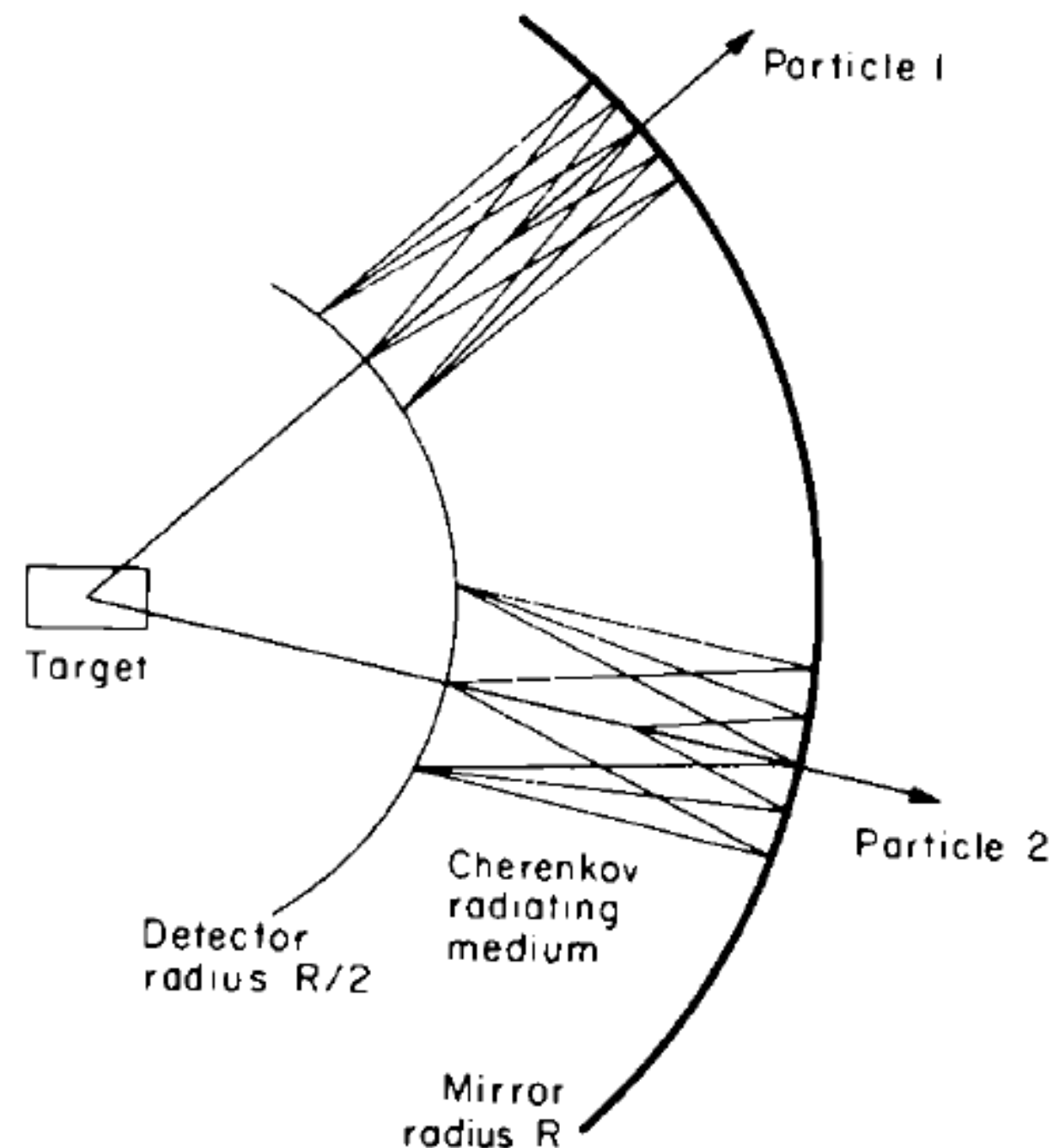
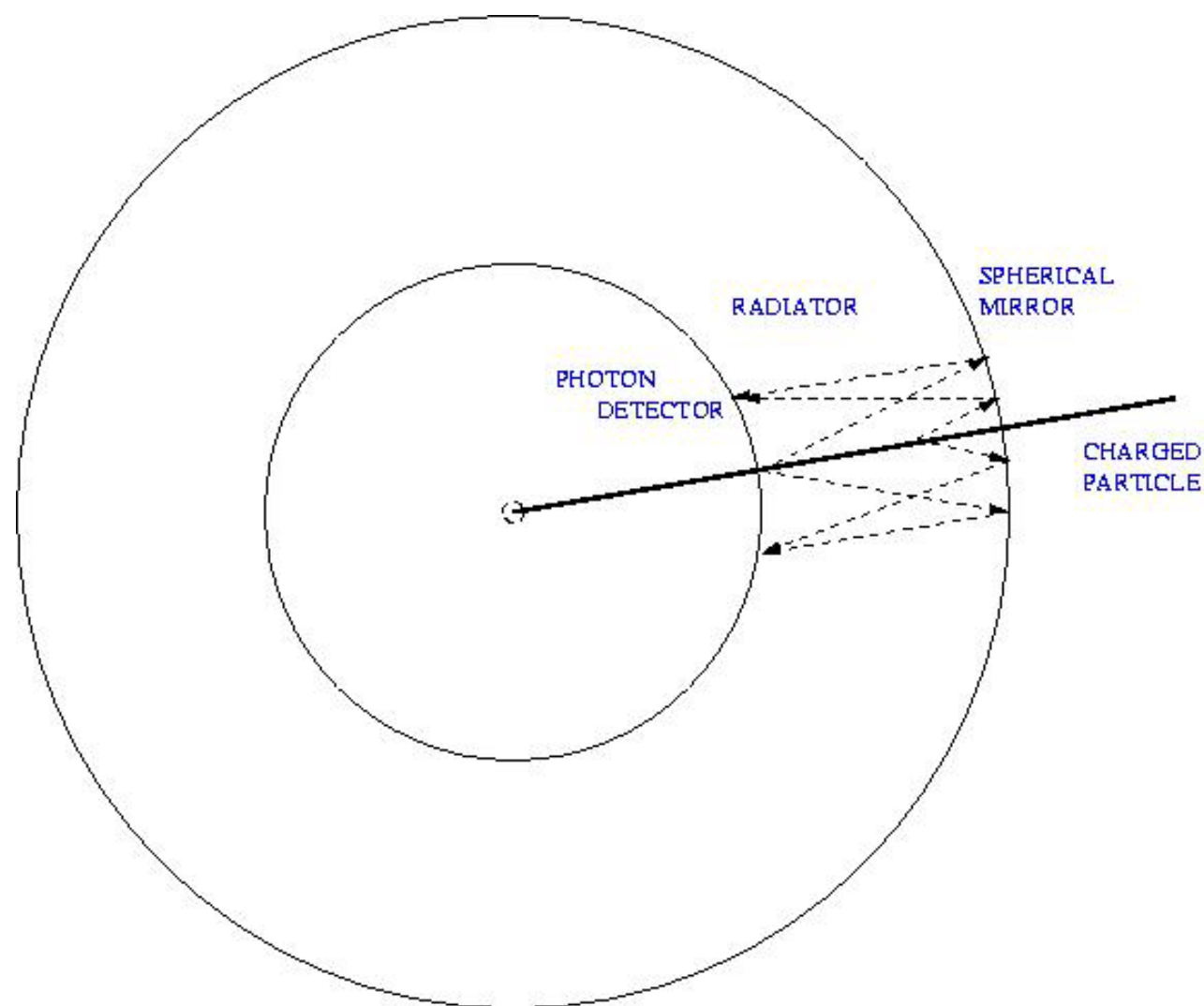


Outline:

- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - Threshold detectors
 - **RICH detectors**
 - DIRC type detectors

RICH counter basic idea

- proposed in 1977 by J. Seguinot and T. Ypsilantis

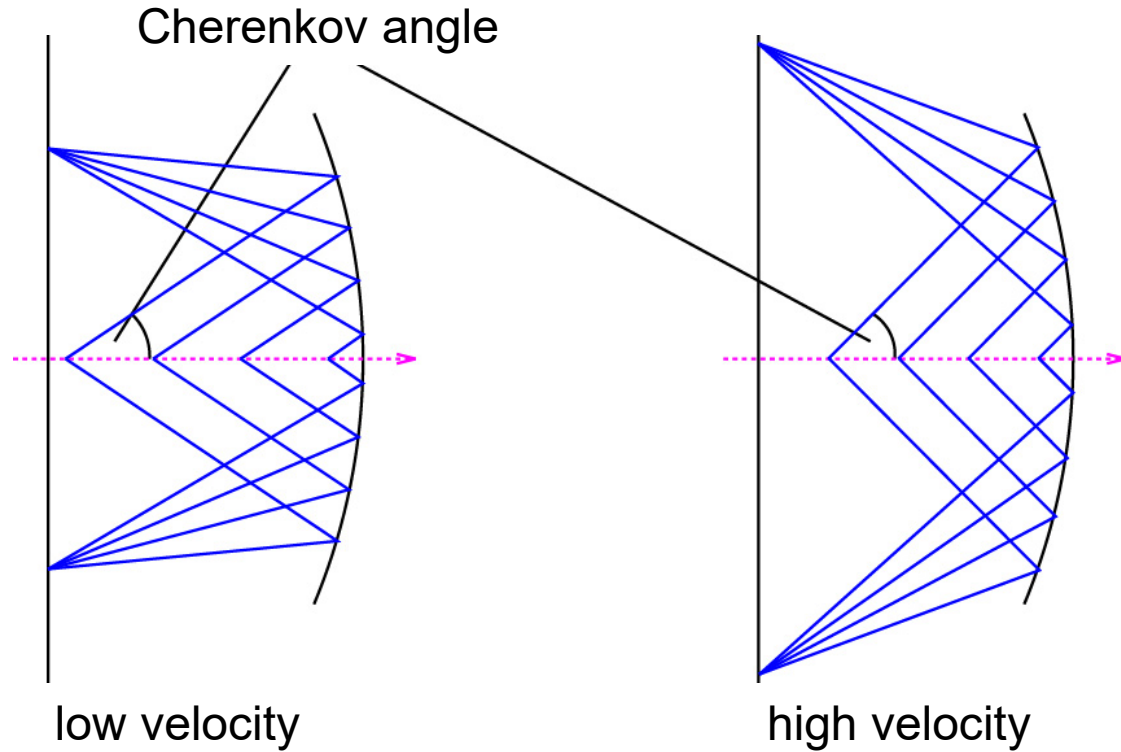


NIM A142 (1977) 377

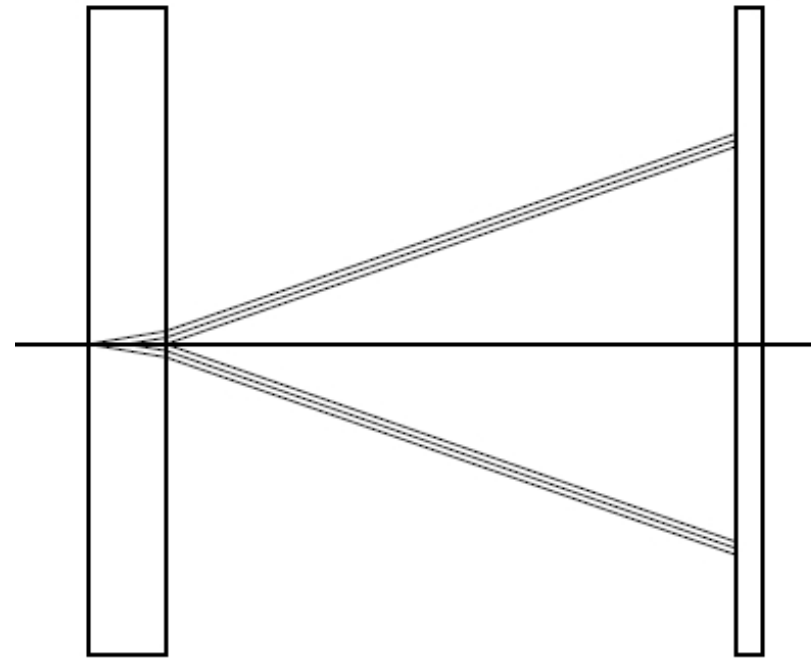
RICH detector basic types

Ring Imaging CHerenkov counter (RICH) → measurement of Cherenkov angle → particle velocity.

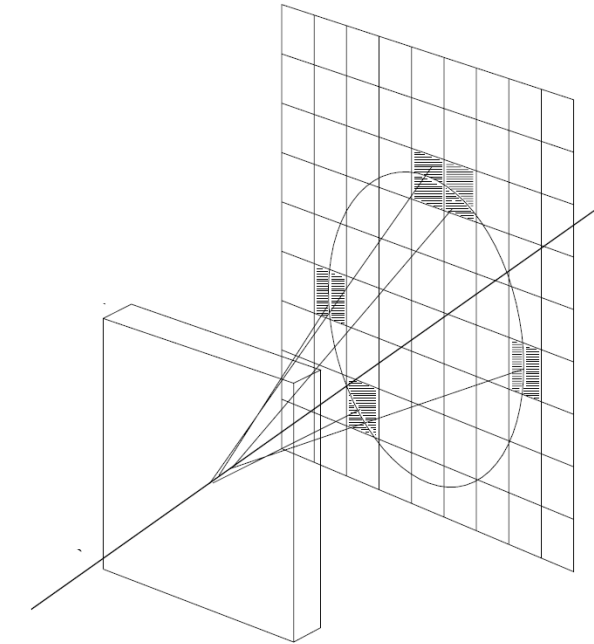
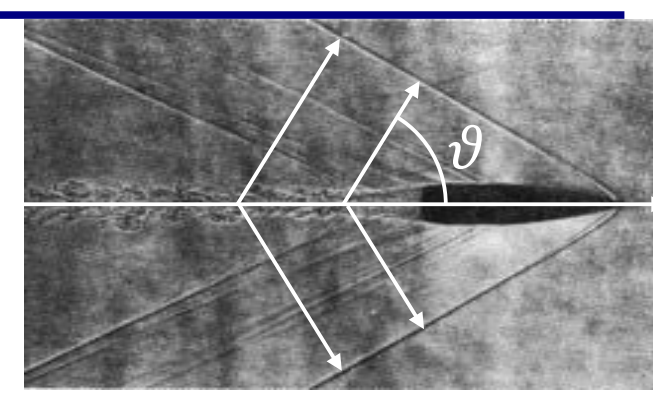
Base designs:



detector with focusing mirror
→ **gas radiator**



proximity focusing detector
→ **solid or liquid radiator**



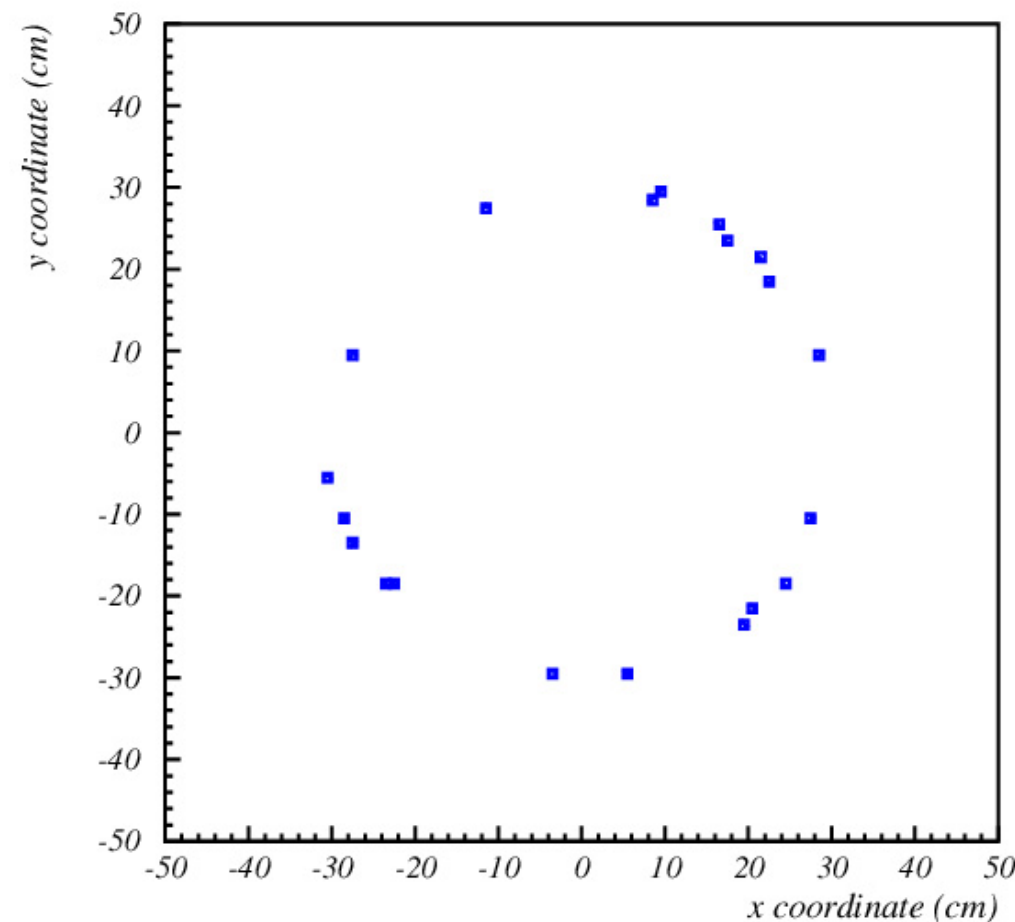
RICH counter: measure photon impact point on the photon detector surface

→ detection of single photons with:

- sufficient spatial resolution
- high efficiency and low background (few photons!)
- cover a large area (square meters)

Special requirements:

- Operation in magnetic field
- High-rate capability
- Very high spatial resolution
- Excellent timing (time-of-arrival information)



Selection of photon detector is a crucial part of the detector design.

Photosensors comparison table



Solid state
detectors

Vacuum
detectors

Hybrid
detectors

Gaseous
detectors

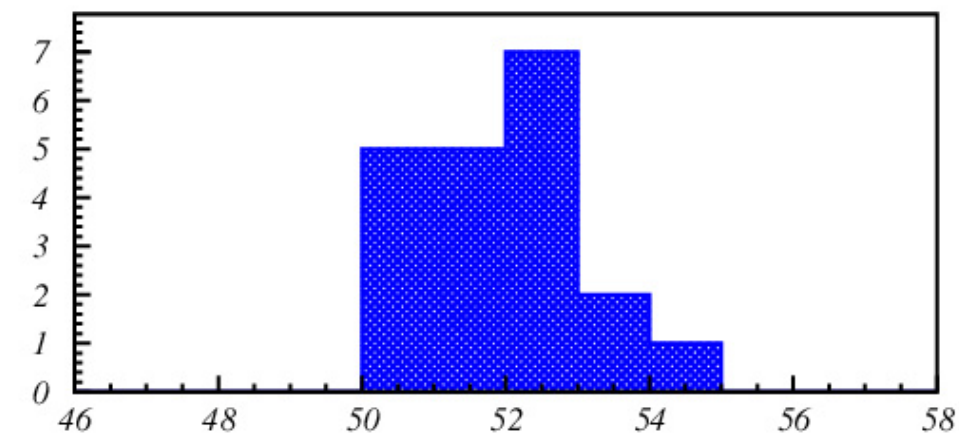
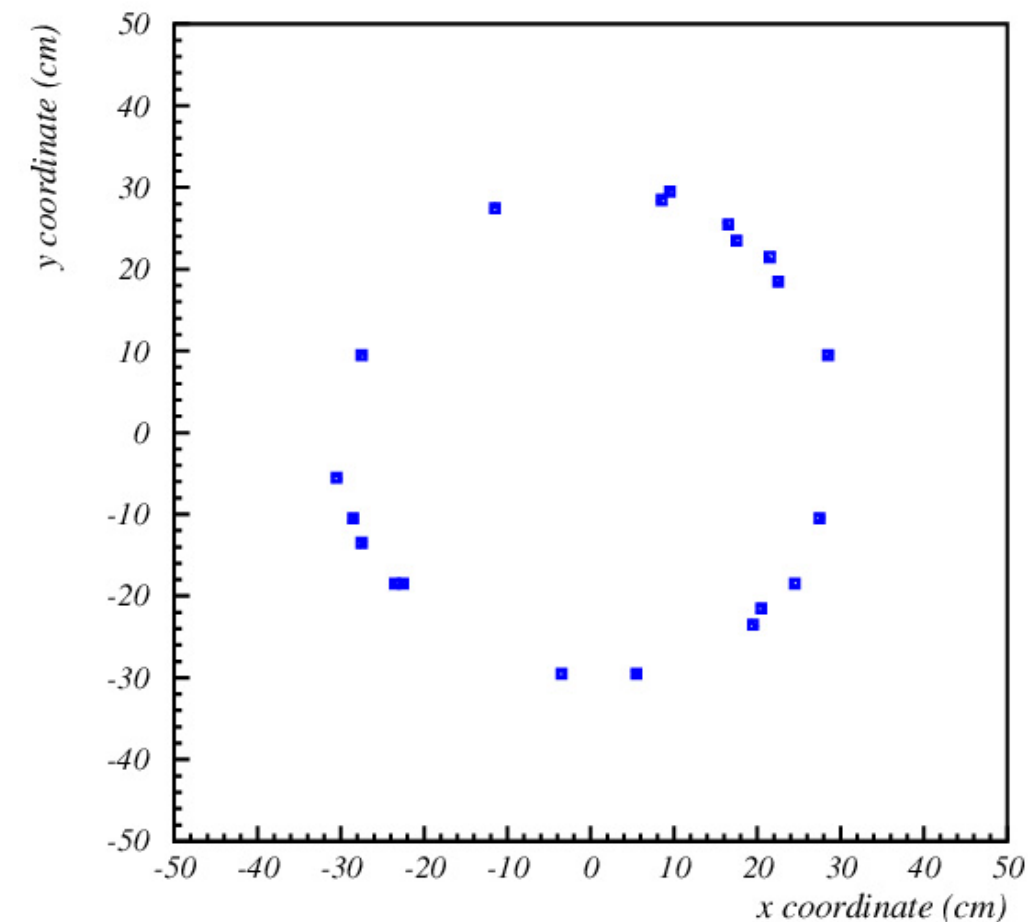
	Peak PDE	QE range	Gain	ENF	single photon ?	TTS	B	Rad. Hard.	Ageing
Solid state detectors	PD	≈ 100%	UV-IR	1	1	NO	-	OK	OK
	APD	≈ 80%		< 1000	> 2	NO	-	OK	
	SiPM	≈ 60%		≈ 10 ⁶	≈ 1.1	YES (dark counts?)	≈ 50ps	OK (gain, dark count noise?)	
Vacuum detectors	PMT	≈ 35%	UV-IR	≈ 10 ⁷	≈ 1.1	YES	≈ 200ps	≈ 0.1 mT	OK
	MA-PMT			≈ 10 ⁷	≈ 1.1		≈ 150ps	≈ 10 mT	
	MESH-PMT			≈ 10 ⁶	≈ 1.1 – 2		≈ 100ps	≈ 2 T (axial)	
	MCP-PMT	≈ 25%		≈ 10 ⁶	≈ 1.1 – 2		≈ 20ps	≈ 2 T (axial)	OK? (ALD)
	VPT	≈ 25%		≈ 10	≈ 2	NO	-	≈ 2 T (axial)	OK
Hybrid detectors	HPD	≈ 40%	UV-IR	≈ 5000	≈ 1	YES	-	OK (axial)	OK
	HAPD	≈ 40%		≈ 10 ⁵	≈ 1	YES	≈ 30ps (@high gain)	OK (DC noise?)	
Gaseous detectors	CsI MWPC	≈ 25%	UV	≈ 10 ⁵	≈ 2	YES	≈ 10ns	OK	IBF?
	CsI MPGD	≈ 20%	UV	≈ 10 ⁶	≈ 1.2 – 2	YES	≈ 100ps	HIGH	

RICH basic reconstruction

- from the known track and photon ring a Cherenkov angle can be reconstructed
- error for single photon Cherenkov angle comes mainly from:
 - track error,
 - dispersion, variation of phase refractive index
 - unknown emission point along the track in the radiator
 - detector granularity – pad size
- Cherenkov angle resolution per track reduces with square root of the number of detected photons

$$\sigma_{\vartheta_c, \text{single}} = \sigma_{\vartheta, \text{tr.e.}} \oplus \sigma_{\vartheta, \text{disp.}} \oplus \sigma_{\vartheta, \text{emiss.p.}} \oplus \sigma_{\vartheta, \text{ph.det.res.}}$$

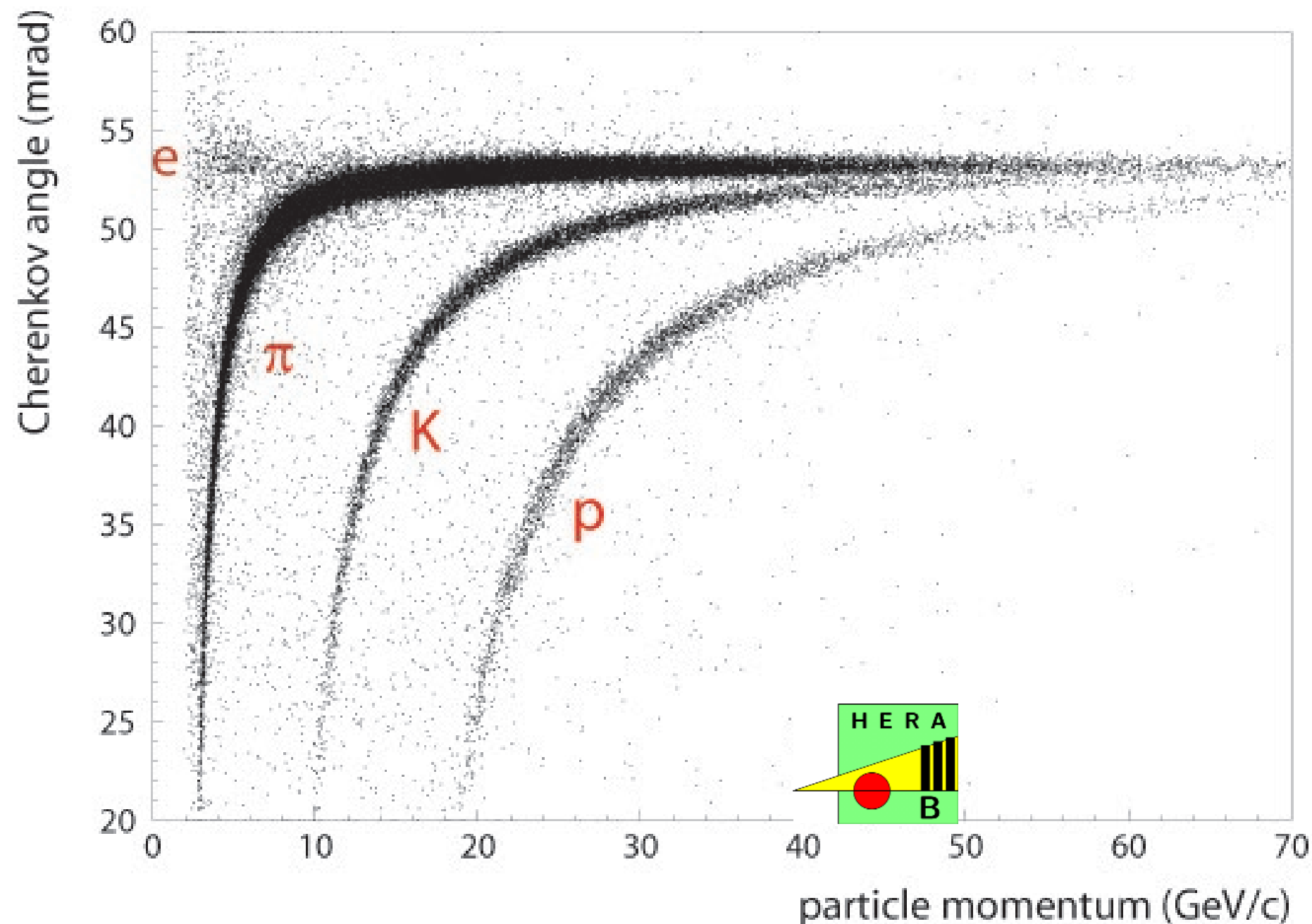
$$\sigma_{\vartheta, \text{track}} = \frac{\sigma_{\vartheta_c}}{\sqrt{N}}$$



Cherekov angle distribution (mradian)

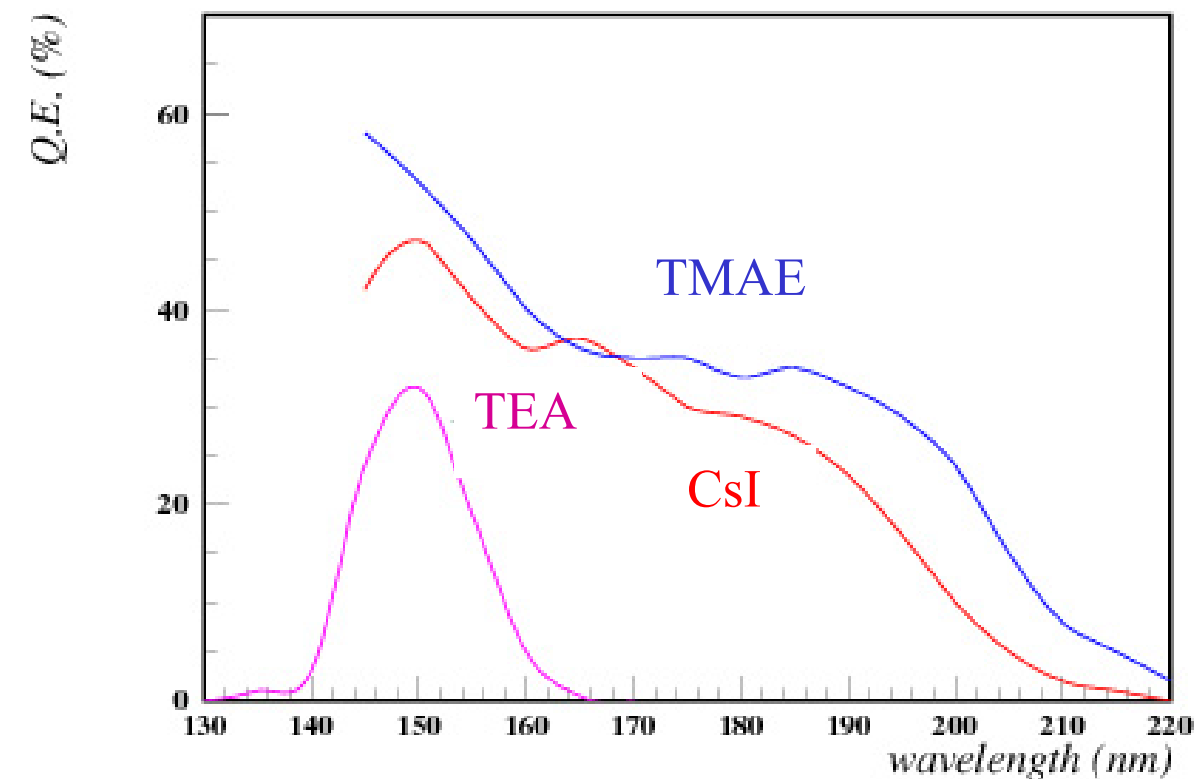
RICH - reconstructed Cherenkov angle

- example of a reconstructed Cherenkov angle vs. track momentum distribution
- bands corresponding to different particle types are clearly visible
- π/K separation up to $\sim 50 \text{ GeV}/c$



Early RICH detectors

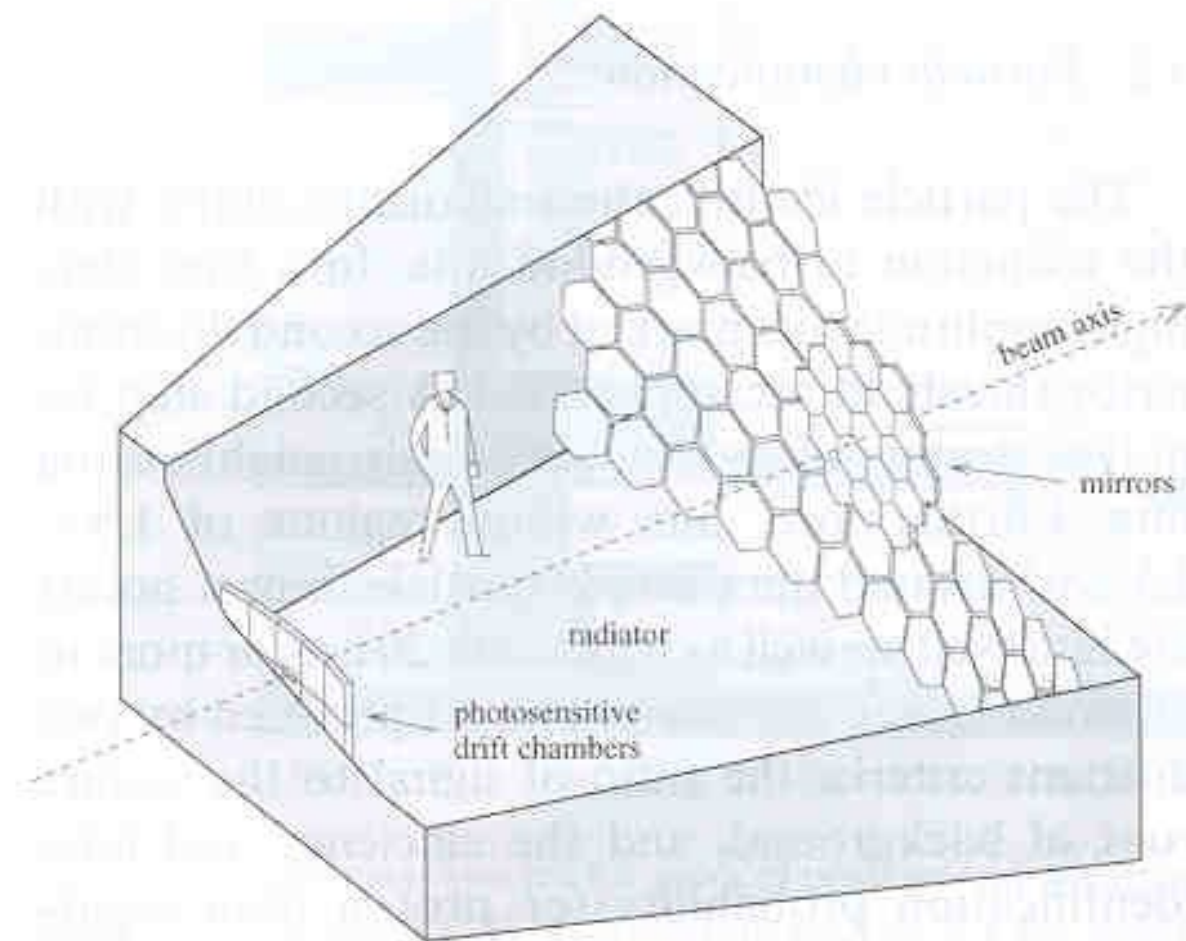
- Detection of photons by gaseous detectors:
- photosensitive substance (TMAE, TEA) added to gas or deposited on one cathode (CsI)
- works in magnetic field
- low initial costs
- only UV transparent materials and high purity gas (not for aerogel)



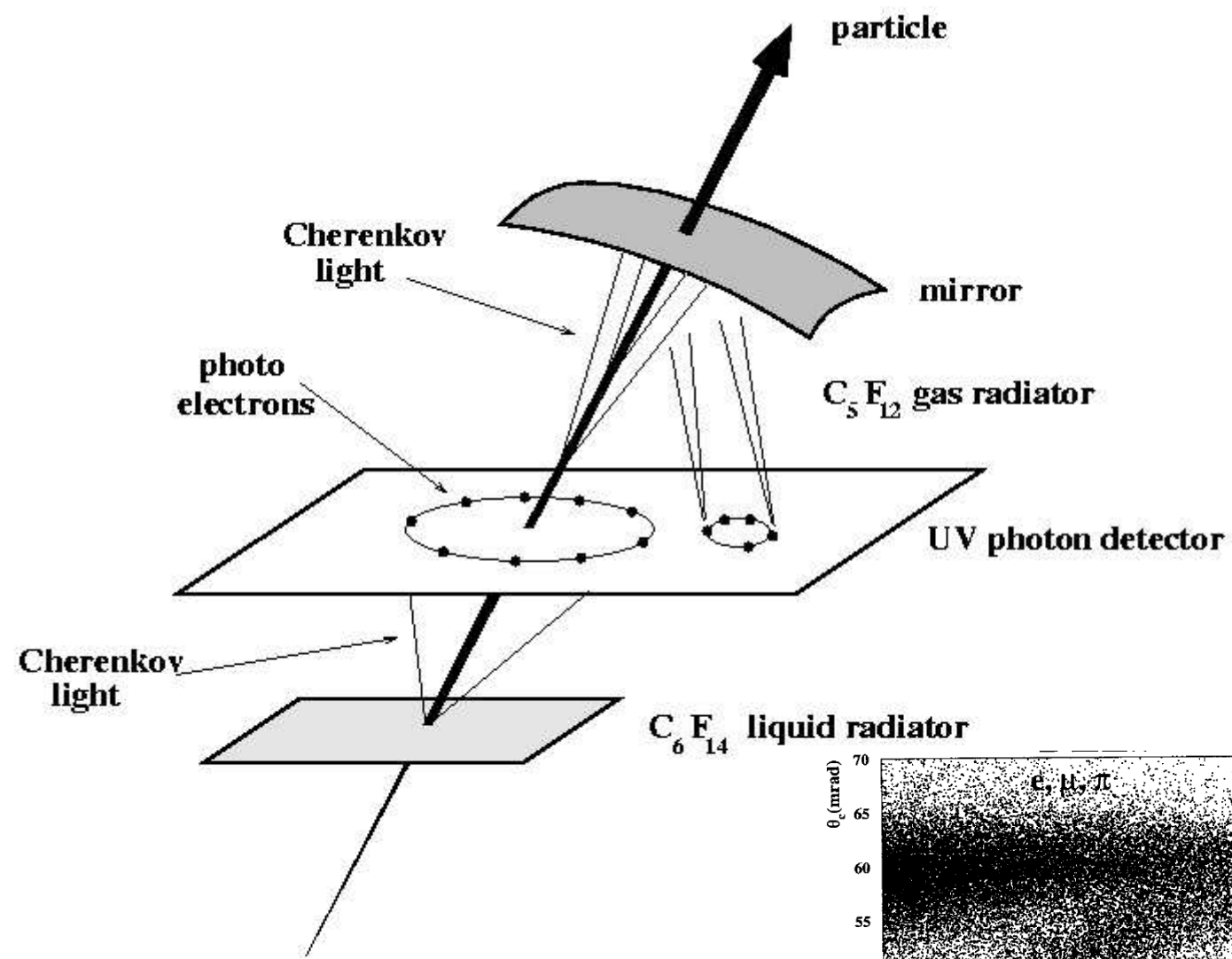
- DELPHI, SLD, OMEGA RICH counters based on TMAE:
- long absorption length → thick wire chamber detector – TPC (UV photon → photo-electron → detection of a single electron in a TPC)
- slow – low rate
- aging

Early RICH detector

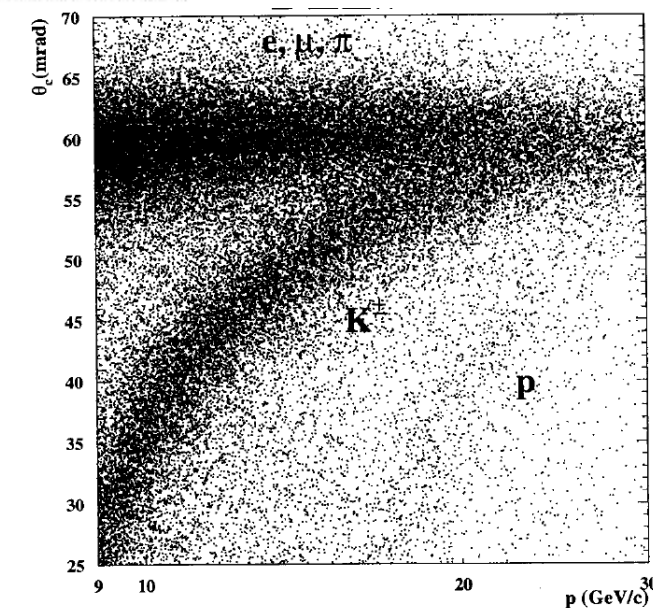
OMEGA, DELPHI RICH counters based on TMAE



- OMEGA



- DELPHI (dual radiator)

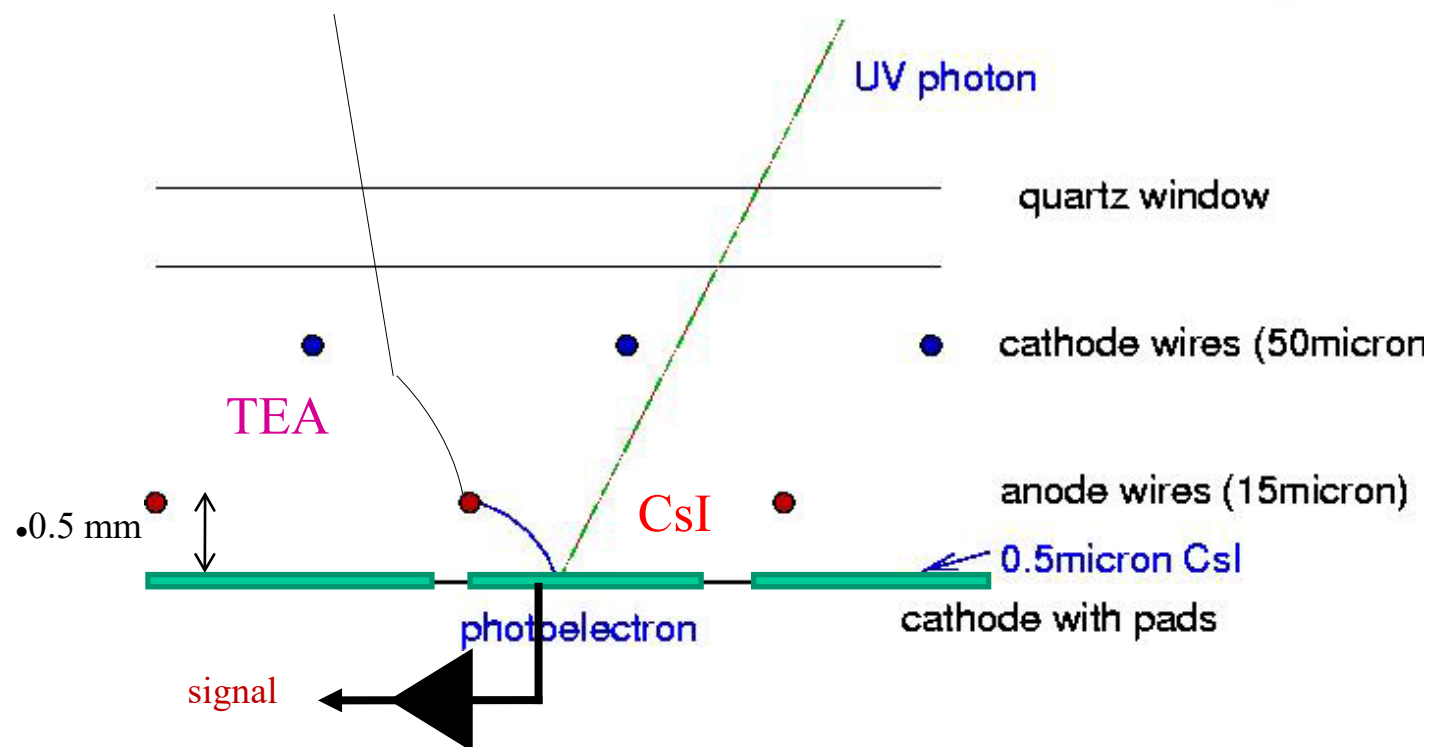
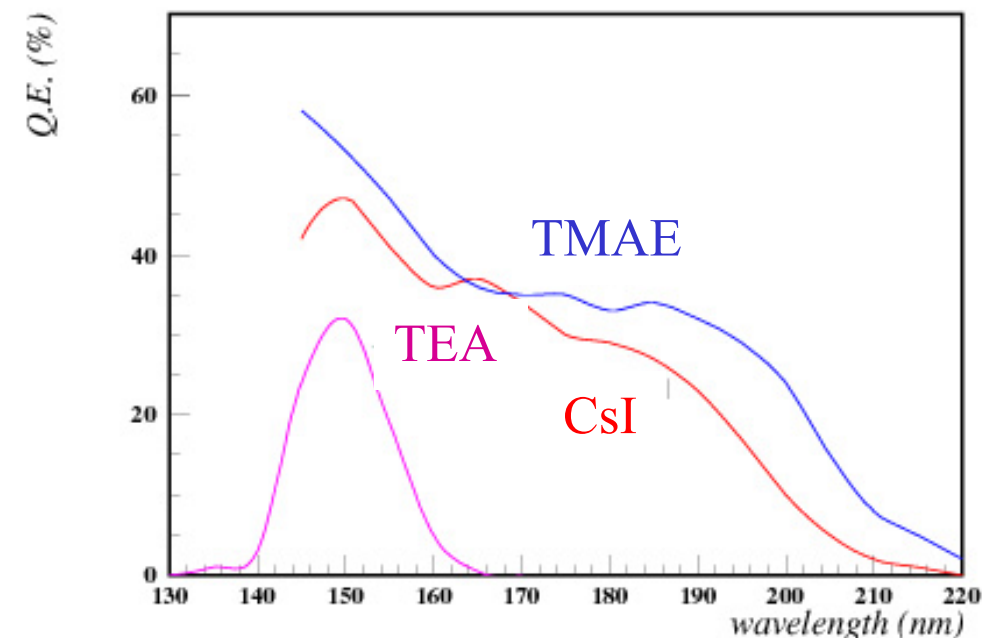
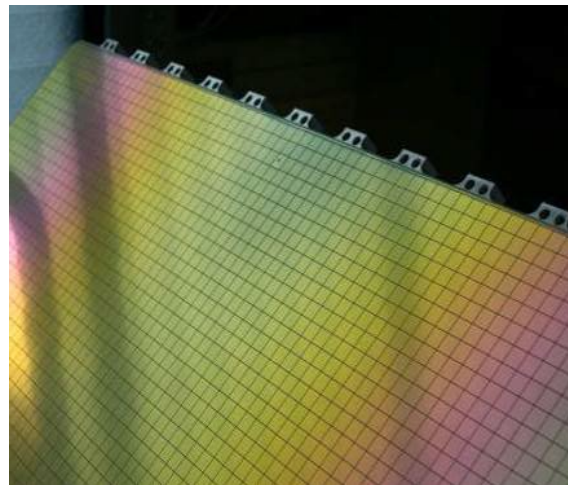


Faster wire chambre based RICH detectors

Thin multi-wire proportional chamber with cathode pad readout →
short drift distance → fast detector

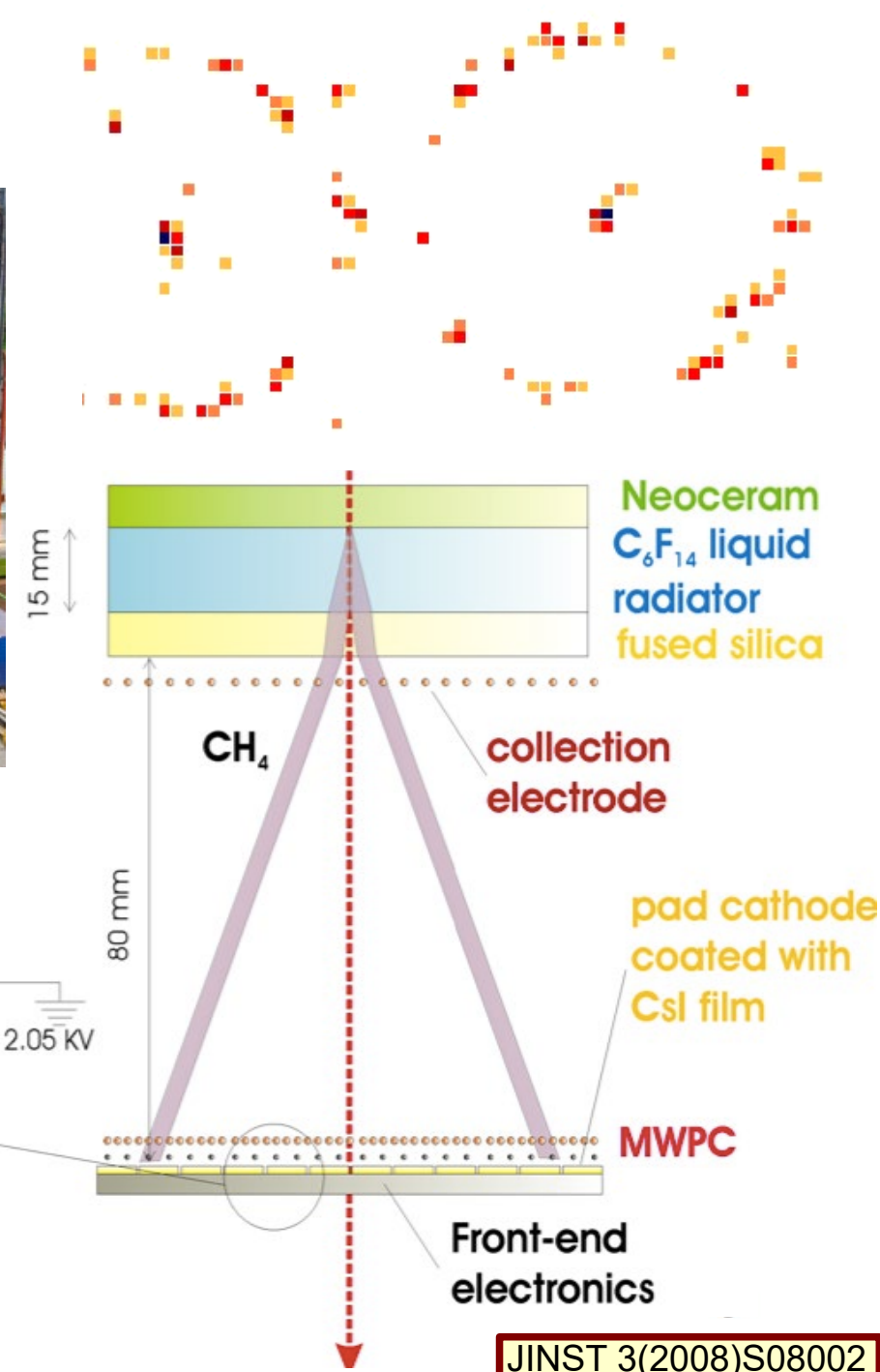
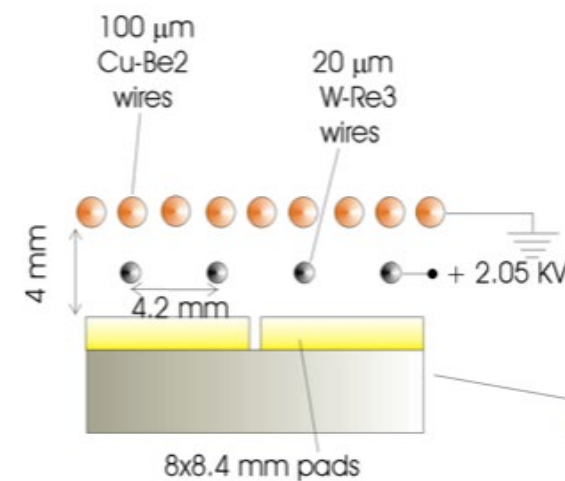
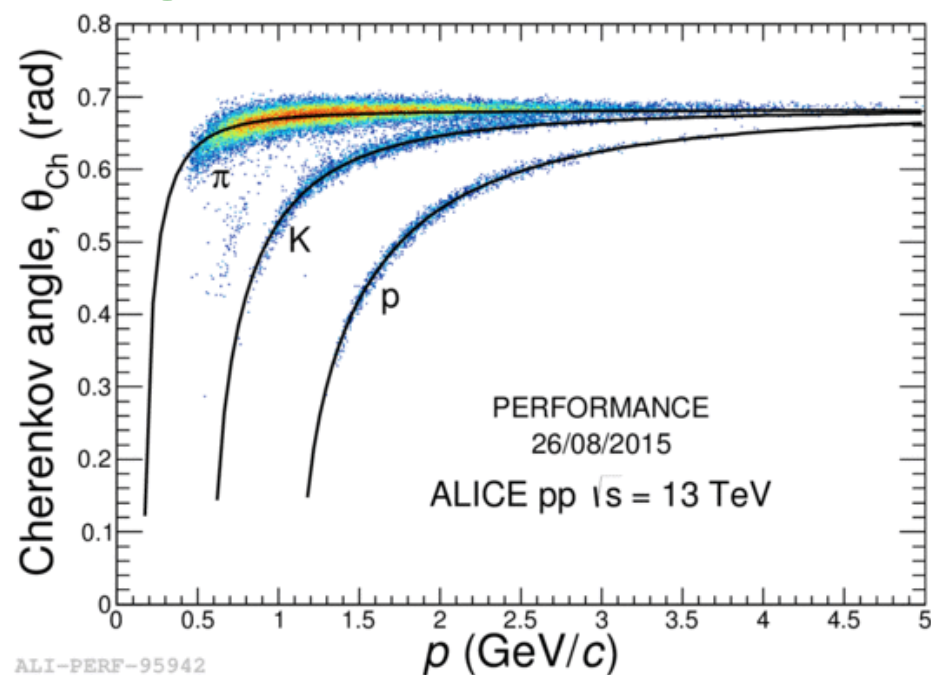
CLEO RICH:

- TEA → short absorption length
- sensitive only below 160 nm
- aging
- HADES, COMPASS, ALICE RICH:
- thin CsI layer over photocathode pads
- high-rate instabilities



ALICE experiment uses MWPCs with CsI photocathode for high momentum PID:

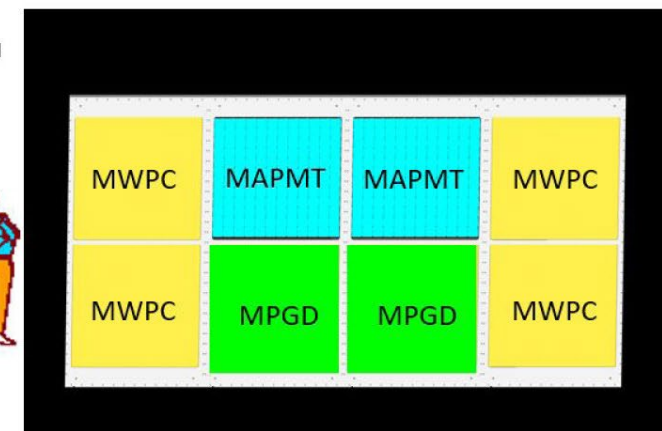
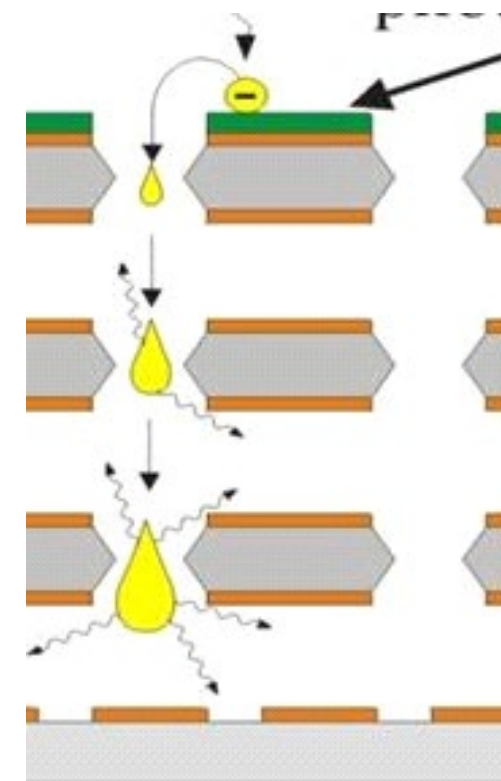
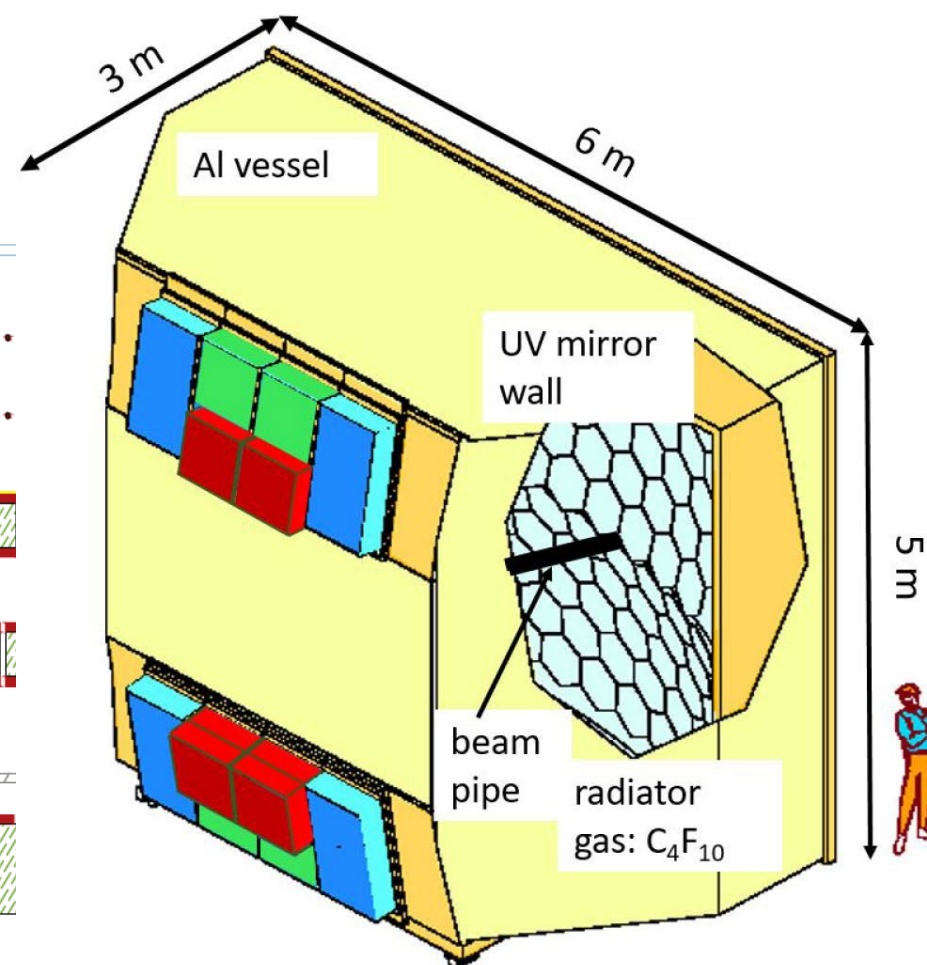
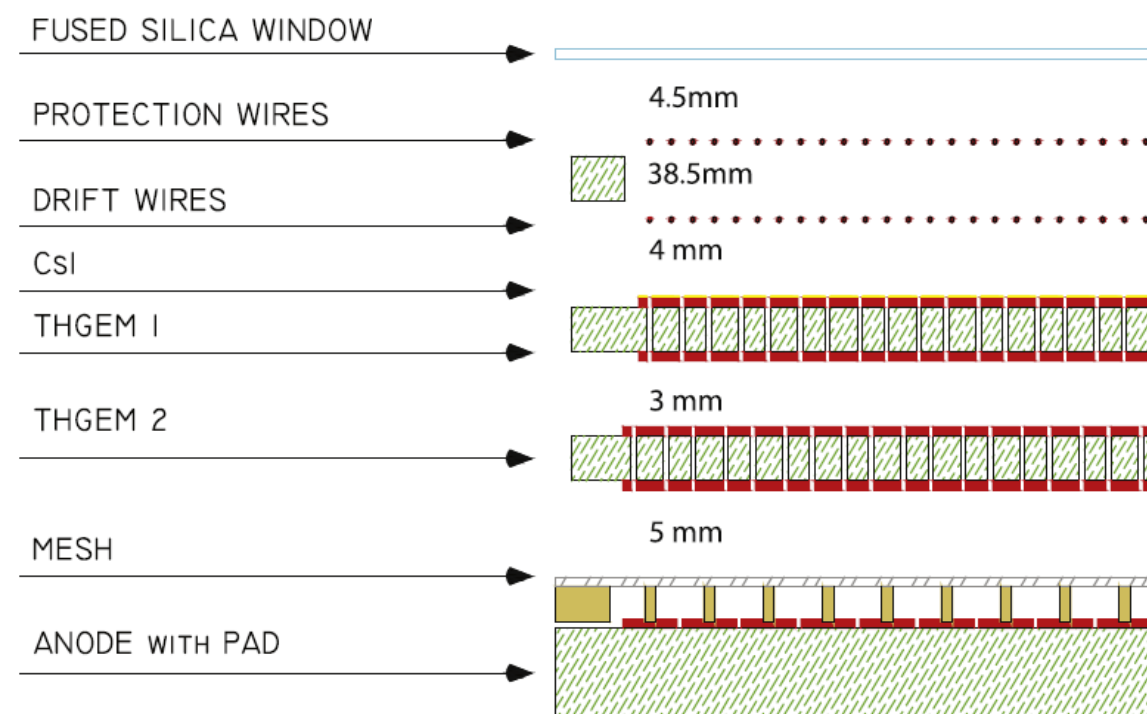
- proximity focusing configuration
- liquid radiator C_6F_{14} (15 mm, $n=1.2989@175nm$)
- CH_4 gas, gain $\sim 4 \cdot 10^4$
- 300nm CsI reflective photocathode, $QE \sim 25\%@175nm$



GEMs and THGEMs with CsI - gaseous detector comeback?

COMPASS RICH-1 upgrade:

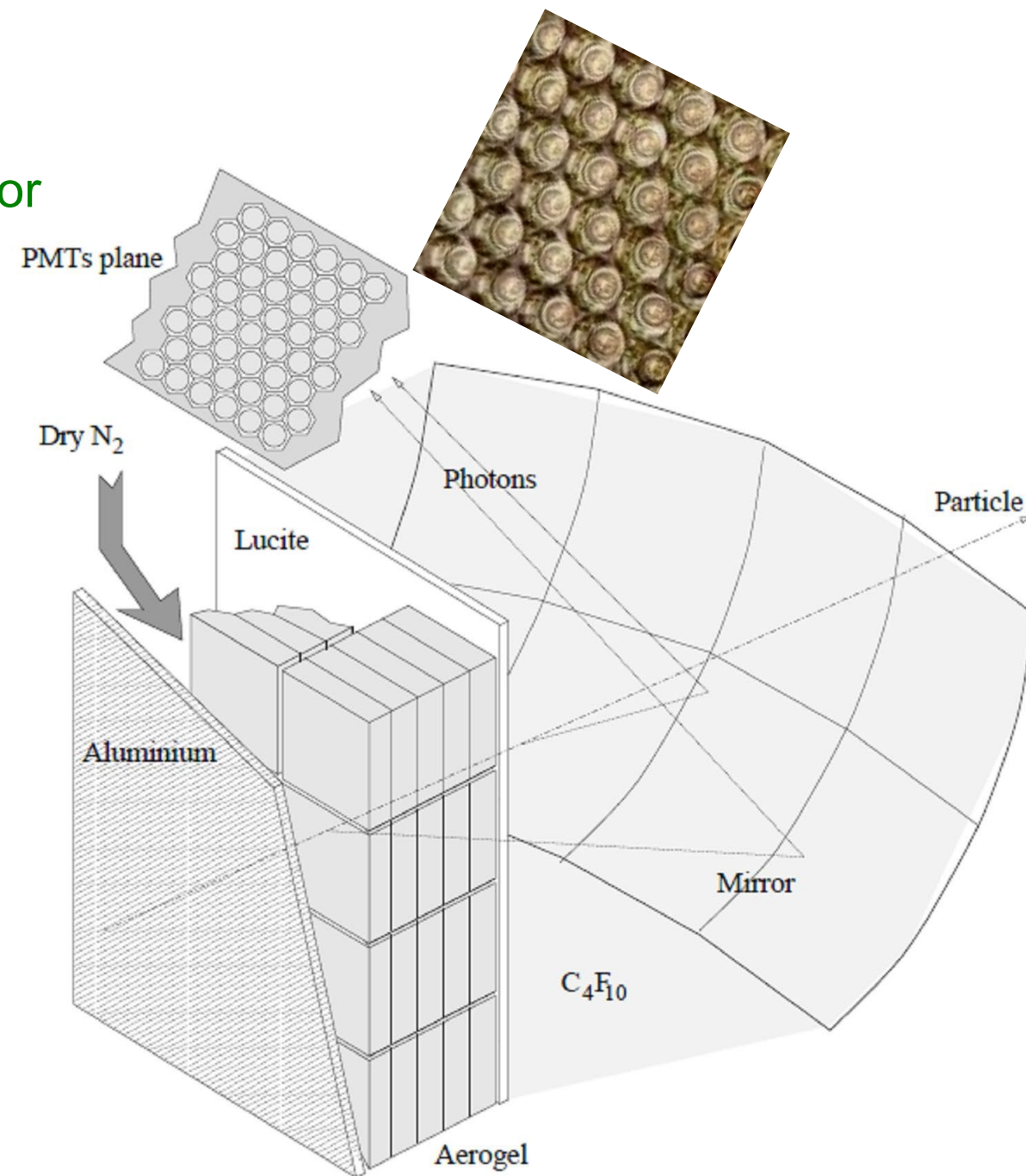
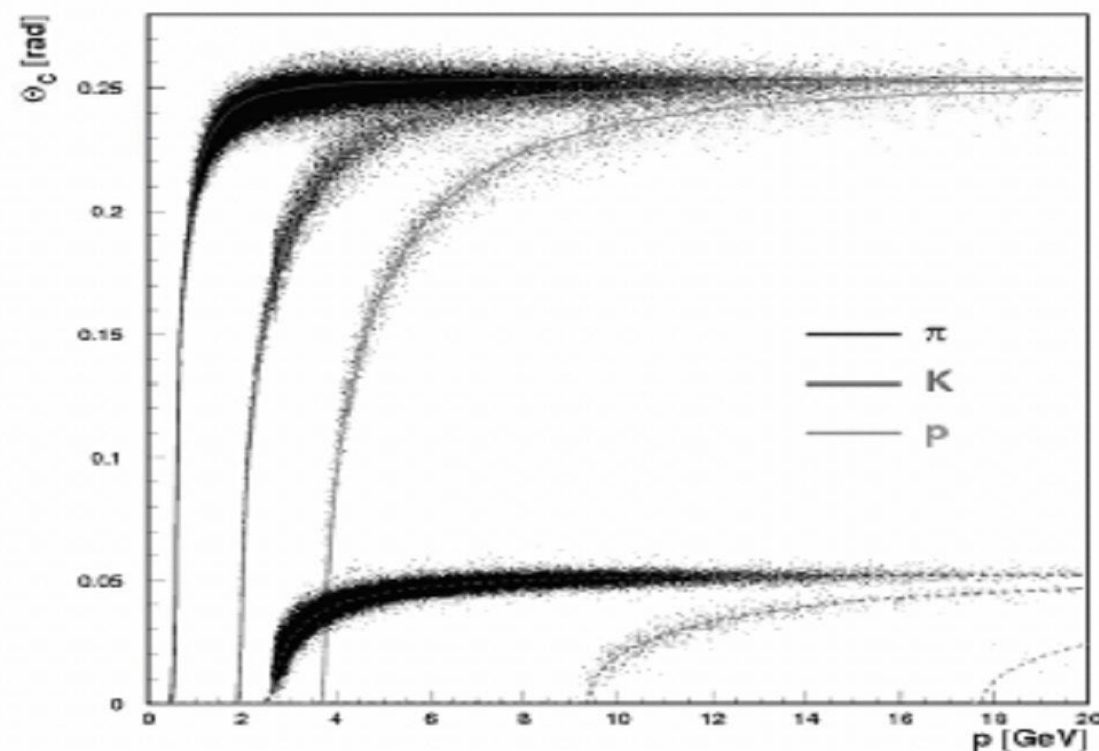
- THGEM + CsI – new development in gaseous photo detectors
- ~ 10 photons for saturated ring
- resolution ~1.8 mrad ...



NIMA 952 (2020) 161832

Vacuum based photon detectors - PMTs

- operation at high rates over longer periods
- sensitivity for visible light – compatible with aerogel radiator
- does not work in magnetic field
- **HERMES RICH (SELEX, PHENIX):**
- dual radiator C_4F_{10} ($n=1.00137$ @ 633nm)+ aerogel ($n=1.03$ @ 633nm)
- single channel PMTs ($\frac{3}{4}$ inch, Philips XP1911/UV)

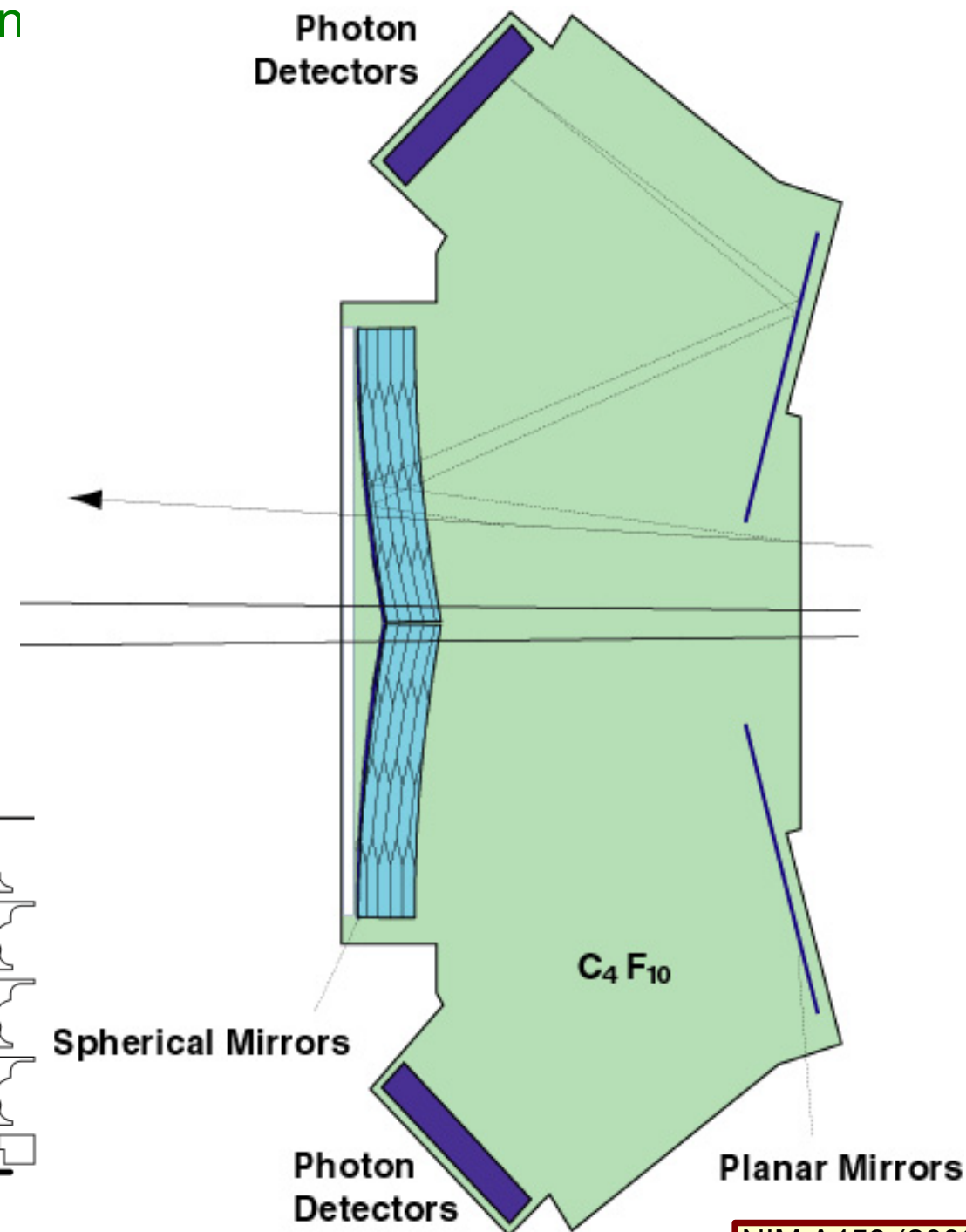
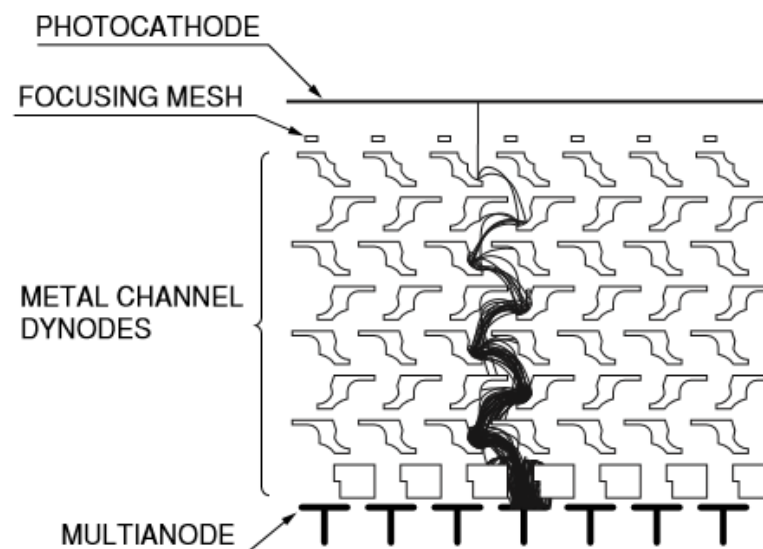
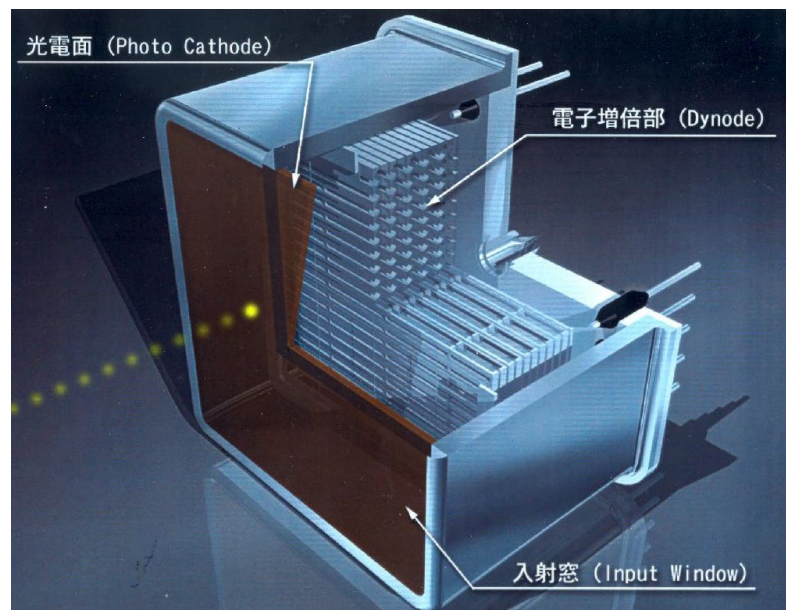


NIMA 479 (2002) 511

- smaller pad size → better resolution

HERA-B RICH:

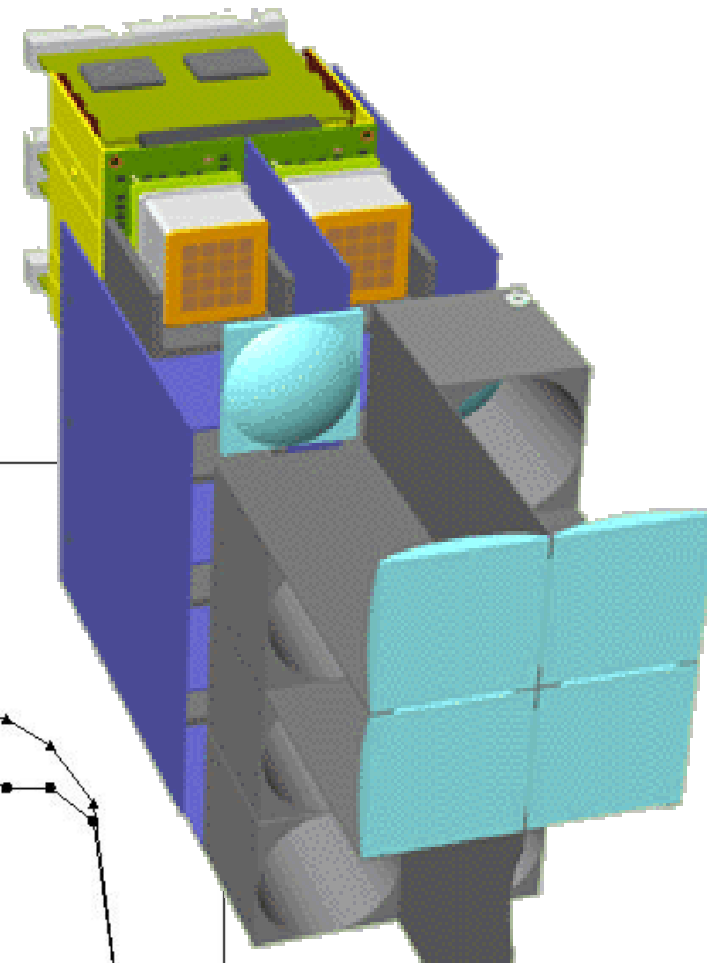
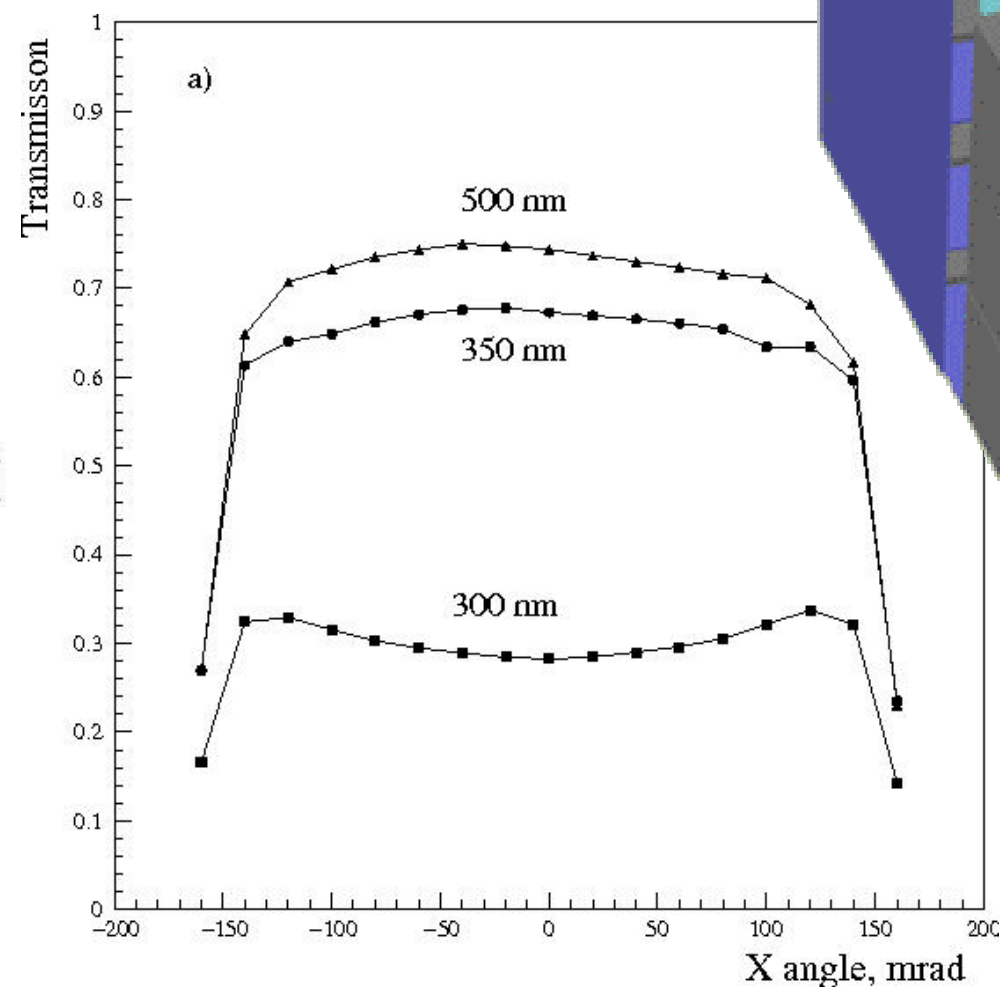
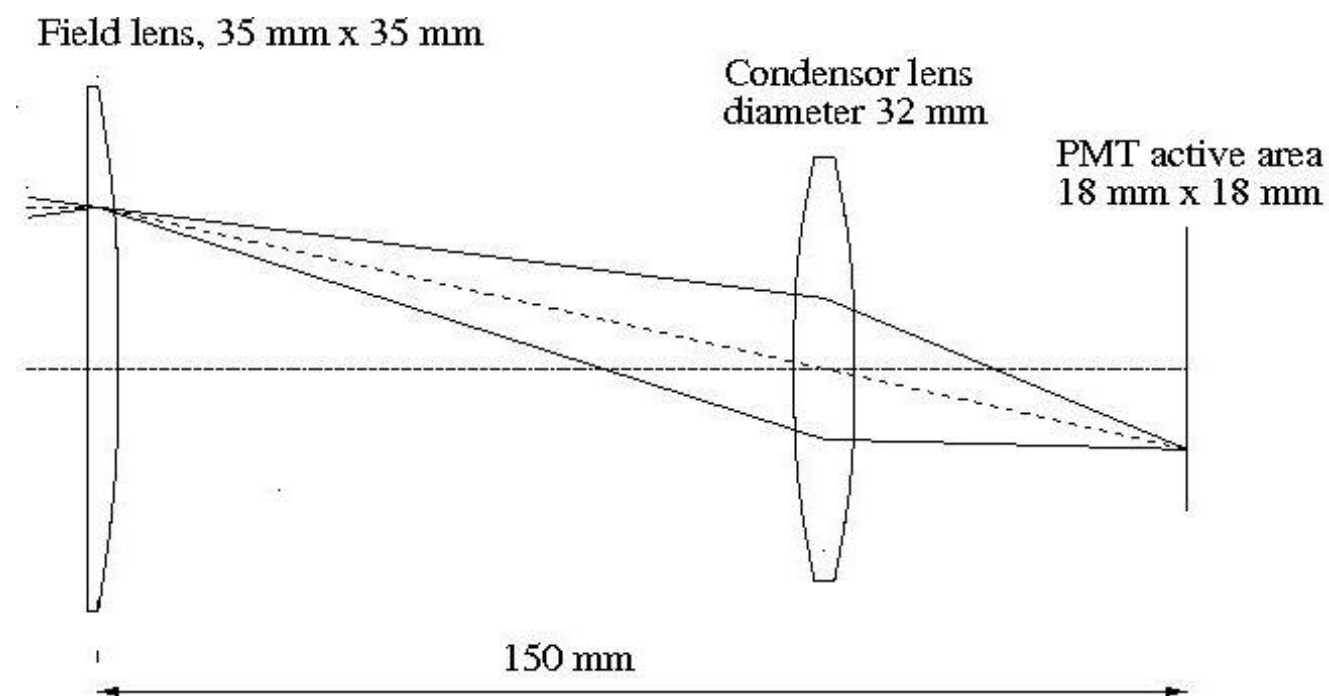
- high-rate operation ($>1\text{MHz/cm}^2$) → wire chamber prototypes(CsI,TMAE) abandoned
- multi-anode PMTs (Hamamatsu R5900-M16(M4)) → first use on large scale
 - excellent single photoelectron detection
 - low noise (few dark counts/s/ch.)
 - low cross-talk ($<1\%$)
 - low active area ration ($<50\%$) → imaging light concentrators (area ratio 4:1)



NIM A453 (2000) 289

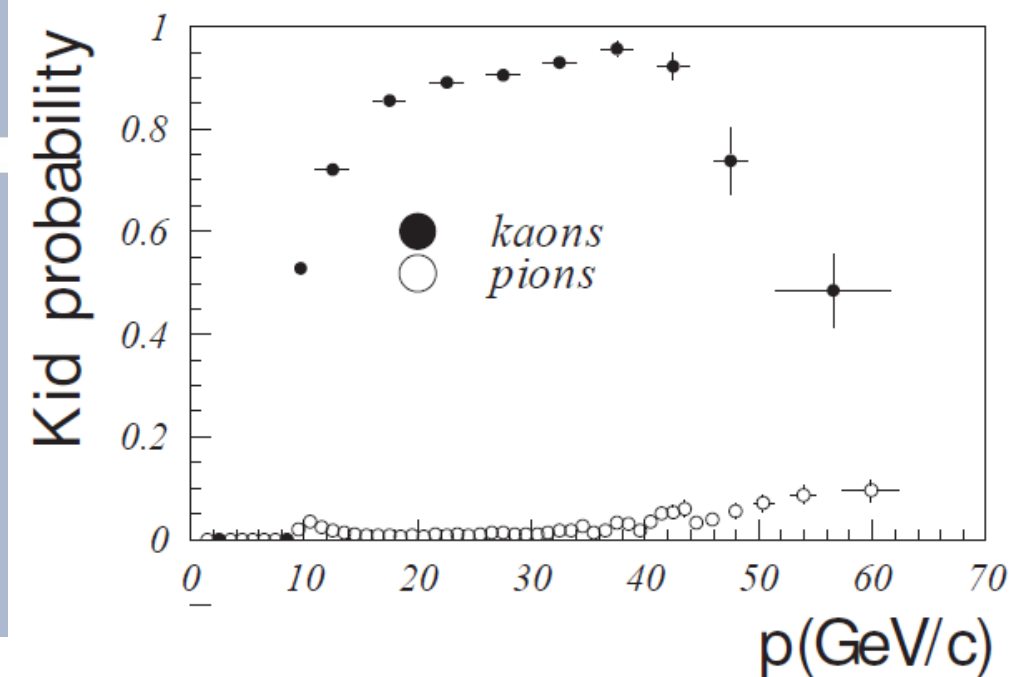
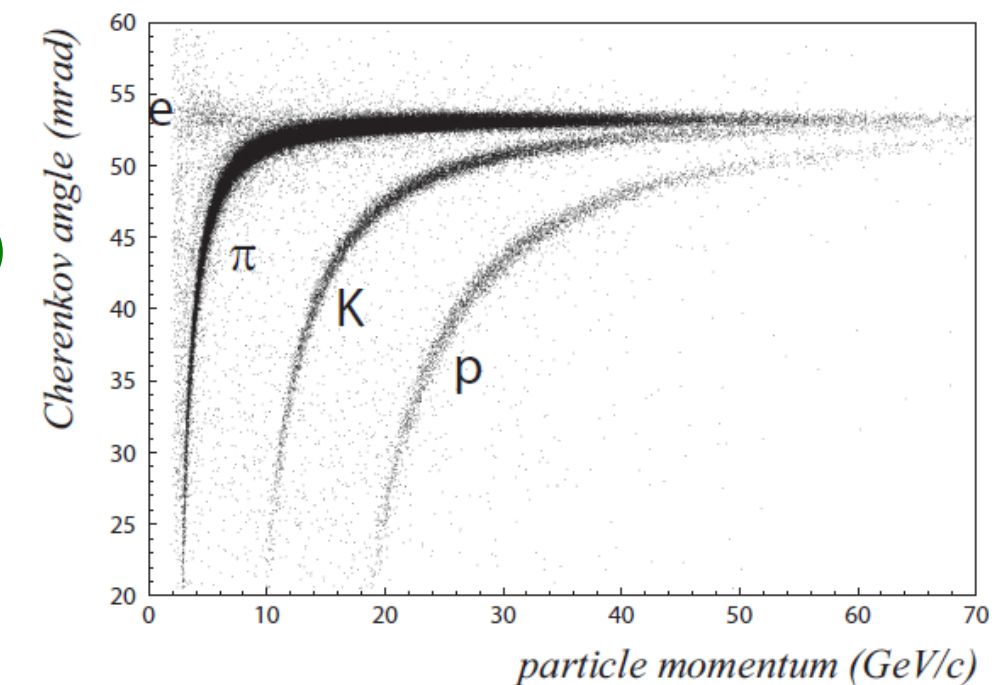
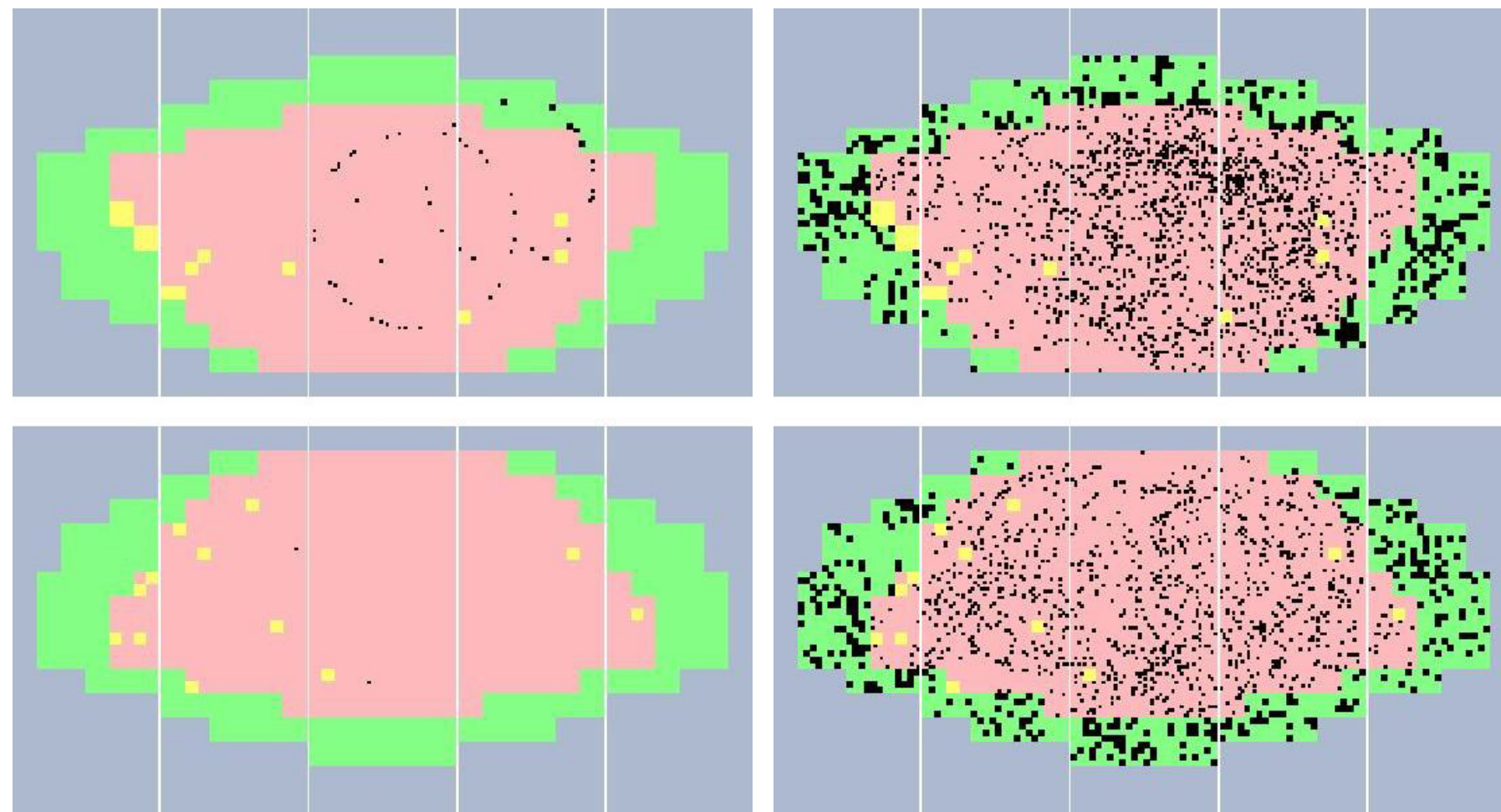
The HERA-B RICH lens demagnification

- imaging light concentrators:
 - two lens system
 - demagnification factor 2
 - area ratio 4:1
 - limited angular acceptance ≈ 150 mrad (saturated Cherenkov angle ≈ 53 mrad)
- injection moulded plastic lenses



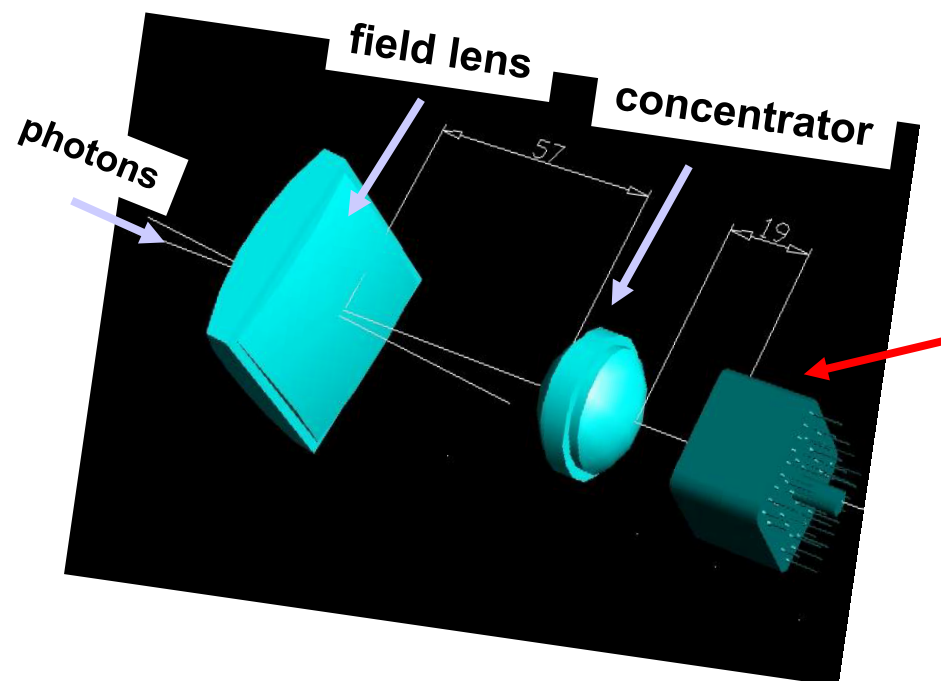
The HERA-B RICH performance

- low noise (few hits per event)
- ~ 30 ph./ring(saturated)
- good performance even at high occupancy events (typical)

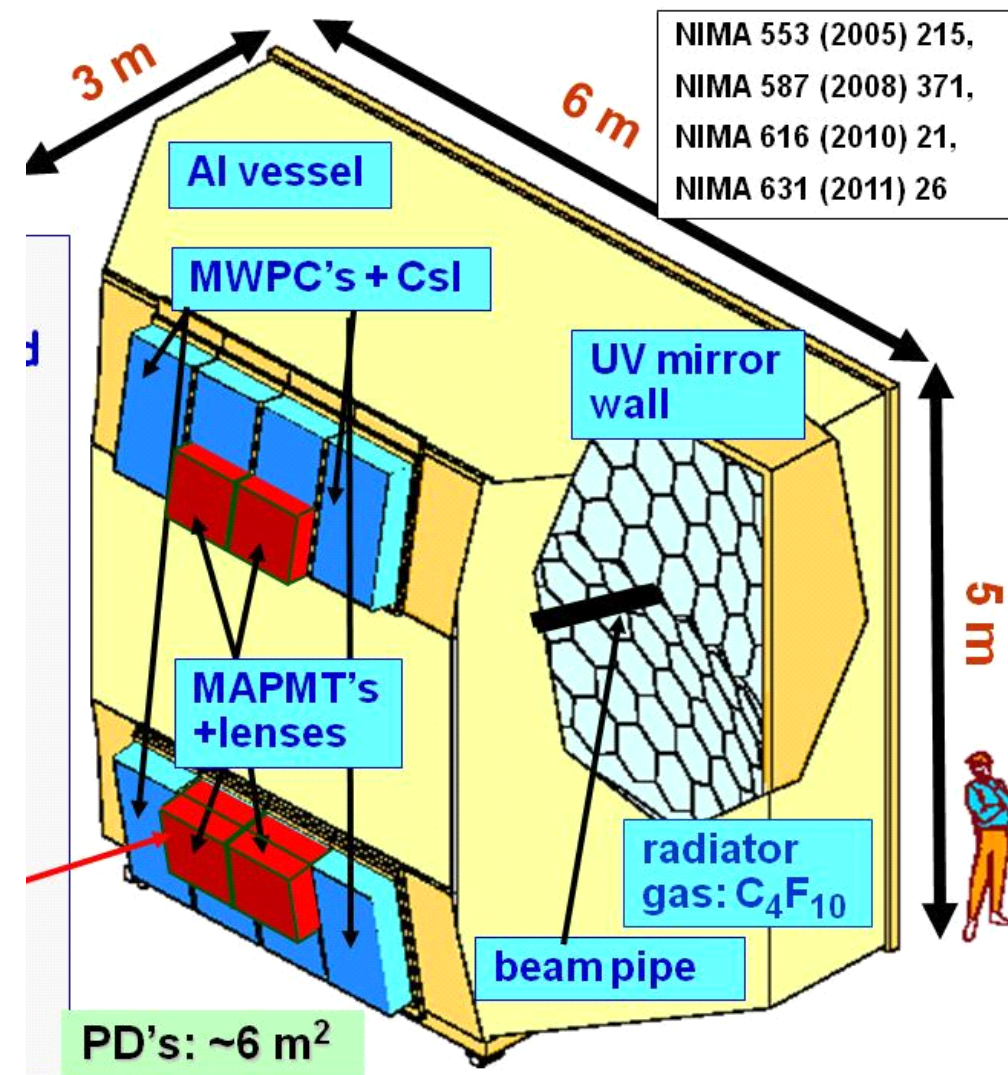


COMPAS RICH upgrade:

- CsI in central (high occupancy) part replaced with multi-anode PMTs
- similar imaging light concentrator system used
- UV extended PMTs and optics (down to 200 nm)
- area demagnification 7:1
- 60 ph. for saturated ring, resolution 0.3 mrad ...

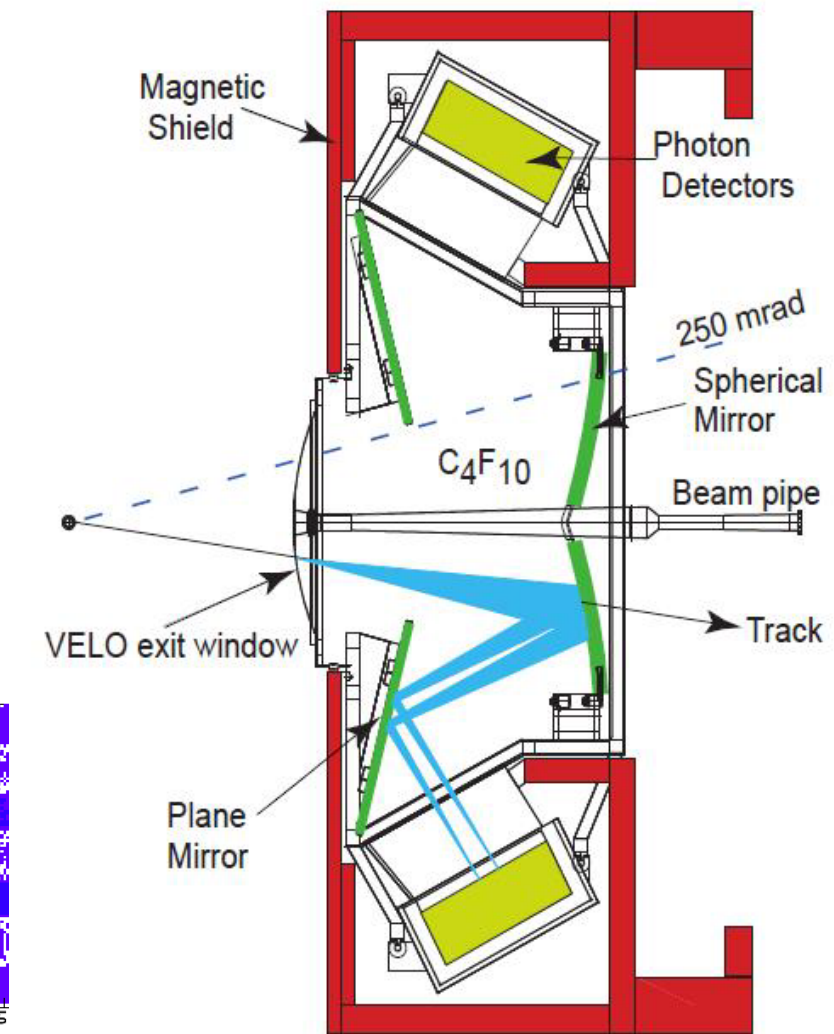


MAPMT

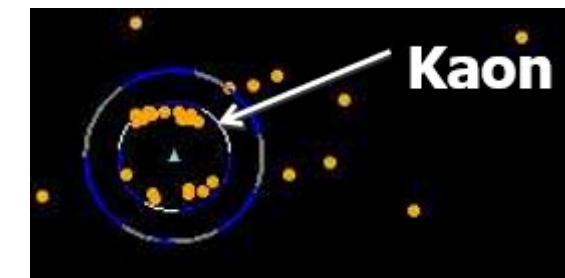


LHCb RICH 1&2 with HPDs

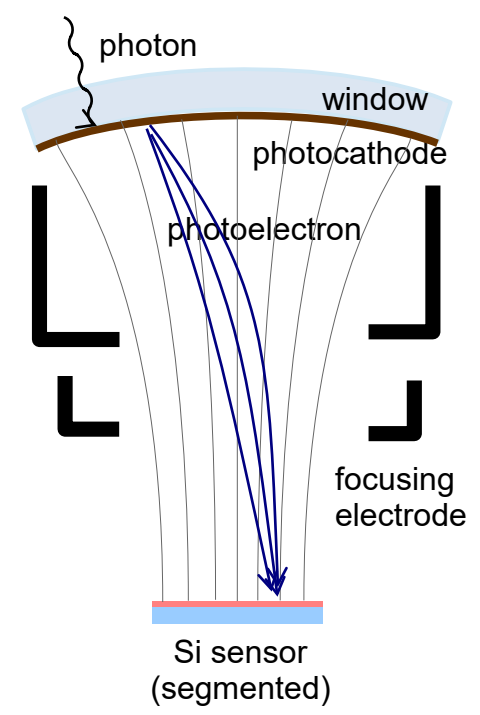
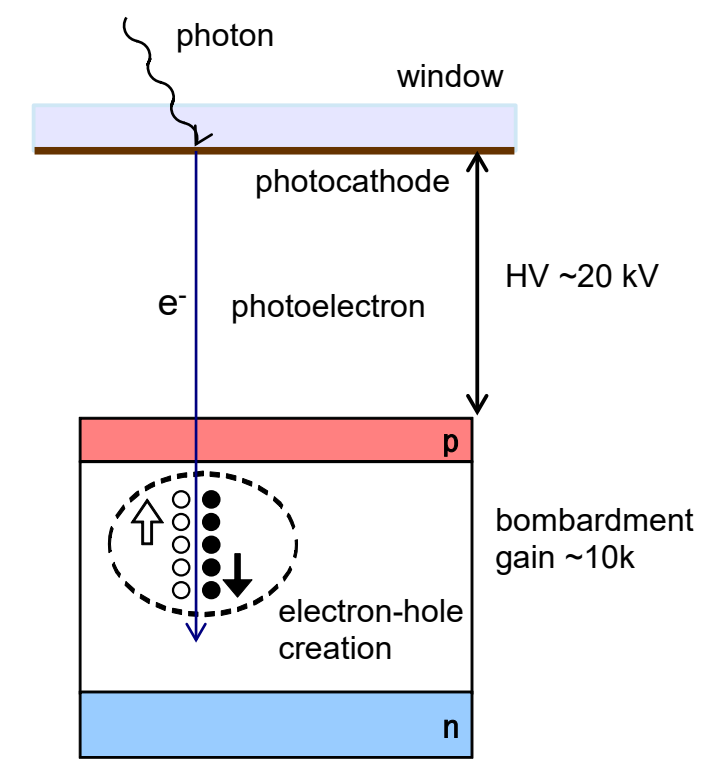
- 2 RICHs with 2(3) radiators (aerogel, C_4F_{10} ; CF_4)
- Hybrid Photon Detector introduced
- electron optics → 5x demagnification
- sensitive to magnetic field
- HV ~20kV, gain ~5k
- CERN+DEP-Photonis (replaced with MaPMTs)



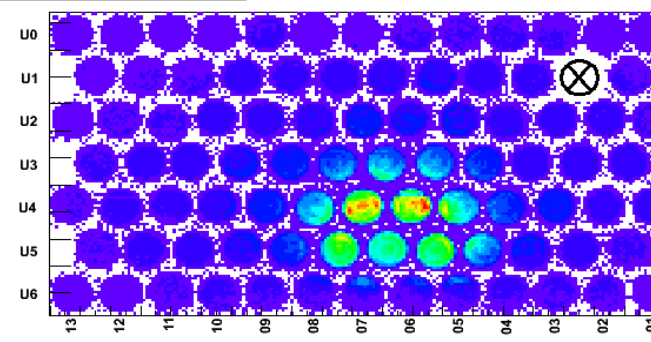
RICH 1



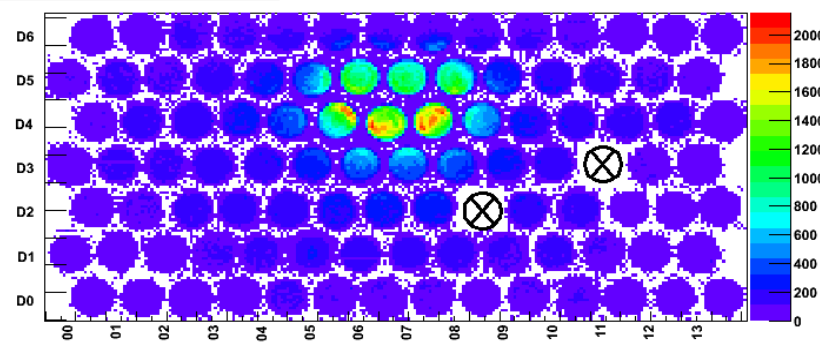
NIM A 603 (2009) 287



HitMap for Rich1 top panel

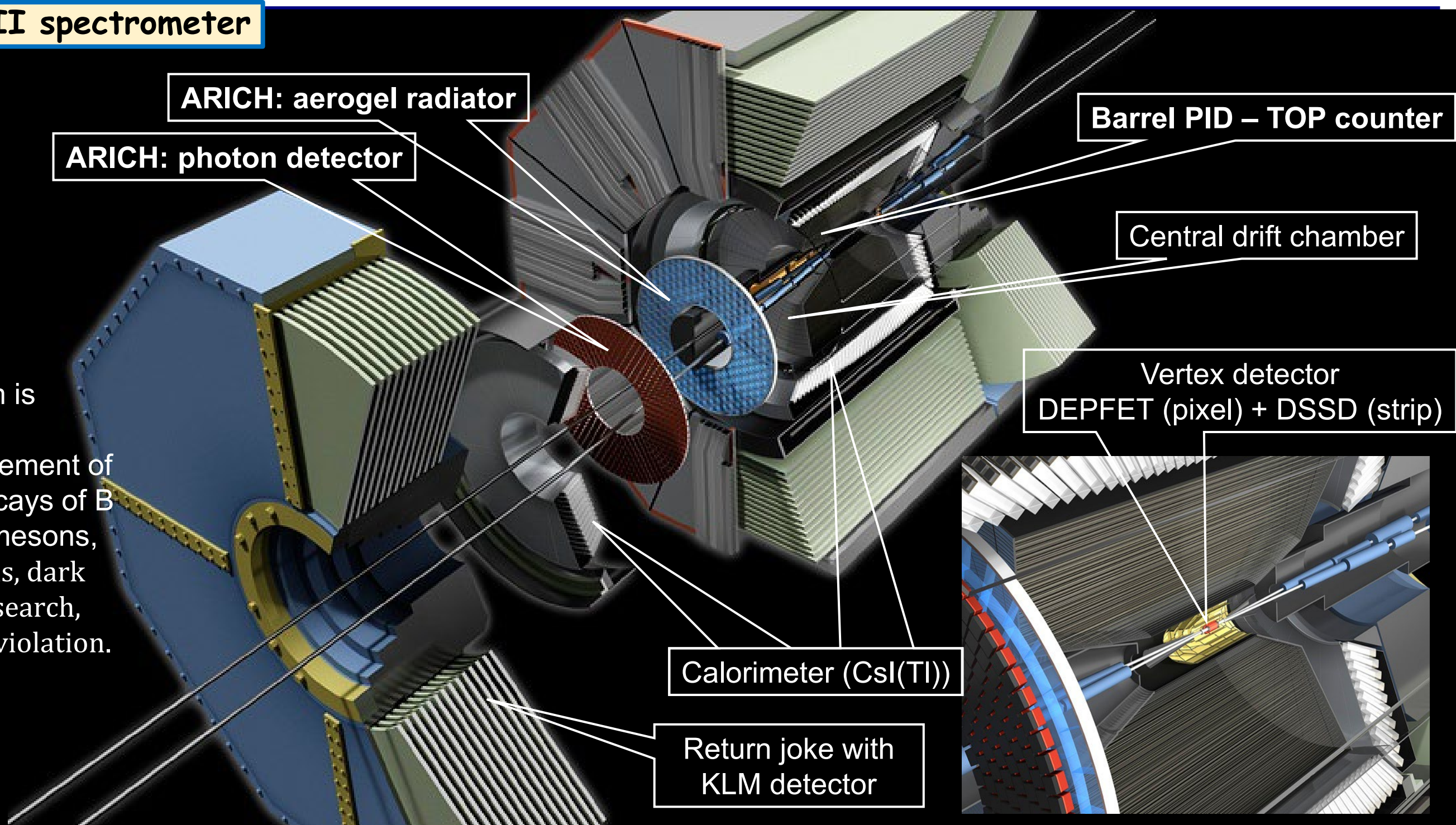


HitMap for Rich1 bottom panel



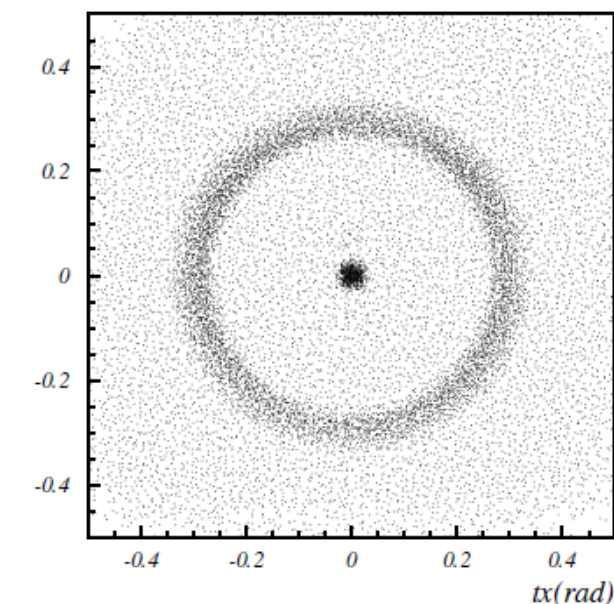
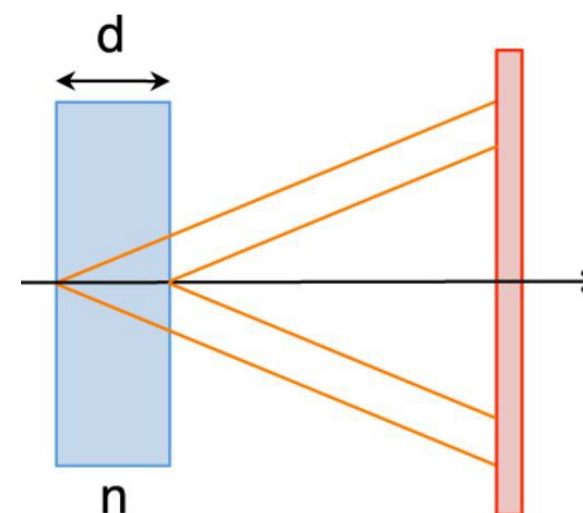
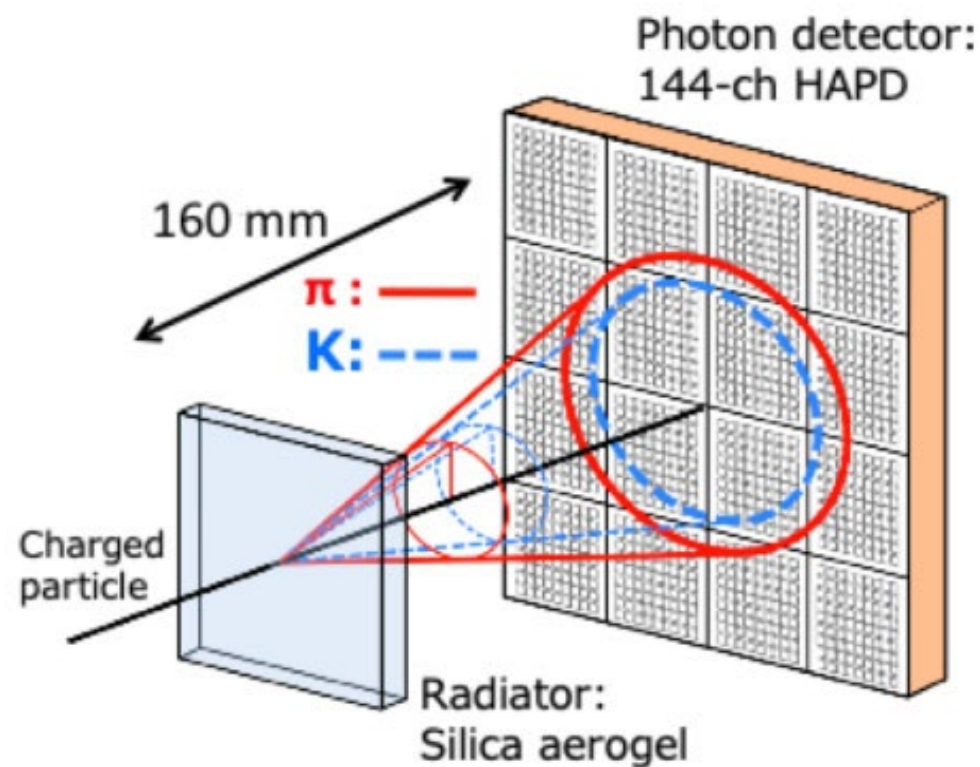
Belle II spectrometer

The aim is precise measurement of rare decays of B and D mesons, τ leptons, dark matter search, and CP violation.

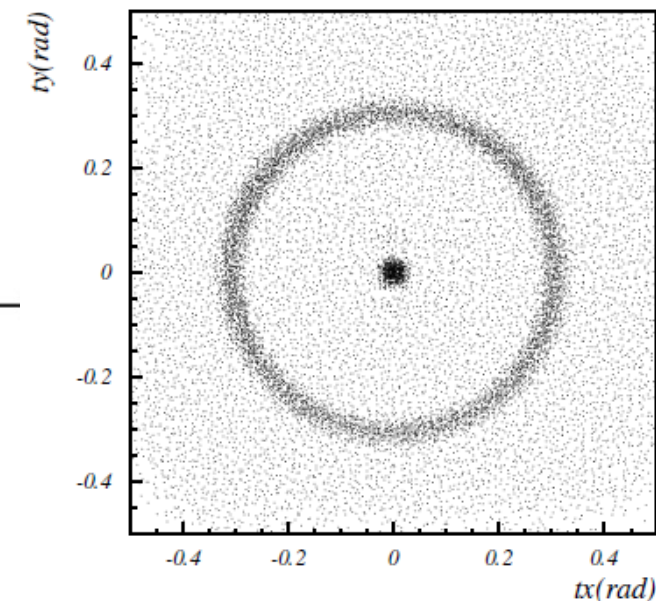
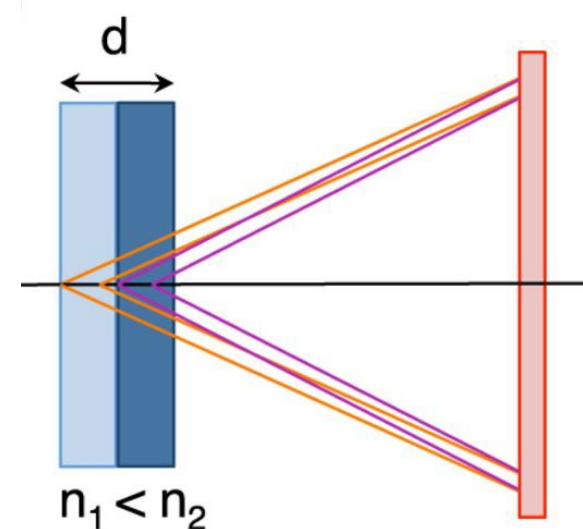


Proximity focusing Aerogel Ring Imaging CHerenkov detector (ARICH) components:

- double layer focusing aerogel radiator (20 mm each)
- 160 mm expansion gap
- photon detector - Hybrid Avalanche Photo Detectors (HAPD)



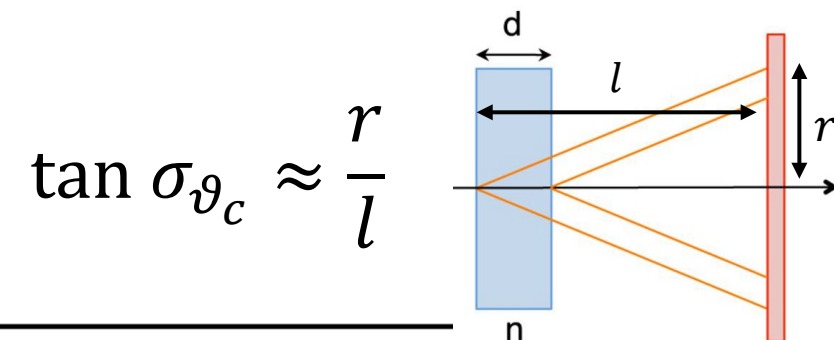
Single-layer system



Dual-layer system

Single photon Cherenkov angle resolution

- Two main contributions to single photon resolution ($n=1.05$)



- pad size a ($\sim 6\text{mm}$), position resolution $\frac{a}{\sqrt{12}}$

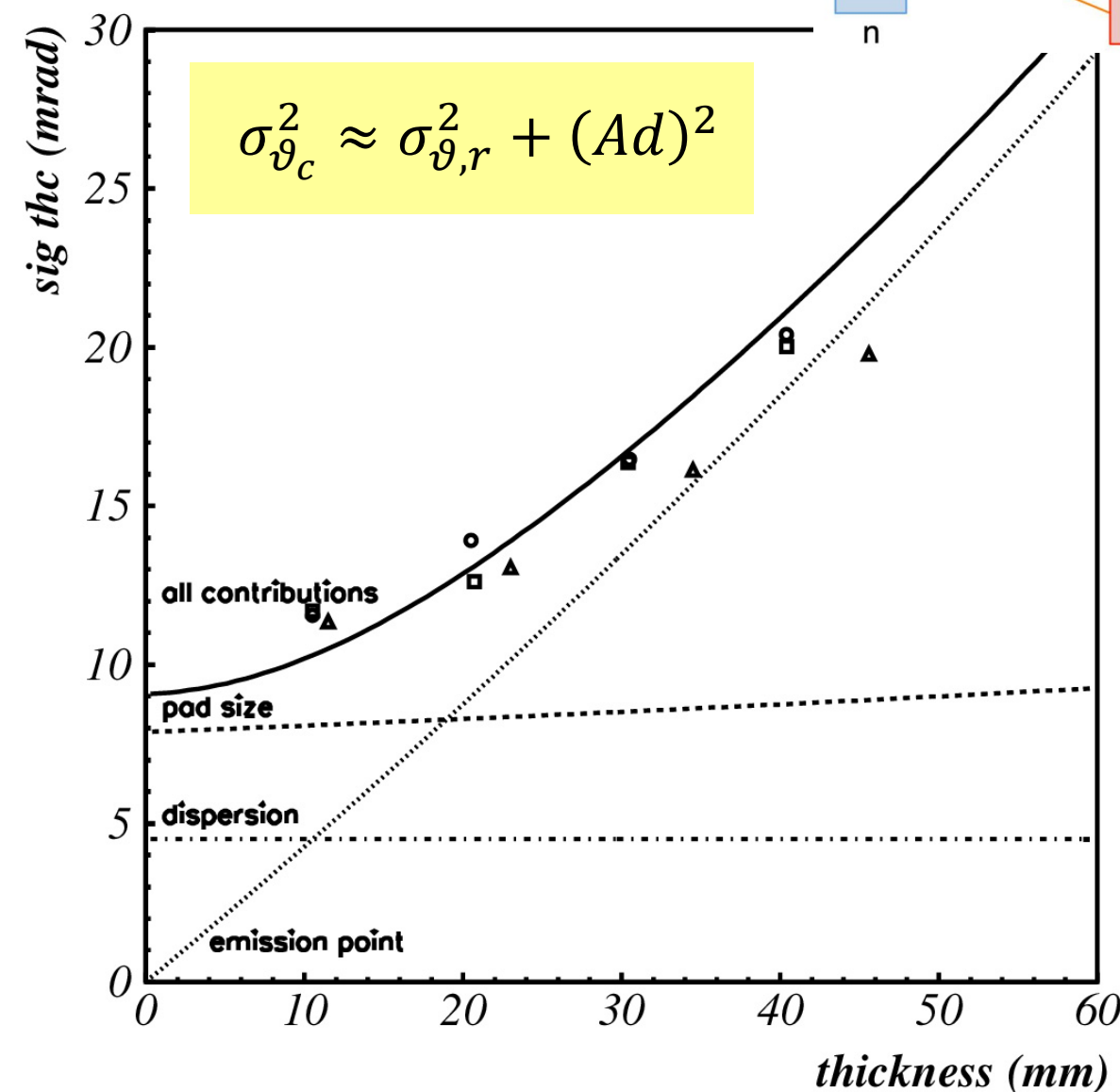
$$\sigma_{\vartheta,r} \approx \frac{\cos^2 \vartheta_c}{\left(l - \frac{1}{2}d\right)} \frac{a}{\sqrt{12}} \approx 8 \text{ mrad}$$

- aerogel thickness d , emission point uncertainty $\frac{d}{\sqrt{12}}$

$$\sigma_d \approx \frac{\cos \vartheta_c \sin \vartheta_c}{\left(l - \frac{1}{2}d\right)} \frac{d}{\sqrt{12}} \approx Ad = d \cdot 4 \text{ mrad/cm}$$

- single photon resolution

$$\sigma_{\vartheta_c} = \sigma_{\vartheta,a} \oplus \sigma_{\vartheta,d}$$



- Single track Cherenkov angle resolution

$$\sigma_{track} = \frac{\sigma_{\vartheta_c}}{\sqrt{N}}$$

- with no attenuation ($N = Bd$)

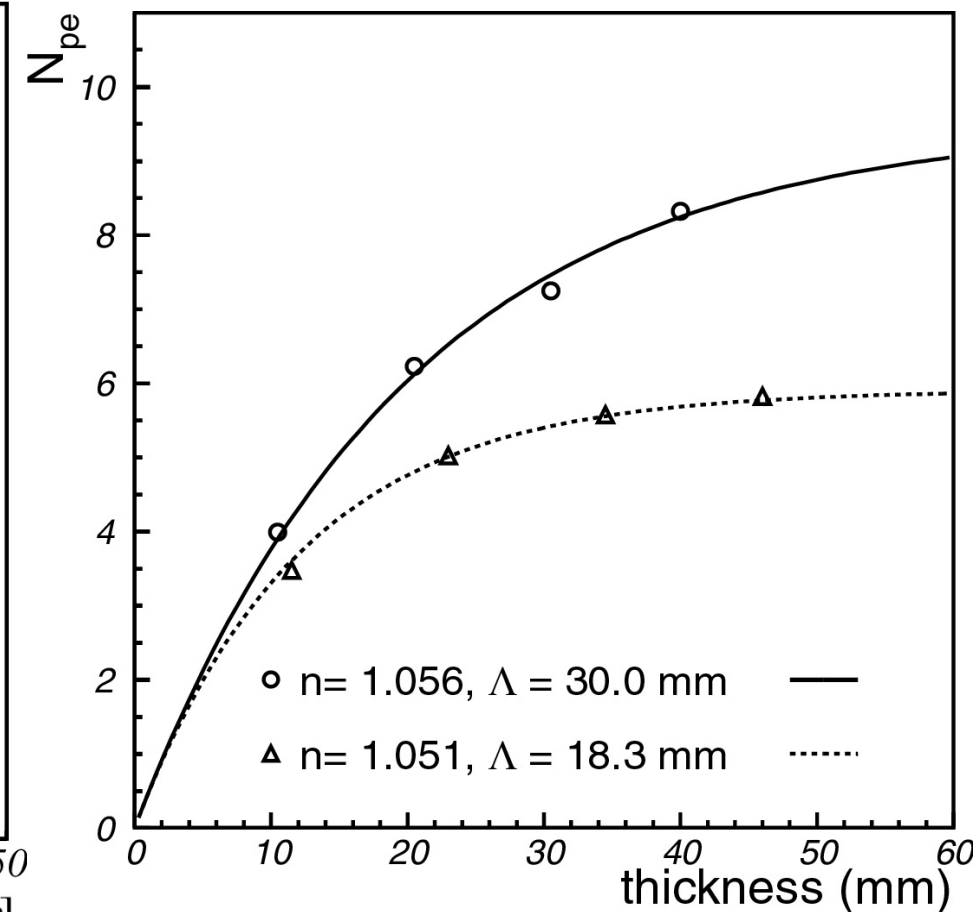
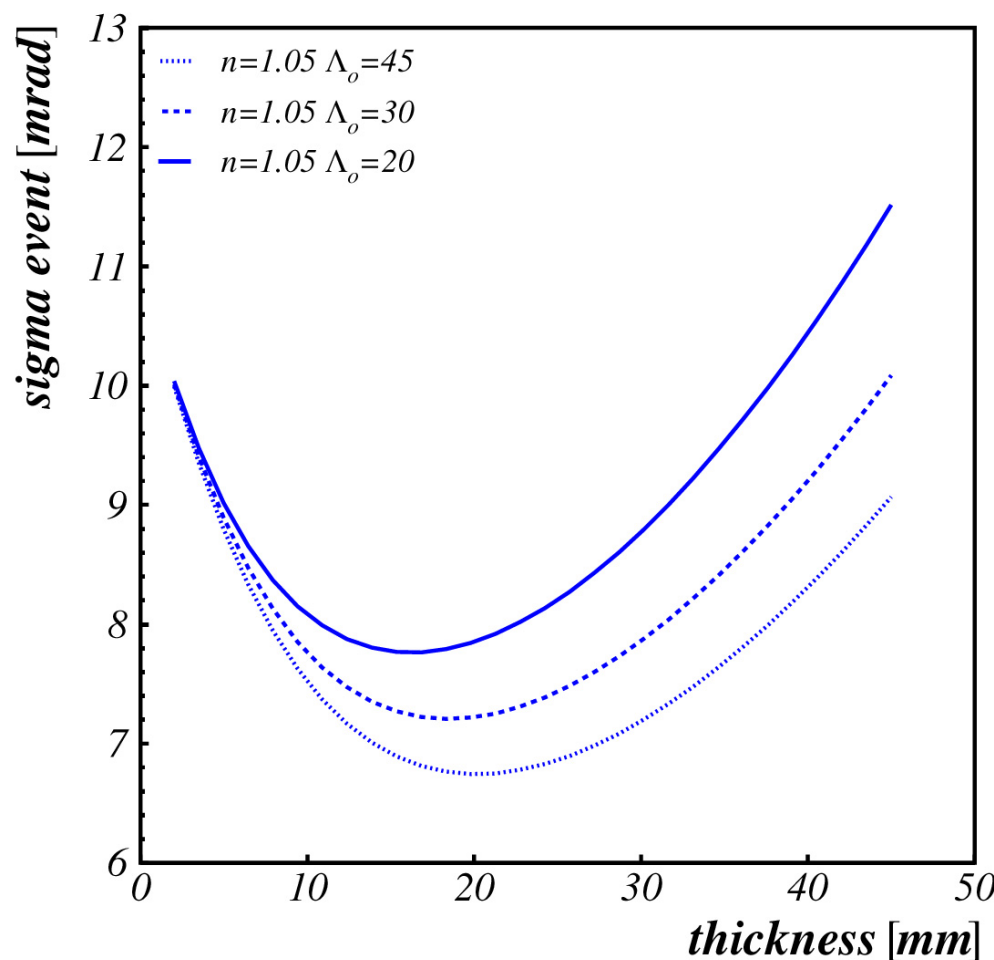
$$\sigma_{track}^2 \approx \frac{\sigma_{\vartheta,r}^2 + (Ad)^2}{Bd}$$

- minimum at

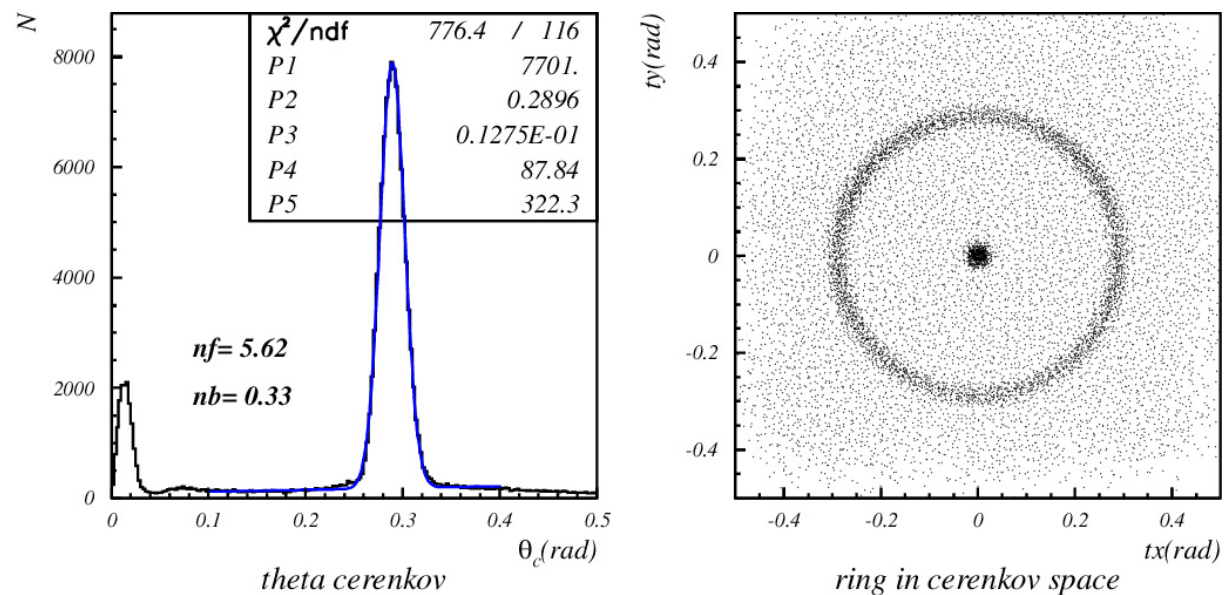
$$d_{min} = \frac{\sigma_{\vartheta,r}^2}{A} \approx 2cm$$

- minimum sigma (data)

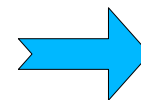
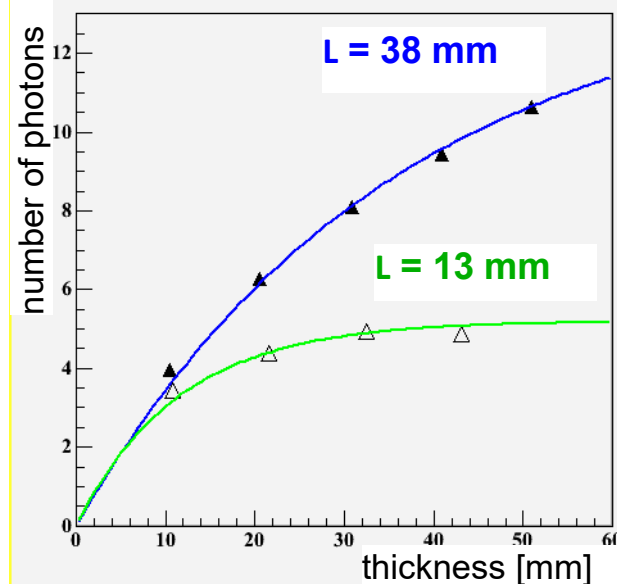
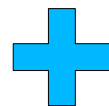
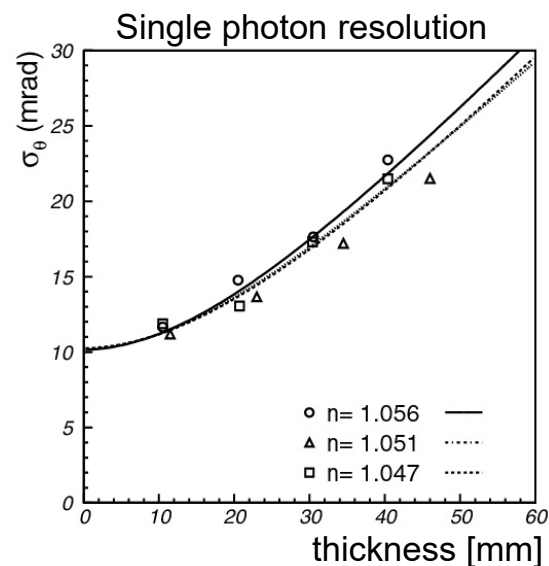
$$\sigma_{track} \approx \frac{14mrad}{\sqrt{6}} = 5.7mrad$$



Proximity focusing aerogel RICH

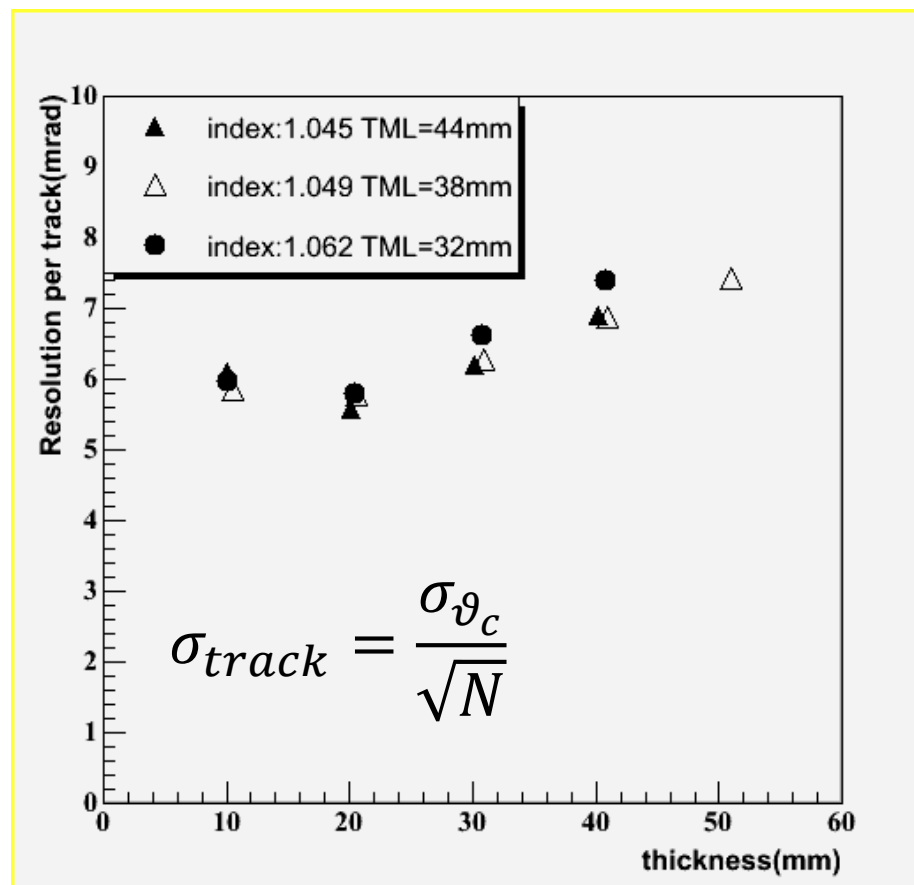


- Radiators of different thicknesses and refractive indices were tested (2001,2003)



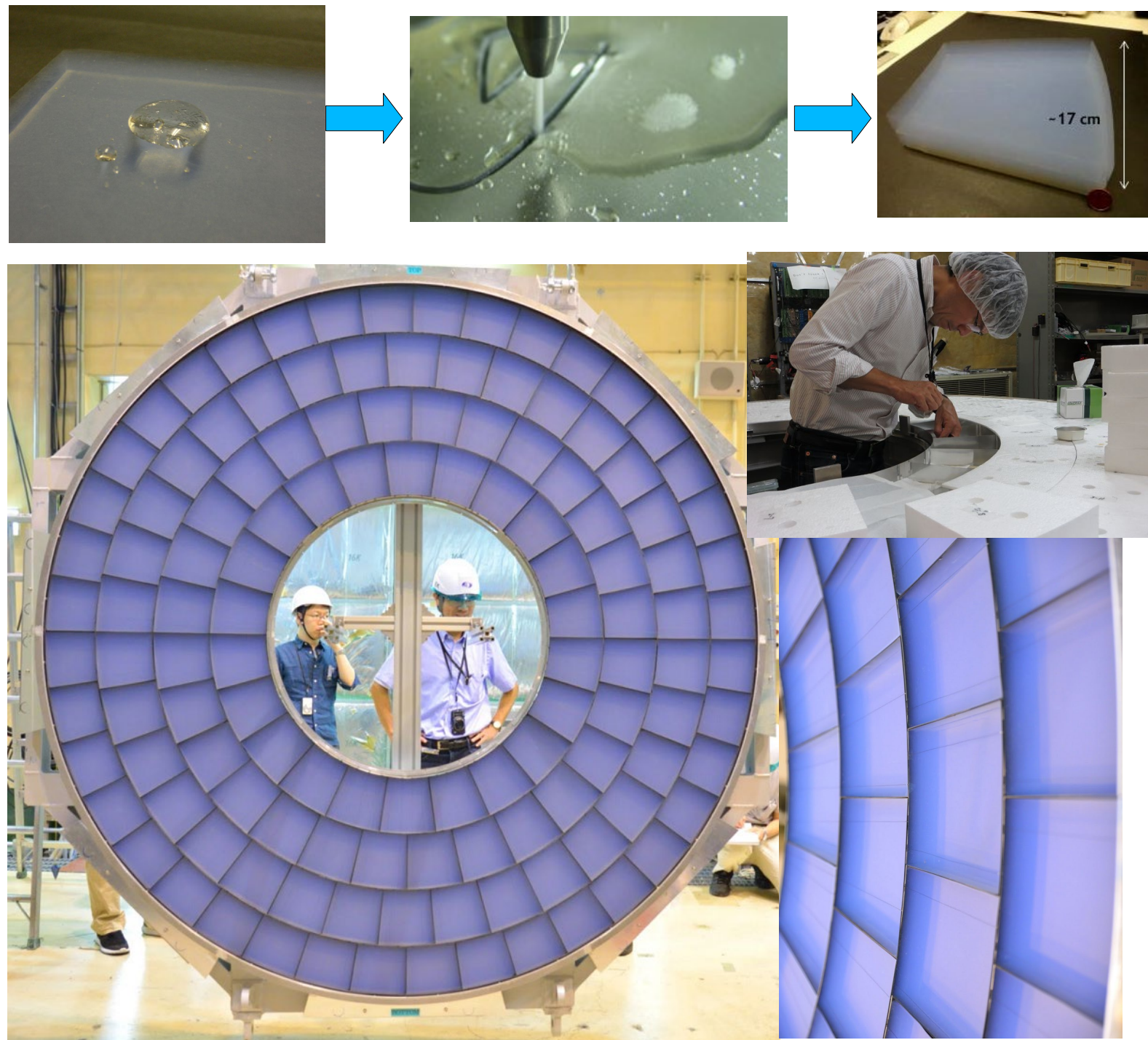
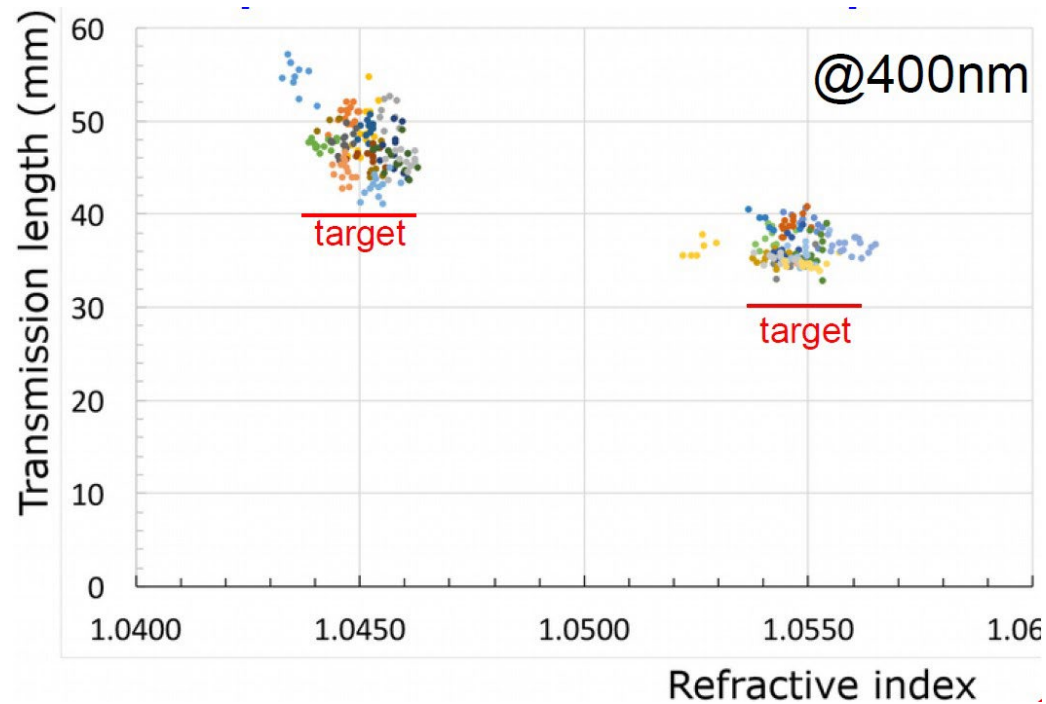
- Typical distributions for 2cm sample, obtained in the pion beam tests $\rightarrow N_{ph}, \vartheta_c$

Cherenkov angle resolution per track is optimal at ~ 2 cm



Aerogel radiator

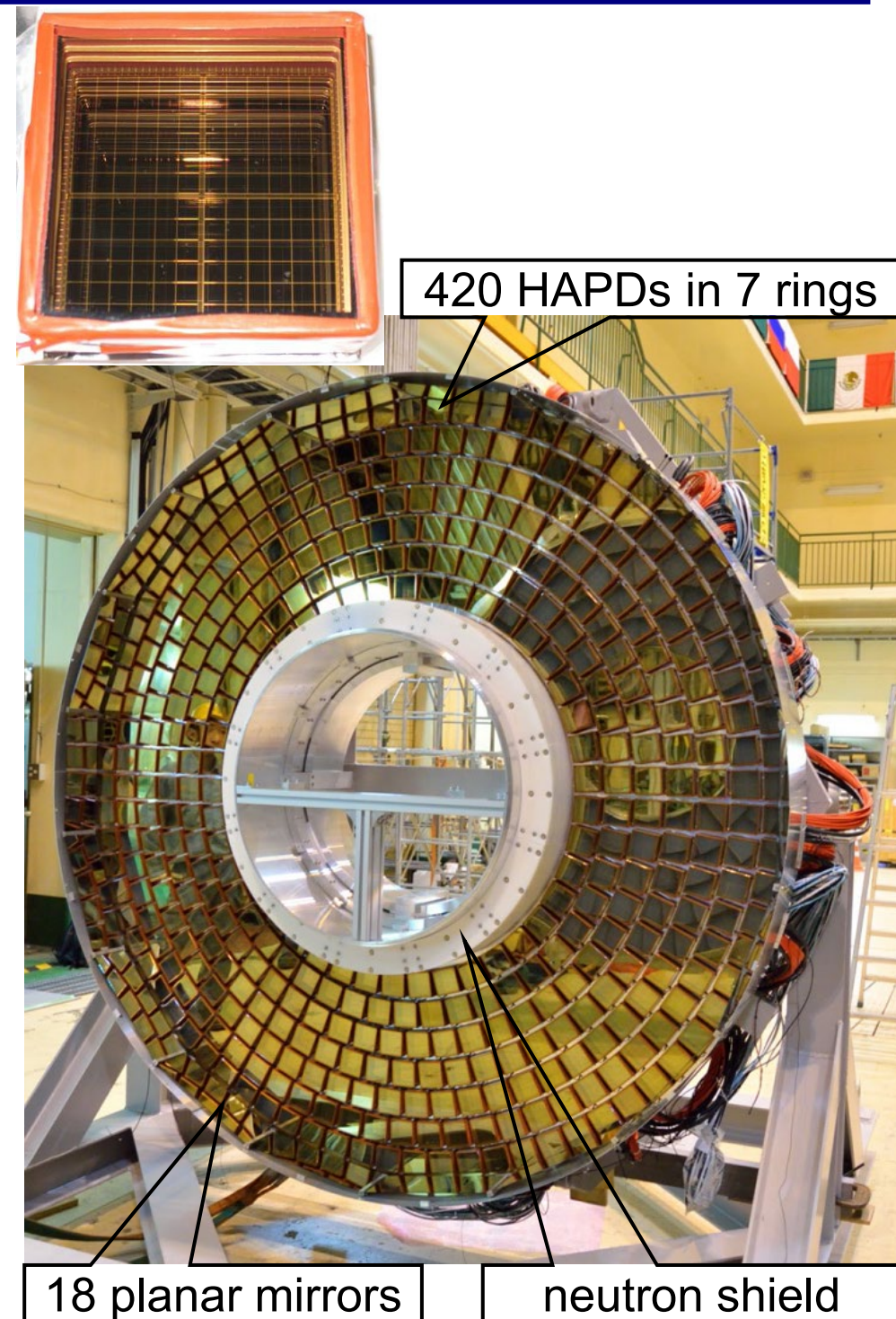
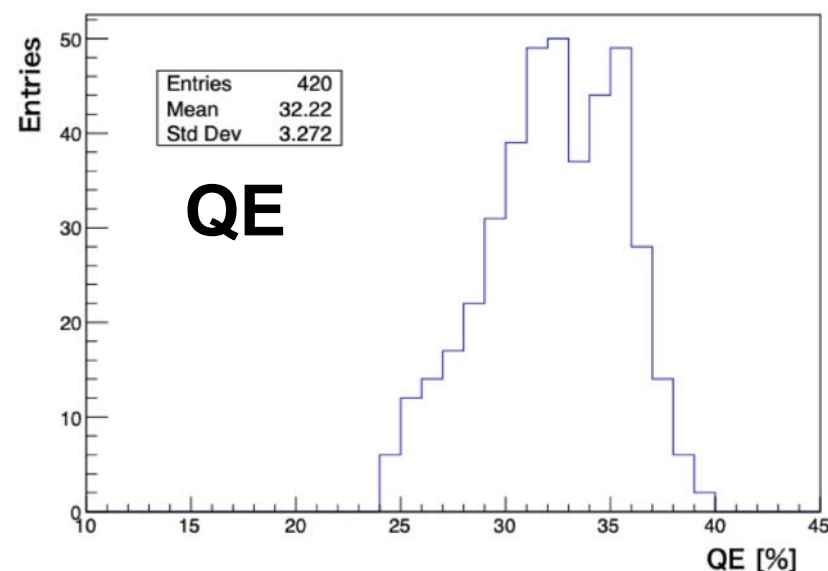
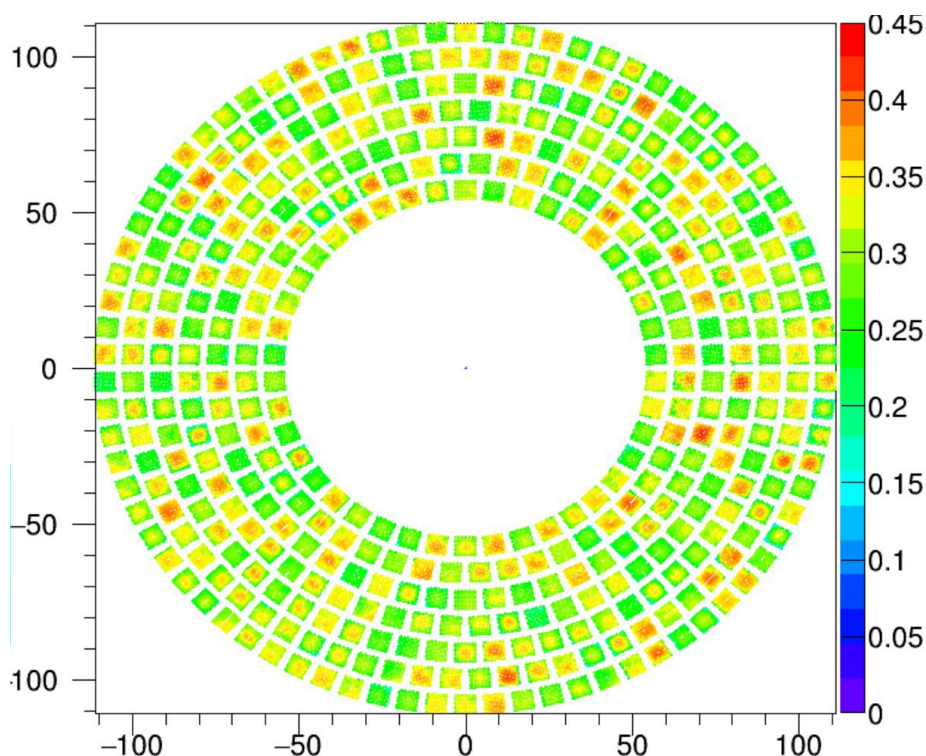
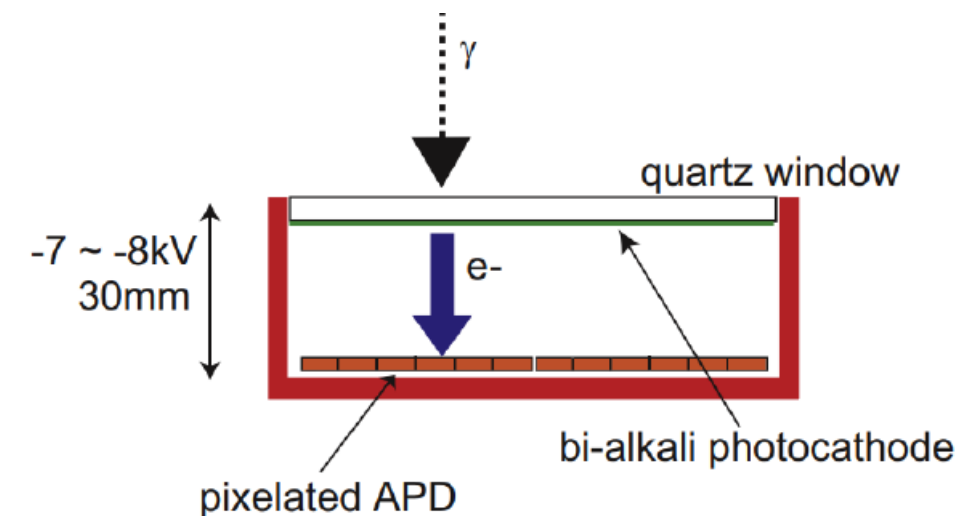
- two layers of 20 mm thick hydrophobic aerogel :
 - upstream, $n \approx 1.045$, $\lambda_{400\text{ nm}} \approx 47\text{ mm}$
 - downstream, $n = 1.055$, $\lambda_{400\text{ nm}} \approx 37\text{ mm}$
- 4 segmented rings, 2×124 tiles
- all but exit surface of each pair covered by black paper
- each pair fixed by two black strings running radially
- completed in December 2016



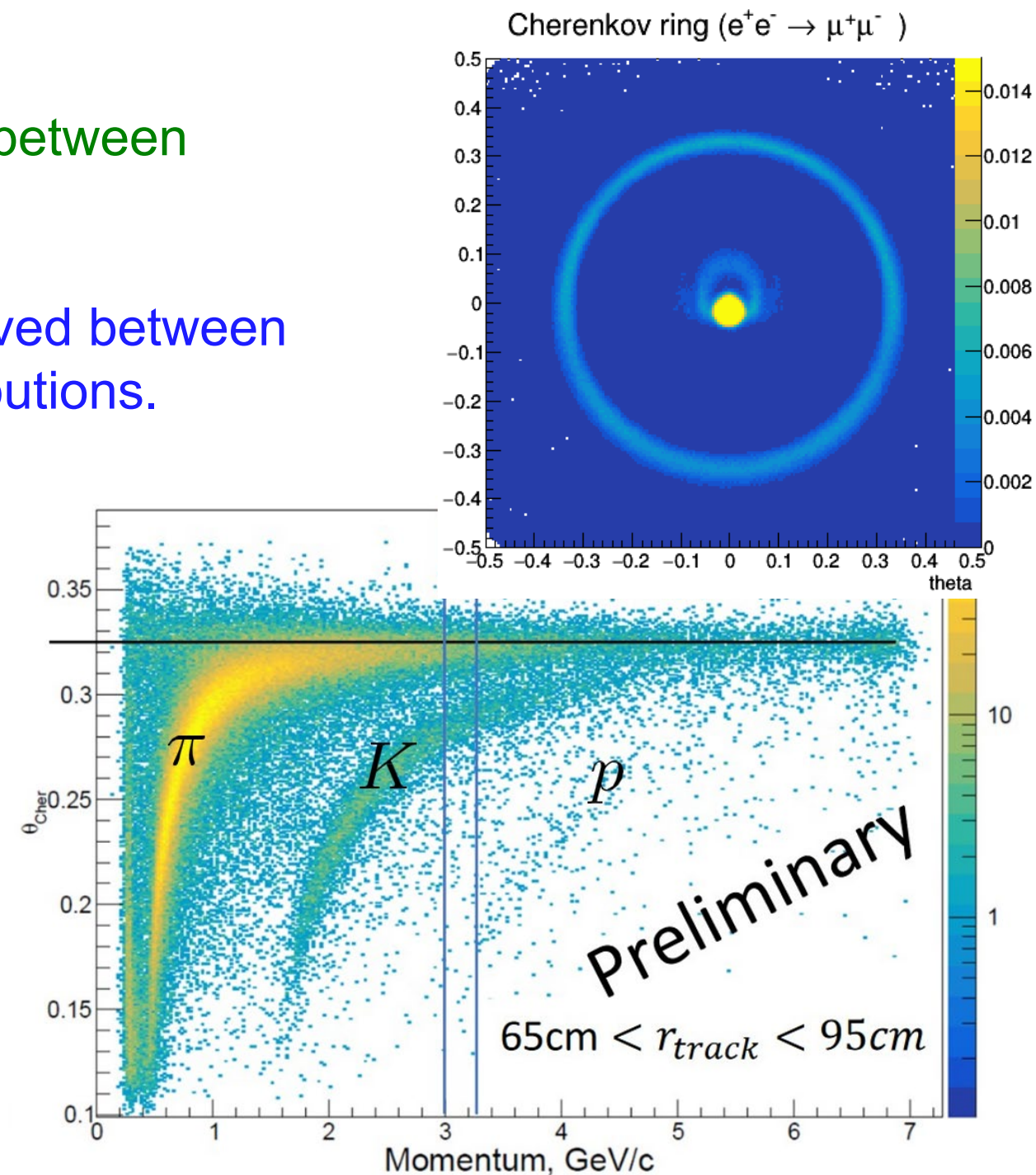
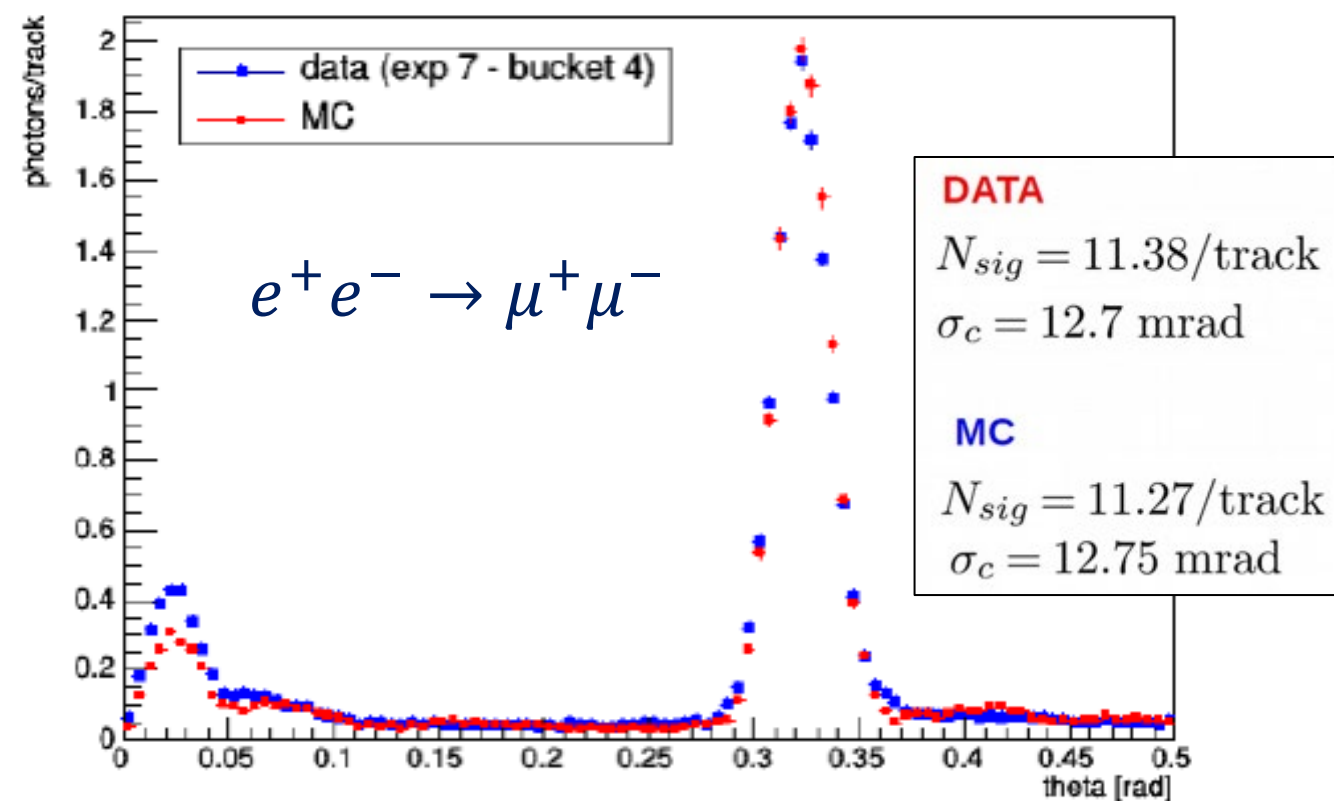
Photon detector - HAPD

HAPD properties:

- size: $73 \times 73 \times 28 \text{ mm}^3$
- 2×2 APDS with 6×6 channels, $4.9 \times 4.9 \text{ mm}^2$ @ 5.1mm pitch
- $\langle QE_{400nm} \rangle \approx 32 \%$
- combined gain $\approx 70k$
- channel capacitance 80 pF
- operation in $B = 1.5 \text{ T}$
- radiation tolerance $\approx 10^{12} \frac{n_1 MeV}{cm^2}$

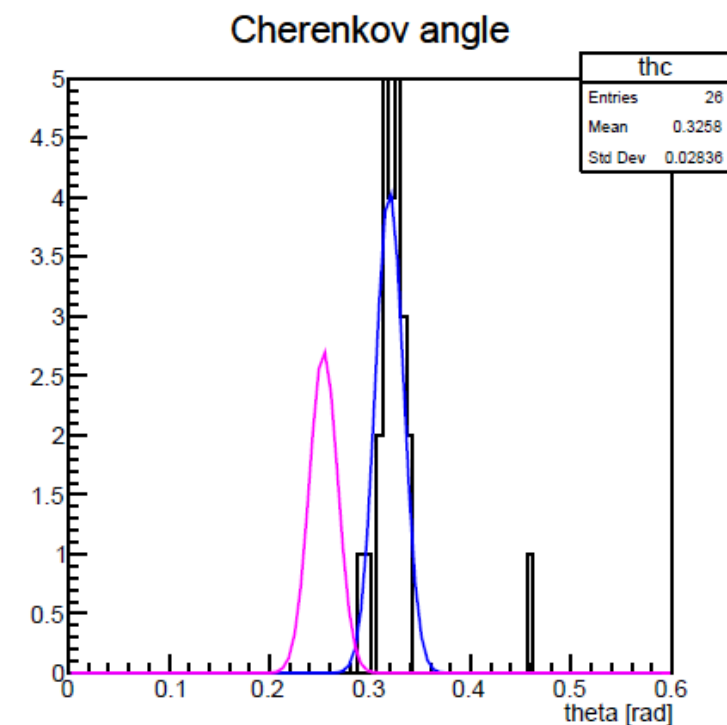
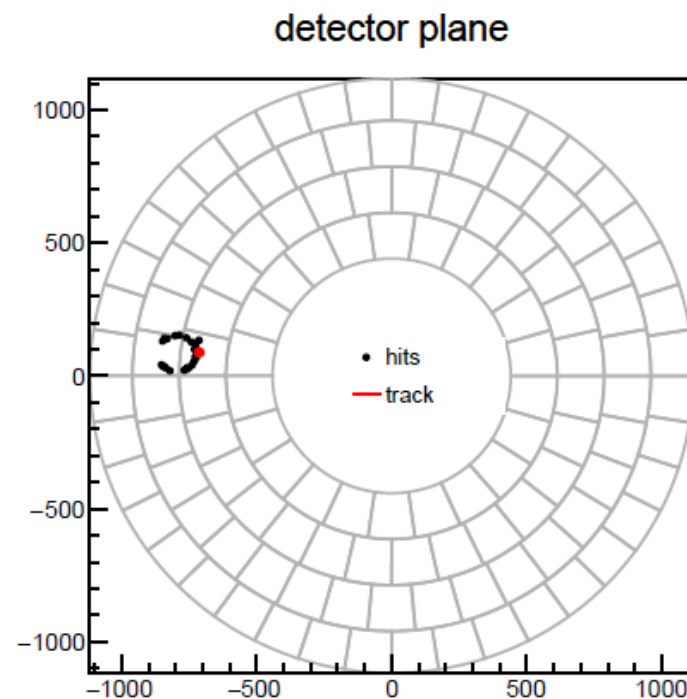
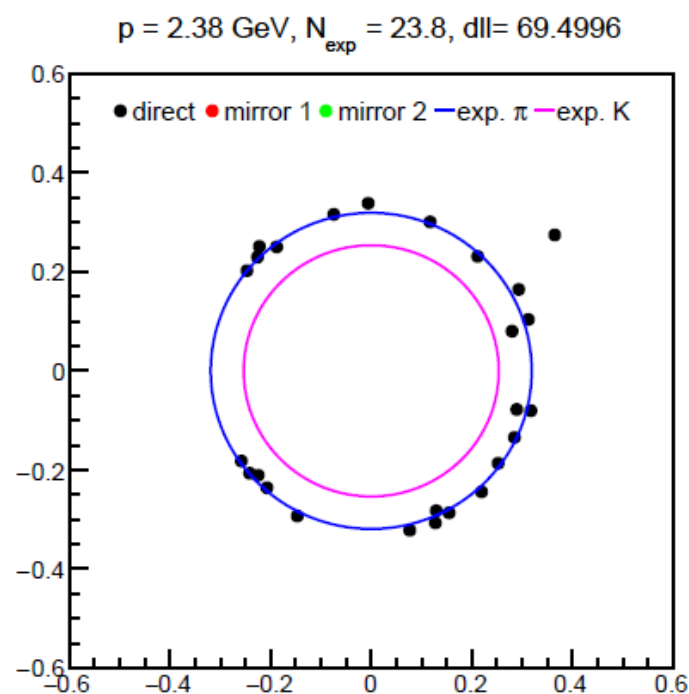
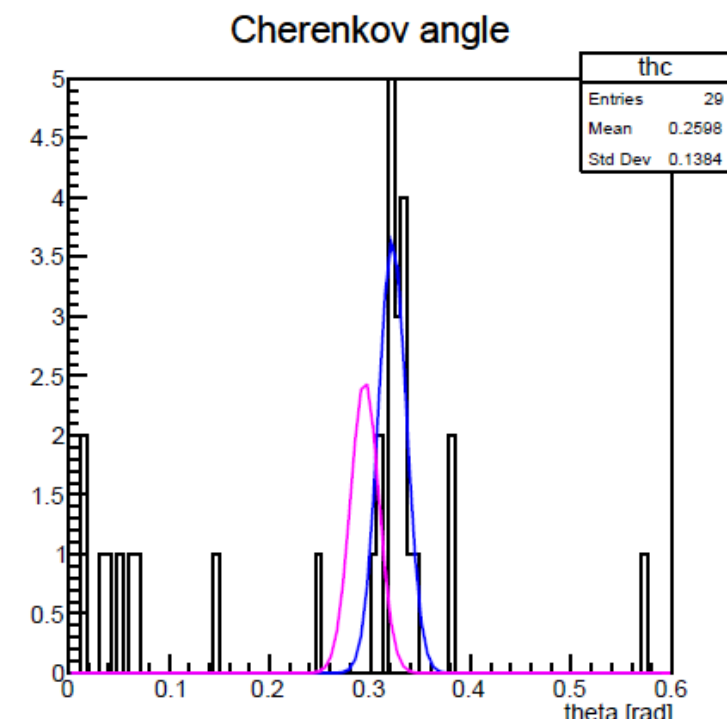
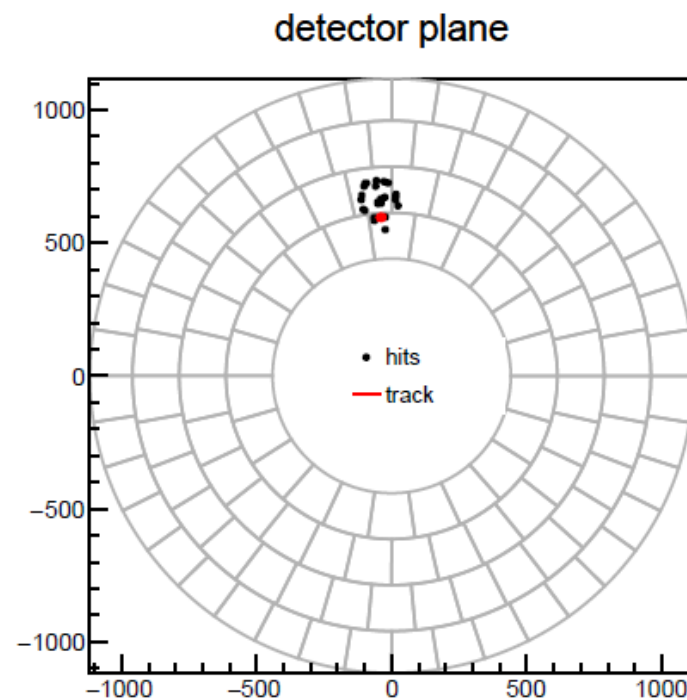
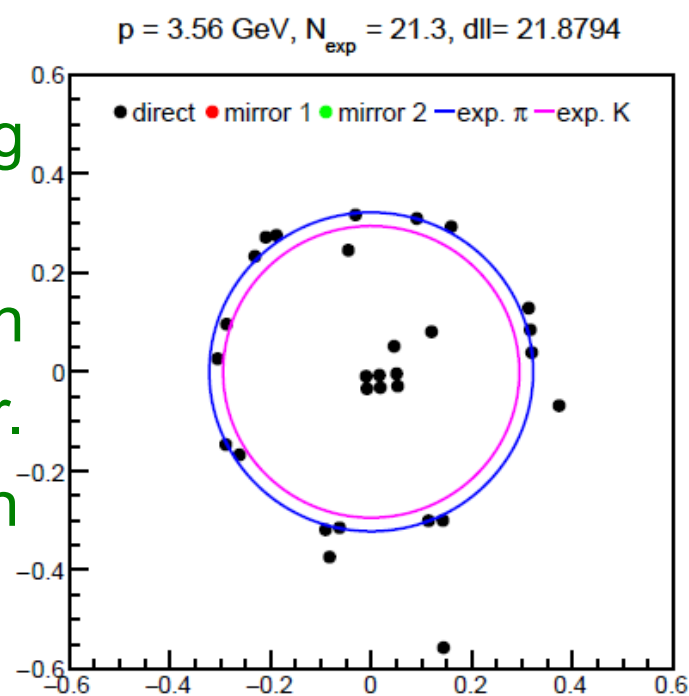


- ARICH is aligned by maximising the agreement between expected and measured photon
- After alignment, a very good agreement is achieved between expected and measured Cherenkov angle distributions.



ARICH rings

- two pion ring examples
- compared with expected Cher. angle distribution



- PDF of Cherenkov photons from both radiators and uniform background for mass hypothesis m can be approximated as a function of Cher. angle (ϑ) and by

$$n_{cf}(\vartheta, m) \approx \left(\frac{\bar{N}_1}{\sqrt{2\pi}\sigma_1} e^{-\frac{(\vartheta - \vartheta_1(m))^2}{2\sigma_1^2}} + \frac{\bar{N}_2}{\sqrt{2\pi}\sigma_2} e^{-\frac{(\vartheta - \vartheta_2(m))^2}{2\sigma_2^2}} \right)$$

$$n_{bf}(\vartheta, m) \propto \vartheta$$

- likelihood function – Poisson distribution of hits on each pad with mean value $\bar{n}_i(m)$

$$\mathcal{L}(m) = \prod_{nohit} e^{-\bar{n}_i(m)} \cdot \prod_{hit} (1 - e^{-\bar{n}_i(m)})$$

$$\ln \mathcal{L}(m) = - \sum_{nohit} \bar{n}_i(m) + \sum_{nohit} \ln(1 - e^{-\bar{n}_i(m)}) = \boxed{- \sum_{nohit} \bar{n}_i(m) - \sum_{hit} \bar{n}_i(m)} + \sum_{hit} \bar{n}_i(m) + \sum_{hit} \ln(1 - e^{-\bar{n}_i(m)})$$

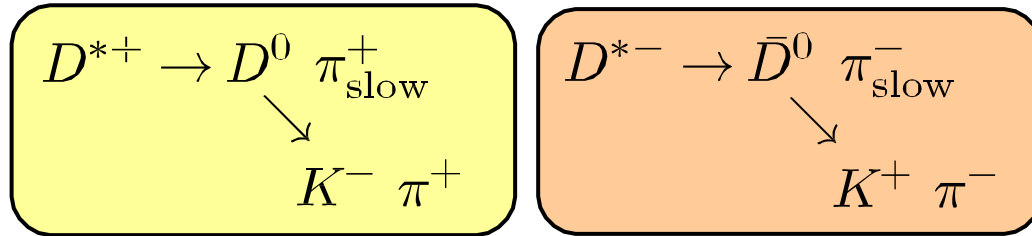
$$\ln \mathcal{L}(m) = -\bar{N}(m) + \sum_{hit} \left(\bar{n}_i(m) + \ln(1 - e^{-\bar{n}_i(m)}) \right)$$

- summation is needed only over the pixels with hit

PID capability: π/K separation power with $D^{*\pm}$ decays

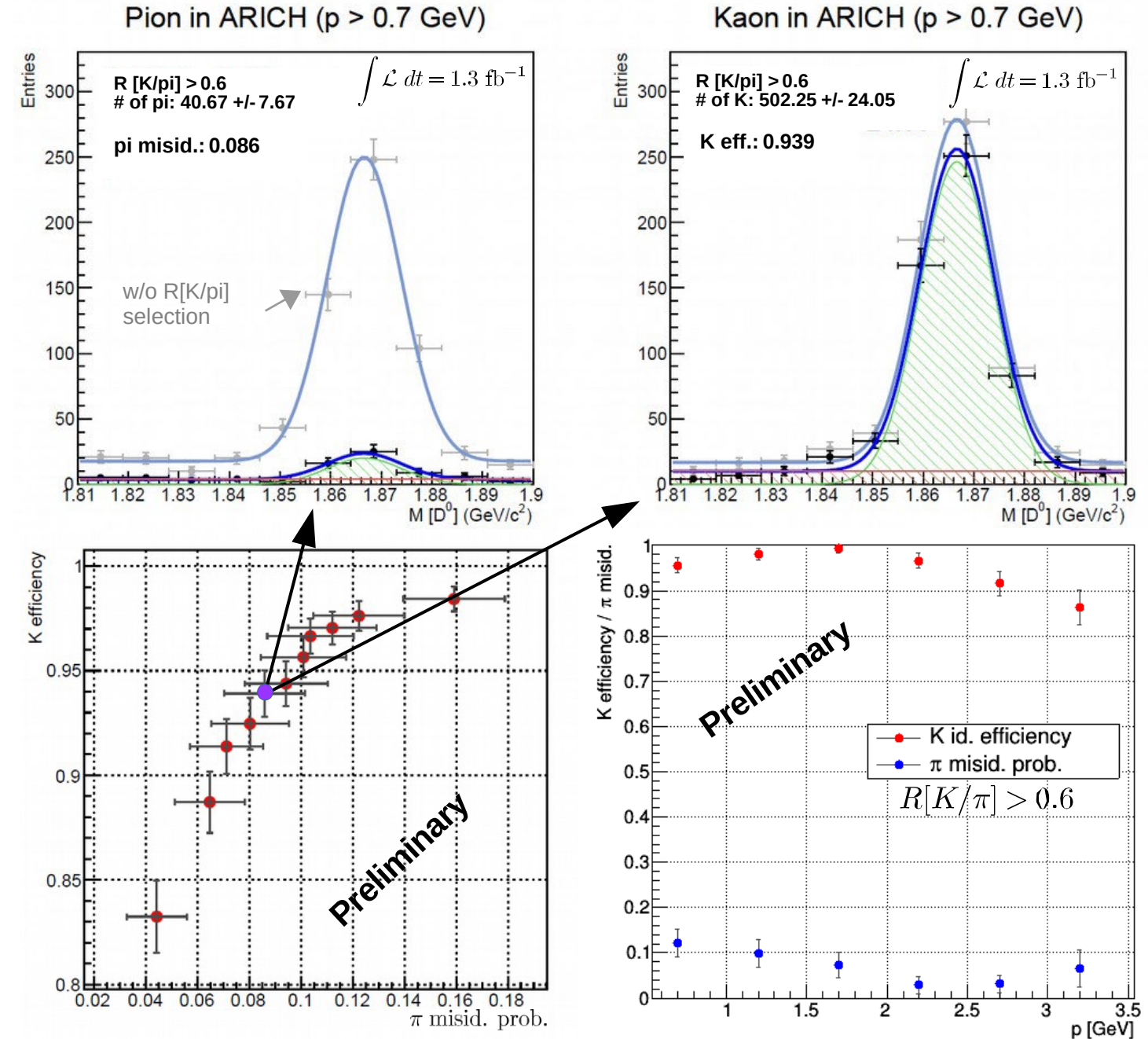
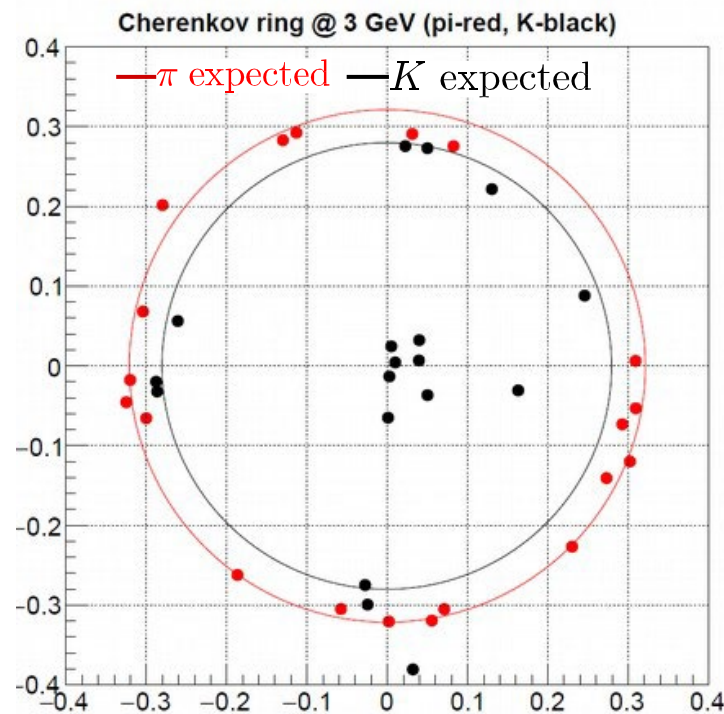
D^0 mass peak

- π, K identified by π_{slow} charge



- Apply selection criteria on

$$R[K/\pi] = \frac{\mathcal{L}_K}{\mathcal{L}_K + \mathcal{L}_\pi}$$
 $\mathcal{L}$ - likelihood for given id. hypothesis



Photon detectors: SiPM

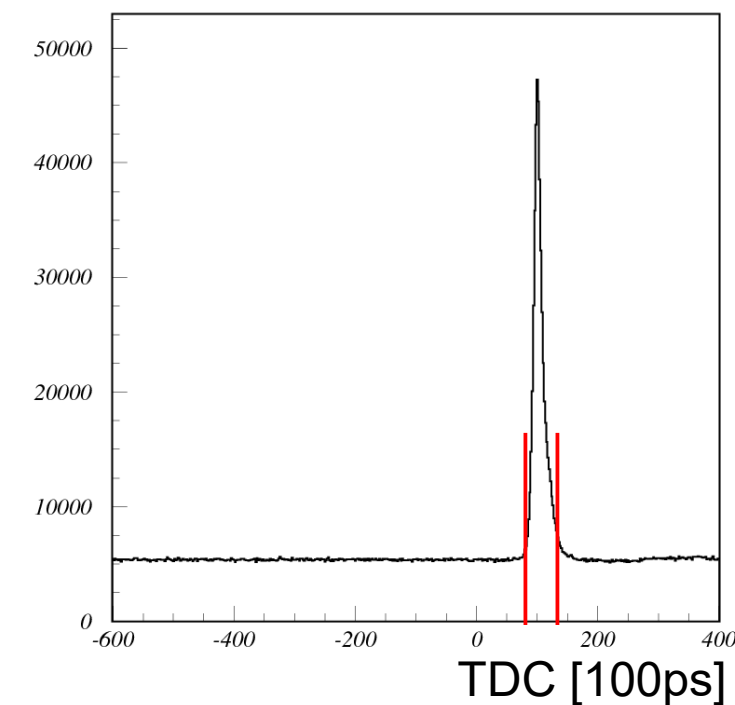
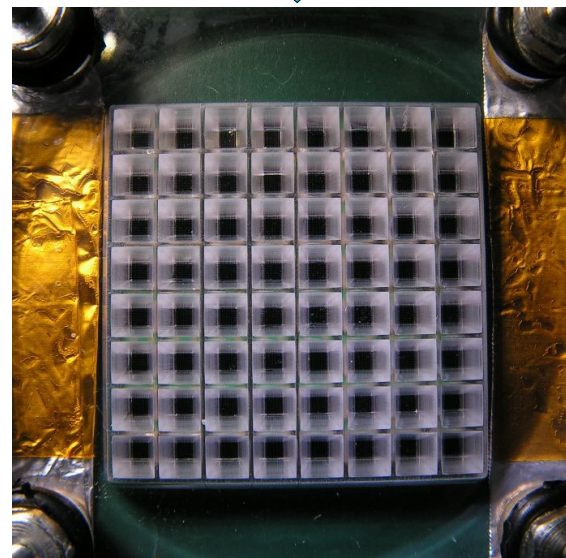
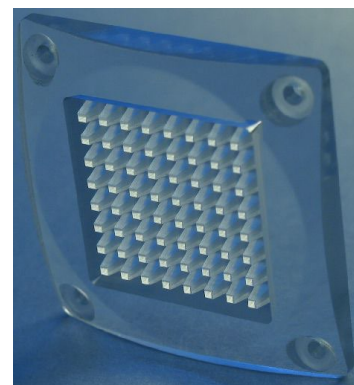
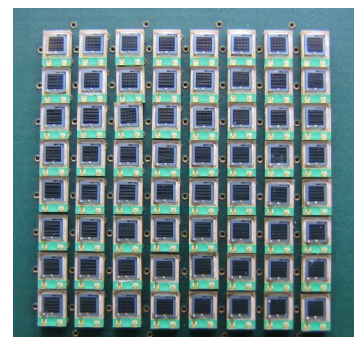
- immune to magnetic field
- high photon detection efficiency (PDE)
- good timing properties ($< 300\text{ps}$ FWHM)
- no high voltage
- low material budget
- high noise rate $\sim 0.1\text{MHz/mm}^2$
- radiation damage - increase of dark noise

Possible candidate:

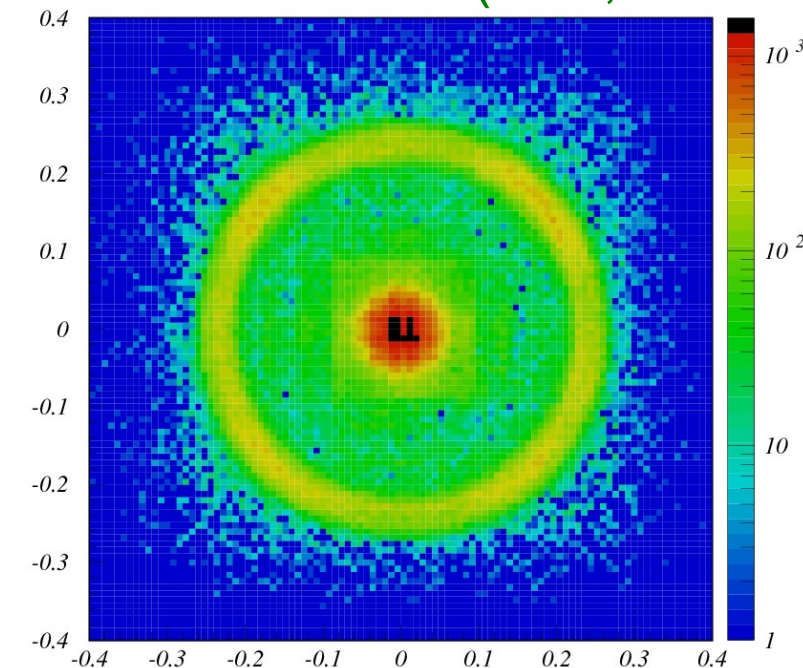
- array of Hamamatsu S10362-11-100P

Improve signal to noise ratio by:

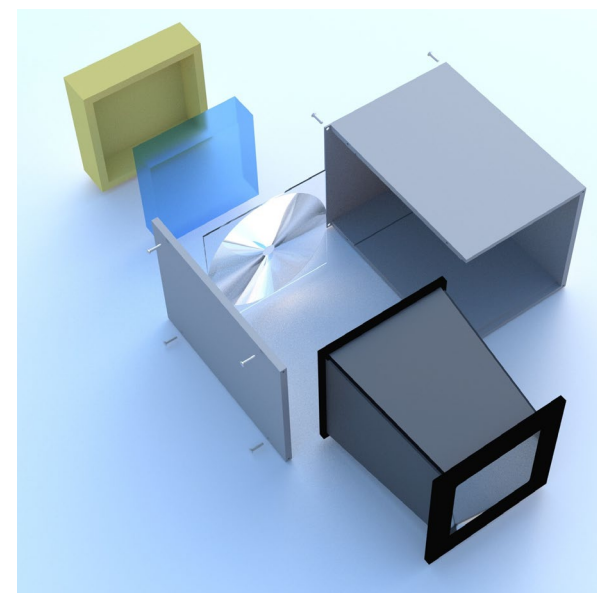
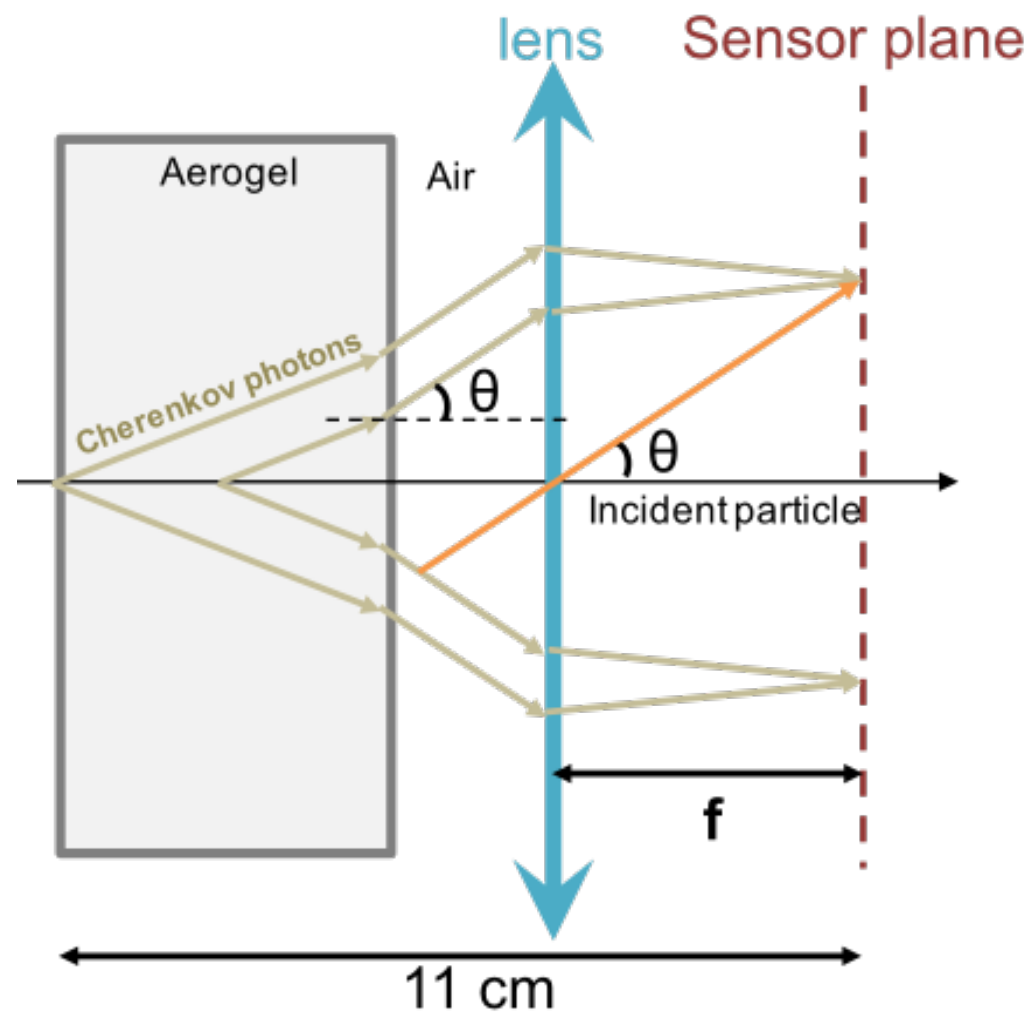
- narrow time window
- use of light concentrators



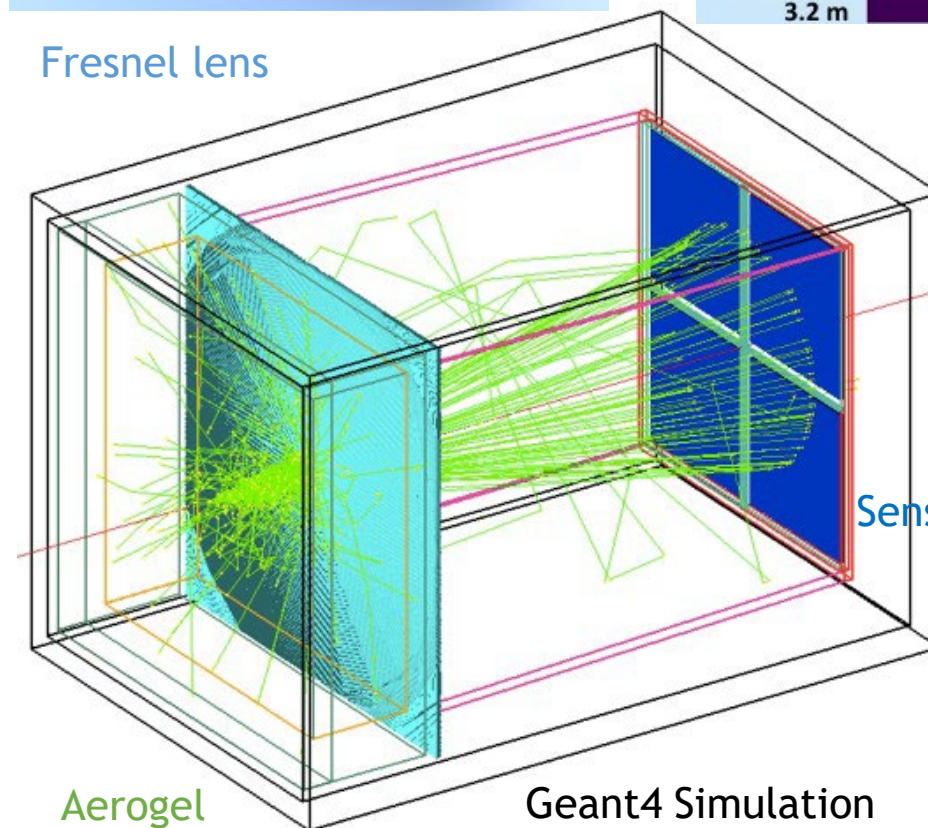
- beam test result (1cm, $n=1.03$)



- radiator: aerogel + Fresnel lens
- photosensor: SiPM

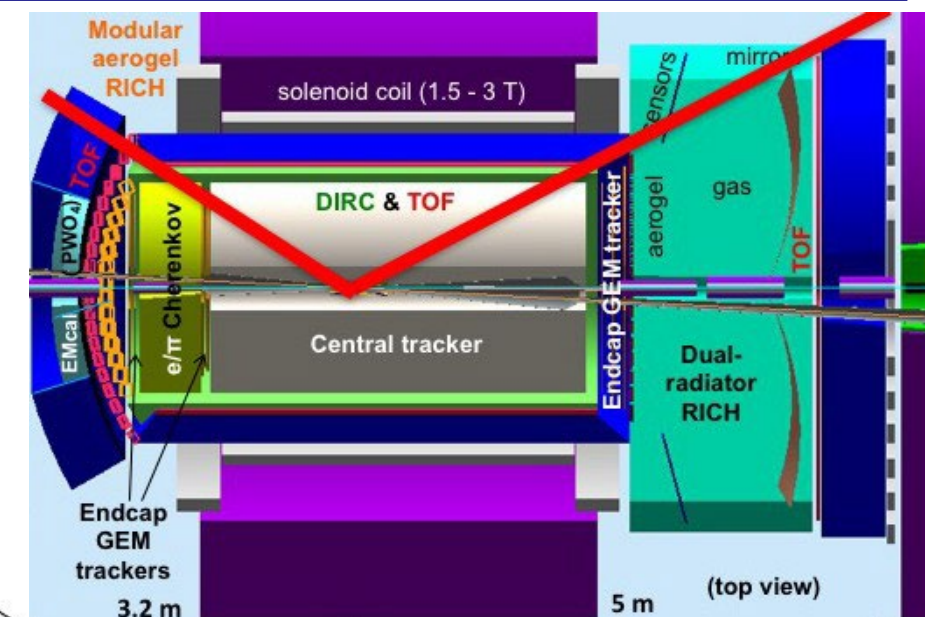


Fresnel lens



Aerogel

Geant4 Simulation

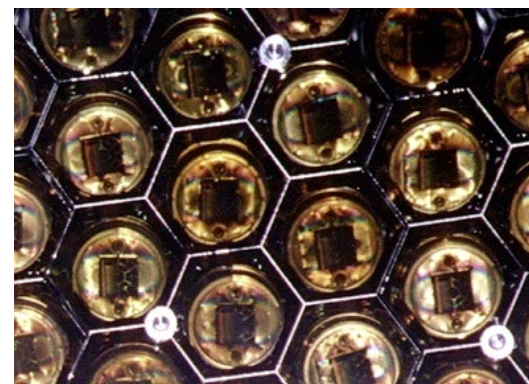
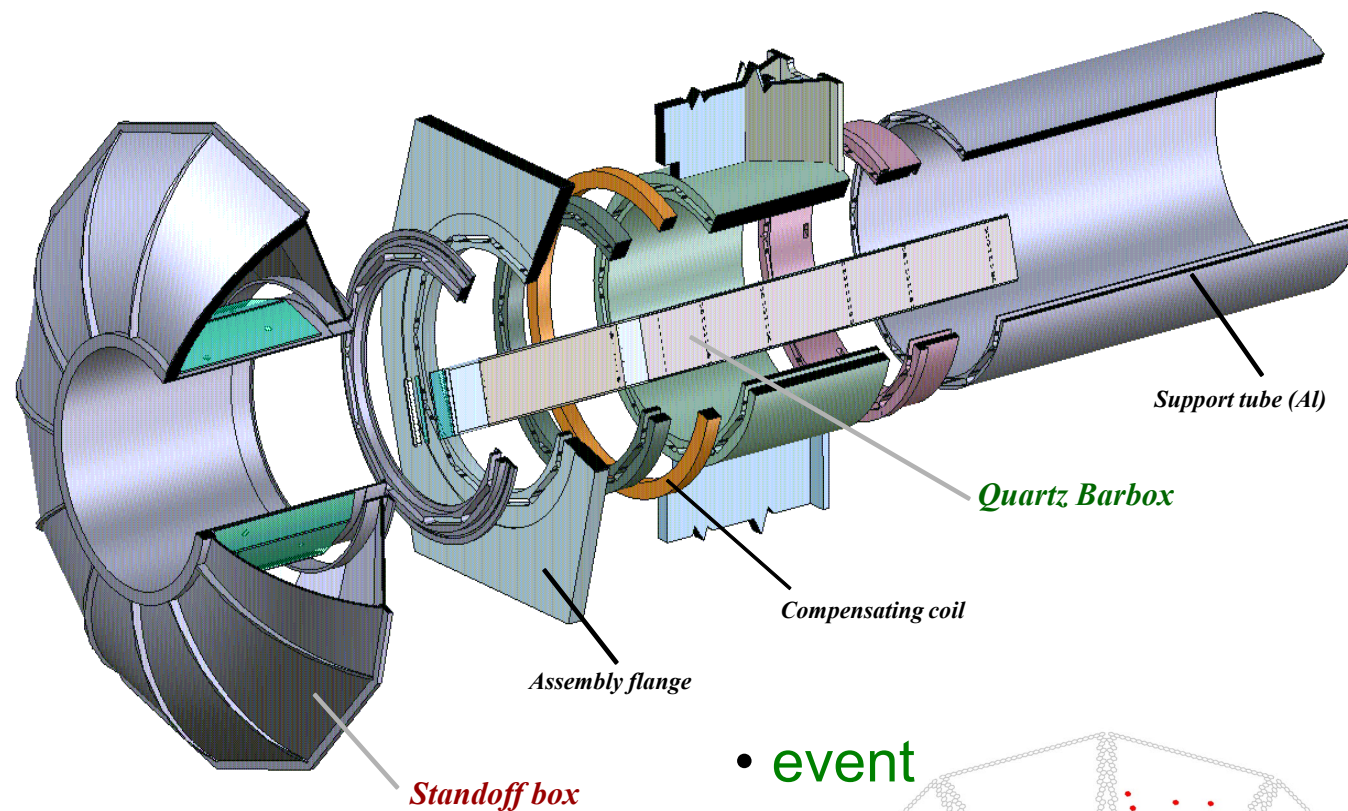
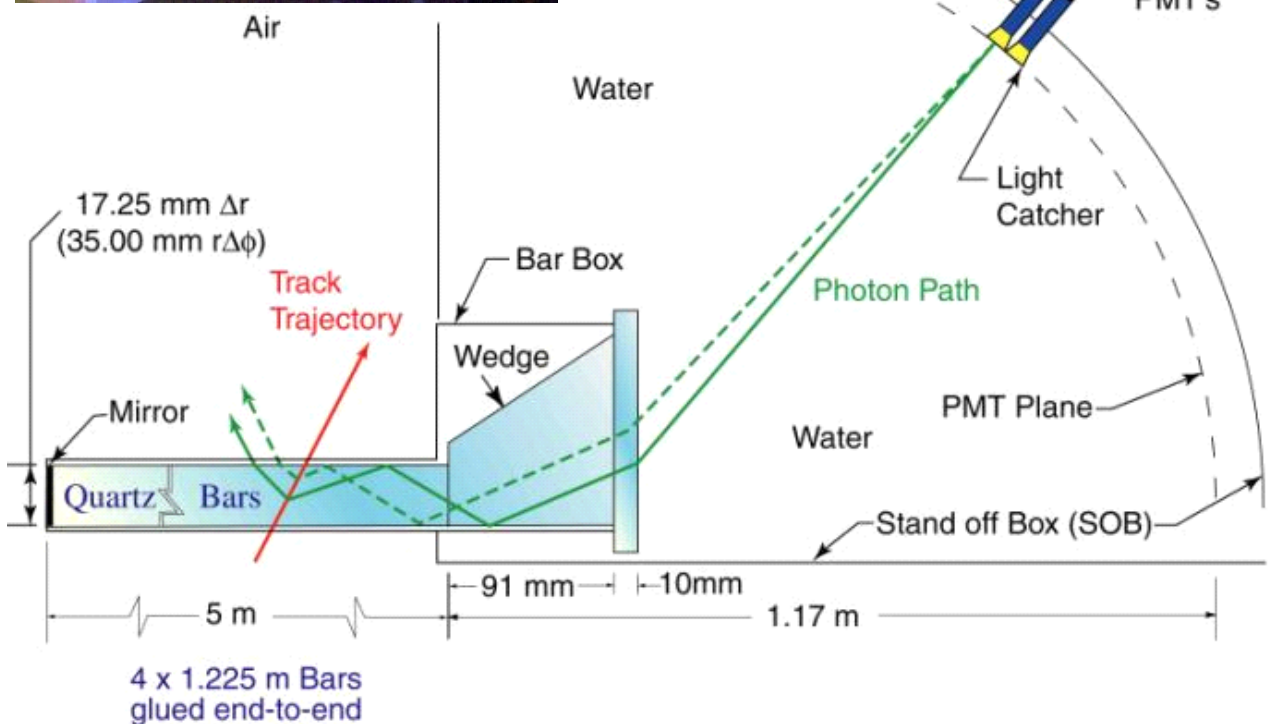
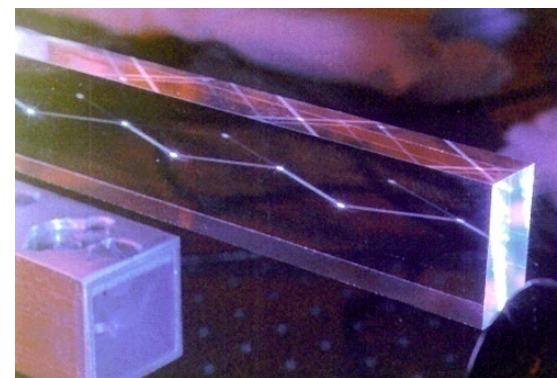


Outline:

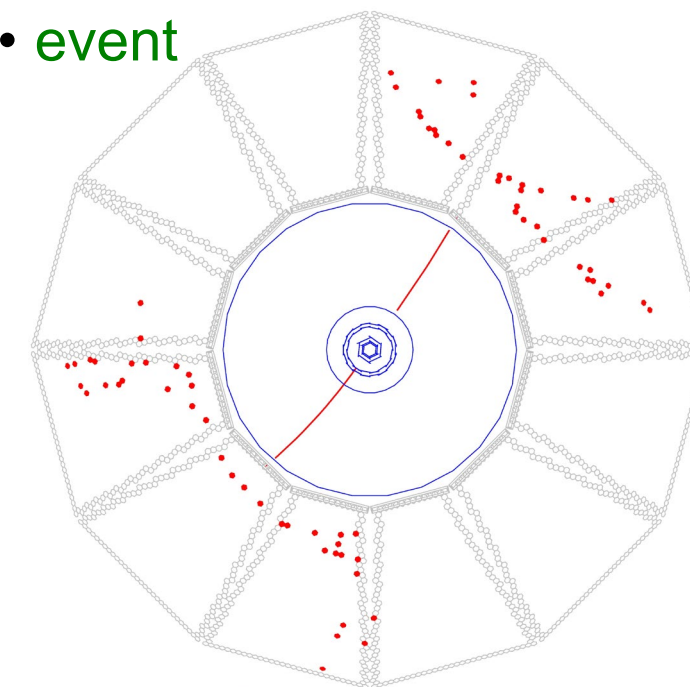
- Why PID?
- TOF detectors
- Specific ionization loss - dE/dx
- Transition radiation detectors (TRD)
- Cherenkov based PID devices
 - Threshold detectors
 - RICH detectors
 - **DIRC type detectors**

DIRC detector @ BaBar

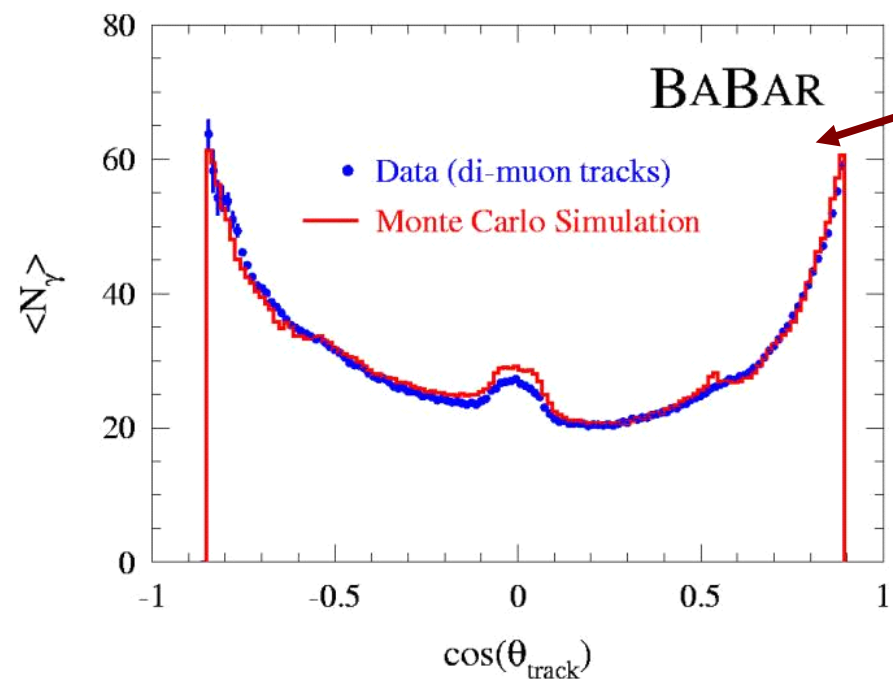
- quartz bar as radiator and light guide
- water filled expansion volume with PMTs outside magnetic field



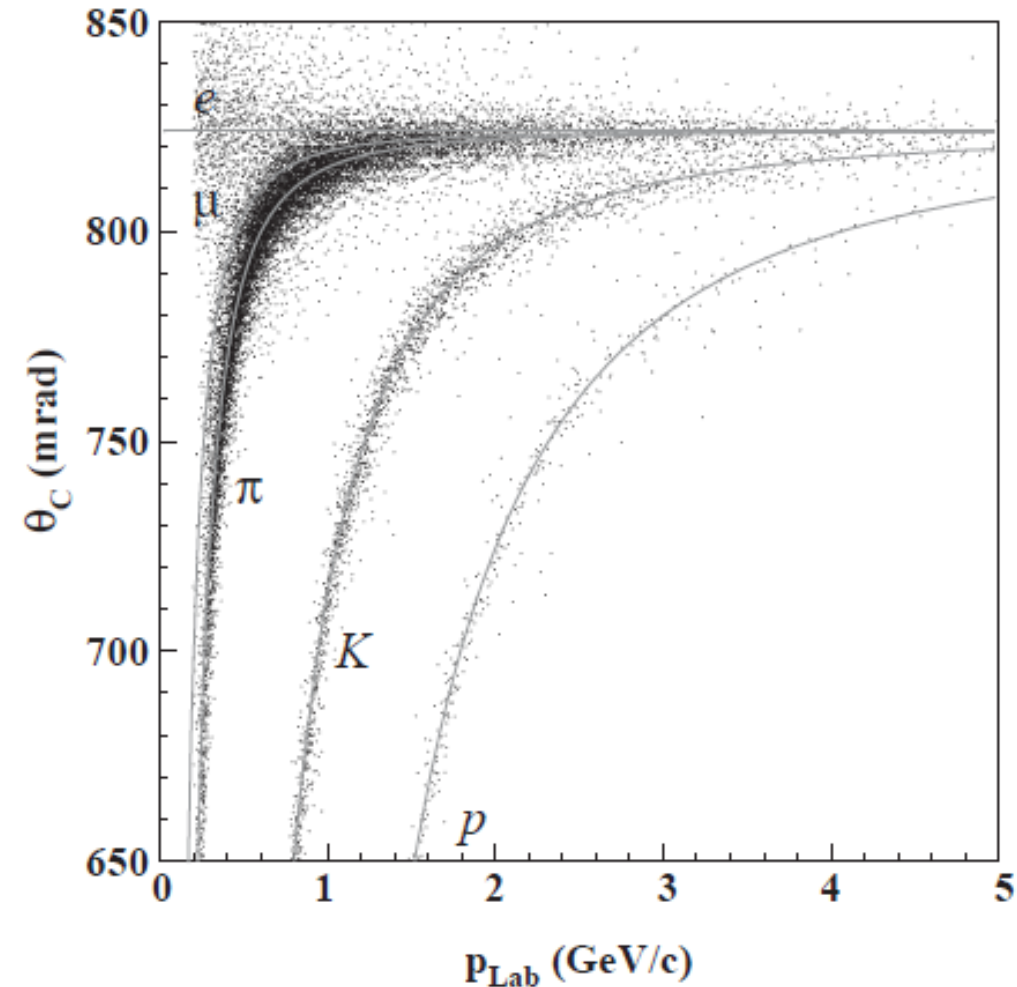
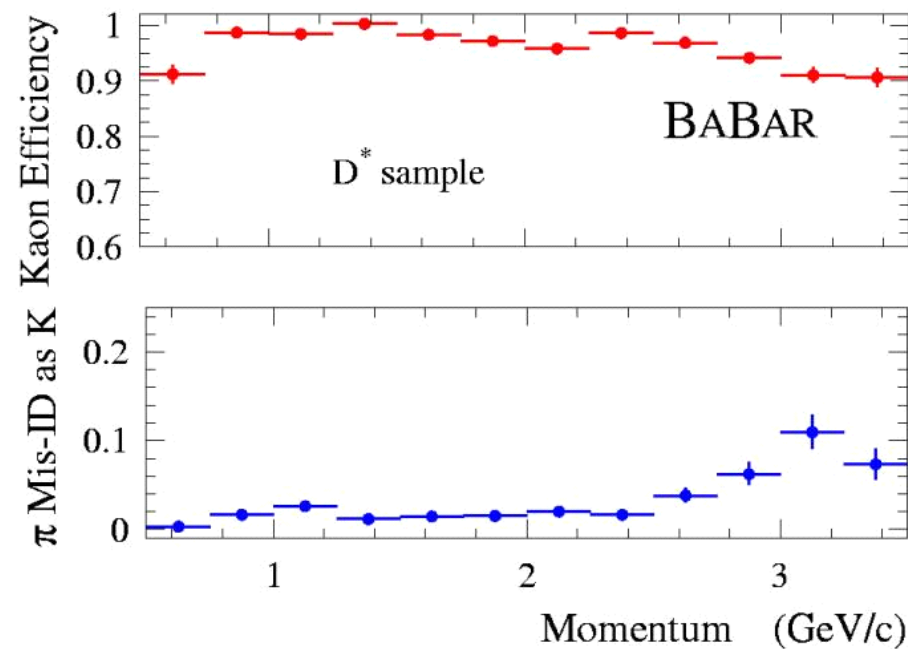
- event



NIM-A479(2002)1



Lots of photons



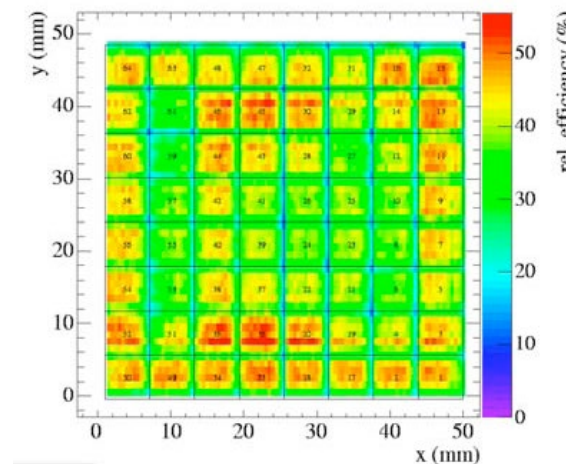
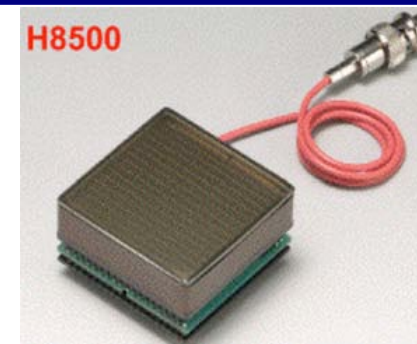
Excellent π/K separation

NIM A538 (2005) 281, NIM A553 (2005) 317

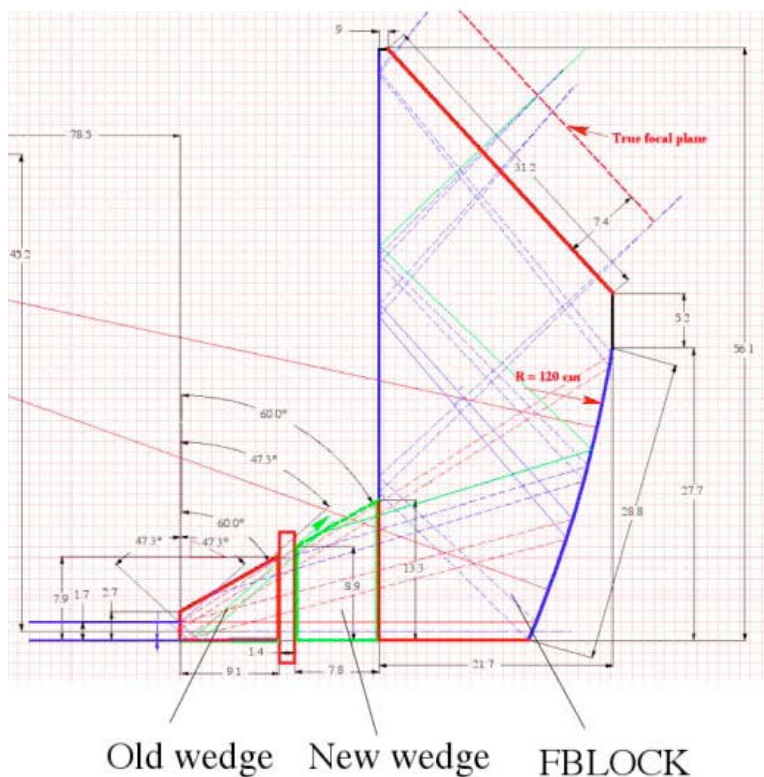
Focusing DIRC (SuperB R&D)

- additional wedge and expansion volume with mirror made from quartz → smaller volume less sensitive to neutrons
- flat-panel PMT Hamamatsu H8500 for photon detection → better time resolution

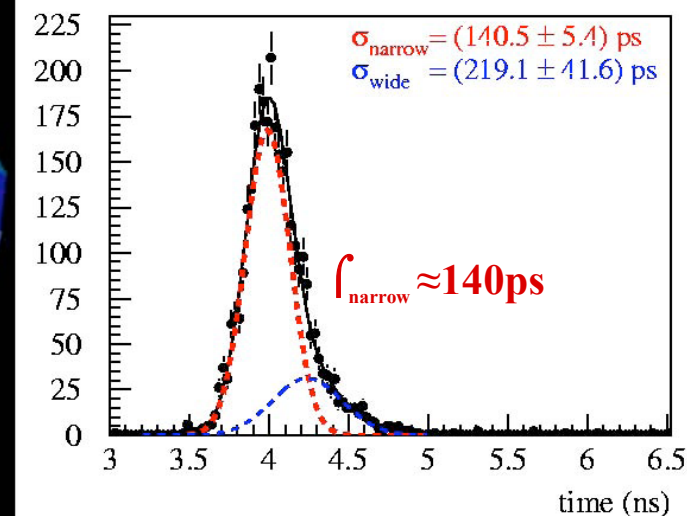
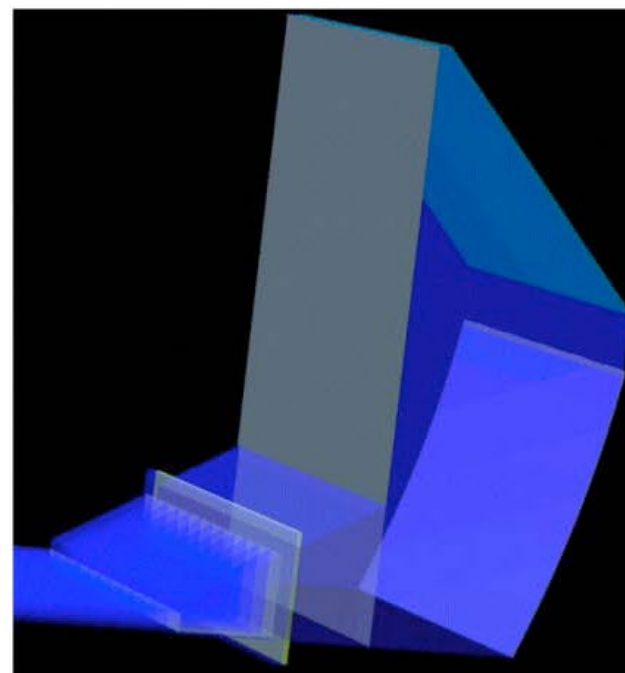
Background suppression x25 (volume) x10 (timing).



Ray tracing:



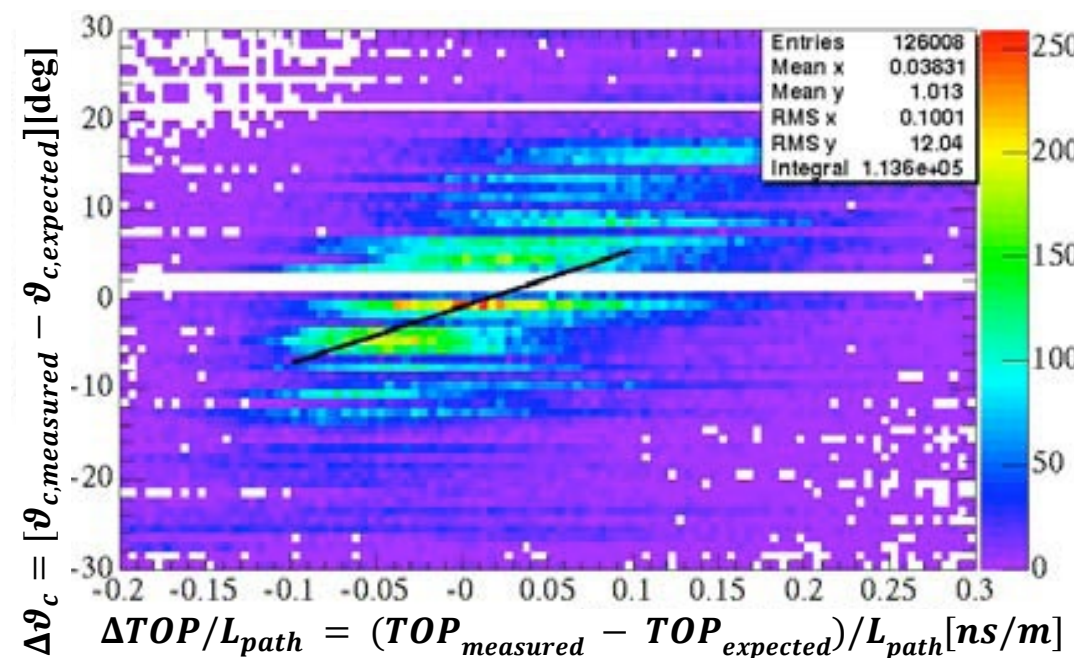
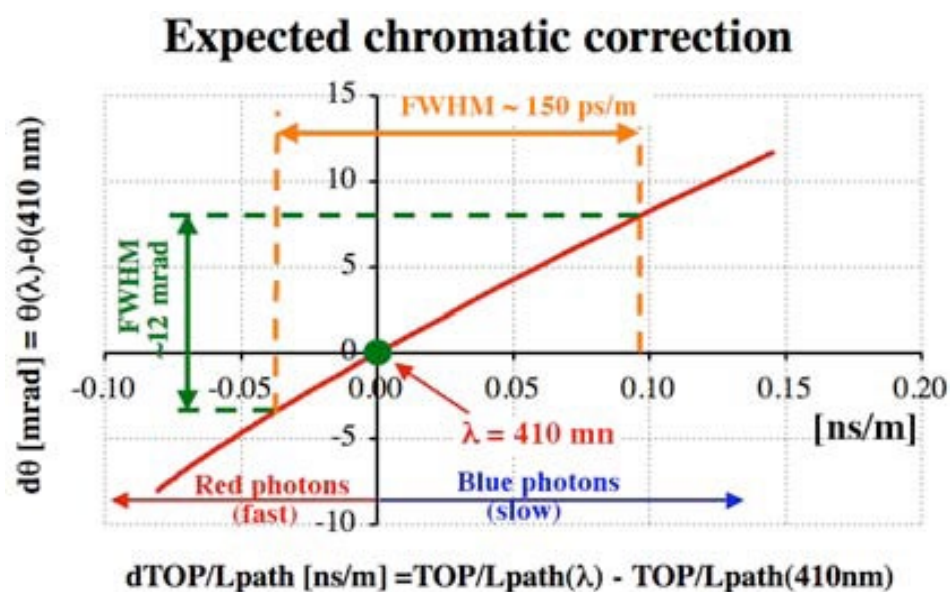
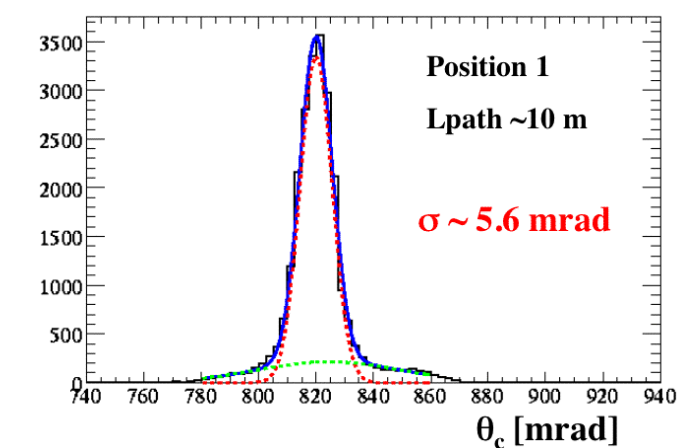
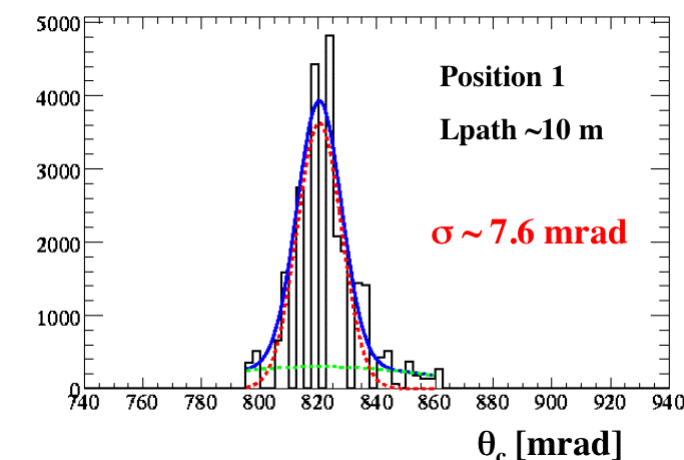
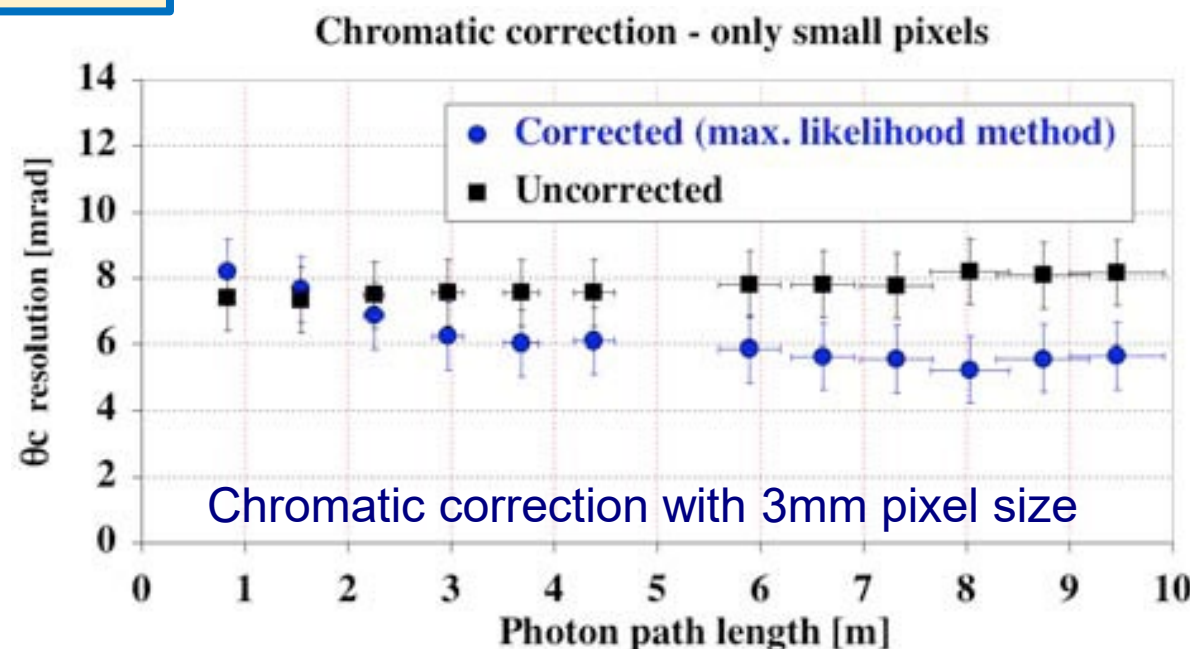
Geant 4 model:



SLAC-PUB-13464, 2008

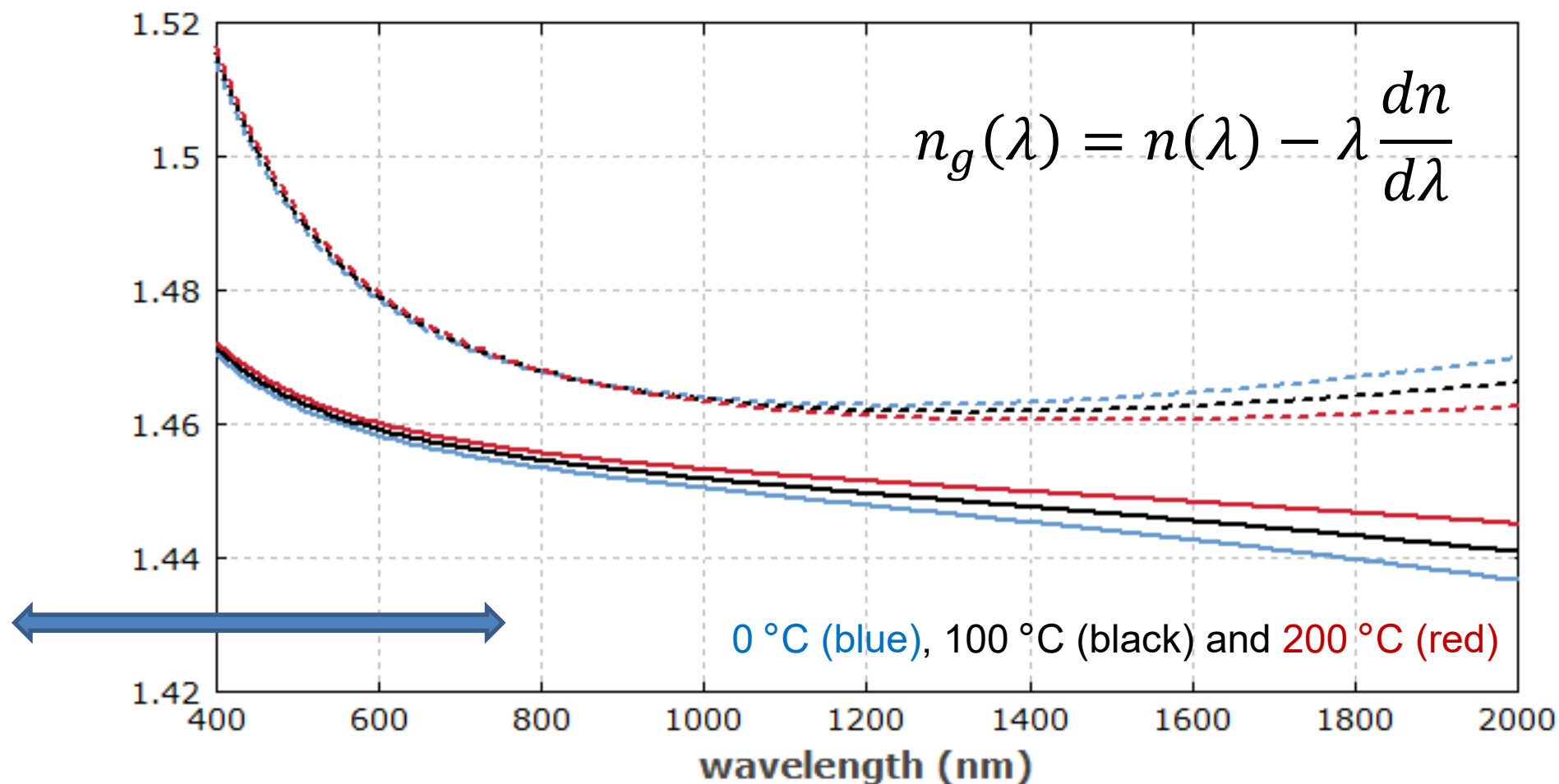
FDIRC chromatic error correction

- correlation between the propagation time (L/c_g) and Cherenkov angle ($\cos\vartheta_c = c/vn$) is used to improve the angular resolution



Phase vs group light speed - refractive index of quartz

- group velocity is lower than phase velocity
- variation of group refractive index $n_g(\lambda)$ is larger than variation of phase refractive index $n(\lambda)$



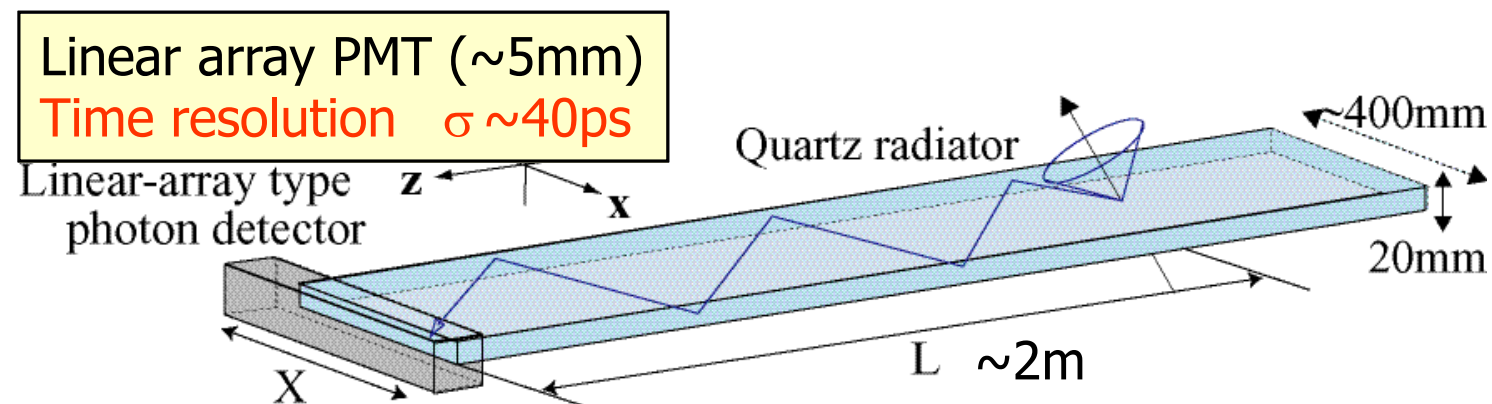
https://www.rp-photonics.com/group_index.html

TOP principle

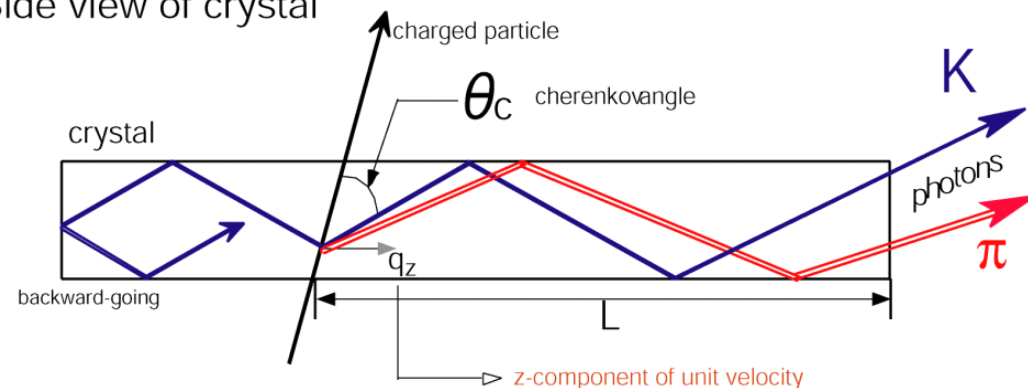
Based on a DIRC concept:

- instead of 2D imaging → 1D + Time Of Propagation (TOP, path length)

→ **compact detector**

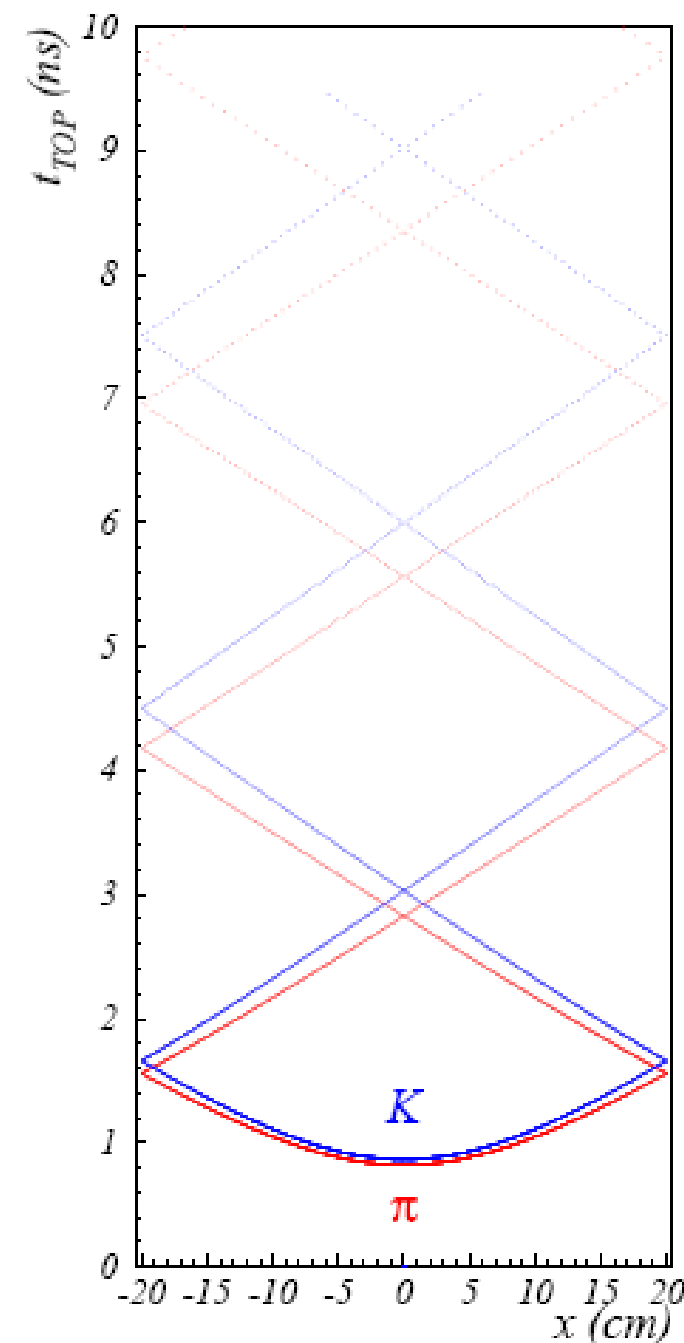


Side view of crystal



$$\cos\vartheta_c = \frac{1}{\beta n(\lambda)}$$

$$t_{\text{TOP}} = \frac{1}{c_g(\lambda)} = \frac{1 n_g(\lambda)}{c_0}$$



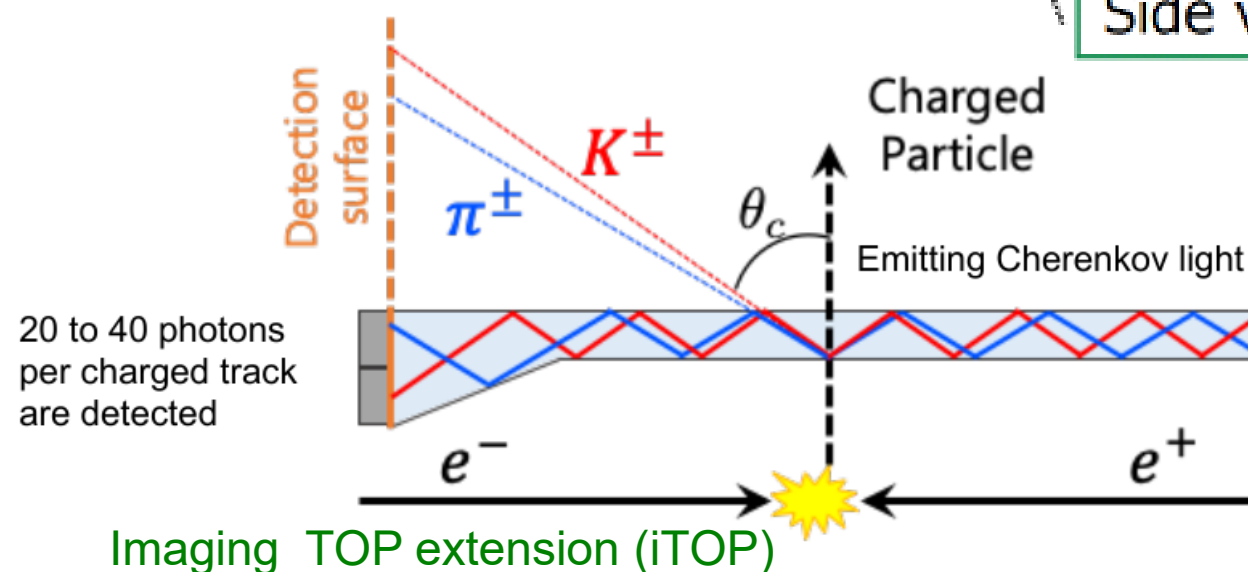
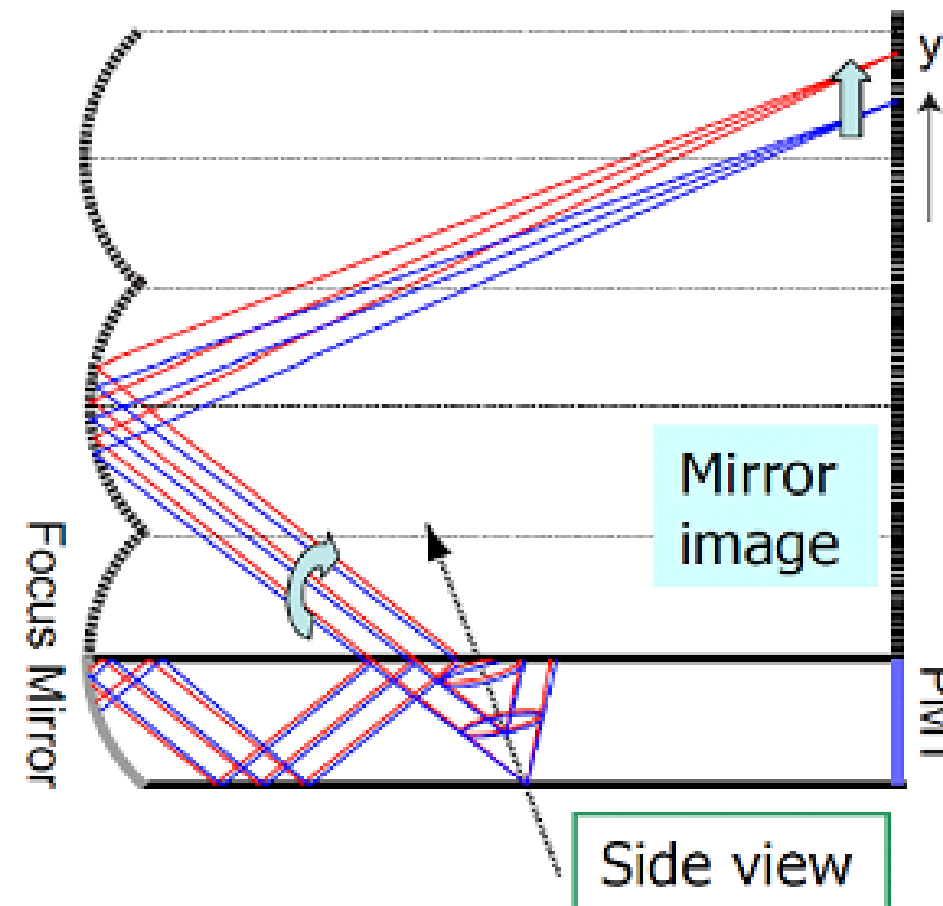
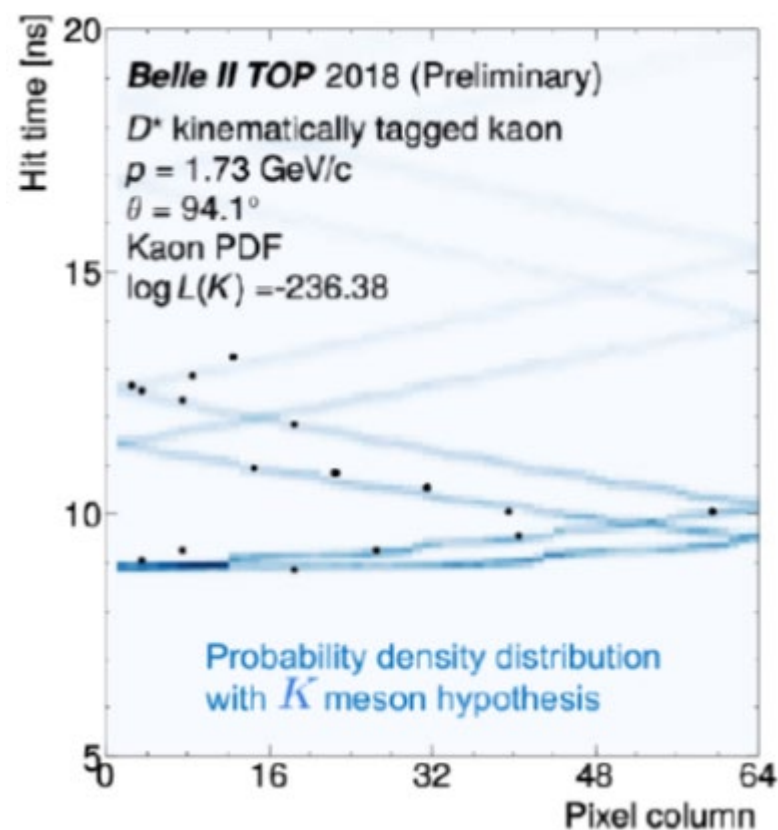
- measured time relative to bunch crossing is a combination of photon propagation time and time of flight from the interaction point to the quartz bar

Belle II TDR

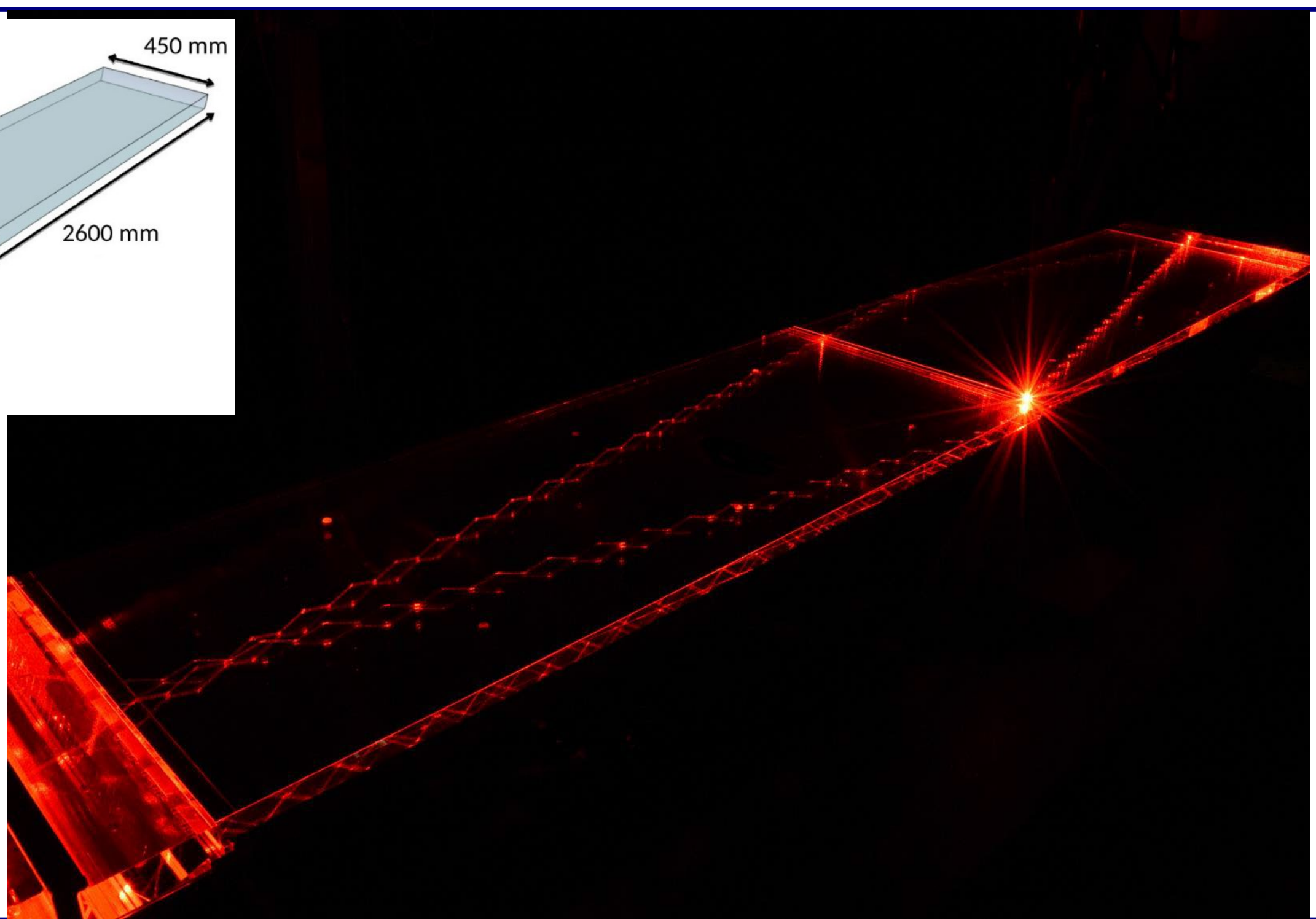
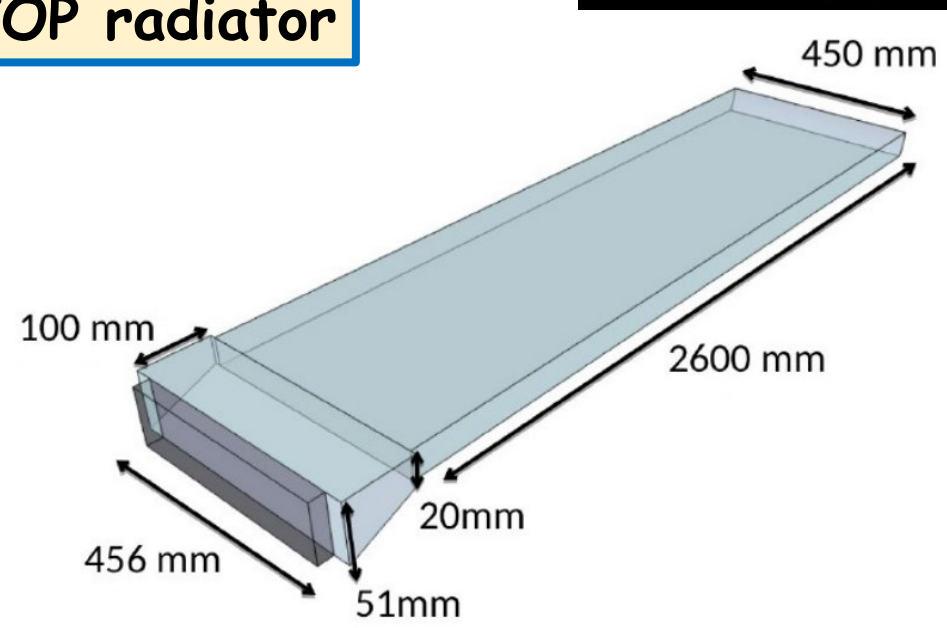
Focusing TOP

Focusing TOP concept:

- focusing added for photons reflected from non instrumented side of the bar
- by focusing photons of different wavelength, that are emitted at different Cherenkov angles, are separated at the focal plane -> reduced error due to chromatic dispersion



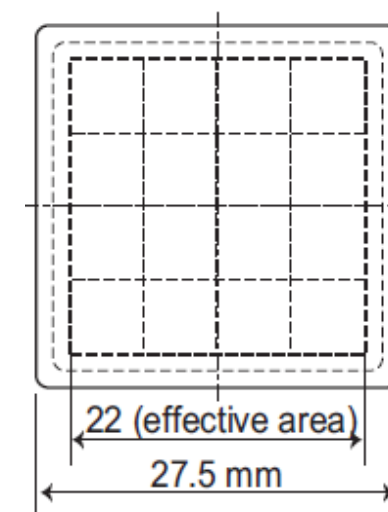
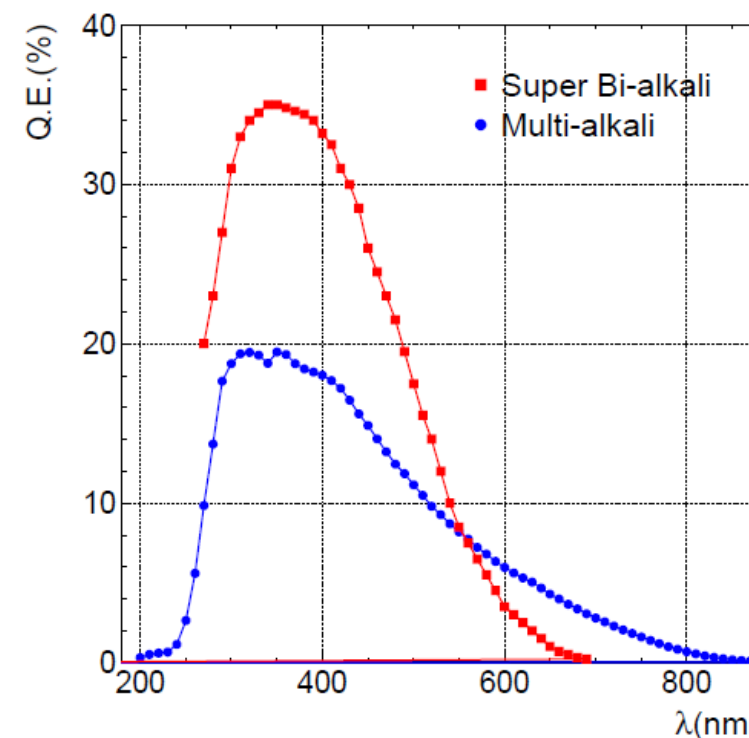
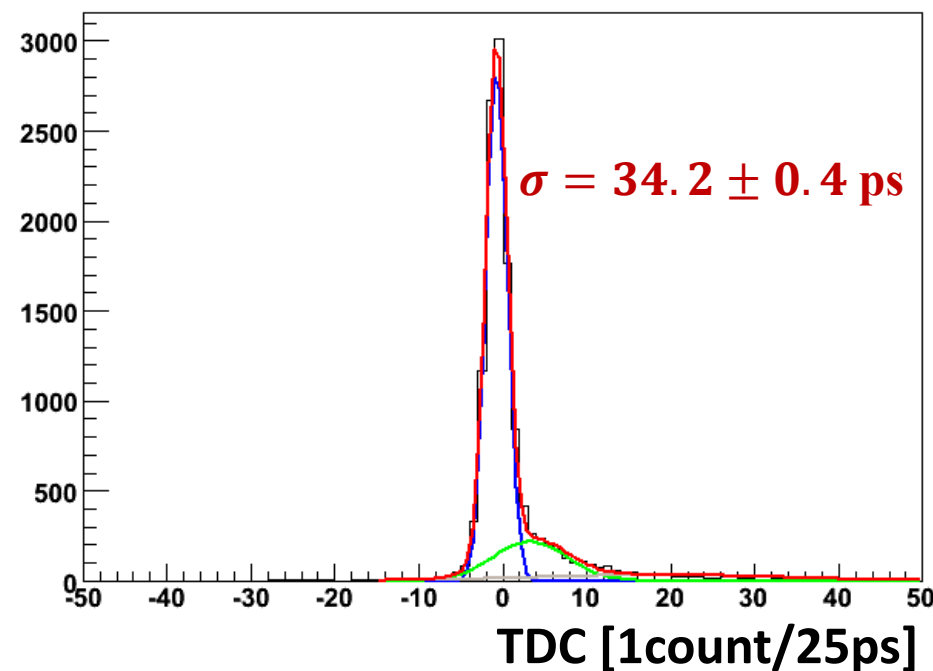
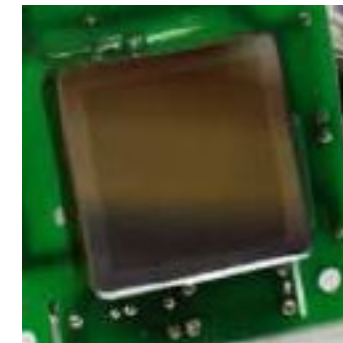
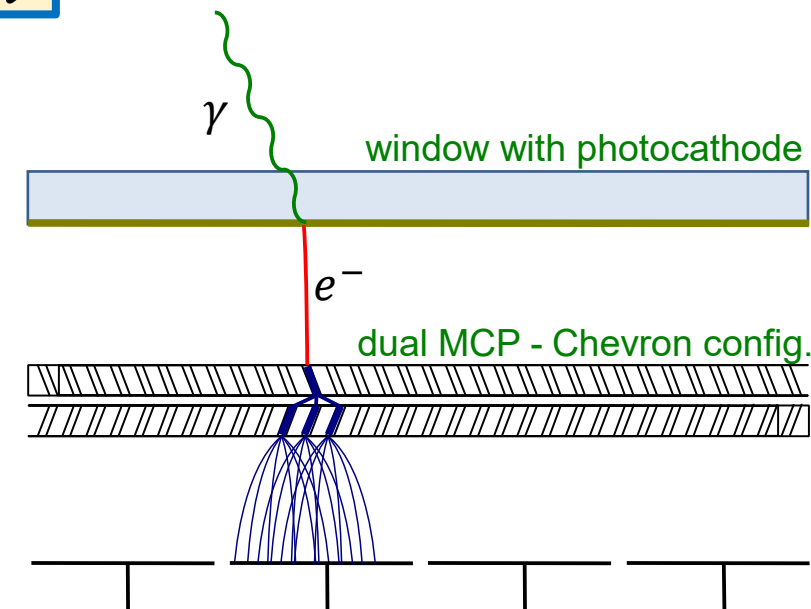
TOP radiator



Fast photon detection: MCP-PMT (Hamamatsu SL10)

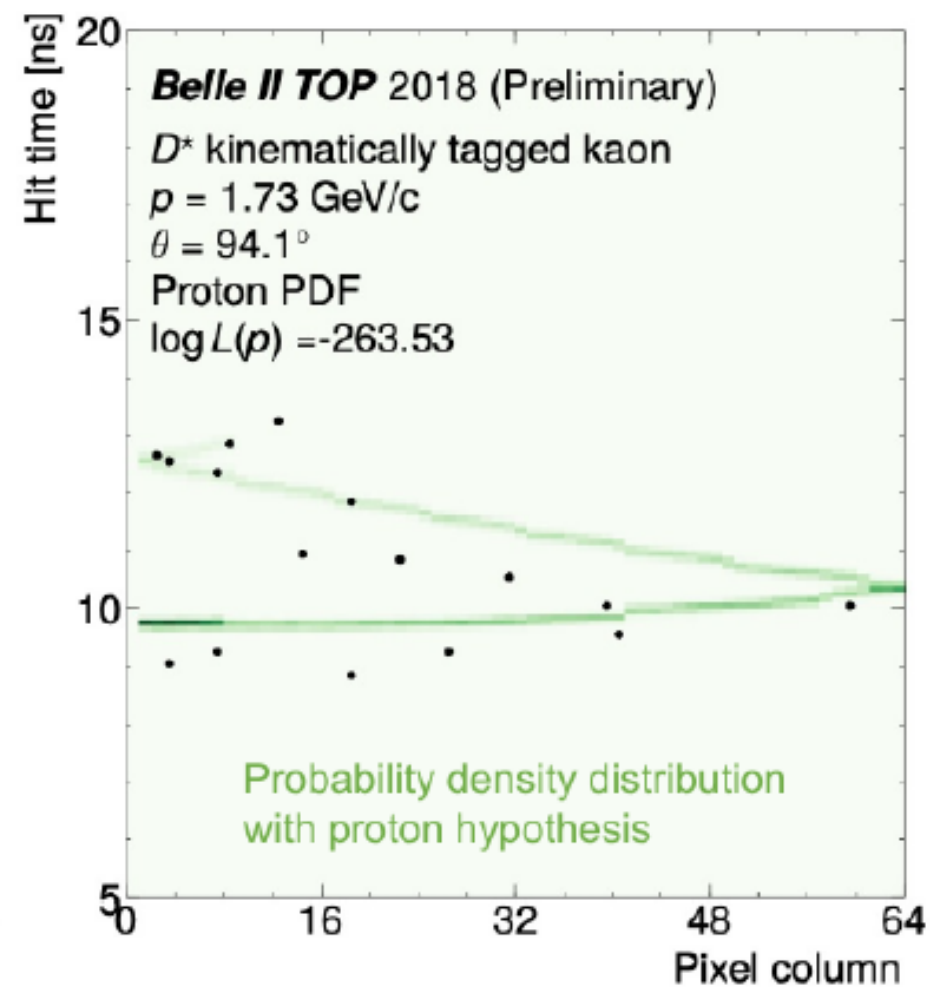
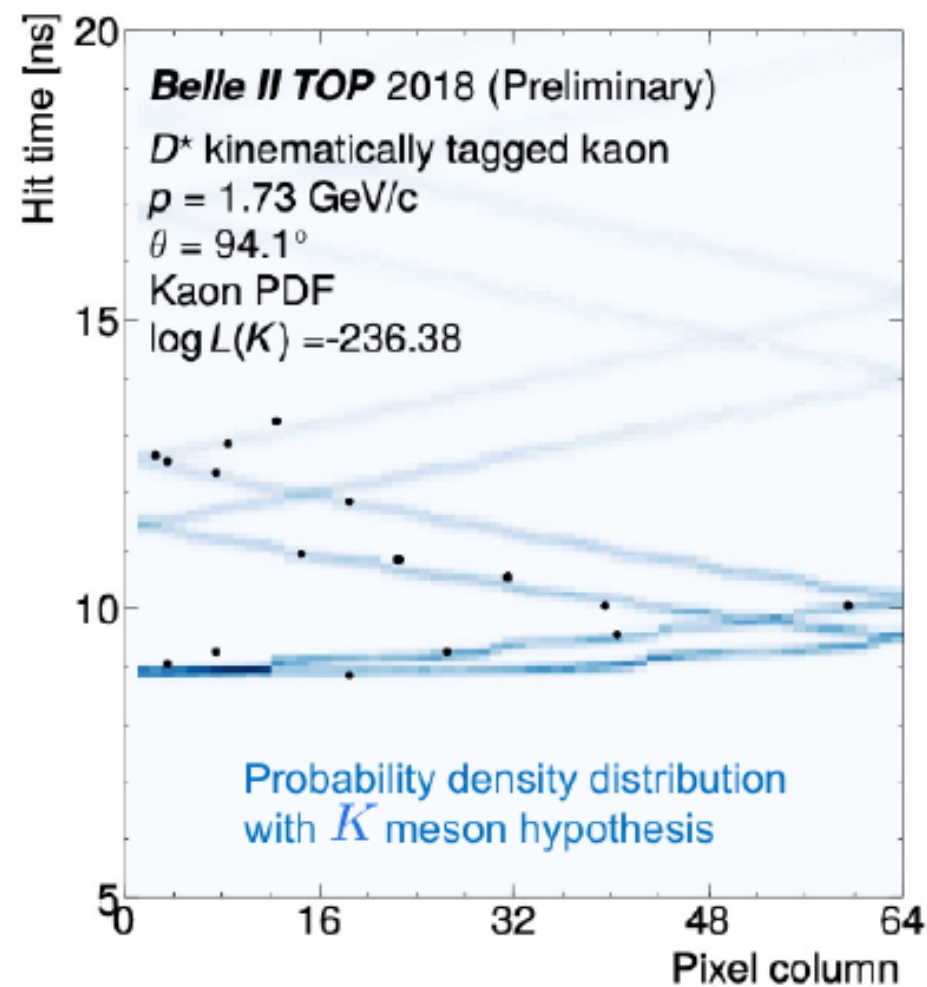
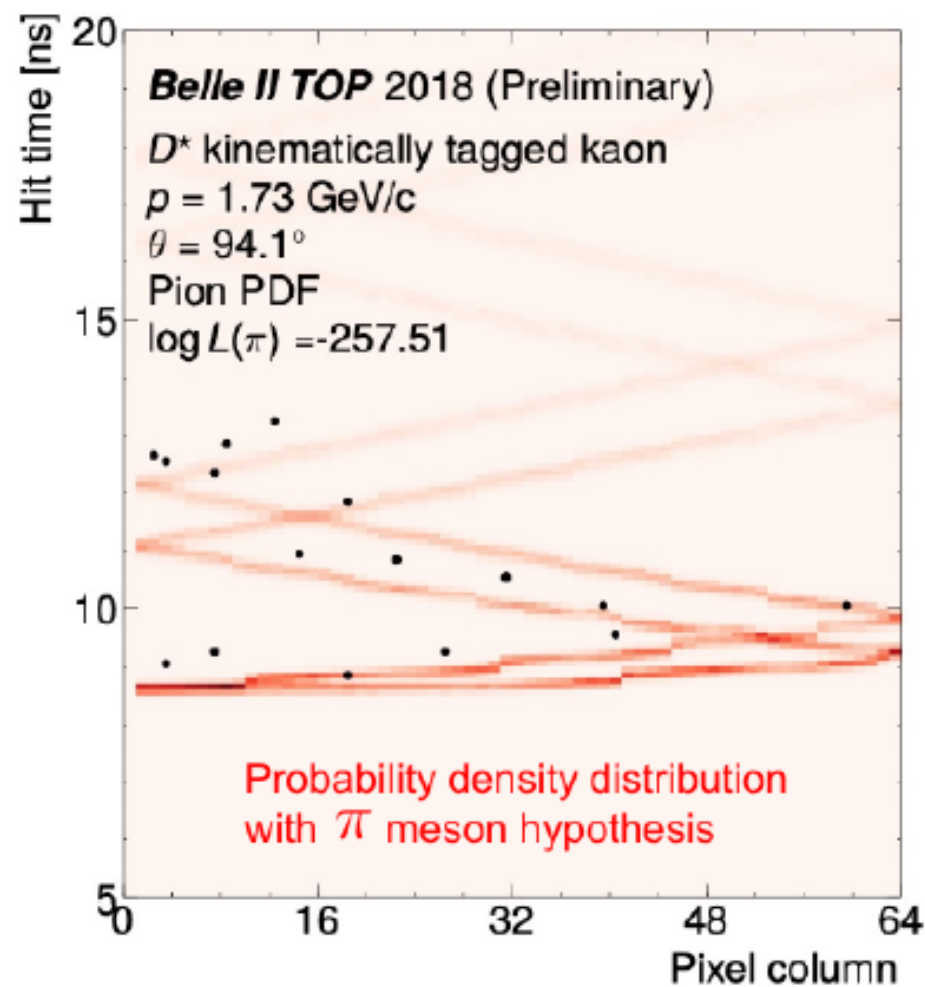
Multiandode MCP-PMT was developed in cooperation with Hamamatsu:

- bi-alkali photocathode
- gain $\sim 1.5 \times 10^6$ @ 1.5 T
- single photon time resolution ~ 35 ps @ 1.5 T
- pad size ~ 5 mm x 5 mm (4x4 array)
- additional cut-off filter 320 nm



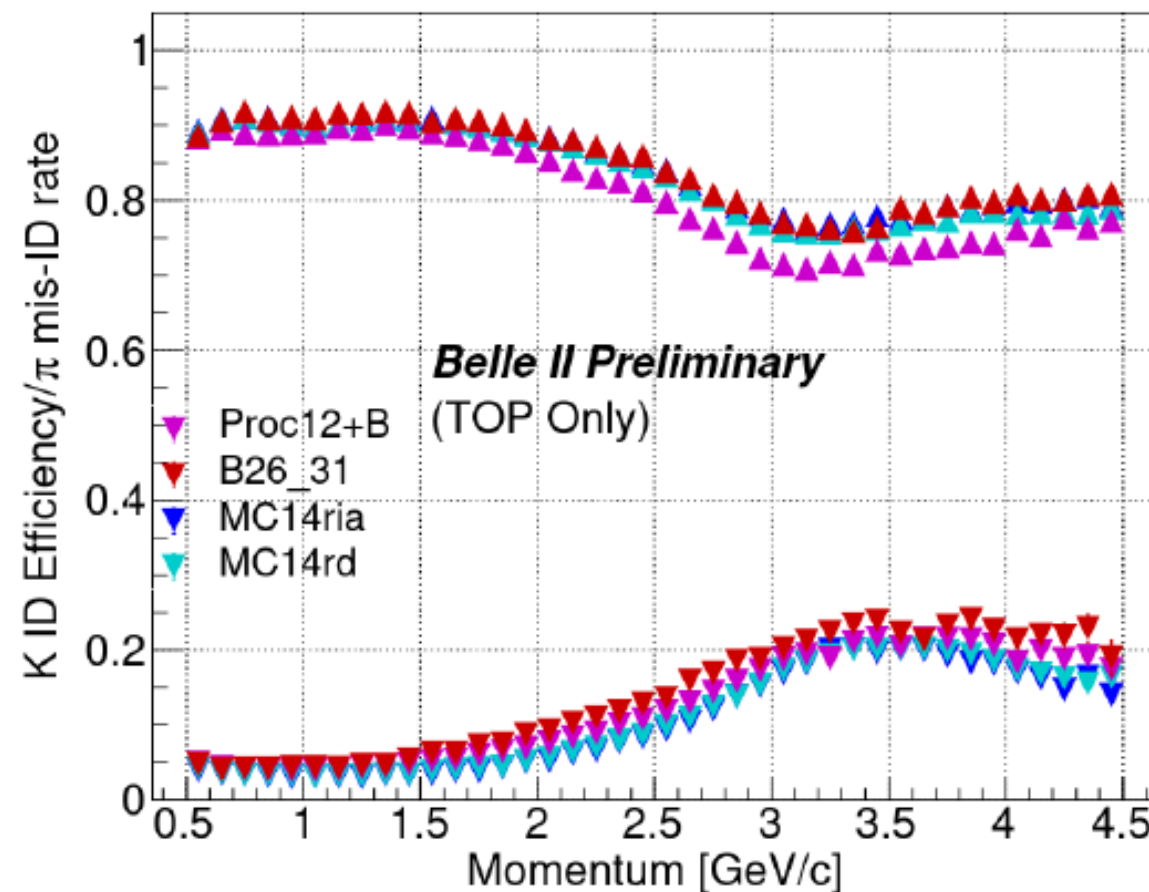
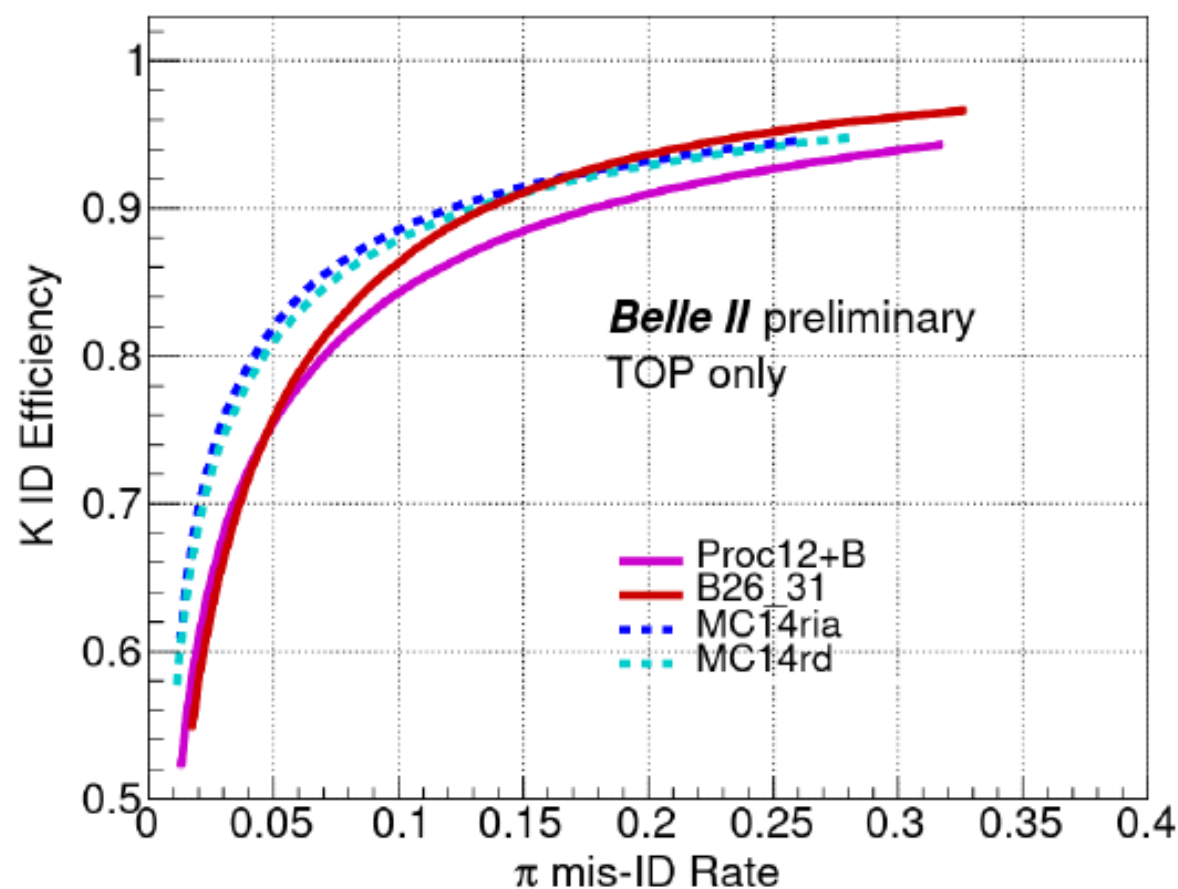
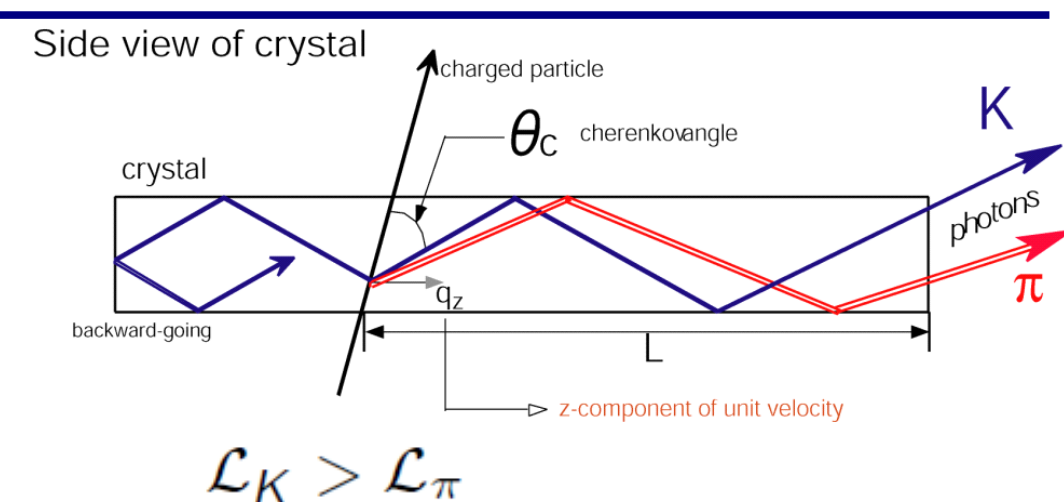
TOP - event example

- hit distribution for kinematically tagged kaon from $D^{*+} \rightarrow D^0(\rightarrow K^-\pi^+)\pi_{slow}^+$ decay compared with PDFs for three different hypothesis: π , K , p ,
- hit distribution compatible with K hypothesis (highest logL)



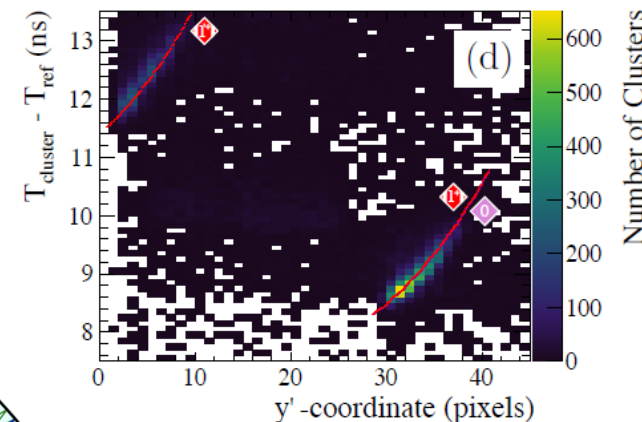
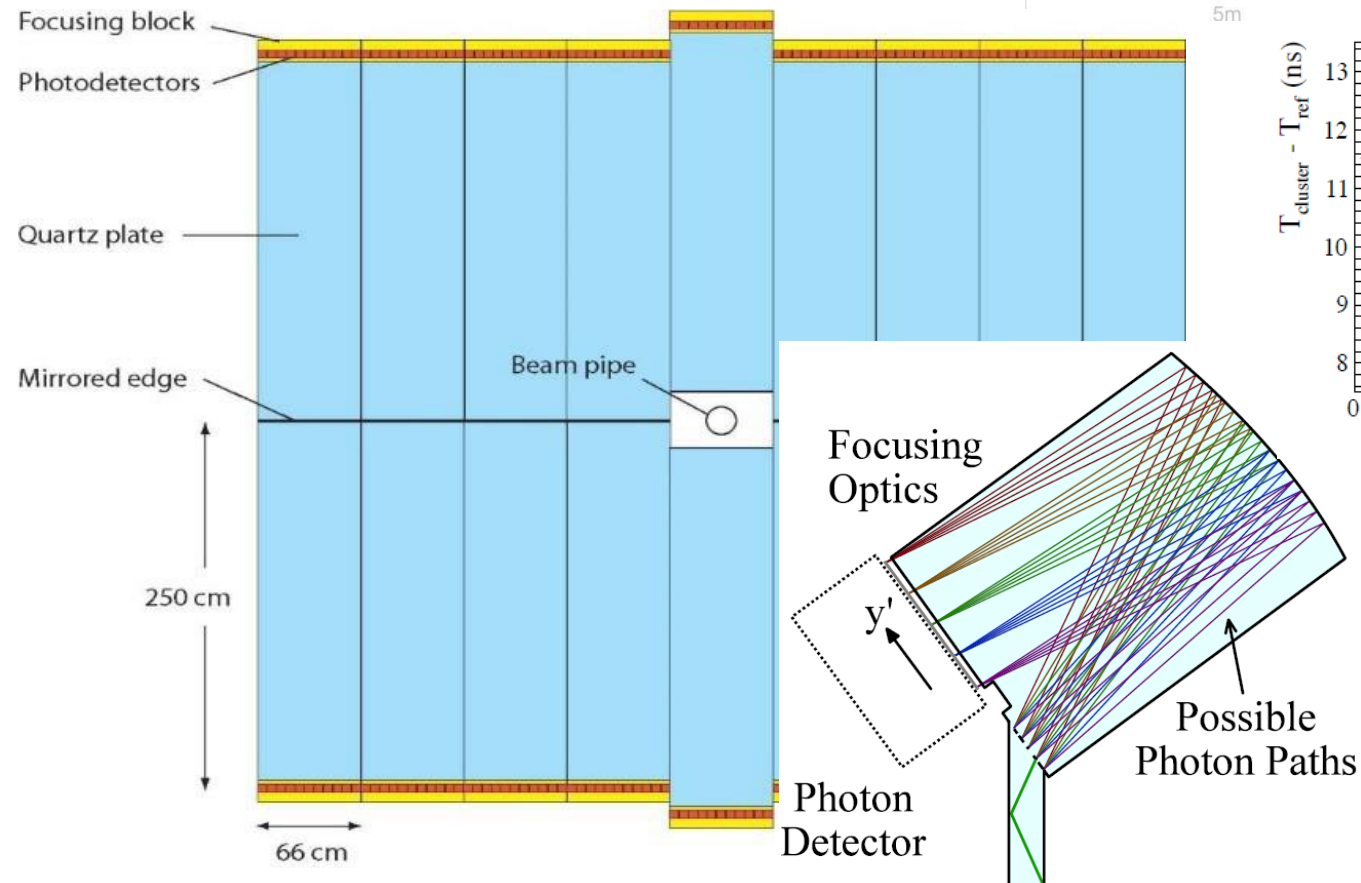
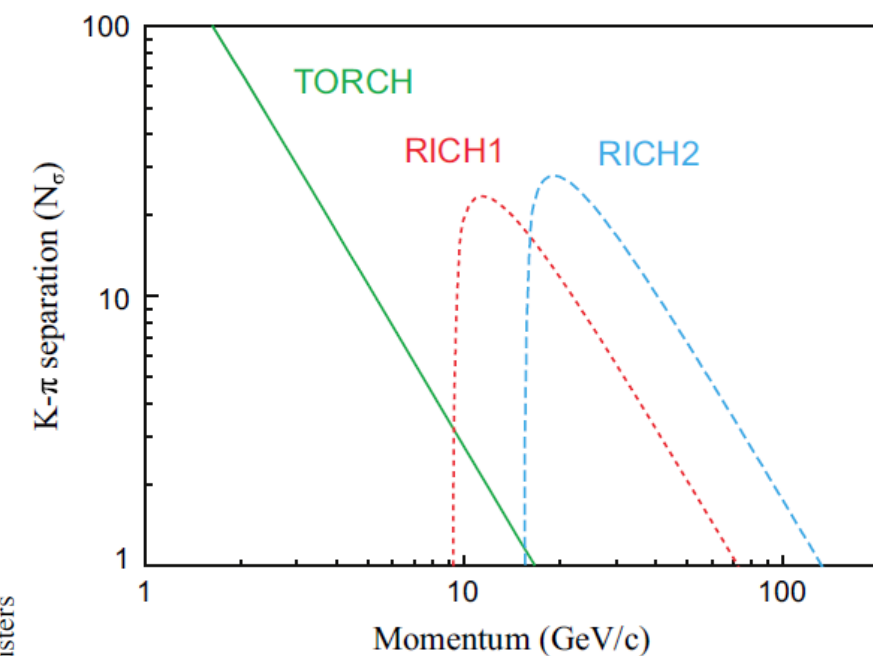
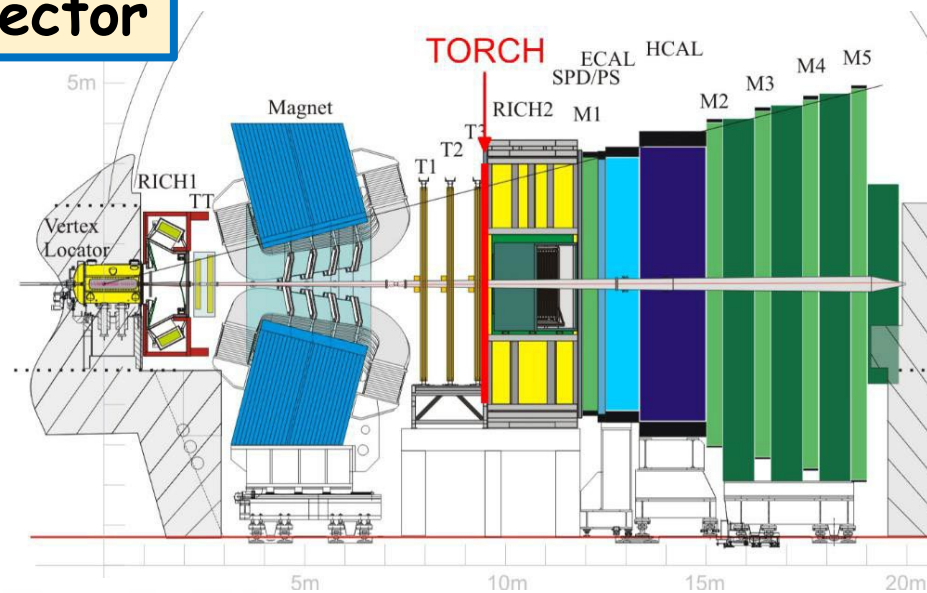
TOP - PID performance: $D^{*+} \rightarrow D^0 (\rightarrow K^- \pi^+) \pi^+$

- preliminary TOP PID performance – K efficiency vs. π misidentification probability

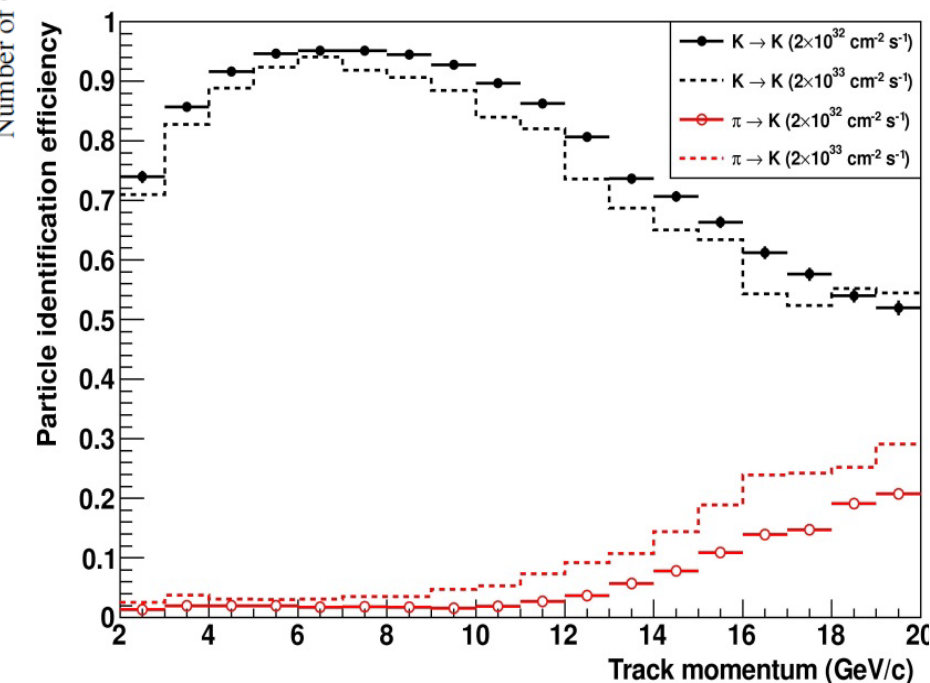


TORCH: TOP like TOF disc detector

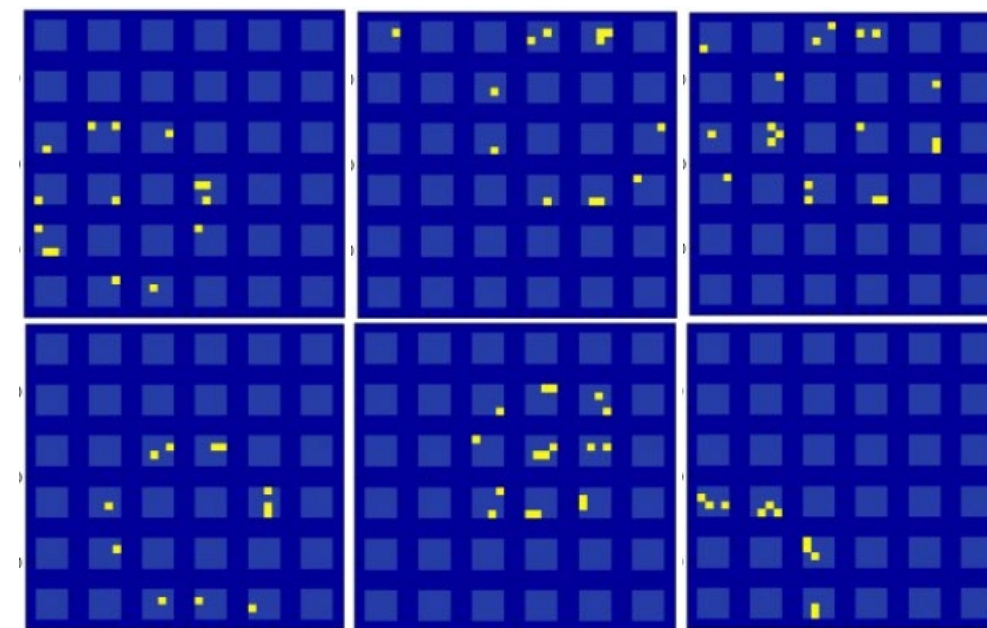
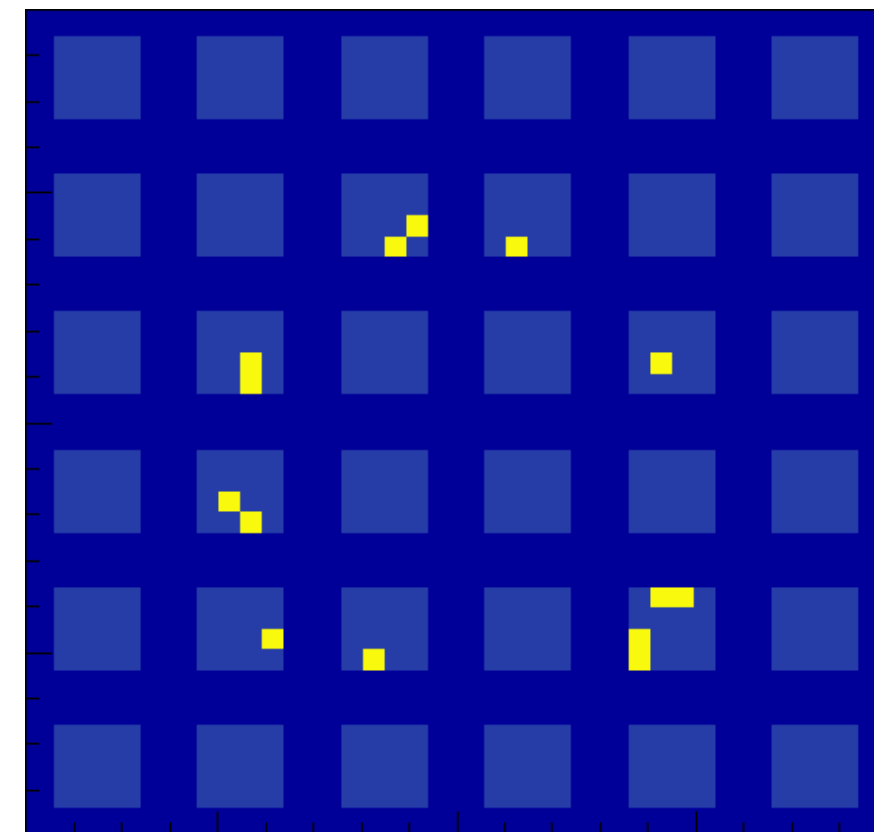
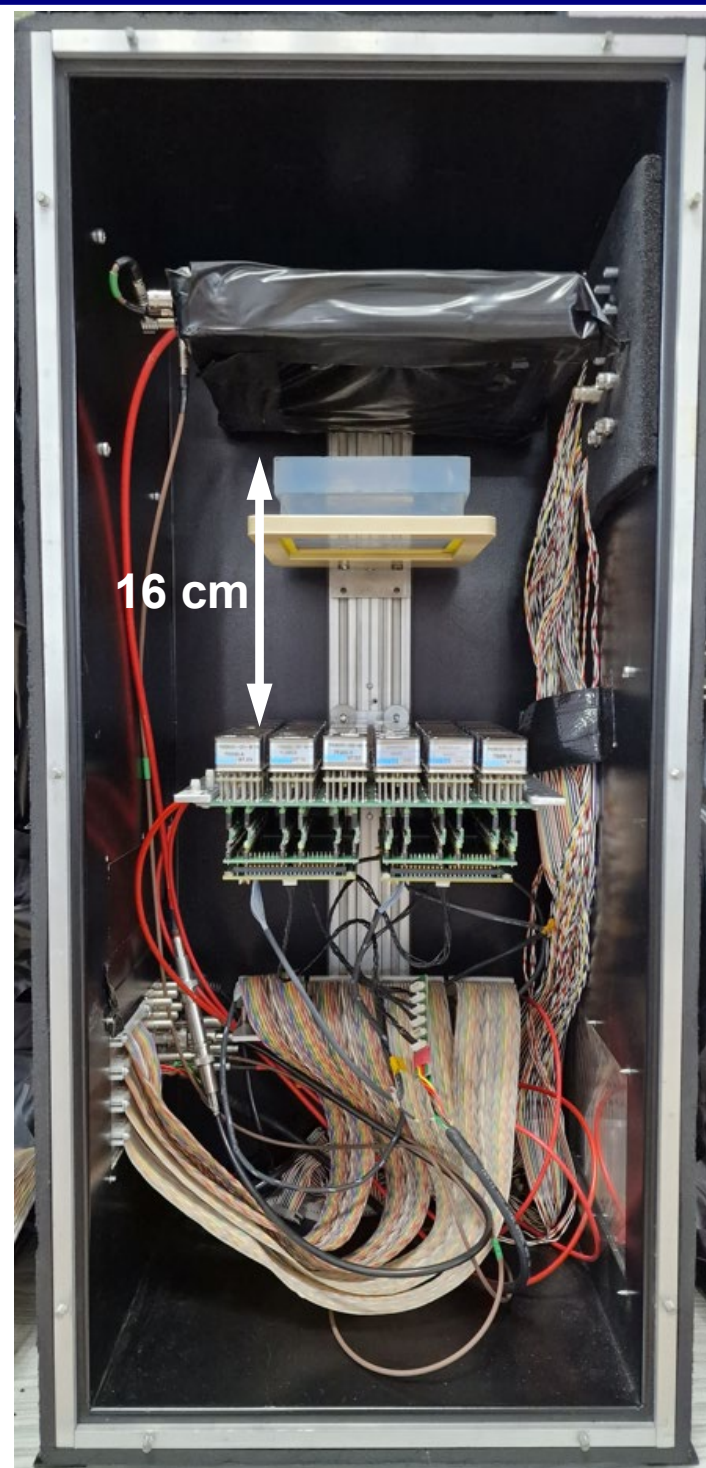
- **TORCH** for LHCb PID upgrade
- TOF based on internally reflected Cherenkov light
- low momentum PID (< 10 GeV)
- in front of RICH2



Number of Clusters



- trigger: 2 cm plastic scintillator
- ARICH
- focusing radiator - two layers of 2 cm thick aerogels :
 - upstream, $n \approx 1.048$,
 $\lambda_{400\text{ nm}} \approx 44\text{ mm}$
 - downstream, $n = 1.062$,
 $\lambda_{400\text{ nm}} \approx 55\text{ mm}$
- photon detector:
 - 6x6 array of Ma-PMTs (HERA-B) at 30 mm pitch – 576 channels
 - readout electronics – Belle 2 ARICH FE boards

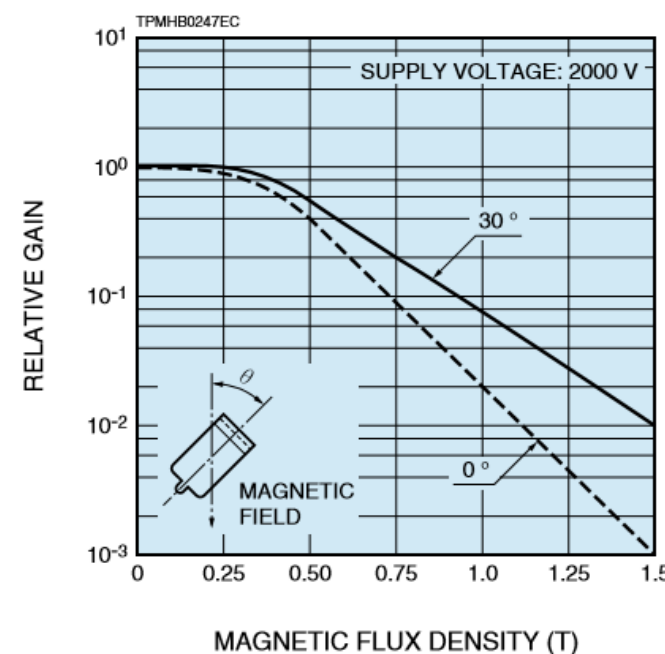
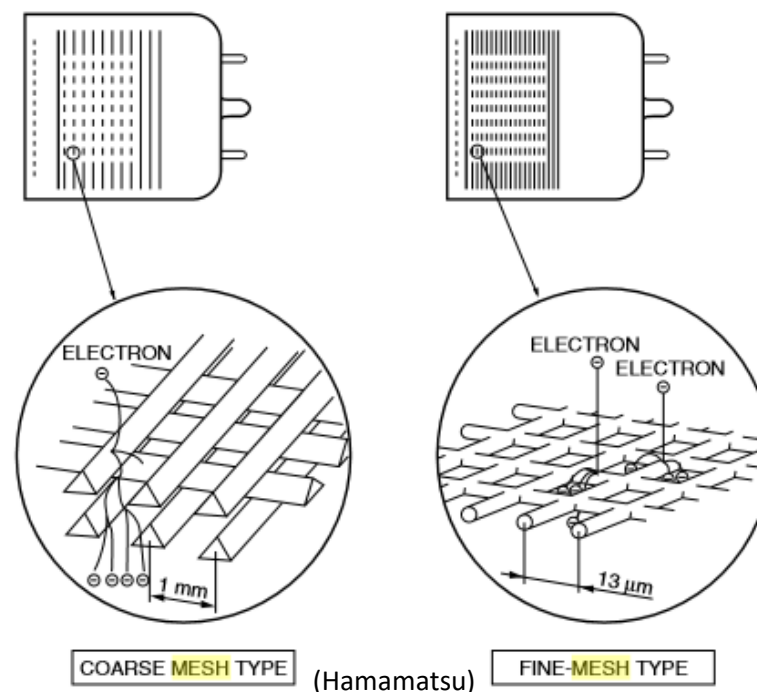


BACKUP SLIDES

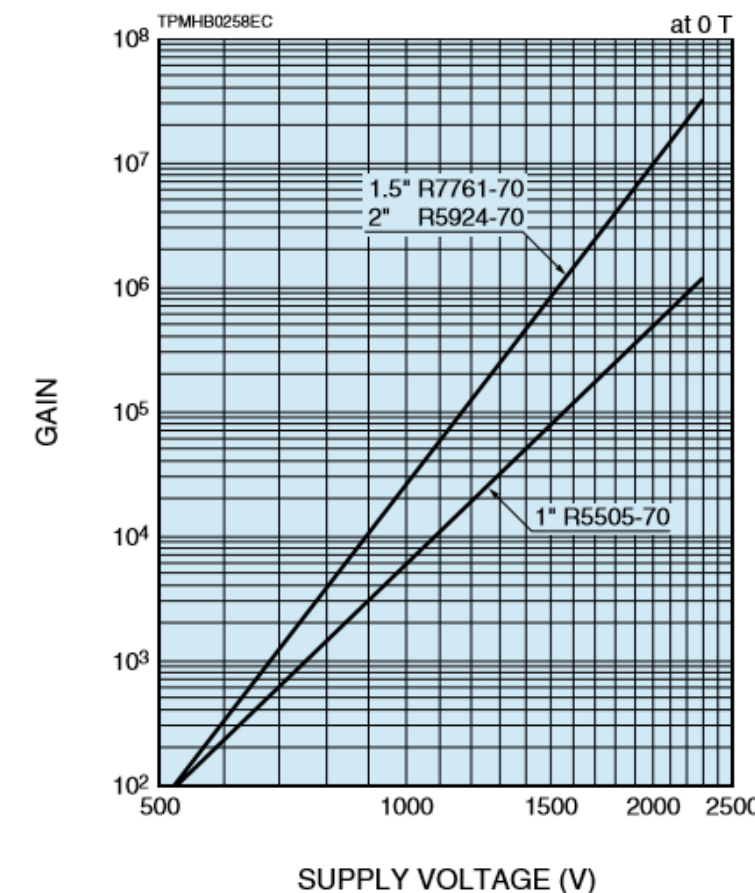
Mesh PMT

Coarse mesh or fine mesh types:

- multiplication is confined in space
 - cross-wire readout
 - multi-anode designs
- high gain up to 10^7
- good linearity
- operation in relatively high magnetic field
 - maximum gain at 30° between the magnetic field and PMT axes



Gain

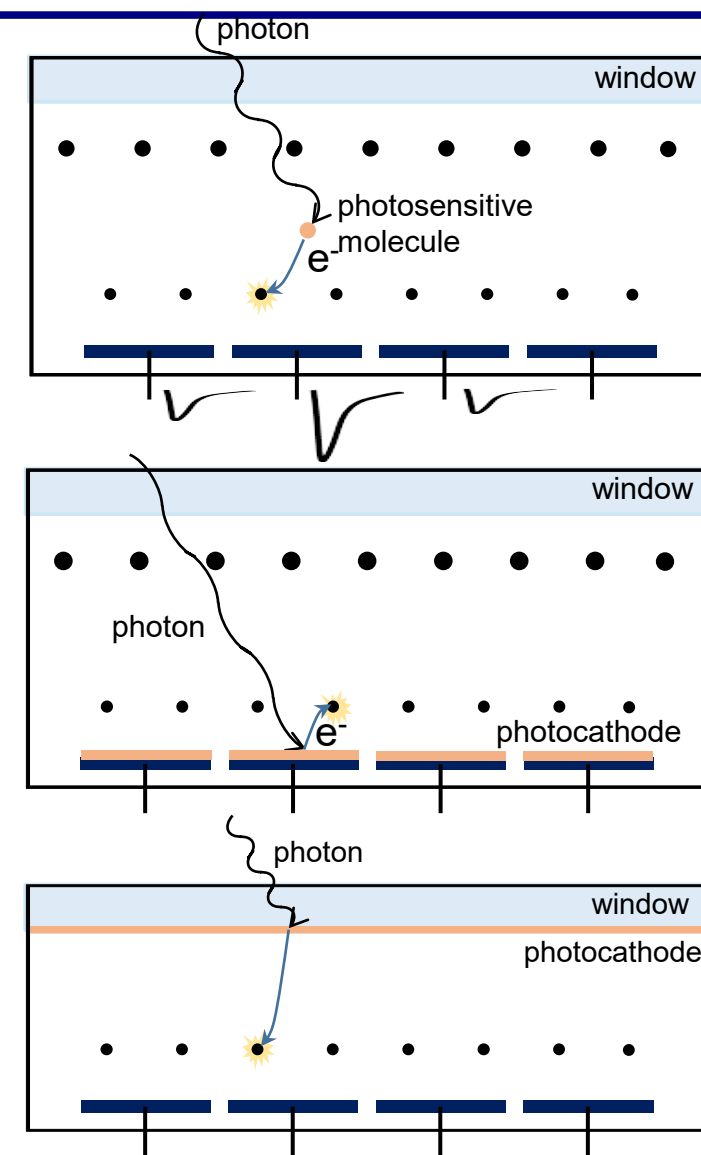
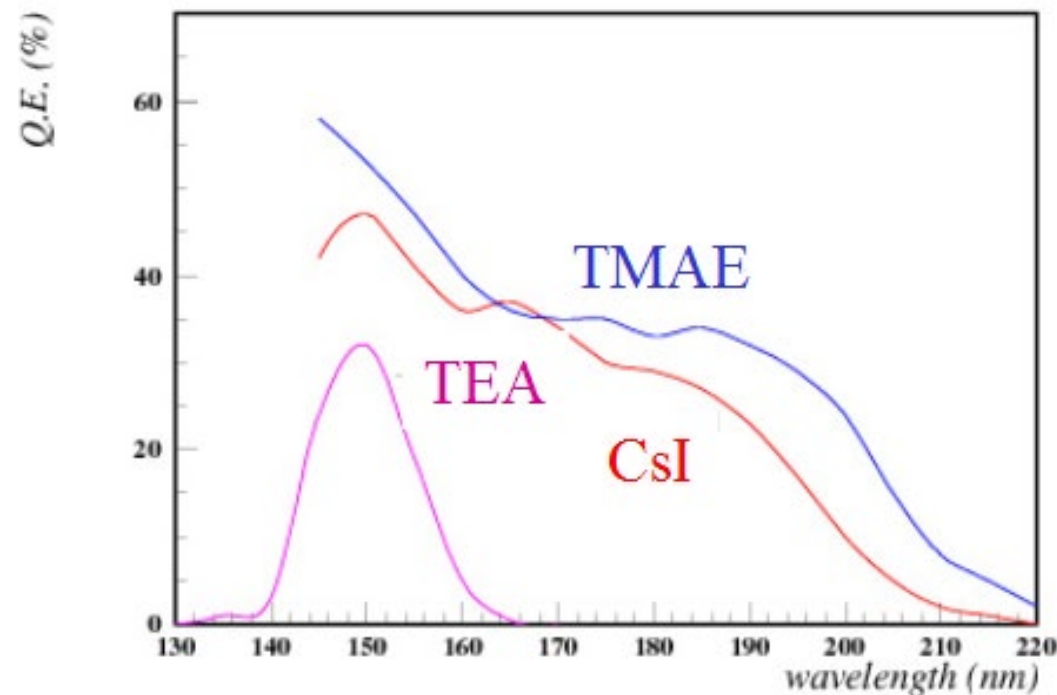


Gaseous photodetectors

Different ways to achieve photo-sensitivity:

- addition of photosensitive molecules to the counter gas (TMAE, TEA)
- solid photocathode deposited on the cathode (CsI)
- semitransparent cathode on the window (bialkali)

Released photoelectron drifts toward the high field region and produces the avalanche → multiplication → detectable signal

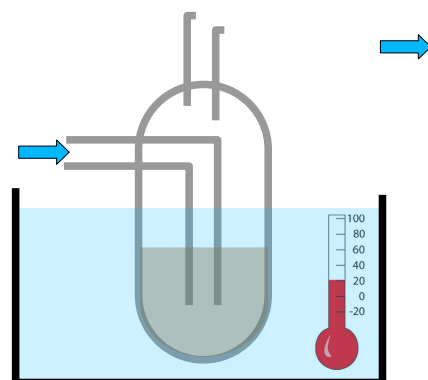
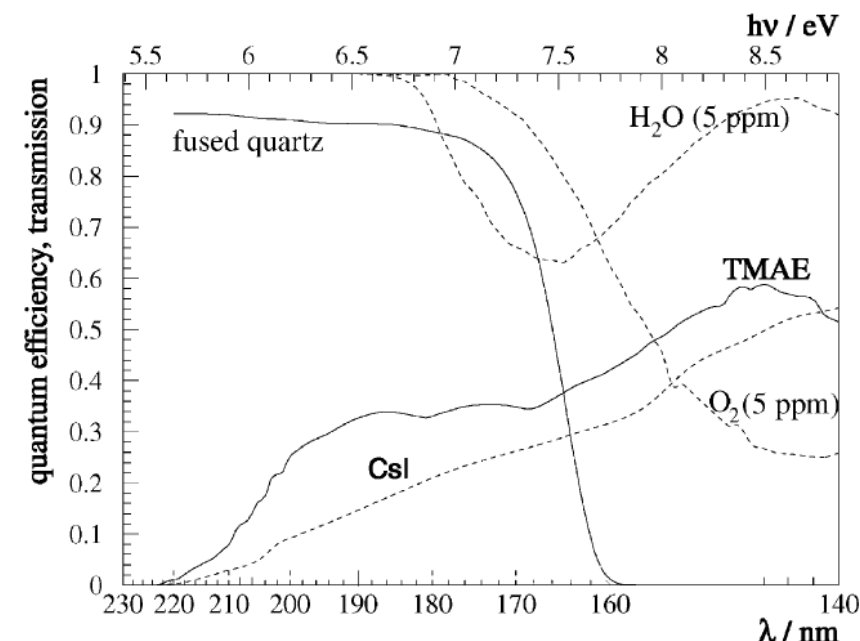
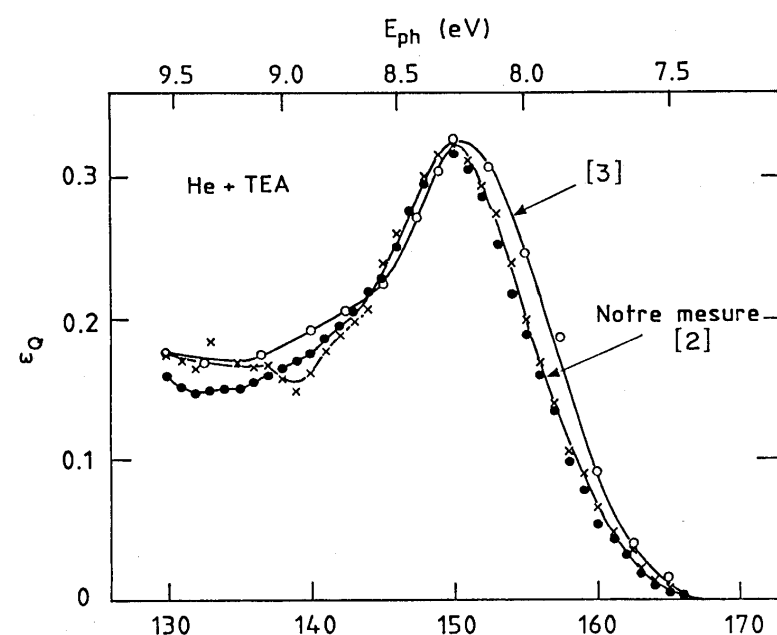


Difficulties:

- High gain operation (ion feedback and light emission from avalanche)
- Gas purity → UV transparency
- Aging (ion backflow, impurities)

Photosensitivity in gaseous detectors

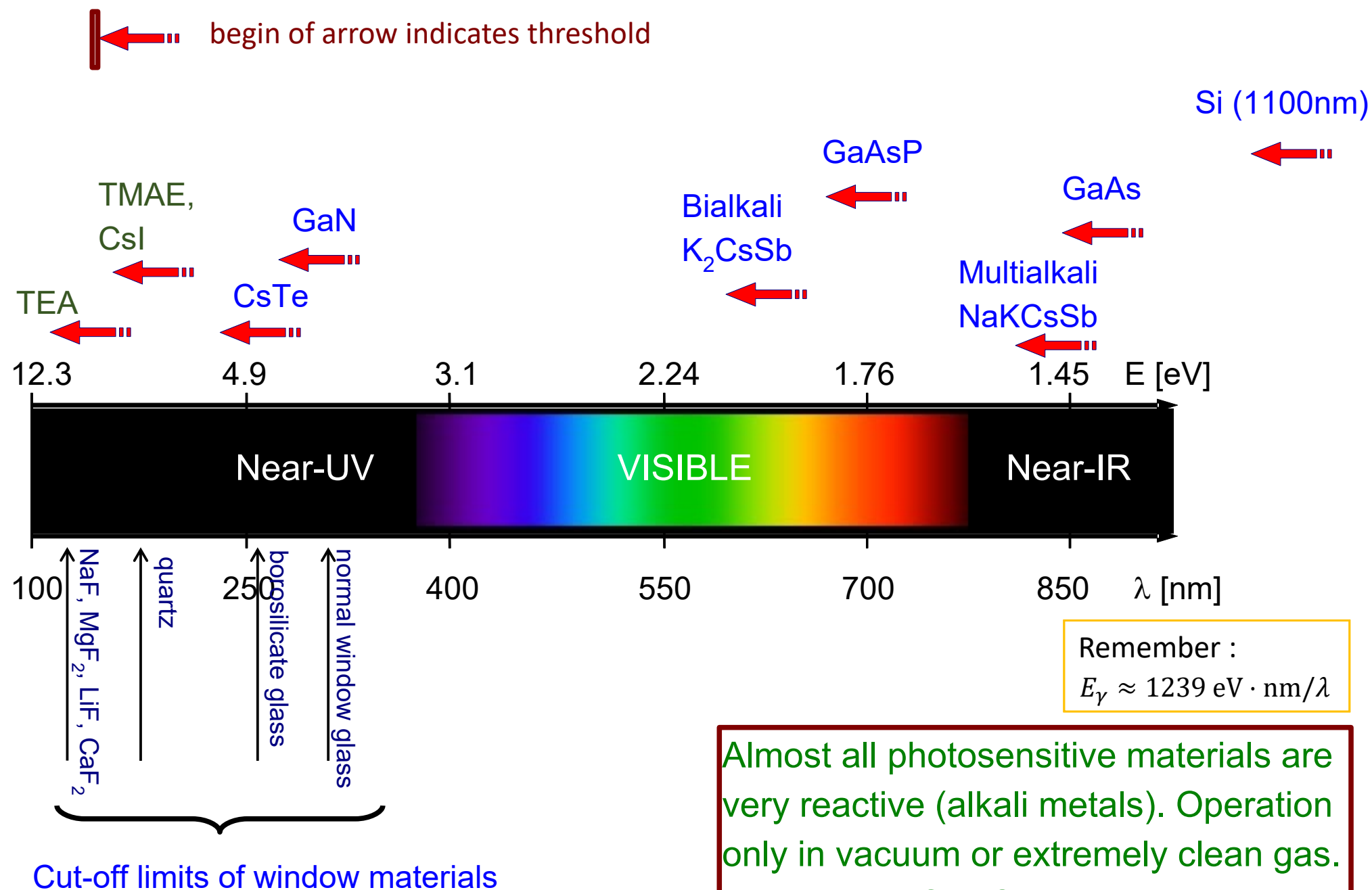
Gaseous detectors (MWPCs, TPCs) use admixtures of photosensitive substances or solid CsI photocathode to gain photo-sensitivity in UV region.



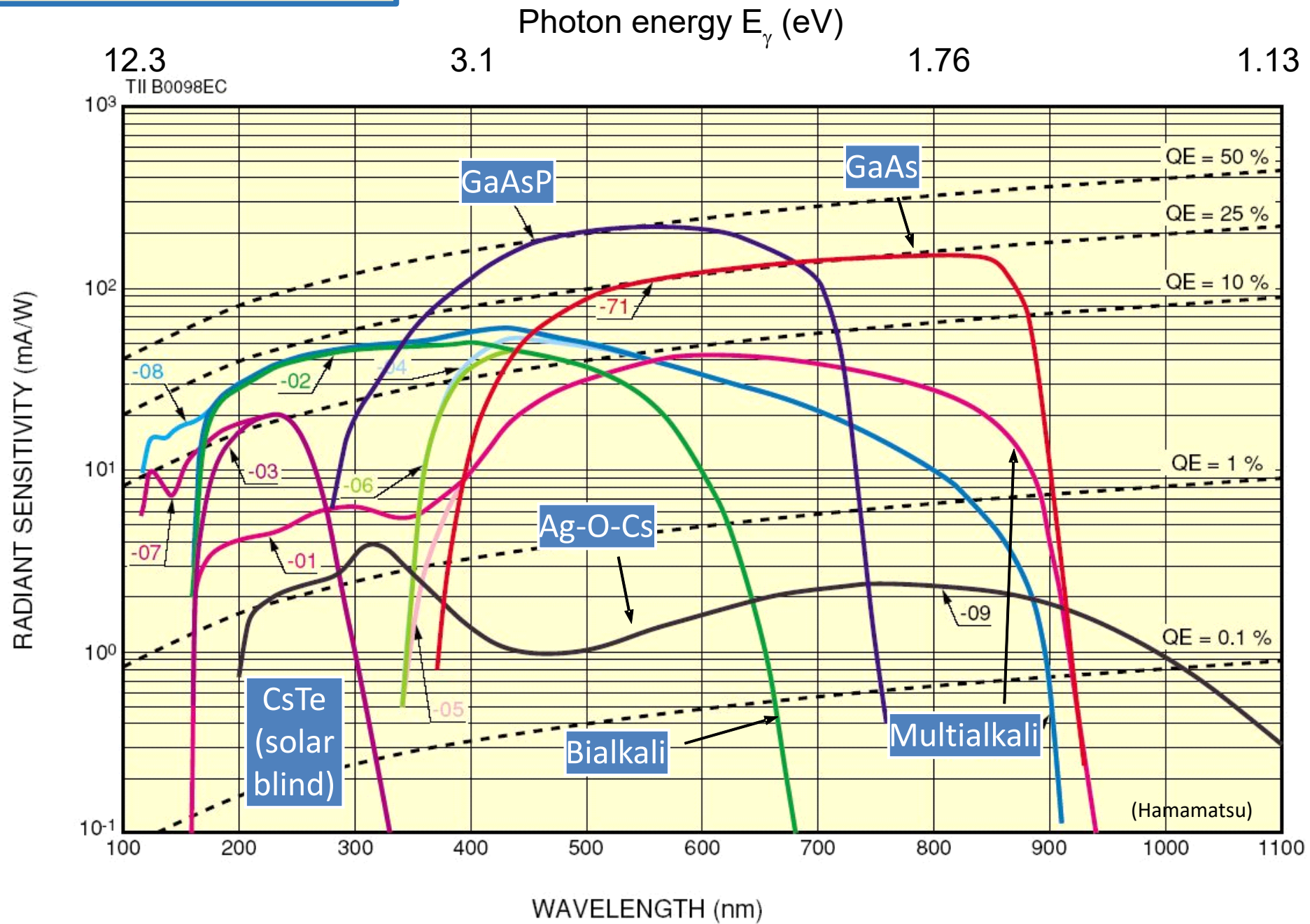
molecule		E_i [eV] (λ_i [nm])	max. QE(E)	$I_{abs@293K}$ [mm]
TEA	(C ₂ H ₅) ₃ N	7.5 (164)	0.33 (8.2)	0.43
TMAE	C ₂ [(CH ₃) ₂ N] ₄	5.36 (230)	0.51 (8.3)	26
DMA	(CH ₃) ₂ NH	8.3 (148)	0.2 (9.2)	
TMA	(CH ₃) ₃ N	7.9 (156)	0.27 (8.6)	

Photosensitive agent is admixed to the counting gas of a MWPC by bubbling the gas through the liquid agent at a given temperature → concentration control.

Photosensitive materials - photocathodes



Transmission mode photo-cathodes



Bialkali: Sb-K-Cs, Sb-Rb-Cs, Na-K-Sb Multialkali: Sb-Na-K-Cs

Photo-multiplier tubes (PMT's)

Principle of operation:

- photo-emission from photo-cathode – QE
- collection of photoelectrons by 1st dynode – η_{col} (CE)
- Secondary emission (SE) from N dynodes:
 - dynode gain $\delta_i \sim 3 - 50$ (function of incoming electron energy);
- total gain M :

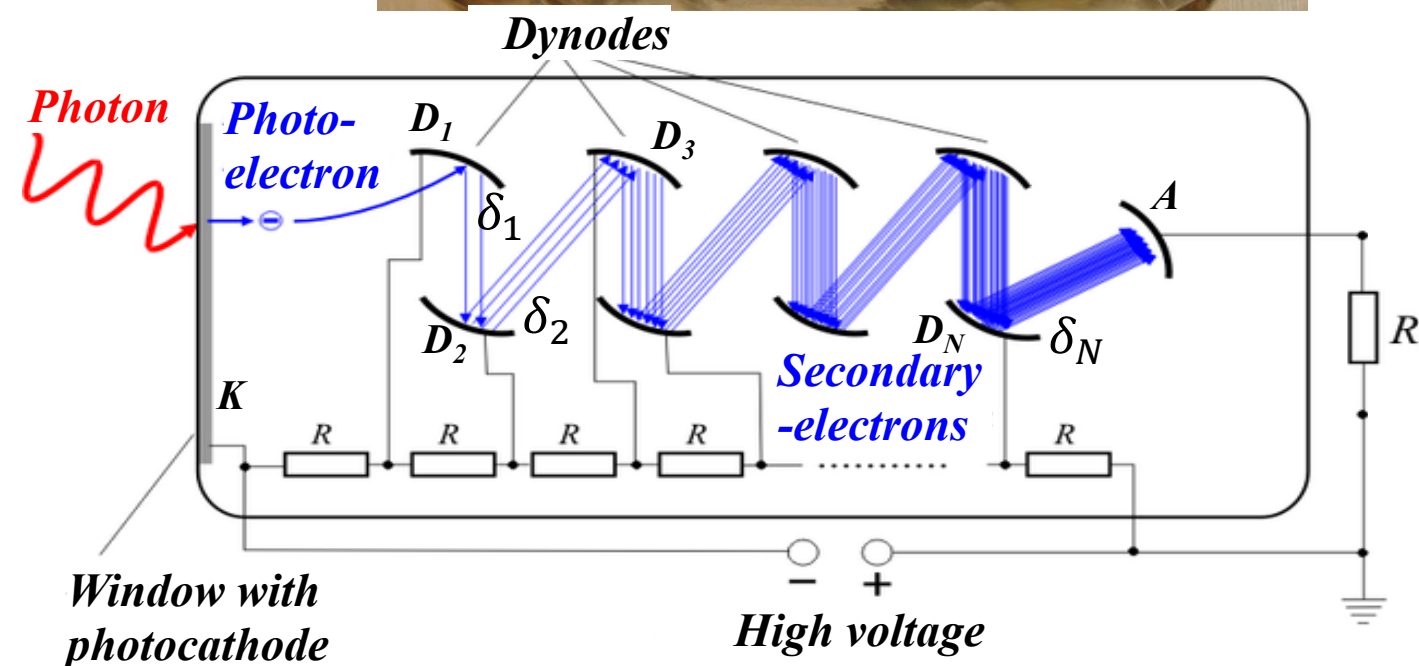
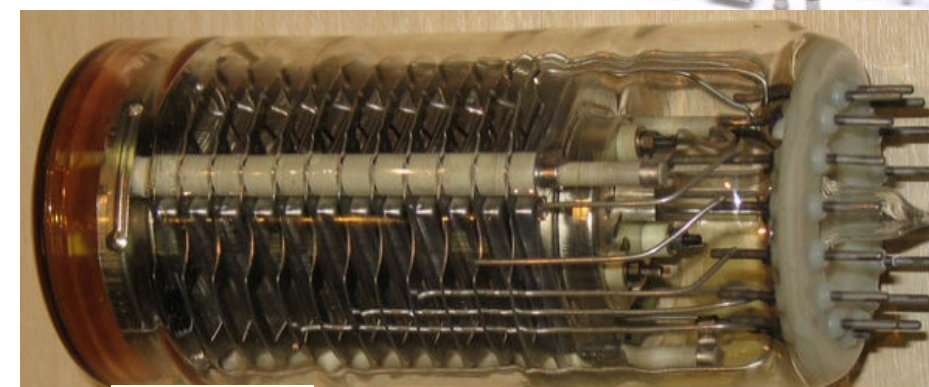
$$M = \delta_1 \cdot \delta_2 \cdots \delta_N = \prod_{i=1}^N \delta_i$$

- **Example:**

- 10 dynodes with

- $\delta = 4$

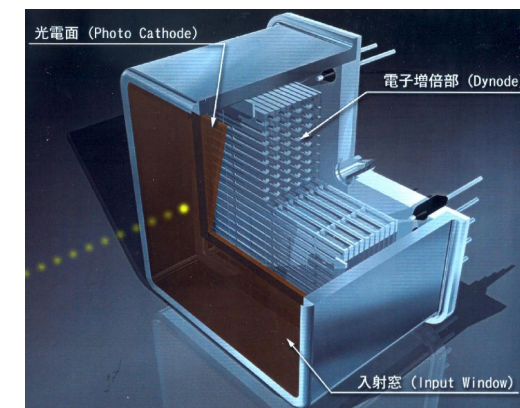
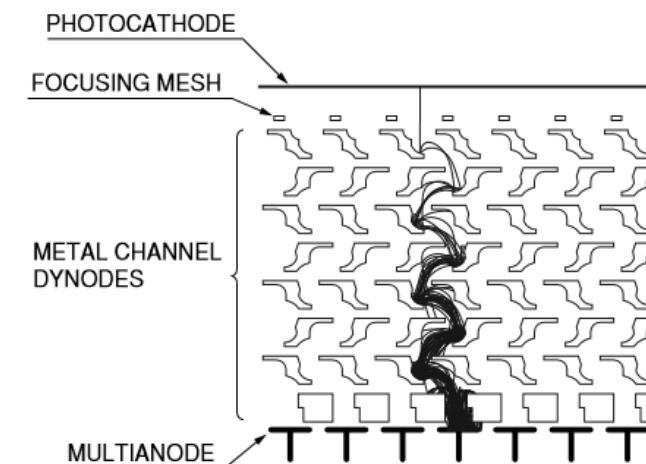
- $M = \delta^N = 4^{10} \approx 10^6$



Multi-anode PMT (MA-PMT)

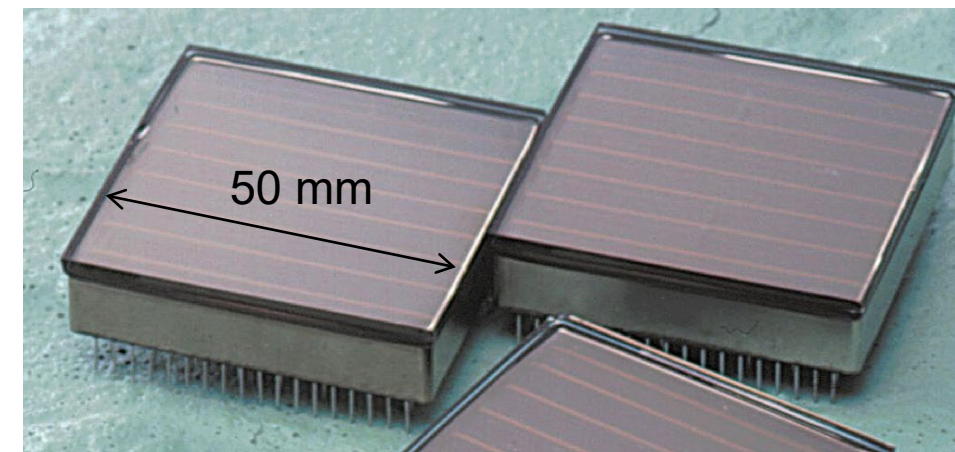
Metal channel dynode (Hamamatsu):

- multiplication is confined in a narrow channel
→ multi-anode designs
→ some tolerance to modest magnetic field
- ~ 30 mm x 30 mm
- gain up to 10^7 , excellent single photon detection
- gain uniformity typ. 1 : 2.5;
- cross-talk typ. < 2% (for 2x2 mm² pads)
- low DCR, few counts/cm²/s



Flat-panel (Hamamatsu H8500):

- 8 x 8 channels (5.8 x 5.8 mm² each)
- ~ 50 mm x 50 mm
- Excellent active area coverage (89%)



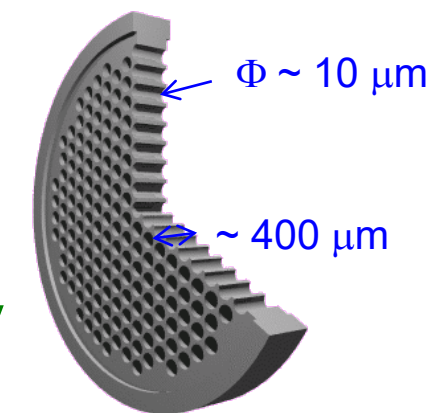
Micro Channel plate PMT (MCP-PMT)

Similar to ordinary PMT – dynode structure is replaced by MCP.

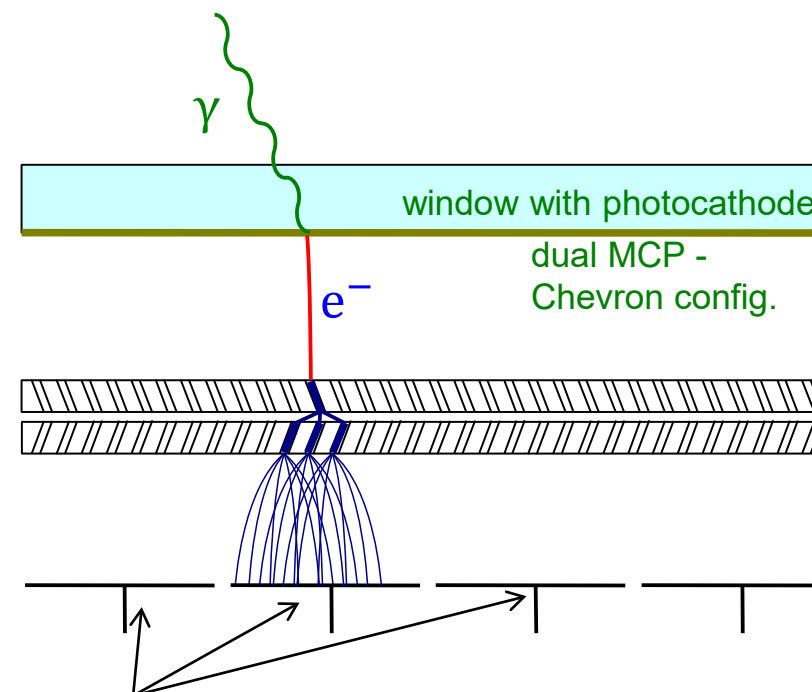
Basic characteristics:

- Gain $\sim 10^6 \rightarrow$ single photon
- Collection efficiency $\sim 60\%$
- Small thickness, high field
 $\rightarrow$ small TTS
- Works in magnetic field
- Segmented anode
 $\rightarrow$ position sensitive

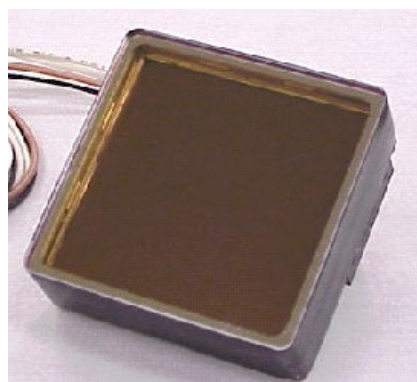
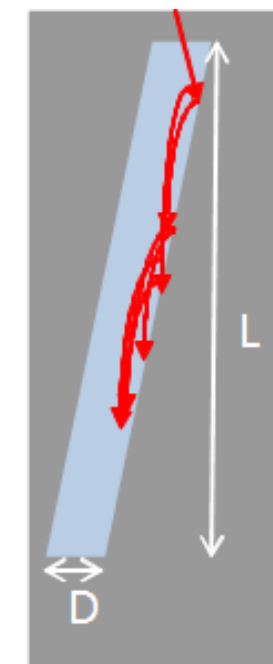
MCP is a thin glass plate with an array of holes ($<10\text{-}100\ \mu\text{m}$ diameter) - continuous dynode structure



MCP gain depends on L/D ratio – typically 1000
For L/D=40



Anodes $\rightarrow$ can be segmented according to application needs



PHOTONIS



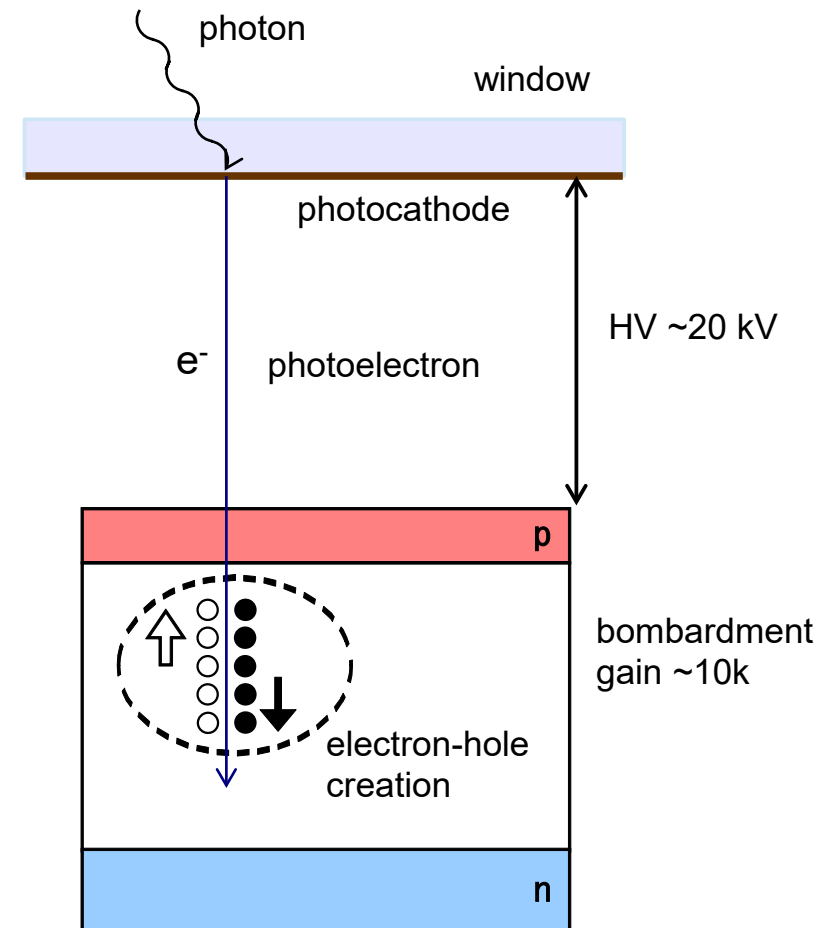
HAMAMATSU



PHOTEK

Combination of vacuum and silicon device – multiplication step in silicon. Detection steps:

- photon interacts in photocathode and produces photoelectron
- high electric field accelerates photoelectron
- on impact electron-hole pairs are generated (“bombardment gain”)



Hybrid avalanche photodiode (HAPD) concept

Combination of vacuum device and avalanche silicon diode:

- first steps equal as in HPD
 - photoelectron acceleration, electron-hole pair generation on impact
- primary electrons drift into avalanche region where they produce second multiplication (~ 50)
 - lower HV required
 - higher gain
 - **higher capacitance** → larger electronic noise
- intrinsically very fast

