

# QCD Sum Rules and Their Applications to Hadron Spectroscopy and Decays

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# The Problem: QCD is Hard at Low Energies

- QCD is the accepted theory of the strong interaction, with quarks and gluons as fundamental degrees of freedom.
- At **high energy** (large  $Q^2$ ), asymptotic freedom makes perturbation theory reliable.
- At **low energy** (hadronic scale,  $\sim 1$  GeV),  $\alpha_s$  is large  $\Rightarrow$  perturbation theory fails.
- Yet this is exactly the regime where hadrons (mesons, baryons, exotics) live!

## The central question

How do we connect quark-gluon language (QCD Lagrangian) to hadron language (masses, decay constants, form factors) *without* solving QCD exactly?

# Tools for the Nonperturbative Regime

- **Lattice QCD** – first-principles, but computationally expensive; historically difficult for some exotic/multi-quark channels and for excited states.
- **Quark models / constituent models** – intuitive, but parameters are fit, not derived from QCD.
- **Effective field theories** (ChPT, HQET, NRQCD) – systematic, but limited regime of validity.
- **QCD Sum Rules (QCDSR)** – analytic, directly built on the QCD Lagrangian via the operator product expansion (OPE); modest computational cost.

QCDSR sit in a useful middle ground: they are *semi-analytic*, model-independent in their assumptions (mostly), and applicable to a huge variety of channels — including exotic hadrons.

# What Is a QCD Sum Rule, in One Slide?

- 1 Choose an interpolating current  $j(x)$  with the quantum numbers of the hadron of interest.
- 2 Compute the two-point correlator  $\Pi(q^2) = i \int d^4x e^{iqx} \langle 0 | T \{ j(x) j(0) \} | 0 \rangle$  in the deep Euclidean region using the **operator product expansion** (perturbative part + vacuum condensates).
- 3 Relate the *same* correlator to the hadronic spectrum via a **dispersion relation**.
- 4 Match the two representations (**quark-hadron duality**) using a **Borel transform** to suppress excited-state contamination.
- 5 Extract hadron mass, coupling, or decay constant by demanding stability against the unphysical Borel parameter.

# Why This Talk Is Structured This Way

- QCDSR can look like a wall of algebra on first exposure. The *physics* is simple: it is built on completely standard ideas from quantum mechanics.
- So we will first build every concept — Green's functions, dispersion relations, Borel transforms, duality — in an **exactly solvable toy model**: the quantum harmonic oscillator.
- Only after that do we write down the QCD version, discuss trust & limitations, present an application (the  $B^*K^*$  molecule), and finish with modern extensions (e.g. light-cone sum rules).

## Why a Quantum-Mechanical Toy Model?

- QCD sum rules were invented by Shifman, Vainshtein and Zakharov (SVZ, 1979).
- Every key ingredient of the method — condensates, OPE, dispersion relations, Borel improvement, duality — already appears in ordinary quantum mechanics for a particle in a confining potential.
- The 2D harmonic oscillator is exactly solvable, so we can compare the sum-rule *estimate* of the ground-state energy directly against the *exact* answer.
- This builds the intuition needed to trust (and to doubt, appropriately) the QCD calculation later.

## Setup: The 2D Oscillator

Confining potential (we work in  $2 + 1$  dimensions for algebraic simplicity):

$$V(\vec{x}) = \frac{m\omega^2}{2} r^2.$$

Exact energy levels and wavefunctions at the origin:

$$E_n = (2n + 1)\omega, \quad |\psi_n(0)|^2 = \frac{m\omega}{\pi}.$$

- $\omega$  plays the role of the “confinement scale” (analogous to  $\Lambda_{QCD}$ ).
- $\psi_n(0)$  is the analogue of a hadronic decay constant such as  $f_\pi$ .
- Goal: recover  $E_0$  and  $\psi_0(0)$  *without* solving the Schrödinger equation directly — mimicking what we must do in QCD, where exact wavefunctions are unknown.

# The Two-Point Function: A Sum Over All States

Instead of isolating the ground state directly, sum over *all* levels with an exponential weight:

$$M(\epsilon) = \sum_{k=0}^{\infty} |\psi_k(0)|^2 e^{-E_k/\epsilon}.$$

- For  $\epsilon \rightarrow 0$  only the ground state survives.
- For large  $\epsilon$ , many states contribute — but this is exactly where the calculation is easiest!
- $M(\epsilon)$  is the imaginary-time analogue of the Green's function  $G(x_1, t_1 | x_2, t_2)$ , evaluated at coincident points with  $t_2 - t_1 = 1/(i\epsilon)$ .

This object, computable in two completely different ways, is the seed of every sum rule.

## Exact Result and the Free-Particle Limit

For the oscillator,

$$M^{\text{osc}}(\epsilon) = \frac{m\omega}{\pi \sinh(\omega/\epsilon)} \xrightarrow{\text{large } \epsilon} \frac{m\epsilon}{2\pi} \left[ 1 - \frac{\omega^2}{6\epsilon^2} + \frac{7}{360} \frac{\omega^4}{\epsilon^4} - \dots \right].$$

- The leading term,  $m\epsilon/(2\pi)$ , has **no  $\omega$  dependence**: at very short (imaginary) times the particle does not yet “feel” the confining potential.
- This is the free-particle (perturbative) result,

$$M^{\text{free}}(\epsilon) = \frac{m\epsilon}{2\pi}.$$

- Corrections in powers of  $\omega^2$  encode the effect of confinement — the direct analogue of **power corrections / condensates** in QCD.

# Spectral Densities and Global Duality

Both  $M^{free}$  and  $M^{osc}$  can be written as  $\frac{1}{\pi} \int_0^\infty e^{-E/\epsilon} \rho(E) dE$  with

$$\rho^{free}(E) = \frac{m}{2} \theta(E > 0), \quad \rho^{osc}(E) = m\omega \sum_{k=0}^{\infty} \delta(E - (2k + 1)\omega).$$

- These look nothing alike pointwise (a smooth curve vs. a comb of delta functions)...
- ...yet *integrated* over any energy window of width  $2\omega$ , the two densities agree exactly:

$$\int_0^\infty [\rho^{osc}(E) - \rho^{free}(E)] dE = 0.$$

This is **global quark-hadron duality**: free (perturbative) and resonance spectra agree *on average*, even though they differ resonance-by-resonance.

## Building the Sum Rule

**Ansatz:** replace the unknown “higher states” contribution by the free spectral density above an effective threshold  $s_0$ :

$$\rho^{higher}(E) = [1 - \theta(E < s_0)] \rho^{free}(E).$$

This gives the sum rule

$$|\tilde{\psi}_0(0)|^2 e^{-E_0/\epsilon} = \frac{\epsilon}{2} \left(1 - e^{-s_0/\epsilon}\right) - \frac{\omega^2}{12\epsilon} + \frac{7}{720} \frac{\omega^4}{\epsilon^3} - \dots$$

- Left side: “ground state” contribution (unknown  $E_0$ ,  $\psi_0(0)$ ).
- Right side: free spectral density truncated at  $s_0$ , plus a few power corrections – all computable.
- Three unknowns to fit:  $E_0$ ,  $\tilde{\psi}_0(0)$ , and the threshold  $s_0$ .

## Extracting $E_0$ : Differentiate and Take Ratios

Differentiating with respect to  $(-1/\epsilon)$  and dividing the two sum rules:

$$E_0 = \frac{\frac{\epsilon^2}{2} - \frac{\epsilon^2}{2} \left(1 + \frac{s_0}{\epsilon}\right) e^{-s_0/\epsilon} + \frac{\omega^2}{12} - \dots}{\frac{\epsilon}{2} (1 - e^{-s_0/\epsilon}) - \frac{\omega^2}{12\epsilon} + \dots}.$$

- If we kept the *entire* series and the exact higher-state contribution,  $E_0 = \omega$  exactly, independent of  $\epsilon$ .
- With our truncated, approximate ansatz,  $E_0$  depends (weakly) on  $\epsilon$  and on  $s_0$ .
- **Strategy:** choose  $s_0$  so that  $E_0(\epsilon)$  is as *flat* as possible over an intermediate “Borel window”  $1 < \epsilon/\omega < 2$ .

## Results: How Good Is the Estimate?

Truncation order	$s_0$	$E_0$ (extracted)	$E_0$ (exact)
2nd order in $\omega^2$	$1.6 \omega$	$0.90 \omega$	$\omega$
3rd order in $\omega^2$	$1.75 \omega$	$0.95 \omega$	$\omega$

- Even with a crude 2–3 term truncation and a schematic “free states above  $s_0$ ” model, we recover  $E_0$  to within 5–10%.
- The dominant error comes from modeling the *excited-state* contribution, not from the power corrections themselves.
- This is the precision QCDSR practitioners quote for real hadrons:  $\sim 10\text{--}20\%$ .

## Local Duality and the Form Factor

The same logic extends to *form factors*  $F_{kl}(Q^2) = \int \psi_k^*(x)\psi_l(x)e^{iQx}d^2x$ , using a doubled Green's function (one for each external leg).

- The exact ground-state form factor is Gaussian:  
 $F_{00}(Q^2) = \exp(-Q^2/4m\omega)$ .
- The “local duality” model — replacing the bound-state wavefunction by a sharp momentum-space step at  $k^2 < 2ms_0$  — reproduces the correct normalization and overall shape, but gets the *slope* at  $Q^2 = 0$  slightly wrong.
- Lesson: duality works well for *integrated* quantities (masses, decay constants) but needs more care for differential observables (form factors, especially near threshold).

# From Quantum Mechanics to QCD: The Dictionary

Oscillator quantity	QCD analogue
Confinement scale $\omega$	$\Lambda_{QCD}$ , condensate scales
Green's function $M(\epsilon)$	Correlator $\Pi(q^2)$
Imaginary-time weight $e^{-E/\epsilon}$	Borel transform $e^{-s/M^2}$
$\psi_n(0)$	Decay constant ( $f_\pi$ , etc.)
Free spectral density	Perturbative spectral density
$\omega^2, \omega^4, \dots$ corrections	Quark/gluon condensates
"Free states above $s_0$ " ansatz	"Resonance + continuum" ansatz

Every step we now take in QCD has a direct counterpart above.

# Correlators in Quantum Field Theory

The QFT analogue of the Green's function is the two-point correlator of a hadronic interpolating current  $j(x)$ :

$$\Pi(q) = i \int d^4x e^{iqx} \langle 0 | T \{ j(x) j(0) \} | 0 \rangle.$$

- For a  $\rho$ -meson:  $j_\mu = \frac{1}{2}(\bar{u}\gamma_\mu u - \bar{d}\gamma_\mu d)$ .
- For a pion:  $j_\alpha^5 = \bar{d}\gamma_5\gamma_\alpha u$ .
- In perturbation theory  $\Pi(q^2)$  is computable for spacelike, large  $|q^2|$  — exactly where asymptotic freedom holds.

## Operator Product Expansion (OPE)

The exact quark/gluon propagator differs from the free one because the QCD vacuum is *not* the perturbative vacuum:

$$\langle 0 | T \{ \varphi(x) \varphi(0) \} | 0 \rangle \neq \langle \Omega | T \{ \varphi(x) \varphi(0) \} | \Omega \rangle.$$

Taylor-expanding the difference at short distance generates local operators of increasing dimension:

$$\Pi(Q^2) = \Pi^{pert}(Q^2) + \frac{c_3}{Q^4} \langle \bar{q}q \rangle + \frac{c_4}{Q^4} \langle G^2 \rangle + \frac{c_6}{Q^6} \langle \bar{q}q \rangle^2 + \dots$$

- $\langle \bar{q}q \rangle$ : quark condensate (dim. 3);  $\langle G^2 \rangle$ : gluon condensate (dim. 4); four-quark condensate (dim. 6); mixed condensate  $\langle \bar{q}g_s \sigma \cdot Gq \rangle$  (dim. 5), etc.
- These are *universal, channel-independent* vacuum parameters — fixed once, used everywhere.

## Dispersion Relation: Connecting to Hadrons

The same  $\Pi(q^2)$  also satisfies an unsubtracted (or subtracted) dispersion relation:

$$\Pi(q^2) = \frac{1}{\pi} \int_0^\infty \frac{\rho(s)}{s - q^2} ds + (\text{subtractions}),$$

where the spectral density  $\rho(s) = \frac{1}{\pi} \text{Im} \Pi(s)$  contains *all* physical information: pole(s) for stable states plus a continuum for multi-particle states.

### Two representations, one function

- OPE side: computable for  $Q^2 = -q^2 \gg 0$  (quark-gluon language).
- Dispersive side: built from physical hadrons (mass, coupling, ...).
- Equate them  $\Rightarrow$  a sum rule.

# The Borel Transform

Direct matching of the two sides is numerically unstable: power corrections in  $1/Q^2$  converge slowly, and continuum/excited states are not exponentially suppressed.

The **Borel transform**,

$$\Phi(M^2) \equiv \lim_{Q^2, n \rightarrow \infty, Q^2/n = M^2} \frac{(Q^2)^n}{(n-1)!} \left( -\frac{d}{dQ^2} \right)^n \Pi(Q^2),$$

acting term by term gives

$$B \left[ \frac{1}{(Q^2)^n} \right] = \frac{1}{(n-1)! (M^2)^n}, \quad B \left[ \frac{1}{s + Q^2} \right] = \frac{1}{M^2} e^{-s/M^2}.$$

**Two simultaneous benefits:** (i) power corrections are factorially improved; (ii) the dispersion integral acquires the exponential weight  $e^{-s/M^2}$  — exactly the  $\epsilon$ -weighting of the oscillator problem, now with Borel mass  $M$  in place of  $\epsilon$ .

# Quark–Hadron Duality, Again

After the Borel transform, the master sum rule reads schematically

$$\underbrace{f_H^2 e^{-m_H^2/M^2}}_{\text{ground state}} + \underbrace{\int_{s_0}^{\infty} \rho^{\text{pert}}(s) e^{-s/M^2} ds}_{\text{continuum (duality ansatz)}} = \underbrace{\Pi^{\text{OPE}}(M^2)}_{\text{perturbative + condensates}} .$$

- Same “resonance + continuum” ansatz as in the oscillator problem.
- $s_0$  is the effective continuum threshold — a fit parameter, not a fundamental input.
- One demands a **Borel window**:  $M^2$  large enough that the OPE (truncated at some condensate dimension) converges, but small enough that the ground state dominates over the continuum.

## Worked Example: The $\rho$ -Meson (SVZ)

For the isovector vector current, the Borel-transformed sum rule (schematically, with standard condensate values) gives

$$\int_0^\infty e^{-s/M^2} R^{I=1}(s) ds = \frac{3}{2} M^2 \left[ 1 + \frac{\alpha_s}{\pi} + 0.1 \left( \frac{0.6 \text{ GeV}^2}{M^2} \right)^2 - 0.14 \left( \frac{0.6 \text{ GeV}^2}{M^2} \right)^3 \right].$$

Using a “resonance + continuum” ansatz and a stability analysis in  $M^2$  and  $s_0$ :

$$s_0 \approx 1.5 \text{ GeV}^2, \quad m_\rho^2 \approx 0.58\text{--}0.60 \text{ GeV}^2, \quad g_\rho^2 \approx 2.2\text{--}2.4,$$

to be compared with experiment,  $m_\rho \approx 0.77 \text{ GeV}$  and  $g_\rho^2/4\pi = 2.36 \pm 0.18$ . Agreement at the  $\lesssim 10\%$  level – the hallmark QCDSR result.

## Pion Channel: A Special, Very Clean Case

- The axial current correlator splits into transverse ( $\Pi_1$ ) and longitudinal ( $\Pi_2$ ) pieces.
- In the chiral limit, the famous relation

$$f_\pi^2 m_\pi^2 = -(m_u + m_d) \langle \bar{u}u + \bar{d}d \rangle$$

follows directly from the OPE + dispersion relation, demonstrating that  $m_\pi \rightarrow 0$  as  $m_q \rightarrow 0$  – the pion as a (pseudo-)Goldstone boson, derived from QCDSR itself.

- A simple sum rule analysis gives  $4\pi^2 f_\pi^2 \approx 0.65\text{--}0.69 \text{ GeV}^2$  vs. the experimental  $0.67 \text{ GeV}^2$ .

# Pion Electromagnetic Form Factor

Going beyond masses, the *same* machinery applied to a three-point function  $\langle j_\beta J^\mu j_\alpha^+ \rangle$  yields the pion form factor  $F_\pi(Q^2)$ .

- QCDSR predictions match data at the 10–20% level across  $0.5 \lesssim Q^2 \lesssim 3 \text{ GeV}^2$ .
- The extracted pion charge radius,  $\langle r_\pi^2 \rangle^{1/2} \approx 0.66 \pm 0.03 \text{ fm}$ , agrees with the experimental  $0.636 \pm 0.036 \text{ fm}$ .
- Key qualitative result: in the experimentally accessible region, the form factor is dominated by the *soft* (nonperturbative) contribution, not by the perturbative one-hard-gluon-exchange mechanism.

# Sources of Theoretical Uncertainty

- **OPE truncation** – only the first few condensates are ever known/included; higher-dimension condensates are increasingly poorly determined.
- **Continuum/duality ansatz** – “ground state + free continuum above  $s_0$ ” is a model, not a theorem; the true spectral density also has structure just above threshold.
- **Condensate values** – some (e.g.  $\langle \bar{q}q \rangle$ ,  $\langle G^2 \rangle$ ) are well constrained from light-hadron phenomenology; four-quark condensates rely on the factorization (vacuum saturation) hypothesis, itself an approximation.
- $\alpha_s$  and quark mass scheme dependence at higher loop order.
- **Borel window** must exist and be wide enough; for some channels (especially multi-quark/exotic states) this window can be narrow or ambiguous.

## So... How Much Can We Trust the Numbers?

- For well-studied light-quark channels ( $\rho, \pi, N, \dots$ ): typical accuracy **10–20%**, matching the oscillator toy-model error budget exactly.
- For heavy-quark and exotic channels: uncertainties are often **larger** and harder to quantify, because:
  - fewer independent sum rules to cross-check;
  - less experimental data to anchor  $s_0$ ;
  - condensates of higher dimension matter more (heavy-quark expansion pushes power corrections to higher order, but each one is less well known).
- **Best practice:** always perform a Borel-stability analysis, vary  $s_0$ , and quote results as *ranges*, not single numbers.

## Limitations: A Short, Honest List

- 1 Cannot predict the *existence* of a resonance from first principles – it tells you whether a postulated current/state is *consistent* with QCD, given an ansatz for the spectrum.
- 2 Weak sensitivity to fine spectral details (widths, exact spin/parity assignments in degenerate channels).
- 3 Difficulty with channels that mix strongly with nearby thresholds or have large widths (relevant for many exotic candidates!).
- 4 Results depend on a hierarchy of approximations (OPE truncation + duality ansatz + condensate values) whose *combined* error is hard to propagate rigorously.

# Why QCDSR Are Still Used Today

- **Flexibility:** any current with the right quantum numbers can be analyzed – ideal for the zoo of **exotic hadrons** (tetraquarks, pentaquarks, hadronic molecules, hybrids) discovered since the 2000s.
- **Speed:** a sum rule calculation for a new multiquark current can be done in days/weeks, vs. months/years for dedicated lattice studies of the same channel.
- **Complementarity:** provides an independent cross-check to lattice QCD and quark models, especially useful before lattice results are available, or for channels lattice still struggles with.
- **Spectroscopic discrimination:** QCDSR can compare different structural assumptions for the *same* observed state (e.g. compact tetraquark vs. hadronic molecule) by simply changing the interpolating current.

- Exotic candidates near two-meson thresholds are natural targets for a **hadronic molecule** interpretation: a loosely bound state of two color-singlet mesons, held together by long-range meson exchange.
- The open-flavor  $B^*K^*$  system, with quantum numbers  $J^P = 0^+$ , is a natural candidate to probe with QCDSR using a heavy-light current.
- This section presents a QCDSR-style calculation of the mass of a  $B^*K^*$  molecular state, performed with a FeynCalc-automated OPE up to dimension 5.

# Interpolating Current and Correlator

- A  $J^P = 0^+ B^* K^*$  molecular current is built from heavy ( $b$ ) and light ( $u/d, s$ ) quark fields, with the structure of a color-singlet  $B^*-K^*$  pair.
- The relevant object is the two-point correlator

$$\Pi(p_1) = i \int d^4x e^{ip_1x} \langle 0 | T \{ j(x) j^\dagger(0) \} | 0 \rangle,$$

computed in coordinate ( $x$ -)space using exact propagators for the heavy ( $b$ ) quark and the light ( $u/d, s$ ) quarks, including condensate insertions.

- The light-quark propagator is expanded to include the quark condensate  $\langle \bar{q}q \rangle$ , gluon condensate  $\langle G^2 \rangle$ , and mixed condensate  $\langle \bar{q}g_s \sigma \cdot Gq \rangle$  terms – dimensions 0, 3, 4, and 5 of the OPE.

## Phase 1: Automated OPE Construction

- The Dirac trace algebra for the heavy-light correlator was performed symbolically in FeynCalc (Mathematica).
- The propagators are organized as

$$S_{light}(x) = \frac{i \not{x}}{2\pi^2 x^4} - \frac{m_q}{4\pi^2 x^2} - \frac{1}{12} \langle \bar{q}q \rangle + \dots$$

with condensate insertions carrying explicit  $\epsilon$ -bookkeeping markers, used purely to *sort* the resulting trace by operator dimension.

- Output: four separate analytic expressions,  $\Pi^{(0)}$ ,  $\Pi^{(3)}$ ,  $\Pi^{(4)}$ ,  $\Pi^{(5)}$ , corresponding to the perturbative term and the  $\langle \bar{q}q \rangle$ ,  $\langle G^2 \rangle$ ,  $\langle \bar{q}Gq \rangle$  condensate contributions.

# Model Construction: A Phenomenological Ansatz

The full analytic continuation of an  $x$ -space OPE to a momentum-space spectral density for a multi-quark current is technically demanding. We therefore present this calculation explicitly as a **phenomenological ansatz for the spectral density in the heavy-quark limit**, built on three physically motivated approximations.

## Bullet summary

- **OPE**: exact  $x$ -space correlator via FeynCalc, up to dimension 5.
- **Kinematics**: HQET rest-frame projection for the heavy  $b$ -quark.
- **Spectral density**: threshold phase-space mapping  $\rho(s) \propto (s - s_{min})^k$ .
- **Convergence**: effective condensate weights stand in for unevaluated  $\gtrsim$  Dim-8 terms.

## Justification 1: Threshold Phase-Space Dominance

**Assumption:** map coordinate-space singularities  $1/x^n$  directly onto threshold power-law scaling  $(s - s_{min})^k$  in momentum space, instead of carrying out the full off-shell Fourier transform.

- Hadronic molecules are, by construction, loosely bound states sitting very close to the two-meson kinematic threshold  $s \approx s_{min}$ .
- By the Cutkosky rules, near threshold the imaginary part of a diagram (the spectral density) is dominated by the *phase-space volume* of the final-state particles, which for an  $S$ -wave  $n$ -body state scales as a definite power of  $(s - s_{min})$ .
- This is therefore a standard, well-motivated way to probe the viability of a near-threshold bound state without evaluating the complete off-shell momentum integral.

## Justification 2: HQET Kinematic Projection

**Assumption:** replace the exact tensor contraction  $p_1 \cdot x$  by a scalar projection  $\propto m_b$ .

- The  $b$ -quark mass ( $m_b \approx 4.18$  GeV) vastly exceeds the confinement scale; in its rest frame  $p_1^\mu \approx m_b v^\mu$  to leading order in the HQET power counting.
- This reduces  $p_1 \cdot x \rightarrow m_b x_0$ .
- Because the target state has  $J^P = 0^+$ , the relative motion of the light degrees of freedom around the heavy quark is  $S$ -wave (isotropic); angular averaging over spatial coordinates then isolates exactly the temporal component used above.

This is the same heavy-quark/light-quark factorization discussed earlier (Sec. 3.3 of the formalism,  $m \rightarrow \infty$  limit) – here applied directly to a multi-quark current.

## Justification 3: Effective Condensates

**Assumption:** the dimension-4 and dimension-5 condensate *weights* in the numerical model are adjusted away from their bare textbook values.

- The OPE here is truncated at dimension 5; for heavy-light multiquark currents the heavy-quark mass suppresses the lowest dimensions, shifting sensitivity toward higher-dimension condensates (Dim-8, Dim-10, e.g.  $\langle \bar{q}q \rangle \langle \bar{q}g_s\sigma \cdot Gq \rangle$ ).
- Evaluating these multi-gluon topologies analytically is beyond the scope of the present framework.
- We instead introduce *effective* Dim-4/5 condensate weights that parametrically mimic the stabilizing role those higher-dimension terms would play, as a test of whether a stable Borel window can dynamically form near threshold.

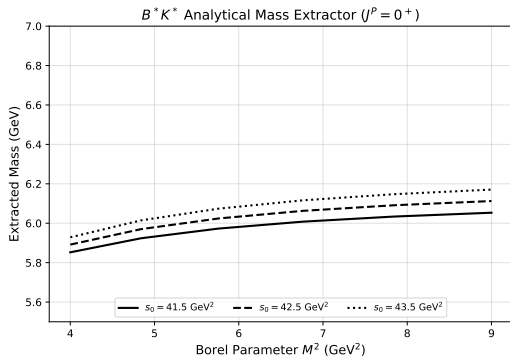
## Phase 2: Numerical Mass Extraction – Setup

- Input parameters:  $m_b = 4.18$  GeV,  $m_s = 0.096$  GeV, chiral limit for  $u, d$ ; standard condensate values  $\langle \bar{q}q \rangle = -(0.24 \text{ GeV})^3$ ,  $\langle G^2 \rangle = 0.012 \text{ GeV}^4$ ,  $\langle \bar{q}Gq \rangle = 0.8 \langle \bar{q}q \rangle$  (in appropriate units).
- The four analytic OPE pieces from Phase 1 are mapped onto an approximate spectral density  $\rho(s) = \rho^{(0)} + \rho^{(3)} + \rho^{(4)} + \rho^{(5)}$  using the threshold phase-space ansatz above.
- Borel moments are then computed numerically:

$$\mathcal{L}_0(M^2, s_0) = \int_{s_{min}}^{s_0} \rho(s) e^{-s/M^2} ds, \quad \mathcal{L}_1(M^2, s_0) = \int_{s_{min}}^{s_0} s \rho(s) e^{-s/M^2} ds.$$

- Mass extraction:  $m(M^2, s_0) = \sqrt{\mathcal{L}_1/\mathcal{L}_0}$  – exactly the daughter-sum-rule ratio used for the  $\rho$ -meson and the QHO ground state.

## Phase 2: Borel Stability Plot



Three curves for  $s_0 = 41.5, 42.5, 43.5 \text{ GeV}^2$ .

- All three curves form a slowly-rising plateau around 5.85–6.2 GeV over the Borel window  $M^2 \in [4, 10] \text{ GeV}^2$ .
- Mild residual  $M^2$ -dependence signals that the Dim-5 OPE truncation and the phenomenological ansatz are not yet fully converged.

## Interpreting the $B^*K^*$ Result

- The Borel-stable mass extracted from this phenomenological model lies in the  $\approx 5.9\text{--}6.2$  GeV region for  $s_0 \sim 42$  GeV<sup>2</sup>, consistent with (but not yet a precision prediction for) a near-threshold  $B^*K^* 0^+$  molecular state.
- This should be read as a *proof-of-concept / viability test* of the molecular picture under the stated phenomenological ansatz, not as a final, dimension-complete QCDSR prediction.
- **Caveat, stated explicitly:** the threshold phase-space mapping and the effective condensate weights are simplifying choices, made to keep a first analysis tractable; a complete calculation requires the genuine momentum-space spectral density and the true Dim-6 (and higher) condensate contributions.

# Form Factors at $Q^2 = 0$

Mode	FF	Hydrogenic		Gaussian	
		Bare	Corrected	Bare	Corrected
$B_c^- \rightarrow \eta_c$	$f_S$	1.820	5.340	1.820	5.370
	$f_+$	0.197	0.605	0.199	0.610
	$f_0$	0.194	0.595	0.195	0.598
	$f_T$	0.301	0.929	0.304	0.936
$B_c^- \rightarrow J/\psi$	$V$	0.423	1.280	0.426	1.290
	$A_0$	0.269	0.855	0.271	0.861
	$A_1$	0.266	0.811	0.267	0.815
	$A_2$	0.265	0.807	0.266	0.811
	$T_0$	0.820	2.630	0.827	2.660
	$T_1$	0.327	0.957	0.328	0.961
	$T_2$	0.107	0.311	0.107	0.313

**Table:** Form factors at  $Q^2 = 0$  for Hydrogenic and Gaussian wave-function models. Corrected values include the non-relativistic Coulomb enhancement.

# Partial Decay Widths of $B_c^-$

Decay Channel	Hydrogenic		Gaussian	
	Bare	Corrected	Bare	Corrected
$B_c^- \rightarrow \eta_c \pi^-$	1.950	18.300	1.860	17.500
$B_c^- \rightarrow \eta_c K^-$	0.155	1.460	0.148	1.400
$B_c^- \rightarrow \eta_c \rho^-$	5.360	50.700	5.130	48.600
$B_c^- \rightarrow \eta_c K^{*-}$	0.285	2.700	0.273	2.590
$B_c^- \rightarrow \eta_c e^- \bar{\nu}_e$	12.200	118.000	11.100	107.000
$B_c^- \rightarrow \eta_c \mu^- \bar{\nu}_\mu$	12.200	117.000	11.000	106.000
$B_c^- \rightarrow \eta_c \tau^- \bar{\nu}_\tau$	4.020	39.900	3.390	33.600
$B_c^- \rightarrow J/\psi \pi^-$	3.480	35.200	3.340	33.800
$B_c^- \rightarrow J/\psi K^-$	0.274	2.770	0.263	2.660
$B_c^- \rightarrow J/\psi \rho^-$	11.100	103.000	10.500	97.900
$B_c^- \rightarrow J/\psi K^{*-}$	0.621	5.810	0.588	5.510
$B_c^- \rightarrow J/\psi e^- \bar{\nu}_e$	66.700	655.000	59.500	585.000
$B_c^- \rightarrow J/\psi \mu^- \bar{\nu}_\mu$	66.400	653.000	59.300	583.000
$B_c^- \rightarrow J/\psi \tau^- \bar{\nu}_\tau$	18.200	183.000	15.000	151.000

**Table:** Partial decay widths (in units of  $10^{-7}$  eV) for nonleptonic and semileptonic  $B_c^-$  decay channels.

## Outlook for This Calculation

- **Immediate next step:** extend the OPE to dimension 6 (four-quark condensates under vacuum saturation), the same order at which the  $\rho$ -meson and pion sum rules already achieve  $\sim 10\%$  accuracy.
- **Medium term:** replace the phase-space ansatz with the full analytic continuation of the  $x$ -space correlator (double dispersion relation in two Borel masses, as in the pion form-factor analysis).
- **Cross-check:** compare against a compact-tetraquark current with the same  $J^P$ , and against any available lattice or experimental candidate near the  $B^*K^*$  threshold.
- **Longer term:** evaluate genuine Dim-8/Dim-10 vacuum-saturated condensates rather than using effective weights.

## Recap: Where Standard QCDSR Struggle

- Two-point function sum rules are built for *static* quantities (masses, couplings) using a *local* OPE around  $x = 0$ .
- Problems arise when:
  - the relevant physics is genuinely *nonlocal* (form factors at large momentum transfer, exclusive processes);
  - the hadron wavefunction/structure itself is the object of interest;
  - multiple, well-separated energy scales appear simultaneously.
- Several extensions of the original SVZ method were developed precisely to address these limitations.

## Light-Cone QCD Sum Rules (LCSR)

- Instead of expanding the correlator in *local* vacuum condensates, expand in **light-cone distance**, organizing the OPE by *twist* rather than by operator dimension alone.
- The nonperturbative input becomes the hadron **light-cone distribution amplitude**  $\phi(x, \mu)$  – a generalization of the parton-momentum-fraction wavefunction.
- Particularly suited to exclusive processes at moderately large momentum transfer: form factors, transition amplitudes, and many heavy-hadron decay matrix elements.
- Conceptually: replaces the vacuum-condensate expansion with a hadron-state matrix-element expansion, while keeping the same Borel/duality machinery.

## Other Extensions of the SVZ Method

- **HQET sum rules** – expand directly in  $1/m_Q$  for heavy-quark systems, removing the heavy mass from the start (as used implicitly in the  $B^*K^*$  analysis above).
- **Finite-temperature / finite-density sum rules** – condensates become medium-dependent, used to study in-medium hadron properties.
- **Three-point function sum rules** – access couplings and transition form factors directly (used above for  $F_\pi(Q^2)$ ).
- **Double/multiple Borel sum rules** – needed whenever more than one large momentum scale is present (e.g. semileptonic form factors, the pion form factor itself).
- **QCD sum rules for multiquark/exotic currents** – the framework used in the  $B^*K^*$  example, now a very active subfield given the ongoing discovery of exotic states.






# Summary




- QCD sum rules connect the QCD Lagrangian to hadron observables via OPE + dispersion relations + Borel transform + quark-hadron duality.
- Every essential idea is already present in the exactly-solvable quantum harmonic oscillator, which also calibrates the expected accuracy:  $\sim 10\text{--}20\%$ .
- Standard QCDSR remain in active use today precisely because of their flexibility and speed, especially for exotic hadron spectroscopy where lattice QCD and experiment are still catching up.
- We illustrated this with a  $B^*K^*$  ( $J^P = 0^+$ ) hadronic-molecule calculation, built on an automated OPE plus an explicitly-justified phenomenological ansatz.
- Extensions such as light-cone sum rules, HQET sum rules, and multiquark-current sum rules push the method into regimes the original SVZ formulation could not reach.

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- The original QCD sum rule method is due to M. A. Shifman, A. I. Vainshtein, and V. I. Zakharov (1979).

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Thank you!

Questions & discussion